



# Deterministic chaos in modulated multi-cell drifts of localized lower hybrid oscillations excited by high frequency waves in the ionosphere

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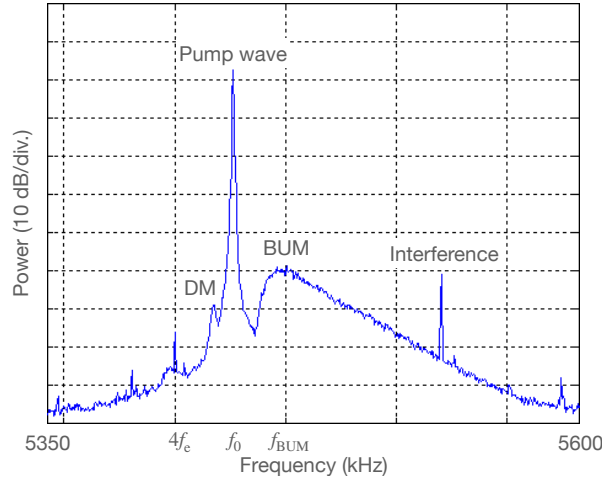
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**Abstract.** The prominent broad upshifted maximum (BUM) feature in electromagnetic emissions stimulated by powerful high frequency radio waves in the ionosphere exhibits an exponential spectrum for pump frequencies near an harmonic of the ionospheric electron gyro frequency. Exponential power spectra is a characteristic of deterministic chaos. In the present treatment, a two-fluid model is derived of lower hybrid (LH) oscillations driven by parametric interaction of the electromagnetic pump field, electron Bernstein mode and upper hybrid mode as previously proposed to interpret the BUM. In two dimensional geometry across the geomagnetic field, LH oscillations localized in cylindrical density depletions are associated with multi-cell plasma drift patterns. The numerical simulations show that topological modulations of the drift can give rise to approximately Lorentzian shaped pulses in the LH time signal. For parameter values typical of the ionospheric experiments, the exponential power spectrum of the Lorentzian pulses has a slope that is consistent with the slope of the BUM spectrum. The BUM spectral structure is therefore attributed to deterministic chaos in LH dynamics.

## 1 Introduction

Electromagnetic emissions excited by powerful high frequency (HF) electromagnetic waves transmitted into the ionosphere from the ground exhibit rich spectral structure that depends notably on the pump frequency  $f_0$  and its relation to a multiple  $s$  of the ionospheric electron gyro frequency  $f_e$  (Leyser, 2001). Figure 1 displays the most prominent spectral feature of the stimulated electromagnetic emissions (SEE), the so called broad upshifted maximum (BUM) with its spectral maximum at  $f_{\text{BUM}} \approx f_0 + 24$  kHz. The high frequency flank of the BUM commonly exhibits an exponential power spectrum, with a constant slope in a semi-logarithmic plot. Also seen in Fig. 1 is a downshifted maximum (DM) at approximately  $f_0 - 10$  kHz.

As first established in the fluid and nonlinear dynamics communities (Frisch and Morf, 1981; Greenside et al., 1982), exponential power spectra are a characteristic of deterministic chaos. Research on magnetically confined laboratory plasma showed that the associated time evolution consists of intermittent narrow pulses of Lorentzian shape (Pace et al., 2008) that arise because of topological modulations in the plasma drift trajectories in vicinity of separatrices in the velocity field (Maggs



**Figure 1.** A BUM spectral feature observed in experiments at the Sura HF facility in Russia with  $f_0 = 5.426$  MHz,  $4f_e \approx 5.407$  MHz and  $\Delta f_{\text{BUM}} \approx 24$  kHz (27 September 1998). Taken from Leyser (2021) where it is adapted from Carozzi et al. (2002).

and Morales, 2011, 2012). The topological modulations of a single-cell drift pattern can make pulses of plasma escape or enter the flow cell. In a multi-cell flow pattern the modulations can make plasma pulses cross separatrices between the cells to switch the flow cell. Numerical simulations of structures formed by a temperature filament in magnetically confined plasma showed that Lorentzian pulses can arise by the topological modulations of only two modes of coherent drift waves (Shi et al., 2009).

A Lorentzian pulse has the functional form (Pace et al., 2008; Hornung et al., 2011; Maggs and Morales, 2011):

$$L(t) = \frac{A}{1 + \left(\frac{t-t_0}{\tau}\right)^2} \quad (1)$$

where  $A$  is the amplitude of the pulse of width  $\tau$  centered at time  $t = t_0$ . The Fourier transform of  $L(t)$  is  $\hat{L}(\omega) = A\tau\pi e^{i\omega t_0} e^{-\omega\tau}$ , so that its power spectrum is

$$P(\omega) = A^2\tau^2\pi^2 \exp(-2\omega\tau) \quad (2)$$

A signal time series containing Lorentzian pulses of approximately equal widths  $\tau$  will thus exhibit an exponential power spectrum  $P(f) \propto \exp(-f/f_s)$  with a scaling frequency  $f_s = 1/(4\pi\tau)$ .

A simplified model of the  $\mathbf{E} \times \mathbf{B}_g$  drift associated with lower hybrid (LH) oscillations localized in cylindrical geometry across the geomagnetic field  $\mathbf{B}_g$  ( $\mathbf{E}$  is the electric field of the LH oscillations) suggested that deterministic chaos could also be excited by HF radio waves in the ionosphere (Leyser, 2021). It was shown that the drift trajectories can be chaotic in the localized multi-cell standing wave pattern of the driving oscillations in the plane perpendicular to  $\mathbf{B}_g$ . This dynamics exhibits an exponential power spectrum that is consistent with that of the BUM feature in the SEE spectrum.

The frequency of the BUM,  $f_{\text{BUM}}$ , follows the empirical relation (Leyser et al., 1989; Leyser, 2001)

$$f_{\text{BUM}} - f_0 \approx f_0 - sf_e \quad (3)$$



where  $f_0$  is frequency of the transmitted electromagnetic pump wave and  $s \geq 3$ . This dependence suggests that the BUM is excited by a parametric four-wave interaction. Huang and Kuo (1994) developed a one-dimensional analytical model involving the electromagnetic pump wave with angular frequency and wave vector  $(\omega_0, \mathbf{k}_0)$ , electron Bernstein (EB) waves  $(\omega_1 \lesssim s\omega_e, \mathbf{k}_1)$ , upper hybrid (UH) waves  $(\omega_2 = 2\pi f_{\text{BUM}} \gtrsim s\omega_e, \mathbf{k}_2)$  and non-resonant LH oscillations  $(\omega_3, \mathbf{k}_3)$ . The matching conditions in their electrostatic approximation are  $\omega_1 + \omega_3 = \omega_0 = \omega_2 - \omega_3$  and  $k_1 + k_3 = 0 = k_2 - k_3$ . With this,  $\omega_3 \ll \omega_1 \lesssim \omega_0 \lesssim \omega_2$  ( $\omega_i = 2\pi f_i$  for  $i = 0, 1, 2, 3$ ). By assuming that the UH mode at  $\omega_2 > \omega_0$  is converted to electromagnetic emissions by scattering off filamentary density striations, the emissions could propagate to the ground to be detected as the BUM in the SEE spectrum. The theoretical model was found to be consistent with experimental results and has been verified by numerical simulations of an electrostatic particle-in-cell model with one periodic space-dimension and three velocity-dimensions (Xi and Scales, 2001).

In the present treatment a two-fluid model is presented of LH oscillations excited by the beating of an electromagnetic pump field with EB and UH oscillations assumed to be localized in a cylindrical density depletion in the plane perpendicular to  $\mathbf{B}_g$ . It complements the study of parametric four-wave interaction by Huang and Kuo (1994) and focusses on the effects of an important nonlinear term for the LH dynamics and by considering two spatial dimensions. Further, the treatment expands on Leyser (2021) by including the physics of LH oscillations instead of only the associated  $\mathbf{E} \times \mathbf{B}_g$  drift. Simulation results are obtained with parameter values typical of those in electromagnetic pumping of ionospheric F region plasma and show deterministic chaos in the LH dynamics and exponential power spectra consistent with those observed for the BUM.

## 2 Theory

LH dynamics is described by a magnetized electron fluid and unmagnetized ion fluid. For simplicity, the electron and ion fluids are here taken to be cold, i.e., the electron and ion temperatures are set to zero. All electric fields and velocities are considered to be in the  $xy$  plane perpendicular to a static and homogeneous geomagnetic field  $\mathbf{B}_g = B_g \hat{z}$ .

The electron density is taken to be  $n_e = n_s + n_3 + n_1 + n_2 \equiv n_s + n_3 + n_h$ , where  $n_s$  is the static background electron density and  $n_h$  contains the HF terms. The electron velocity is  $\mathbf{v}_e = \mathbf{v}_0 + \mathbf{v}_1 + \mathbf{v}_2 + \mathbf{v}_3 \equiv \mathbf{v}_h + \mathbf{v}_3$ , where  $\mathbf{v}_h$  contains the HF electron velocity terms. The force and charge continuity equations for  $\mathbf{v}_3$  and  $n_3$  at the LH time scale are:

$$m_e \frac{\partial \mathbf{v}_3}{\partial t} = -e \mathbf{E}_3 - e \mathbf{v}_3 \times \mathbf{B}_g - m_e \langle (\mathbf{v}_h \cdot \nabla_{\perp}) \mathbf{v}_h \rangle - \nu_e m_e \mathbf{v}_3 \quad (4)$$

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$$\frac{\partial n_3}{\partial t} + \nabla_{\perp} \cdot (n_e \mathbf{v}_e) = 0 \quad (5)$$

where  $\nabla_{\perp} \equiv (\partial/\partial x)\hat{x} + (\partial/\partial y)\hat{y}$ ,  $\hat{x}$  and  $\hat{y}$  are unit vectors in the  $x$  and  $y$  directions, respectively,  $\mathbf{E}_3$  is the LH electric field, and  $\nu_e$  is the electron collision frequency ( $m_e$  and  $-e$  are the electron mass and charge, respectively). The term  $\mathbf{F} = m_e \langle (\mathbf{v}_h \cdot \nabla_{\perp}) \mathbf{v}_h \rangle$  is the ponderomotive force describing the nonlinear low frequency effect of the HF waves on the electrons and the angular brackets denote averaging the enclosed quantities over the HF oscillations.

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Equation (5) gives at the LH time scale

$$\frac{\partial n_3}{\partial t} + (n_s + n_3) \nabla_{\perp} \cdot \mathbf{v}_3 + \mathbf{v}_3 \cdot \nabla_{\perp} n_3 = -\nabla_{\perp} \cdot \langle n_h \mathbf{v}_h \rangle \quad (6)$$



The last (advection) term on the left-hand side is crucial to include the chaotic dynamics, but has commonly been neglected in studies of nonlinear normal mode-coupling of parametric interactions. For simplicity, this term is not included self consistently.

75 To investigate the effect of the advection term,  $\mathbf{v}_3$  is replaced by an externally provided drift velocity  $\mathbf{v}_D$ . Equation (6) is further simplified by that  $n_s \gg |n_3|$ , neglecting the effect of static density inhomogeneity ( $\nabla_{\perp} n_s = 0$ ), and with  $\nabla_{\perp} \cdot \langle n_h \mathbf{v}_h \rangle \approx 0$  (Istomin and Leyser, 1995), so that

$$\frac{\partial n_3}{\partial t} + n_s \nabla_{\perp} \cdot \mathbf{v}_3 + \mathbf{v}_D \cdot \nabla_{\perp} n_3 = 0 \quad (7)$$

By noting that the second term in the right-hand side of Eq. (4) is the largest,  $\mathbf{v}_3$  can be obtained by iteration (Istomin and  
 80 Leyser, 1995), giving

$$\begin{aligned} \mathbf{v}_3 = & \frac{e}{m_e \omega_e} \left( \frac{1}{B_g} \mathbf{E}_3 \times \mathbf{B}_g - \frac{1}{\omega_e} \frac{\partial \mathbf{E}_3}{\partial t} \right) \\ & - \frac{1}{m_e \omega_e} \left( \frac{1}{B_g} \mathbf{B}_g \times \mathbf{F} + \frac{1}{\omega_e} \frac{\partial \mathbf{F}}{\partial t} \right) \\ & - \frac{\nu_e}{\omega_e} \frac{1}{B_g} \mathbf{E}_3 \end{aligned} \quad (8)$$

where  $\omega_e = 2\pi f_e = eB_g/m_e$  and the last term was included to account for damping due to the electron collision frequency  $\nu_e$ .

85 The ponderomotive force is taken to be (Istomin and Leyser, 1995)

$$\mathbf{F} = \frac{1}{8} \frac{e^2}{m_e} \frac{1}{(\omega_0 + \omega_e)^2} \nabla_{\perp} |E_h|^2 \quad (9)$$

$\mathbf{E}_h = \mathbf{E}_0 + \mathbf{E}_1 + \mathbf{E}_2$  is the total HF electric field. For simplicity, an additional term that depends on the electron gyro harmonic  $s$  derived by Istomin and Leyser (1995) has been neglected.

Substituting Eq. (8) into Eq. (7) to eliminate  $\mathbf{v}_3$  gives

$$90 \quad \frac{\partial n_3}{\partial t} = \frac{en_s}{m_e \omega_e^2} \left( \frac{\partial}{\partial t} + \nu_e \right) \nabla_{\perp} \cdot \mathbf{E}_3 + \frac{n_s}{m_e \omega_e^2} \frac{\partial}{\partial t} (\nabla_{\perp} \cdot \mathbf{F}) \quad (10)$$

With the Poisson equation  $\varepsilon_0 \nabla_{\perp} \cdot \mathbf{E}_3 = e(n_{i3} - n_3)$  an equation relating the electron density fluctuations  $n_3$  to those of the ion density  $n_{i3}$  is obtained as ( $\varepsilon_0$  is the vacuum permittivity)

$$\frac{\partial n_3}{\partial t} = \frac{\omega_p^2}{\omega_{uh}^2} \frac{\partial n_{i3}}{\partial t} + \nu_e \frac{\omega_p^2}{\omega_{uh}^2} (n_{i3} - n_3) - \frac{\omega_e^2}{\omega_{uh}^2} \mathbf{v}_D \cdot \nabla_{\perp} n_3 + \frac{n_s}{m_e \omega_{uh}^2} \frac{\partial}{\partial t} (\nabla_{\perp} \cdot \mathbf{F}) \quad (11)$$

where  $\omega_{uh}^2 = \omega_p^2 + \omega_e^2$  and  $\omega_p$  is the electron plasma frequency.

95 The force and charge continuity equations for the unmagnetized ion fluid are similarly,

$$m_i \frac{\partial \mathbf{v}_{i3}}{\partial t} = e \mathbf{E}_3 - \nu_i m_i \mathbf{v}_{i3} \quad (12)$$

$$\frac{\partial n_{i3}}{\partial t} + (n_s + n_{i3}) \nabla_{\perp} \cdot \mathbf{v}_{i3} + \mathbf{v}_D \cdot \nabla_{\perp} n_{i3} = 0 \quad (13)$$



where  $\nu_i$  is the ion collision frequency. Eliminating  $v_{i3}$  and using again the Poisson equation to eliminate  $E_3$  results in

$$100 \left( \frac{\partial^2}{\partial t^2} + \omega_{pi}^2 + \nu_i \frac{\partial}{\partial t} \right) n_{i3} = \omega_{pi}^2 n_3 - \frac{\partial v_D}{\partial t} \cdot \nabla_{\perp} n_{i3} - v_D \cdot \nabla_{\perp} \frac{\partial n_{i3}}{\partial t} \quad (14)$$

Equations (11) and (14) are a coupled set of equations for the electron and ion densities,  $n_3$  and  $n_{i3}$  associated with the LH dynamics driven by the external fields through  $F$  and  $v_D$ .

In order to relate the electromagnetic pump, EB, UH and LH fields to one another through  $F$  and  $v_D$ , it is recalled that the empirical relation Eq. (3) suggests that the BUM is excited by a parametric four-wave interaction. In two-dimensional  
 105 cylindrical geometry the matching conditions are (Karplyuk et al., 1970; Leyser, 2021)

$$\omega_1 + \omega_3 = \omega_0 = \omega_2 - \omega_3 \quad (15)$$

$$m_1 + m_3 = m_0 = m_2 - m_3 \quad (16)$$

where  $m_{\alpha}$  is the azimuthal mode number ( $\alpha = 0, 1, 2, 3$ ). In cylindrical geometry there are no matching conditions on the  
 110 radial wave numbers,  $k_{r\alpha}$ .

The ponderomotive force  $F$  depends on the HF fields  $E_h$ . With the electric fields having the time dependence  $E_{\alpha} \propto \cos(\omega_{\alpha} t)$ , the following terms in  $F$  include components that can excite LH oscillations at  $\omega_3$  according to the matching condition Eq. (15):

$$|E_h|^2 = E_0 \cdot E_1 + E_0 \cdot E_2 \quad (17)$$

115 The pump field is taken to be left-handed circularly polarized (for which the electric field rotates opposite to the electron gyro motion),

$$E_0 = \frac{E_0}{\sqrt{2}} [\cos(\omega_0 t) \hat{x} - \sin(\omega_0 t) \hat{y}] \quad (18)$$

The EB ( $\alpha = 1$ ) and UH ( $\alpha = 2$ ) oscillations are taken to have the potential

$$\Phi_{\alpha} = A_{\alpha} J_{m_{\alpha}}(k_{r\alpha} \rho) \cos(m_{\alpha} \varphi + \Delta \varphi_{\alpha}) \cos(\omega_{\alpha} t) \quad (19)$$

120 so that  $E_{\alpha} = -\nabla_{\perp} \Phi_{\alpha}$ ,  $J_{m_{\alpha}}$  is the Bessel function of the first kind,  $\rho = (x^2 + y^2)^{1/2}$ ,  $\varphi$  is the azimuthal angle in the  $xy$  plane and  $\Delta \varphi_{\alpha}$  accounts for a possible phase shift between the EB and UH oscillations. With Eqs. (18) and (19) in Eq. (17), an expression for  $F$  in Eq. (9) is obtained.

The largest contribution to  $v_3$  in Eq. (8) is the first term on the right-hand side, which is proportional to  $E_3 \times B_g$ . The drift velocity  $v_D$ , which has to be provided, is therefore taken to be  $v_D = E_D \times B_g / B_g$ , where  $E_D$  is associated with the beating  
 125 of the HF fields that give contributions at  $\omega_3$ . For the present purpose,  $E_D = -\nabla_{\perp} \Phi_D$ ,

$$\Phi_D = [A_{01} J_{m_3}(k_{r1} \rho) \cos(m_3 \varphi) + A_{02} J_{m_3}(k_{r2} \rho) \cos(m_3 \varphi + \Delta \varphi_2)] \cos(\omega_3 t) e^{-\rho/L} \quad (20)$$



where  $A_{01}$  ( $A_{02}$ ) is the potential that results from the beating at  $\omega_3$  of the pump field and EB (UH) oscillations, and is therefore proportional to the product of  $E_0$  and the amplitude  $A_1$  ( $A_2$ ) of the EB (UH) oscillations [Eq. (19)]. But it is beyond the scope of the present treatment to derive a relation between them. The focus here is to study the possible influence of the externally provided  $\mathbf{F}$  and  $\mathbf{v}_D$  on LH dynamics. The last factor in Eq. (20) is used to model the localization of  $\Phi_D$  to LH oscillations in a cylindrical density depletion, where  $L$  is the decay scale length of the potential outside the depletion.

### 3 Simulation scheme

Solutions to Eqs. (11) and (14) are computed numerically. Hereafter the dimensionless density variables,  $\eta_3 \equiv n_3(t, x, y)/n_s$  and  $\eta_{i3} \equiv n_{i3}/n_s$ , will be used. Further, Eq. (14) is of the second order in the time derivative. In order to solve it numerically it is converted into two first order equations, by introducing  $\eta'_{i3} \equiv n'_{i3}/n_s$ . This gives the following set of three equations:

$$\frac{\partial \eta_3}{\partial t} = \frac{\omega_p^2}{\omega_{uh}^2} \eta'_{i3} + (\eta_{i3} - \eta_3) \nu_e - \frac{\omega_e^2}{\omega_{uh}^2} \mathbf{v}_D \cdot \nabla_{\perp} \eta_3 + \frac{1}{m_e \omega_{uh}^2} \frac{\partial}{\partial t} (\nabla_{\perp} \cdot \mathbf{F}) \quad (21)$$

$$\frac{\partial \eta'_{i3}}{\partial t} = \omega_{pi}^2 (\eta_3 - \eta_{i3}) - \nu_i \eta'_{i3} - \frac{\partial \mathbf{v}_D}{\partial t} \cdot \nabla_{\perp} \eta_{i3} - \mathbf{v}_D \cdot \nabla_{\perp} \eta'_{i3} \quad (22)$$

$$\frac{\partial \eta_{i3}}{\partial t} = \eta'_{i3} \quad (23)$$

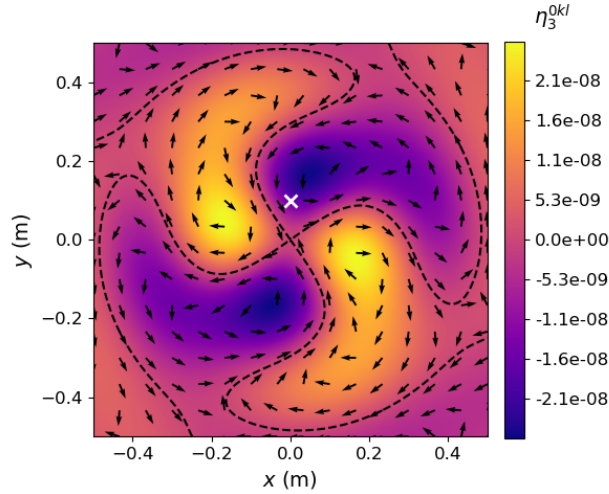
To solve Eqs.(21) to (23) numerically they are converted into a system of coupled algebraic equations by replacing  $\eta_3$ ,  $\eta_{i3}$  and  $\eta'_{i3}$  by corresponding grid functions that are discretized in time  $t = t_j = j \Delta t$  ( $j = 0, 1, 2, \dots, J$ ) and on an equidistant spatial grid  $x = x_k = k \Delta d$ ,  $y = y_l = l \Delta d$  ( $k, l = 0, 1, 2, \dots, M$ ), so that  $\eta_3(t_j, x_k, y_l) \approx \eta_3^{jkl}$ ,  $\eta_{i3}(t_j, x_k, y_l) \approx \eta_{i3}^{jkl}$  and  $\eta'_{i3}(t_j, x_k, y_l) \approx \eta_{i3'}^{jkl}$  (Langtangen and Linge, 2017).

The time derivatives of  $\eta_3$ ,  $\eta_{i3}$  and  $\eta'_{i3}$  are approximated with the forward Euler method for the finite differences, for example,  $\partial \eta_3 / \partial t \approx (\eta_3^{(j+1)kl} - \eta_3^{jkl}) / \Delta t$ . All spatial differences are computed at the time step  $j$ . Second-order spatial derivatives are approximated by centered differencing, for example,  $\partial^2 E_{\alpha x} / \partial x^2 \approx (E_{\alpha x}^{j(k+1)l} - 2E_{\alpha x}^{jkl} + E_{\alpha x}^{j(k-1)l}) / \Delta x^2$ . The first-order spatial derivatives of  $\eta_3$ ,  $\eta_{i3}$  and  $\eta'_{i3}$  in the advection terms need a different treatment and are approximated by, so called, upwind differencing. For example, in the  $x$ -direction with  $\eta_3$ , we take  $\partial \eta_3 / \partial x \approx (\eta_3^{jkl} - \eta_3^{j(k-1)l}) / \Delta x$  when  $v_{Dx} > 0$  and  $\partial \eta_3 / \partial x \approx (\eta_3^{j(k+1)l} - \eta_3^{jkl}) / \Delta x$  when  $v_{Dx} < 0$ . The direction of the differencing is always against the direction of the drift.

The spatial grid is  $4.0 \times 4.0$  m with  $\Delta d \approx 0.025$  m. The fields are localized around the centre of the grid  $(x, y) = (0, 0)$  m by multiplication of a factor  $\exp(-\rho/L)$  with  $L = 0.4$  m. All parameters are zero at the boundaries,  $\eta_3^{j0l} = \eta_3^{jMl} = 0$  and  $\eta_3^{jk0} = \eta_3^{jkM} = 0$ ,  $\eta_{i3}^{j0l} = \eta_{i3}^{jMl} = 0$  and  $\eta_{i3}^{jk0} = \eta_{i3}^{jkM} = 0$ ,  $\eta_{i3'}^{j0l} = \eta_{i3'}^{jMl} = 0$  and  $\eta_{i3'}^{jk0} = \eta_{i3'}^{jkM} = 0$ .

The time step is  $\Delta t = 2.5 \times 10^{-7}$  s. The initial conditions on the spatial grid are taken such that  $\eta_3^{0kl} \propto \Phi_D(t = 0)$  in Eq. (20):

$$\eta_3^{0kl} = \frac{N_3}{(A_{01}^2 + A_{02}^2)^{1/2}} (A_{01} J_{m_1}(k_{r1} \rho_{kl}) \cos(m_1 \varphi_{kl}) + A_{02} J_{m_2}(k_{r2} \rho_{kl}) \cos(m_2 \varphi_{kl} + \Delta \varphi_2)) e^{-\rho/L} \quad (24)$$



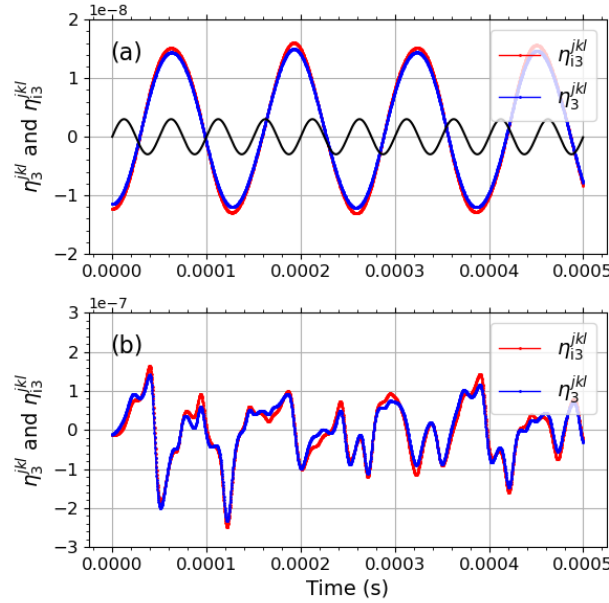
**Figure 2.** Initial relative electron density distribution  $\eta_3^{0kl}$  for the LH oscillations in the center of the  $4 \times 4$ -m simulation plane according to Eq. (24) ( $N_3 = 1.0 \times 10^{-7}$ ,  $A_{01} = A_{02} = 0.2$  V,  $\Delta\varphi_2 = \pi/2$ ). The arrows indicate the direction of the  $\mathbf{E}_D \times \mathbf{B}_g$  drift. The dashed lines delineate  $\eta_3^{0kl} = 0$  which are separatrices for the drift. The white cross at  $(x, y) = (0.0, 0.1)$  m marks the position where the time signal and power spectrum is shown in the subsequent figures. The position is relatively near an initial separatrix of the drift.

where  $A_{01}$  and  $A_{02}$  allow for different relative amplitudes of the EB and UH potentials. Further,  $\eta_{i3}^{0kl} = (\omega_{uh}^2 / \omega_p^2) \eta_3^{0kl}$  and  $\eta_{i3l}^{0kl} = 0$ .

#### 4 Simulation results

160 As an example, the azimuthal mode numbers of the interacting wave modes are taken to be  $m_0 = 1$ ,  $m_1 = -1$  and  $m_2 = 3$   
 which by Eq. (16) gives  $m_3 = 2$ . In experiments, the transmitted electromagnetic pump wave is approximately a left-handed  
 circularly polarized plane wave on the small spatial scales of interest here, propagating near parallel to  $\mathbf{B}_g$ . However, its  
 scattering on filamentary density depletions with much smaller spatial scales transverse to  $\mathbf{B}_g$  than the electromagnetic wave-  
 length, can give an azimuthal component of the pump field (Istomin and Leyser, 2003). This is the motivation for why  $m_0 = 1$   
 165 is taken here, which implies that  $m_1 \neq m_2$  for  $m_3 \neq 0$ . Figure 2 shows the initial  $\eta_3^{0kl}$  as given by Eq. (24), in the center of  
 the simulation plane perpendicular to  $\mathbf{B}_g$ . The magnitude of  $N_3 = 1.0 \times 10^{-7}$  is chosen such that  $\mathbf{F}$  in the right-hand side of  
 Eq. (21) has an effect on the temporal evolution for reasonable values of the external amplitudes  $E_0$ ,  $A_1$ ,  $A_2$ ,  $A_{01}$ , and  $A_{02}$ .

Figure 3 displays the computed electron and ion densities,  $\eta_3^{jkl}$  (blue) and  $\eta_{i3}^{jkl}$  (red), respectively, at  $(x, y) = (0.0, 0.1)$  m  
 for (a)  $E_0 = 0.001$  V/m and  $A_{01} = A_{02} = 0.002$  V, and (b)  $E_0 = 0.1$  V/m and  $A_{01} = A_{02} = 0.2$  V, with  $A_1 = A_2 = 0.2$  V



**Figure 3.** Temporal evolution of  $\eta_3^{kl}$  and  $\eta_{i3}^{kl}$  at  $k, l$  such that  $(x, y) = (0.0, 0.1)$  m from 0 s to 0.0005 s ( $f_3 = 20$  kHz,  $\Delta\varphi_2 = \pi/2$ ). (a)  $E_0 = 0.001$  V/m,  $A_1 = A_2 = 0.2$  V and  $A_{01} = A_{02} = 0.002$  V. For comparison, shown in black is an oscillation  $\sin(\omega_3 t)$  at the driving frequency  $f_3$ . (b)  $E_0 = 0.1$  V/m,  $A_{01} = A_{02} = 0.2$  V and other parameter values as for (a).

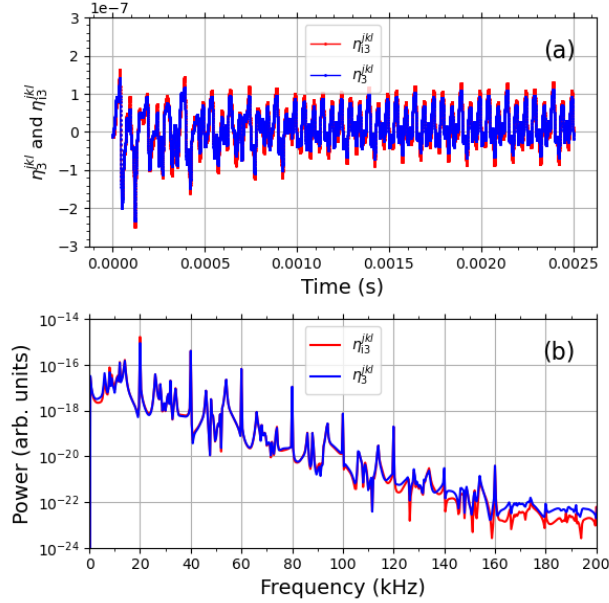
170 in both cases. Parameter values typical of the ionospheric F region were used:  $\omega_e \approx 2\pi \times 1.35 \times 10^6$  s $^{-1}$ , as estimated from  
 the data from which the spectrum in Fig. 1 was obtained, oxygen ions,  $\omega_{uh} = s\omega_e$  with  $s = 4$ ,  $\nu_e = 500$  s $^{-1}$ ,  $\nu_i = 5$  s $^{-1}$  and  
 the electron temperature  $T_e = 2000$  K. The frequencies of the involved wave modes are related by the matching condition  
 Eq. (15) where for the present treatment  $\omega_1 = 4\omega_e - \Delta\omega_1$ , where  $\Delta\omega_1 = 2\pi \times 10 \times 10^3$  s $^{-1}$ . By keeping  $\omega_1$  constant,  $k_{r1}$   
 is constant, while for the small value of  $\Delta\omega_1$  we still have  $\omega_0 \approx 4\omega_e$  for the different  $\omega_3$  to be discussed, In experiments,  
 175  $\omega_0 \approx 4\omega_e$  commonly results in the exponential slope of the BUM spectral feature that is of interest here.

In Fig. 3a the external driving due to  $E_0$  is weak so that  $v_D$  and  $\mathbf{F}$  in Eqs. (21) and (22) are small. The displayed oscillations  
 are the LH resonance oscillations at about 7.6 kHz that are weakly perturbed by the beating of the HF fields at  $f_3 = 20$  kHz in  
 $v_D$  and  $\mathbf{F}$ . For comparison, a sinusoidal oscillation at  $f_3$  is shown in black. The oscillation frequency in Fig. 3a agrees with  
 the theoretical value of the LH resonance frequency:

$$180 \quad f_{lh} = \frac{1}{2\pi} \frac{\omega_{pi}\omega_e}{\omega_{uh}} = \left(\frac{m_e}{m_i}\right)^{1/2} \left(1 - \frac{1}{s^2}\right)^{1/2} f_e \approx 7.6 \text{ kHz} \quad (25)$$

where  $\omega_{pi} = (m_e/m_i)^{1/2}\omega_p$ . The time step in the computations,  $\Delta t = 2.5 \times 10^{-7}$  s, implies  $\Delta t f_{lh} \approx 1.9 \times 10^{-3}$ . In Fig. 3b,  
 $E_0$  is sufficiently strong so that the temporal evolution is instead determined by  $v_D$  and  $\mathbf{F}$ . The temporal evolution contains  
 narrow pulses that are even shorter than the oscillations at the driving frequency  $f_3$  illustrated in Fig. 3a.

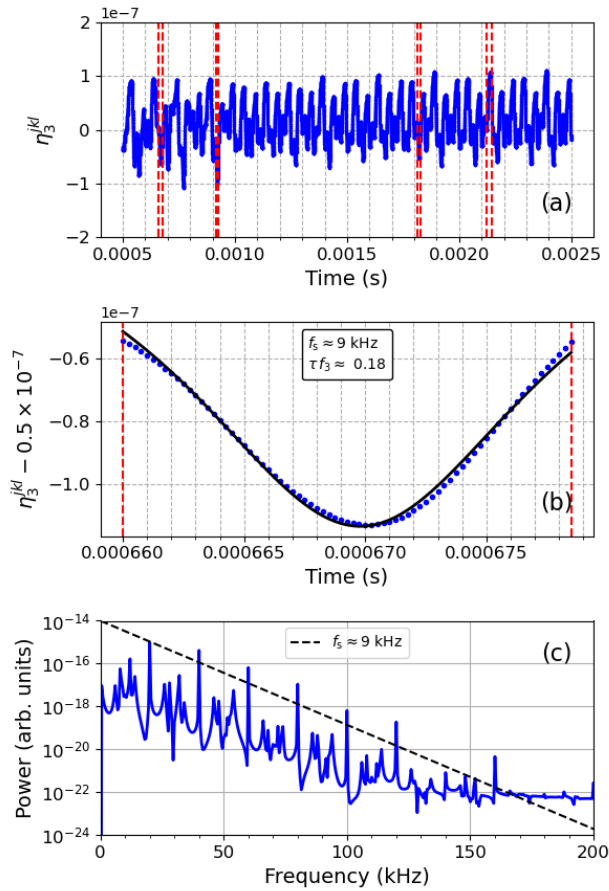




**Figure 4.** Temporal evolution (a) and power spectrum (b) of  $\eta_3^{jkl}$  (ble) and  $\eta_{i3}^{jkl}$  (red) at  $(x, y) = (0.0, 0.1)$  m for time between 0 s and 0.0025 s, and the same parameter values as for Fig. 3b.

Figure 4 displays the temporal evolution (a) of  $\eta_3^{jkl}$  (blue) and  $\eta_{i3}^{jkl}$  (red) and the corresponding power spectrum (b) for  
 185 the longer time period from  $t = 0$  s to  $t = 0.0025$  s, and the same parameter values as for Fig. 3b. The power spectrum is approximately exponential as it has a constant slope in the semi-logarithmic plot. The narrow peaks at multiples of  $f_3 = 20$  kHz enter through  $v_D$  and  $F$  in Eqs. (21) and (22). The width of the LH spectrum is about 160 kHz which is an order of magnitude larger than both  $f_{1h}$  and  $f_3$ .

In Figs. 5 and 6 some of the narrow pulses in the temporal evolution of  $\eta_3^{jkl}$  in Fig. 4a are investigated. Figure 5a shows  
 190  $\eta_3^{jkl}$  from  $t = 0.0005$  s to  $t = 0.0025$  s, which corresponds to a time scale from  $0.0005 \times f_{1h} \approx 4$  to  $0.0025 \times f_{1h} \approx 19$  wave periods of LH resonance oscillations. The time period excludes the initial overshoot behavior of  $\eta_3^{jkl}$  seen in Fig. 4a. The four pairs of vertical red dashed lines mark the time periods with pulse-type features discussed in Figs. 5b and 5c ( $t \approx 0.00067$  s) and Fig. 6 ( $t \approx 0.00092, 0.00182$  and  $0.00214$  s). Figure 5b displays an expanded time period marked by the vertical red dashed lines at  $t \approx 0.00067$  s, which includes a single negative pulse-type feature in the time series (blue dots) together with a fitted Lorentzian function according to Eq. (1) (black curve). The width of the Lorentzian pulse is  $\tau \approx 8.90 \times 10^{-6}$  s so that  
 195  $\tau f_3 \approx 0.18$  which implies that the temporal pulse is much shorter than the driving wave period ( $1/f_3$ ). The corresponding scaling frequency is  $f_s = 1/(4\pi\tau) \approx 9$  kHz. Figure 5c shows the power spectrum (blue) of the time series in Fig. 5a together with  $P(f) \propto \exp(-f/f_s)$  (dashed black line). The width  $\tau$  and corresponding scaling frequency  $f_s$  of the approximately Lorentzian shaped pulse in Fig. 5b corresponds roughly to the slope of the spectrum.



**Figure 5.** Fit of a Lorentzian function to a single pulse-type feature in the signal of  $\eta_3^{jkl}$  for the same time series as in Fig. 4 ( $f_3 = 20$  kHz and  $E_0 = 0.1$  V/m). (a) Temporal evolution from  $t = 0.0005$  s to  $t = 0.0025$  s and (b) for a single pulse (blue dots). The time period for the single pulse is marked by the leftmost pair of red vertical dashed lines at  $t \approx 0.0067$  s in (a). The three remaining pairs of vertical dashed lines indicate the time periods discussed in Fig. 6. The solid black curve in (b) is a fitted Lorentzian pulse of width  $\tau \approx 8.90 \times 10^{-6}$  s which corresponds to  $f_s \approx 9$  kHz. (c) The power spectrum for the time series in (a). The dashed line shows the exponential slope  $P = 10^{-6} \exp(-f/f_s)$ .



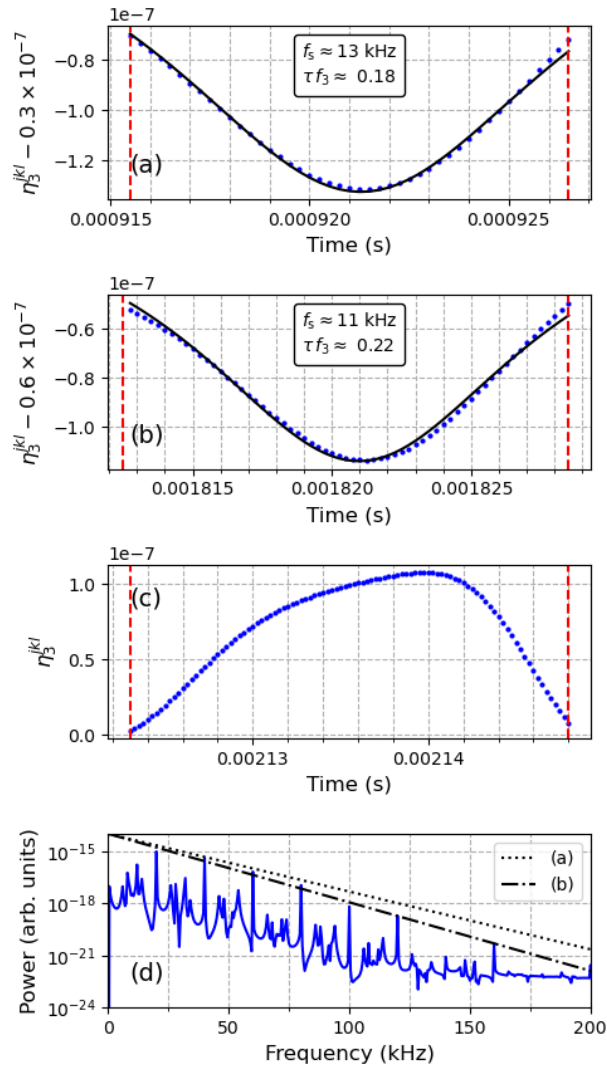
200 Figure 6 displays three additional pulse-type features in the same time series of  $\eta_3^{jkl}$  (Figs. 4 and 5a) from the time periods at (a)  $t \approx 0.00092$  s, (b)  $t \approx 0.00182$  s and (c)  $t \approx 0.00214$  s marked by the three rightmost pairs of red dashed vertical lines in Fig. 5a. In Fig. 6a the fitted Lorentzian has a width of  $\tau \approx 6.15 \times 10^{-6}$  s and  $f_s \approx 13$  kHz. In Fig. 6b the fitted Lorentzian pulse has  $\tau \approx 7.23 \times 10^{-6}$  s and  $f_s \approx 11$  kHz. Most of the pulse-type features in the time series in Fig. 5a have a skewed shape and only a few have a reasonably symmetric Lorentzian form. Figure 6c shows an example of a skewed pulse. A Lorentzian  
205 function cannot be reasonably fitted to the pulse. In Fig. 6d the same spectrum as in Fig. 5c is displayed but with the spectral slopes for the obtained  $f_s$  of the Lorentzian functions: in (a)  $f_s \approx 13$  kHz (dotted line) and in (b)  $f_s \approx 11$  kHz (dash-dotted line). The Lorentzian pulse widths are consistent with the slope of the spectrum. As different widths of Lorentzian pulses give different  $f_s$ , an observed exponential slope is associated with a temporal evolution containing predominantly Lorentzian pulses of approximately equal width, as for Fig. 5b ( $f_s \approx 9$  kHz), Fig. 6a ( $f_s \approx 13$  kHz) and Fig. 6b ( $f_s \approx 11$  kHz).

210 The width  $\tau$  of the approximately Lorentzian shaped pulses in the time series  $\eta_3^{jkl}$  at a given  $(x, y)$  depends on the amplitudes  $E_0$ ,  $A_1$ ,  $A_2$ ,  $A_{01}$  and  $A_{02}$ . Figure 7 displays a case with  $E_0 = 0.2$  V/m,  $A_{01} = A_{02} = 0.4$  V and other parameters as for Figs. 4 to 6 for which  $E_0 = 0.1$  V/m and  $A_{01} = A_{02} = 0.2$  V. Figure 7a shows the time series at  $(x, y) = (0.0, 0.1)$  m. The two pairs of red dashed lines at  $t \approx 0.00057$  s and  $t \approx 0.00077$  s indicate two examples of negative pulse-type signatures that have approximately Lorentzian shapes. In Fig. 7b the pulse (blue dots) at  $t \approx 0.00057$  s is displayed together with a fitted Lorentzian  
215 function (black line) with a width corresponding to  $f_s \approx 13$  kHz. In Fig. 7c the pulse (blue dots) at  $t \approx 0.00077$  s is shown with a fitted Lorentzian function (black line) with a width corresponding to  $f_s \approx 15$  kHz. The associated exponential slopes agree approximately with that of the power spectrum of the time series as seen in Fig. 7d. The pulse in Fig. 7c with  $f_s \approx 15$  kHz appears to have a slightly better fit to the spectrum. The obtained scaling frequencies of  $f_s \approx 13$  kHz and  $f_s \approx 15$  kHz are a few kilohertz higher than the those in Figs. 5 and 6 for which  $f_s \approx 9$ , 11 and 13 kHz. Stronger driving through  $E_0$ ,  $A_{01}$  and  
220  $A_{02}$  gives a larger drift,  $v_D = \mathbf{E}_D \times \mathbf{B}_g / B_g$ , and thereby more narrow pulses with larger  $f_s$ .

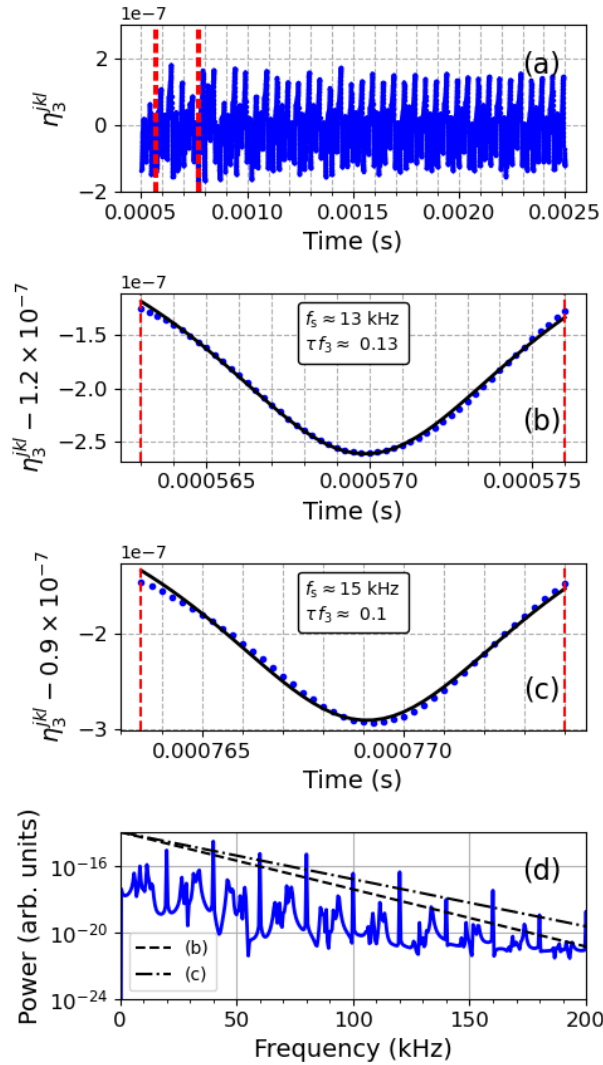
## 5 Discussion

Plasma drifts in multi-cell patterns may exhibit deterministic chaos due to topological modulations of the flow (e.g., Shi et al., 2009; Maggs and Morales, 2011, 2012). The topological modulations result in the formation of narrow temporal pulses of Lorentzian shape in the plasma flow. As the power spectrum of a Lorentzian pulse is exponential it follows that if the  
225 Lorentzian pulses in the time signal have approximately the same widths, its spectrum will be exponential. Exponential power spectra are an inherent characteristic of deterministic chaos.

The BUM feature in the spectrum of electromagnetic emissions stimulated by powerful radio waves in the ionosphere commonly exhibits an exponential high frequency flank, as shown in Fig. 1. The BUM has been attributed to parametric four-wave interaction involving the electromagnetic pump wave, electrostatic EB, UH and LH waves (Huang and Kuo, 1994), with  
230 matching conditions for the high frequency waves that is suggested by the empirical relation Eq. (3). In the theory, the UH oscillations at  $\omega_2 = \omega_0 + \omega_3$  are assumed to scatter off small scale density irregularities into electromagnetic emissions that can escape the ionosphere and be detected as the BUM on the ground. Whereas the initial theory by Huang and Kuo (1994)



**Figure 6.** Three examples of pulse-type features from the computed time series  $\eta_3^{jkl}$  (blue dots) in Figs. 4 and 5a and fits of a Lorentzian function (black lines). (a) Pulse at  $t \approx 0.00092$  s and fitted Lorentzian function with  $f_s \approx 13$  kHz ( $\eta_3^{jkl}$  was decreased by  $0.3 \times 10^{-7}$  to optimize the fit). (b) Pulse at  $t \approx 0.00182$  s and  $f_s \approx 11$  kHz ( $\eta_3^{jkl}$  was decreased by  $0.6 \times 10^{-7}$  to optimize the fit). (c) Pulse at  $t \approx 0.00214$  s. (d) The power spectrum (the same as in Fig. 5c) with the black lines showing the exponential slope  $P = 10^{-14} \exp(-f/f_s)$  for the pulses in (a) and (b).



**Figure 7.** Lorentzian pulse-type features in the computed time signal of  $\eta_3^{jkl}$  at  $(x, y) = (0.0, 0.1)$  m for  $E_0 = 0.2$  V/m,  $A_{01} = A_{02} = 0.4$  V and other parameter values as for Figs. 4 to 6. (a) Temporal evolution from  $t = 0.0005$  s to  $t = 0.0025$  s. Two pulses are indicated by the two pairs of red vertical dashed lines. (b) Pulse (blue dots) at  $t \approx 0.00057$  s and fitted Lorentzian function (solid black curve) of width  $\tau \approx 6.27 \times 10^{-6}$  s, which corresponds to  $f_s \approx 13$  kHz ( $\eta_3^{jkl}$  was decreased by  $1.2 \times 10^{-7}$  to optimize the fit). (c) Pulse (blue dots) at  $t \approx 0.00077$  s and fitted Lorentzian function (solid black curve) of width  $\tau \approx 5.25 \times 10^{-6}$  s, which corresponds to  $f_s \approx 15$  kHz ( $\eta_3^{jkl}$  was decreased by  $0.9 \times 10^{-7}$  to optimize the fit). (d) The power spectrum for the time series in (a). The black lines show the exponential slope  $P = 10^{-14} \exp(-f/f_s)$  for the  $f_s$  in (b) and (c).



considered waves in one spatial dimension, the present understanding is that on thermal time scales wave modes perpendicular to  $\mathbf{B}_g$  are localized inside density depletions of small scale striations (Gurevich et al., 1997; Mjølhus, 1997; Istomin and  
235 Leyser, 1998). In two-dimensional geometry perpendicular to  $\mathbf{B}_g$ , excited localized wave modes will have standing multi-cell oscillations inside the density depletions.

In the present treatment, results are presented of numerical simulations of relevant nonlinear wave processes. LH oscillations are modeled by Eqs. (11) and (14) in the plane perpendicular to  $\mathbf{B}_g$  and excited by nonlinear interactions of the pump, EB and UH modes. Specifically, the beating of the pump field with the EB and UH fields enter through the ponderomotive force  $\mathbf{F}$   
240 and the drift velocity  $\mathbf{v}_D$  in the advection terms in the equations. Figure 2 shows the initial condition for the electron density fluctuations  $\eta_3^{0kl}$ . With  $\eta_3^{0kl} \propto \Phi_D(t=0)$ , the  $\mathbf{E}_D \times \mathbf{B}_g$  drift occurs along equipotential lines around extrema in  $\eta_3^{jkl}$ . Thus, the direction of the  $\mathbf{E}_D \times \mathbf{B}_g$  drift changes from clockwise to anti-clockwise, and vice versa in adjacent extrema in  $\Phi_D$  and  $\eta_3^{jkl}$ . The resulting separatrices in the  $\mathbf{E}_D \times \mathbf{B}_g$  drift are illustrated by dashed lines in Fig. 2. Also, the drift changes direction with the change of sign of  $\mathbf{E}_D$  every half wave period  $T_3/2 = 1/(2f_3)$ .

245 Figure 3 displays the temporal evolution of the LH electron  $\eta_3^{jkl}$  (blue) and ion  $\eta_{i3}^{jkl}$  (red) density fluctuations at  $(x, y) = (0.0, 0.1)$  m for (a)  $E_0 = 0.001$  V/m and  $A_{01} = A_{02} = 0.002$  V and (b)  $E_0 = 0.1$  V/m and  $A_{01} = A_{02} = 0.2$  V, with  $f_3 = 20$  kHz and  $A_1 = A_2 = 0.2$  V in both cases. In Fig. 3a,  $\eta_3^{jkl}$  and  $\eta_{i3}^{jkl}$  oscillate at the LH resonance frequency of 7.6 kHz, which is lower than  $f_3$ . Because of the low  $E_0$ , the external driving through  $\mathbf{F}$  and  $\mathbf{v}_D$  at  $f_3$  is too weak to have a noticeable effect on the time dependence. However, in Fig. 3b the temporal evolution is different with pulse-type features occurring seemingly  
250 erratically and some of which are more narrow than the driving frequency at  $f_3$  indicated by the black curve in Fig. 3a.

Figure 4a, shows the electron  $\eta_3^{jkl}$  (blue) and ion  $\eta_{i3}^{jkl}$  (red) oscillations for the same parameter values as in Fig. 3b but for the longer time period between 0 s and 0.0025 s. As seen in Fig. 4b, the power spectrum of both  $\eta_3^{jkl}$  and  $\eta_{i3}^{jkl}$  has an approximately exponential slope. Figures. 5 and 6, which are for the same time period, show that some of the pulse-type features in the time series have close to a Lorentzian shape. The examples in Figs. 5 and 6 give for the fitted Lorentzian functions the scaling  
255 frequencies  $f_s \approx 9$  kHz, (Fig. 5b),  $f_s \approx 13$  kHz, (Fig. 6b) and  $f_s \approx 11$  kHz, (Fig. 6c), As the obtained  $f_s$  agree approximately with the slope of the spectrum it is concluded that the power spectra in Figs. 4b, 5b and 6d are determined by Lorentzian pulses due to chaotic dynamics and that the Lorentzian pulses have approximately the same widths. In the discussed model, with the frequency matching conditions in Eq. (15), the spectrum of LH oscillations ( $\omega_3$ ) is upshifted to the UH mode according to  $\omega_2 = \omega_0 + \omega_3$ . The UH oscillations could then scatter off density irregularities of the filamentary density striations into  
260 electromagnetic emissions that can be detected as the BUM in the SEE spectrum on the ground. It is therefore concluded that the experimentally observed exponential high frequency flank of the BUM emission (Fig. 1) is evidence of deterministic chaos in wave interactions along the lines in the present simulations.

The temporal evolution is chaotic for  $E_0 = 0.1$  V/m (Figs. 3b, 4, 5 and 6) but not for  $E_0 = 0.001$  V/m (Fig. 3a). This is evidence of that a threshold must be exceeded for chaotic time dependence. Because of temporal modulations in the multi-cell  
265 drift trajectories associated with  $\Phi_D$ , plasma may cross separatrices in the  $\mathbf{E}_D \times \mathbf{B}_g$  drift. Deterministic chaos seems to set in when the drift is fast enough for plasma to drift sufficiently far to cross a separatrix and move to drift around an adjacent potential extremum, before the potential changes sign every half wave period and the drift direction reverses.



Whereas some of the pulse-type features in the time series have an approximately Lorentzian form, most of them are asymmetric and the later pulse flank is generally steeper than the earlier flank. This asymmetry may indicate nonlinear steepening of the pulses. A careful look reveals that this is the case too for the reasonably symmetric Lorentzian pulses in Figs. 5 to 7. It is interesting that the skewness of a Lorentzian pulse does not affect its power spectrum (Maggs and Morales, 2011; Garcia and Theodorsen, 2018), so that asymmetric Lorentzian pulses contribute to an exponential power spectrum. However, it is not clear whether some of the skewed pulses observed in the present simulations can actually be considered skewed Lorentzians. This requires further investigations.

Finally, the scaling frequency  $f_s$  in the present model depends on  $E_0$ ,  $A_{01}$  and  $A_{02}$ . Figure 7 shows a case for  $E_0 = 0.2$  V/m,  $A_{01} = A_{02} = 0.4$  V and other parameter values as for Figs. 4 to 6. The obtained  $f_s$  for the fitted Lorentzian functions are typically a few kilohertz higher than for  $E_0 = 0.1$  V/m and  $A_{01} = A_{02} = 0.2$  V (Figs. 5 and 6). With increasing  $E_0$ ,  $f_s$  increases. However, experiments on pump power stepping at the Sura facility suggest that the slope of the BUM high frequency flank is independent of the pump power (Wagner et al., 1999, their figure 9). The maximum effective radiated power (ERP) was about 150 MW and the BUM flank was observed to have similar slope for the pump power levels  $-6$  dB,  $-3$  dB and  $0$  dB relative to the maximum ERP.

The present simulation results are not consistent with this experimental result. As seen from Fig. 7, for which  $f_s$  for the fitted Lorentzian functions in Figs 7b and 7c are a few kilohertz larger than in Figs. 5 and 6,  $f_s$  depends on  $E_0$ ,  $A_{01}$  and  $A_{02}$ . At this stage it may only be speculated on possible reasons for this discrepancy. In the present study only a single density depletion associated with a single small scale striation is considered. In reality many striations are excited together. Theories (Mjølhus, 1983; Gurevich et al., 1995; Hall and Leyser, 2003) and numerical computations (Eliasson and Leyser, 2015) show that striations are electromagnetically coupled to one another through the electromagnetic Z mode. The question arises whether for a sufficiently high pump power the nonlinear processes of oscillations localized inside a striation are nonlinearly saturated. Increasing the pump power may then only result in more striations to be excited. This could account for the higher BUM intensity at higher pump power but with  $f_{s1}$  that depends on the localized interactions independent of pump power. But this requires modeling the physics on a global scale with many striations and with nonlinear saturation for the involved oscillations amplitudes, which is beyond the scope of the present study.

## 6 Conclusions

The prominent BUM feature in the spectrum of electromagnetic emissions stimulated by powerful HF radio waves in the ionosphere, commonly has an exponential high-frequency flank for pump frequencies near an harmonic of the ionospheric electron gyro frequency. Exponential power spectra have been shown to be a characteristic of deterministic chaos. As the BUM has been interpreted in terms of parametric four-wave interaction involving the electromagnetic pump field, EB, UH and non-resonant LH modes (Huang and Kuo, 1994), a simplified two-fluid model of parametrically excited LH oscillations has been derived and studied by numerical simulations. The LH oscillations were taken to be localized in a cylindrical density depletion in the plane perpendicular to a homogeneous and static geomagnetic field. As such, they form cylindrical modes characterized



by the frequency, an azimuthal mode number and radial wave number. The localized LH modes are associated with multi-cell plasma drift patterns. For sufficiently strong driving fields, the time signal of the LH electron and ion density fluctuations at a fixed position in the simulation plane exhibit an approximately exponential power spectrum, thereby being evidence of deterministic chaos. The exponential spectrum is connected to pulse-type features of Lorentzian form in the time signal.

305 As the parameter values in the simulations are reasonable to the ionospheric experiments, it is proposed that the observed exponential flank of the BUM is the result of deterministic chaos in the LH dynamics. According to the model of parametric interaction for the BUM, the beating of the LH oscillations with the pump field shifts the LH spectrum to the UH mode at frequencies above the pump frequency where they could be converted to electromagnetic emissions and be observed on the ground. In view of the generality of the physics of deterministic chaos, it may be that similar processes can occur in  
310 other regions of space plasma, for example, in ionospheric single- or multi-cell convection that is topologically modulated by fluctuations in the geomagnetic field.

*Code availability.* The simulation code is not publicly available.

*Author contributions.* The author identified the research task, derived the theoretical model, developed and performed the numerical simulations, analyzed the results and wrote the paper.

315 *Competing interests.* The author declares that he has no competing interests.

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