Deterministic chaos in modulated multi-cell drifts of localized lower hybrid oscillations excited by high frequency waves in the ionosphere

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Abstract. The prominent broad upshifted maximum (BUM) feature in electromagnetic emissions stimulated by powerful high frequency radio waves in the ionosphere exhibits an exponential spectrum for pump frequencies near a harmonic of the ionospheric electron gyro frequency. Exponential power spectra is a characteristic of deterministic chaos. In the present treatment, a two-fluid model is derived for lower hybrid (LH) oscillations driven by parametric interaction of the electromagnetic pump

- 5 field, electron Bernstein mode and upper hybrid mode as previously proposed to interpret the BUM. In two dimensional geometry across the geomagnetic field, LH oscillations localized in cylindrical density depletions are associated with multi-cell plasma drift patterns. The numerical simulations show that topological modulations of the drift can give rise to approximately Lorentzian shaped pulses in the LH time signal. For parameter values typical of the ionospheric experiments, the exponential power spectrum of the Lorentzian pulses has a slope that is consistent with the slope of the BUM spectrum. The BUM spectral
- 10 structure is therefore attributed to deterministic chaos in LH dynamics.

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1 Introduction

Electromagnetic emissions excited by powerful high frequency (HF) electromagnetic waves transmitted into the ionosphere from the ground exhibit rich spectral structure that depends notably on the pump frequency f₀ and its relation to a multiple
s of the ionospheric electron gyro frequency f_e (Leyser, 2001). Figure 1 displays the most prominent spectral feature of the stimulated electromagnetic emissions (SEE), the so-called broad upshifted maximum (BUM) with its spectral maximum at f_{BUM} ≈ f₀ + 24 kHz. The high frequency flank of the BUM commonly exhibits an exponential power spectrum, with a constant slope in a semi-logarithmic plot. Also seen in Fig. 1 is a downshifted maximum (DM) at approximately f₀ − 10 kHz.

As first established in the fluid and nonlinear dynamics communities (Frisch and Morf, 1981; Greenside et al., 1982), 20 exponential power spectra are a characteristic of deterministic chaos. Research on magnetically confined laboratory plasma showed that the associated time evolution consists of intermittent narrow pulses of Lorentzian shape (Pace et al., 2008) that arise because of topological modulations in the plasma drift trajectories in vicinity of separatrices in the velocity field (Maggs



Figure 1. A BUM spectral feature observed in experiments at the Sura HF facility in Russia with $f_0 = 5.426$ MHz, $4f_e \approx 5.407$ MHz and $\Delta f_{BUM} \approx 24$ kHz (27 September 1998). Taken from Leyser (2021) where it is adapted from Carozzi et al. (2002).

and Morales, 2011, 2012). The topological modulations of a single-cell drift pattern can make pulses of plasma escape or enter the flow cell. In a multi-cell flow pattern the modulations can make plasma pulses cross separatrices between the cells to switch the flow cell. Numerical simulations of structures formed by a temperature filament in magnetically confined plasma showed that Lorentzian pulses can arise by the topological modulations of only two modes of coherent drift waves (Shi et al., 2009).

A Lorentzian pulse has the functional form (Pace et al., 2008; Hornung et al., 2011; Maggs and Morales, 2011):

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$$L(t) = \frac{A}{1 + (\frac{t - t_0}{\tau})^2}$$
(1)

where A is the amplitude of the pulse of width τ centered at time $t = t_0$. The Fourier transform of L(t) is $\hat{L}(\omega) = A\tau\pi e^{i\omega t_0} e^{-\omega\tau}$, 30 so that its power spectrum is

$$P(\omega) = A^2 \tau^2 \pi^2 \exp(-2\omega\tau) \tag{2}$$

A signal time series containing Lorentzian pulses of approximately equal widths τ will thus exhibit an exponential power spectrum $P(f) \propto \exp(-f/f_s)$ with a scaling frequency $f_s = 1/(4\pi\tau)$.

A simplified model of the $E \times B_g$ drift associated with lower hybrid (LH) oscillations localized in cylindrical geometry across the geomagnetic field B_g (E is the electric field of the LH oscillations) suggested that deterministic chaos could also be excited by HF radio waves in the ionosphere (Leyser, 2021). It was shown that the drift trajectories can be chaotic in the localized multi-cell standing wave pattern of the driving oscillations in the plane perpendicular to B_g . This dynamics exhibits an exponential power spectrum that is consistent with that of the BUM feature in the SEE spectrum.

The frequency of the BUM, f_{BUM} , follows the empirical relation (Leyser et al., 1989; Leyser, 2001)

$$40 \quad f_{\rm BUM} - f_0 \approx f_0 - sf_{\rm e} \tag{3}$$

where $s \ge 3$. This dependence suggests that the BUM is excited by a parametric four-wave interaction. Huang and Kuo (1994) developed a one-dimensional analytical model involving the electromagnetic pump wave with angular frequency and wave vector (ω_0 , \mathbf{k}_0), electron Bernstein (EB) waves ($\omega_1 \le s \omega_e, \mathbf{k}_1$), upper hybrid (UH) waves ($\omega_2 = 2\pi f_{\text{BUM}} \ge s \omega_e, \mathbf{k}_2$) and non-resonant LH oscillations (ω_3, \mathbf{k}_3). The matching conditions in their electrostatic approximation are $\omega_1 + \omega_3 = \omega_0 = \omega_2 - \omega_3$

- 45 and $k_1 + k_3 = 0 = k_2 k_3$. With this, $\omega_3 \ll \omega_1 \lesssim \omega_0 \lesssim \omega_2$ ($\omega_\alpha = 2\pi f_\alpha$ for $\alpha = 0, 1, 2, 3$). By assuming that the UH mode at $\omega_2 > \omega_0$ is converted to electromagnetic emissions by scattering off filamentary density striations, the emissions could propagate to the ground to be detected as the BUM in the SEE spectrum. The theoretical model was found to be consistent with experimental results and has been verified by numerical simulations of an electrostatic particle-in-cell model with one periodic space-dimension and three velocity-dimensions (Xi and Scales, 2001).
- In the present treatment a two-fluid model is presented of LH oscillations excited by the beating of an electromagnetic pump field with EB and UH oscillations assumed to be localized in a cylindrical density depletion in the plane perpendicular to B_g . It complements the study of parametric four-wave interaction by Huang and Kuo (1994) and focuses on the effects of an important nonlinear term for the LH dynamics and by considering two spatial dimensions. Further, the treatment expands on Leyser (2021) by including the physics of LH oscillations instead of only the associated $E \times B_g$ drift. Simulation results
- 55 are obtained with parameter values typical of those in electromagnetic pumping of ionospheric F region plasma and show deterministic chaos in the LH dynamics and exponential power spectra consistent with those observed for the BUM.

2 Theory

LH dynamics is described by a magnetized electron fluid and unmagnetized ion fluid. For simplicity, the electron and ion fluids are here taken to be cold, i.e., the electron and ion temperatures are set to zero. All electric fields and velocities are considered 60 to be in the x-y plane perpendicular to a static and homogeneous geomagnetic field $B_g = B_g \hat{z}$.

The electron density is taken to be $n_e = n_s + n_3 + n_1 + n_2 \equiv n_s + n_3 + n_h$, where n_s is the static background electron density and n_h contains the HF terms. The electron velocity is $v_e = v_0 + v_1 + v_2 + v_3 \equiv v_h + v_3$, where v_h contains the HF electron velocity terms. For reference, the quantities describing the four interacting wave modes are collected in Table 1. The force and charge continuity equations for v_3 and n_3 at the LH time scale are:

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$$m_{\rm e} \frac{\partial \boldsymbol{v}_3}{\partial t} = -e \, \boldsymbol{E}_3 - e \, \boldsymbol{v}_3 \times \boldsymbol{B}_{\rm g} - m_{\rm e} \langle (\boldsymbol{v}_{\rm h} \cdot \nabla_\perp) \boldsymbol{v}_{\rm h} \rangle - \nu_{\rm e} m_{\rm e} \boldsymbol{v}_3$$
 (4)

$$\frac{\partial n_3}{\partial t} + \nabla_\perp \cdot (n_{\rm e} \boldsymbol{v}_{\rm e}) = 0 \tag{5}$$

where ∇_⊥ ≡ (∂/∂x) x̂ + (∂/∂y) ŷ, x̂ and ŷ are unit vectors in the x and y directions, respectively, E₃ is the LH electric field, and ν_e is the electron collision frequency (m_e and -e are the electron mass and charge, respectively). The term F = 70 m_e ⟨(v_h·∇_⊥)v_h⟩ is the ponderomotive force describing the nonlinear low frequency effect of the HF waves on the electrons and the angular brackets denote averaging the enclosed quantities over the HF oscillations.

Table 1. Parameters for the four wave modes responsible for the Broad Upshifted Maximum (BUM)

Mode	Density	Velocity	Frequency	Conditions
Ambient	$n_{\rm s}$	$\mathbf{v}_{\mathrm{s}}=0$	$f_{\rm s} = 0$	Stationary
Electromagnetic	n_0	\mathbf{v}_0	f_0	Pump wave
Electron Bernstein (EB)	n_1	\mathbf{v}_1	f_1	$f_1 \lesssim s f_{ m e}$
Upper Hybrid (UH)	n_2	\mathbf{v}_2	f_2	$f_2 = f_{ m BUM} \gtrsim s f_{ m e}$
Lower Hybrid (LH)	n_3	\mathbf{v}_3	f_3	$f_3pprox f_0-f_1pprox f_2-f_0$
High Frequency (HF)	$n_{ m h}$	\mathbf{v}_{h}	f_0	$n_{\rm h} = n_0 + n_1 + n_2, \mathbf{v}_{\rm h} = \mathbf{v}_0 + \mathbf{v}_1 + \mathbf{v}_2$

Equation (5) gives at the LH time scale

$$\frac{\partial n_3}{\partial t} + (n_{\rm s} + n_3) \nabla_{\perp} \cdot \boldsymbol{v}_3 + \boldsymbol{v}_3 \cdot \nabla_{\perp} n_3 = -\nabla_{\perp} \cdot \langle n_{\rm h} \boldsymbol{v}_{\rm h} \rangle \tag{6}$$

The last (advection) term on the left-hand side is crucial to include the chaotic dynamics, but has commonly been neglected in

studies of nonlinear normal mode-coupling of parametric interactions. For simplicity, this term is not included self consistently. To investigate the effect of the advection term, v_3 is replaced by an externally provided drift velocity v_D . Equation (6) is further simplified by that $n_s \gg |n_3|$, neglecting the effect of static density inhomogeneity ($\nabla_{\perp} n_s = 0$), and with $\nabla_{\perp} \cdot \langle n_h v_h \rangle \approx 0$ (Istomin and Leyser, 1995), so that

$$\frac{\partial n_3}{\partial t} + n_{\rm s} \nabla_\perp \cdot \boldsymbol{v}_3 + \boldsymbol{v}_{\rm D} \cdot \nabla_\perp n_3 = 0 \tag{7}$$

By noting that the second term in the right-hand side of Eq. (4) is the largest, v_3 can be obtained by iteration (Istomin and Leyser, 1995), giving

$$\boldsymbol{v}_{3} = \frac{e}{m_{e}\omega_{e}} \left(\frac{1}{B_{g}} \boldsymbol{E}_{3} \times \boldsymbol{B}_{g} - \frac{1}{\omega_{e}} \frac{\partial \boldsymbol{E}_{3}}{\partial t} \right) - \frac{1}{m_{e}\omega_{e}} \left(\frac{1}{B_{g}} \boldsymbol{B}_{g} \times \boldsymbol{F} + \frac{1}{\omega_{e}} \frac{\partial \boldsymbol{F}}{\partial t} \right) - \frac{\nu_{e}}{\omega_{e}} \frac{1}{B_{g}} \boldsymbol{E}_{3}$$

$$(8)$$

85 where $\omega_e = 2\pi f_e = eB_g/m_e$ and the last term was included to account for collisional damping. The ponderomotive force is taken to be (Istomin and Leyser, 1995)

$$\mathbf{F} = \frac{1}{8} \frac{e^2}{m_{\rm e}} \frac{1}{(\omega_0 + \omega_e)^2} \nabla_\perp |E_{\rm h}|^2 \tag{9}$$

 $E_{\rm h} = E_0 + E_1 + E_2$ is the total HF electric field. For simplicity, an additional term that depends on the electron gyro harmonic *s* derived by Istomin and Leyser (1995) has been neglected.

90 Substituting Eq. (8) into Eq. (7) to eliminate v_3 gives

$$\frac{\partial n_3}{\partial t} = \frac{e n_{\rm s}}{m_{\rm e} \omega_{\rm e}^2} \left(\frac{\partial}{\partial t} + \nu_{\rm e} \right) \nabla_\perp \cdot \boldsymbol{E}_3 + \frac{n_{\rm s}}{m_{\rm e} \omega_{\rm e}^2} \frac{\partial}{\partial t} (\nabla_\perp \cdot \boldsymbol{F}) \tag{10}$$

With the Poisson equation $\varepsilon_0 \nabla_{\perp} \cdot E_3 = e(n_{i3} - n_3)$, an equation relating the electron density fluctuations n_3 to those of the ion density n_{i3} is obtained as (ε_0 is the vacuum permittivity)

$$\frac{\partial n_3}{\partial t} = \frac{\omega_{\rm p}^2}{\omega_{\rm uh}^2} \frac{\partial n_{\rm i3}}{\partial t} + \nu_{\rm e} \frac{\omega_{\rm p}^2}{\omega_{\rm uh}^2} (n_{\rm i3} - n_3) - \frac{\omega_{\rm e}^2}{\omega_{\rm uh}^2} \boldsymbol{v}_{\rm D} \cdot \nabla_{\perp} n_3 + \frac{n_{\rm s}}{m_{\rm e} \omega_{\rm uh}^2} \frac{\partial}{\partial t} (\nabla_{\perp} \cdot \boldsymbol{F})$$
(11)

95 where $\omega_{\rm uh}^2 = \omega_{\rm p}^2 + \omega_{\rm e}^2$ and $\omega_{\rm p}$ is the electron plasma frequency.

The force and charge continuity equations for the unmagnetized ion fluid are similarly,

$$m_{\rm i}\frac{\partial \boldsymbol{v}_{\rm i3}}{\partial t} = e\boldsymbol{E}_3 - \nu_{\rm i}m_{\rm i}\boldsymbol{v}_{\rm i3} \tag{12}$$

$$\frac{\partial n_{i3}}{\partial t} + (n_s + n_{i3}) \nabla_{\perp} \cdot \boldsymbol{v}_{i3} + \boldsymbol{v}_{\rm D} \cdot \nabla_{\perp} n_{i3} = 0$$
(13)

100 where ν_i is the ion collision frequency. Eliminating v_{i3} and using again the Poisson equation to eliminate E_3 results in

$$\left(\frac{\partial^2}{\partial t^2} + \omega_{\rm pi}^2 + \nu_{\rm i}\frac{\partial}{\partial t}\right)n_{\rm i3} = \omega_{\rm pi}^2n_3 - \frac{\partial\boldsymbol{v}_{\rm D}}{\partial t}\cdot\nabla_{\perp}n_{\rm i3} - \boldsymbol{v}_{\rm D}\cdot\nabla_{\perp}\frac{\partial n_{\rm i3}}{\partial t}$$
(14)

Equations (11) and (14) are a coupled set of equations for the electron and ion densities, n_3 and n_{i3} associated with the LH dynamics driven by the external fields through F and v_D .

In order to relate the electromagnetic pump, EB, UH and LH fields to one another through F and v_D , it is recalled that 105 the empirical relation Eq. (3) suggests that the BUM is excited by a parametric four-wave interaction. In two-dimensional cylindrical geometry the matching conditions are (Karplyuk et al., 1970; Leyser, 2021)

$$\omega_1 + \omega_3 = \omega_0 = \omega_2 - \omega_3 \tag{15}$$

$$m_1 + m_3 = m_0 = m_2 - m_3 \tag{16}$$

110 where m_{α} is the azimuthal mode number ($\alpha = 0, 1, 2, 3$). In cylindrical geometry there are no matching conditions on the radial wave numbers, $k_{r\alpha}$.

The ponderomotive force F depends on the HF fields $E_{\rm h}$. With the electric fields having the time dependence $E_{\alpha} \propto \cos(\omega_{\alpha} t)$, the following terms in F include components that can excite LH oscillations at ω_3 according to the matching condition Eq. (15):

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$$|E_{\rm h}|^2 = E_0 \cdot E_1 + E_0 \cdot E_2$$
 (17)

The pump field is taken to be left-handed circularly polarized (for which the electric field rotates opposite to the electron gyro motion),

$$\boldsymbol{E}_{0} = \frac{E_{0}}{\sqrt{2}} \left[\cos(\omega_{0}t) \hat{\boldsymbol{x}} - \sin(\omega_{0}t) \hat{\boldsymbol{y}} \right]$$
(18)

The EB ($\alpha = 1$) and UH ($\alpha = 2$) oscillations are taken to have the potential

$$120 \quad \Phi_{\alpha} = A_{\alpha} J_{m_{\alpha}}(k_{r\alpha}\rho) \cos(m_{\alpha}\varphi + \Delta\varphi_{\alpha}) \cos(\omega_{\alpha}t) \tag{19}$$

so that $E_{\alpha} = -\nabla_{\perp} \Phi_{\alpha}$, $J_{m_{\alpha}}$ is the Bessel function of the first kind, $\rho = (x^2 + y^2)^{1/2}$, φ is the azimuthal angle in the *x*-*y* plane and $\Delta \varphi_{\alpha}$ accounts for a possible phase shift between the EB and UH oscillations. With Eqs. (18) and (19) in Eq. (17), an expression for F in Eq. (9) is obtained.

The largest contribution to v_3 in Eq. (8) is the first term on the right-hand side, which is proportional to $E_3 \times B_g$. The drift velocity v_D , which has to be provided, is therefore taken to be $v_D = E_D \times B_g / B_g$, where E_D is associated with the beating of the HF fields that give contributions at ω_3 . For the present purpose, $E_D = -\nabla_{\perp} \Phi_D$,

$$\Phi_{\rm D} = [A_{01}J_{m_3}(k_{\rm r1}\rho)\cos(m_3\varphi) + A_{02}J_{m_3}(k_{\rm r2}\rho)\cos(m_3\varphi + \Delta\varphi_2)]\cos(\omega_3 t) e^{-\rho/L}$$
(20)

where A_{01} (A_{02}) is the potential that results from the beating at ω_3 of the pump field and EB (UH) oscillations, and is therefore proportional to the product of E_0 and the amplitude A_1 (A_2) of the EB (UH) oscillations [Eq. (19)]. But it is beyond the scope of the present treatment to derive a relation between them. The focus here is to study the possible influence of the externally

130 of the present treatment to derive a relation between them. The focus here is to study the possible influence of the externally provided F and v_D on LH dynamics. The last factor in Eq. (20) is used to model the localization of Φ_D to LH oscillations in a cylindrical density depletion, where L is the decay scale length of the potential outside the depletion.

3 Simulation scheme

Solutions to Eqs. (11) and (14) are computed numerically. Hereafter the dimensionless density variables, η₃ ≡ n₃(t, x, y)/n_s
and η_{i3} ≡ n_{i3}/n_s, will be used. Further, Eq. (14) is of the second order in the time derivative. In order to solve it numerically it is converted into two first order equations, by introducing η'_{i3} ≡ n'_{i3}/n_s). This gives the following set of three equations:

$$\frac{\partial \eta_3}{\partial t} = \frac{\omega_{\rm p}^2}{\omega_{\rm uh}^2} \eta_{\rm i3}' + (\eta_{\rm i3} - \eta_3) \nu_{\rm e} - \frac{\omega_{\rm e}^2}{\omega_{\rm uh}^2} \boldsymbol{v}_{\rm D} \cdot \nabla_\perp \eta_3 + \frac{1}{m_{\rm e} \omega_{\rm uh}^2} \frac{\partial}{\partial t} (\nabla_\perp \cdot \boldsymbol{F})$$
(21)

$$\frac{\partial \eta_{i3}'}{\partial t} = \omega_{\rm pi}^2 (\eta_3 - \eta_{i3}) - \nu_{\rm i} \eta_{i3}' - \frac{\partial \boldsymbol{v}_{\rm D}}{\partial t} \cdot \nabla_\perp \eta_{i3} - \boldsymbol{v}_{\rm D} \cdot \nabla_\perp \eta_{i3}'$$
(22)

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$$\frac{\partial \eta_{i3}}{\partial t} = \eta_{i3}^{\prime} \tag{23}$$

To solve Eqs.(21) to (23) numerically they are converted into a system of coupled algebraic equations by replacing η_3 , η_{i3} and η'_{i3} by corresponding grid functions that are discretized in time $t = t_j = j\Delta t$ (j = 0, 1, 2, ..., J) and on an equidistant spatial grid $x = x_k = k\Delta d$, $y = y_l = l\Delta d$ (k, l = 0, 1, 2, ..., M), so that $\eta_3(t_j, x_k, y_l) \approx \eta_3^{jkl}$, $\eta_{i3}(t_j, x_k, y_l) \approx \eta_{i3}^{jkl}$ and $\eta'_{i3}(t_j, x_k, y_l) \approx \eta_{i3}^{jkl}$ (Langtangen and Linge, 2017). The time derivatives of η_3 , η_{i3} and η'_{i3} are approximated with the forward Euler method for the finite differences, for example, $\partial \eta_3 / \partial t \approx (\eta_3^{(j+1)kl} - \eta_3^{jkl}) / \Delta t$. All spatial differences are computed at the time step j. Second-order spatial derivatives are approximated by centered differencing, for example, $\partial^2 E_{\alpha x} / \partial x^2 \approx (E_{\alpha x}^{j(k+1)l} - 2E_{\alpha x}^{jkl} + E_{\alpha x}^{j(k-1)l}) / \Delta x^2$. The first-order spatial derivatives of η_3 , η_{i3} and η'_{i3} in the advection terms need a different treatment and are approximated by, so-called, upwind differencing. For example, in the x-direction with η_3 , we take $\partial \eta_3 / \partial x \approx (\eta_3^{jkl} - \eta_3^{j(k-1)l}) / \Delta x$ when $v_{Dx} > 0$ and $\partial \eta_3 / \partial x \approx$ $(\eta_3^{(k+1)l} - \eta_3^{jkl}) / \Delta x$ when $v_{Dx} < 0$. The direction of the differencing is always against the direction of the drift.

The spatial grid is 4.0×4.0 m with $\Delta d \approx 0.025$ m. The fields are localized around the center of the grid (x, y) = (0, 0) m by multiplication of a factor $\exp(-\rho/L)$ with L = 0.4 m. All parameters are zero at the boundaries, $\eta_3^{j0l} = \eta_3^{jMl} = 0$ and $\eta_3^{jk0} = \eta_3^{jk0} = \eta_3^{jk0} = 0$, $\eta_{i3}^{jk0} = \eta_{i3}^{jk0} = 0$, $\eta_{i3}^{jk0} = \eta_{i3}^{jk0} = 0$ and $\eta_{i3}^{jk0} = \eta_{i3}^{jMl} = 0$ and $\eta_{i3}^{jk0} = \eta_{i3}^{jMl} = 0$ and $\eta_{i3}^{jk0} = \eta_{i3}^{jMl} = 0$.

155 The time step is $\Delta t = 2.5 \times 10^{-7}$ s. The initial conditions on the spatial grid are taken such that $\eta_3^{0kl} \propto \Phi_D(t=0)$ in Eq. (20):

$$\eta_3^{0kl} = \frac{N_3}{(A_{01}^2 + A_{02}^2)^{1/2}} \left(A_{01} J_{m_1}(k_{r_1} \rho_{kl}) \cos(m_1 \varphi_{kl}) + A_{02} J_{m_2}(k_{r_2} \rho_{kl}) \cos(m_2 \varphi_{kl} + \Delta \varphi_2) \right) e^{-\rho/L} \tag{24}$$

where A_{01} and A_{02} allow for different relative amplitudes of the EB and UH potentials. Further, $\eta_{i3}^{0kl} = (\omega_{uh}^2/\omega_p^2) \eta_3^{0kl}$ and $\eta_{i3\prime}^{0kl} = 0$.

160 4 Simulation results

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As an example, the azimuthal mode numbers of the interacting wave modes are taken to be $m_0 = 1$, $m_1 = -1$ and $m_2 = 3$ which by Eq. (16) gives $m_3 = 2$. In experiments, the transmitted electromagnetic pump wave is approximately a left-handed circularly polarized plane wave on the small spatial scale-lengths of interest here, propagating near parallel to B_g . However, its scattering on filamentary density depletions with much smaller spatial scale-lengths transverse to B_g than the electromagnetic

- 165 wavelength, can give an azimuthal component of the pump field (Istomin and Leyser, 2003). This is the motivation for why $m_0 = 1$ is taken here, which implies that $m_1 \neq m_2$ for $m_3 \neq 0$. Figure 2 shows the initial η_3^{0kl} as given by Eq. (24), in the center of the simulation plane perpendicular to B_g . The magnitude of $N_3 = 1.0 \times 10^{-7}$ is chosen such that F in the right-hand side of Eq. (21) has an effect on the temporal evolution for reasonable values of the external amplitudes E_0 , A_1 , A_2 A_{01} , and A_{02} .
- Figure 3 displays the computed electron and ion densities, η_3^{jkl} (blue) and η_{i3}^{jkl} (red), respectively, at (x, y) = (0.0, 0.1) m for (a) $E_0 = 0.001$ V/m and $A_{01} = A_{02} = 0.002$ V, and (b) $E_0 = 0.1$ V/m and $A_{01} = A_{02} = 0.2$ V, with $A_1 = A_2 = 0.2$ V in both cases. Parameter values typical of the ionospheric F region were used: $\omega_e \approx 2\pi \times 1.35 \times 10^6$ s⁻¹, as estimated from the data from which the spectrum in Fig. 1 was obtained, oxygen ions, $\omega_{uh} = s \omega_e$ with s = 4, $\nu_e = 500$ s⁻¹, $\nu_i = 5$ s⁻¹ and the electron temperature $T_e = 2000$ K. The frequencies of the involved wave modes are related by the matching condition
- 175 Eq. (15) where for the present treatment $\omega_1 = 4\omega_e \Delta\omega_1$, where $\Delta\omega_1 = 2\pi \times 10 \times 10^3 \text{ s}^{-1}$. By keeping ω_1 constant, k_{r1} is constant, while for the small value of $\Delta\omega_1$ we still have $\omega_0 \approx 4\omega_e$ for the different ω_3 to be discussed. In experiments, $\omega_0 \approx 4\omega_e$ commonly results in the exponential slope of the BUM spectral feature that is of interest here.



Figure 2. Initial relative electron density distribution η_3^{0kl} for the LH oscillations in the center of the 4 × 4-m simulation plane according to Eq. (24) ($N_3 = 1.0 \times 10^{-7}$, $A_{01} = A_{02} = 0.2$ V, $\Delta \varphi_2 = \pi/2$). The arrows indicate the direction of the $E_D \times B_g$ drift. The dashed lines delineate $\eta_3^{0kl} = 0$ which are separatrices for the drift. The white cross at (x, y) = (0.0, 0.1) m marks the position where the time signal and power spectrum is shown in the subsequent figures. The position is relatively near an initial separatrix of the drift.

In Fig. 3a the external driving due to E₀ is weak so that v_D and F in Eqs. (21) and (22) are small. The displayed oscillations are the LH resonance oscillations at about 7.6 kHz that are weakly perturbed by the beating of the HF fields at f₃ = 20 kHz in
180 v_D and F. For comparison, a sinusoidal oscillation at f₃ is shown in black. The oscillation frequency in Fig. 3a agrees with the theoretical value of the LH resonance frequency:

$$f_{\rm lh} = \frac{1}{2\pi} \frac{\omega_{\rm pi} \omega_{\rm e}}{\omega_{\rm uh}} = \left(\frac{m_{\rm e}}{m_{\rm i}}\right)^{1/2} \left(1 - \frac{1}{s^2}\right)^{1/2} f_{\rm e} \approx 7.6 \text{ kHz}$$
(25)

where ω_{pi} = (m_e/m_i)^{1/2}ω_p. The time step in the computations, Δt = 2.5 × 10⁻⁷ s, implies Δt f_{lh} ≈ 1.9 × 10⁻³. In Fig. 3b, E₀ is sufficiently strong so that the temporal evolution is instead determined by v_D and F. The temporal evolution contains
narrow pulses that are even shorter than the oscillations at the driving frequency f₃ illustrated in Fig. 3a.

Figure 4 displays the temporal evolution (a) of \$\eta_3^{jkl}\$ (blue) and \$\eta_{i3}^{jkl}\$ (red) and the corresponding power spectrum (b) for the longer time period from \$t = 0\$ s to \$t = 0.0025\$ s, and the same parameter values as for Fig. 3b. The power spectrum is approximately exponential as it has a constant slope in the semi-logarithmic plot. The narrow peaks at multiples of \$f_3 = 20\$ kHz enter through \$v_D\$ and \$F\$ in Eqs. (21) and (22). The width of the LH spectrum is about 160 kHz which is an order of magnitude larger than both \$f_{1h}\$ and \$f_3\$.



Figure 3. Temporal evolution of η_3^{jkl} and η_{i3}^{jkl} at k, l such that (x, y) = (0.0, 0.1) m from 0 s to 0.0005 s $(f_3 = 20 \text{ kHz}, \Delta \varphi_2 = \pi/2)$. (a) $E_0 = 0.001 \text{ V/m}, A_1 = A_2 = 0.2 \text{ V}$ and $A_{01} = A_{02} = 0.002 \text{ V}$. For comparison, shown in black is an oscillation $\sin(\omega_3 t)$ at the driving frequency f_3 . (b) $E_0 = 0.1 \text{ V/m}, A_{01} = A_{02} = 0.2 \text{ V}$ and other parameter values as for (a).



Figure 4. Temporal evolution (a) and power spectrum (b) of η_3^{jkl} (ble) and η_{i3}^{jkl} (red) at (x, y) = (0.0, 0.1) m for time between 0 s and 0.0025 s, and the same parameter values as for Fig. 3b.

In Figs. 5 and 6 some of the narrow pulses in the temporal evolution of η_3^{jkl} in Fig. 4a are investigated. Figure 5a shows η_3^{jkl} from t = 0.0005 s to t = 0.0025 s, which corresponds to a time scale from $0.0005 \times f_{lh} \approx 4$ to $0.0025 \times f_{lh} \approx 19$ wave periods of LH resonance oscillations. The time period excludes the initial overshoot behavior of η_3^{jkl} seen in Fig. 4a. The four pairs of vertical red dashed lines mark the time periods with pulse-type features discussed in Figs. 5b and 5c ($t \approx 0.00067$ s) and Fig. 6 ($t \approx 0.00092$, 0.00182 and 0.00214 s). Figure 5b displays an expanded time period marked by the vertical red

dashed lines at t ≈ 0.00067 s, which includes a single negative pulse-type feature in the time series (blue dots) together with a fitted Lorentzian function according to Eq. (1) (black curve). The width of the Lorentzian pulse is τ ≈ 8.90 × 10⁻⁶ s so that τf₃ ≈ 0.18 which implies that the temporal pulse is much shorter than the driving wave period (1/f₃). The corresponding scaling frequency is f_s = 1/(4πτ) ≈ 9 kHz. Figure 5c shows the power spectrum (blue) of the time series in Fig. 5a together
with P(f) ∝ exp(-f/f_s) (dashed black line). The width τ and corresponding scaling frequency f_s of the approximately Lorentzian shaped pulse in Fig. 5b corresponds roughly to the slope of the spectrum.

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Figure 6 displays three additional pulse-type features in the same time series of η₃^{jkl} (Figs. 4 and 5a) from the time periods at (a) t ≈ 0.00092 s, (b) t ≈ 0.00182 s and (c) t ≈ 0.00214 s marked by the three rightmost pairs of red dashed vertical lines in Fig. 5a. In Fig. 6a the fitted Lorentzian has a width of τ ≈ 6.15 × 10⁻⁶ s and f_s ≈ 13 kHz. In Fig. 6b the fitted Lorentzian pulse has τ ≈ 7.23 × 10⁻⁶ s and f_s ≈ 11 kHz. Most of the pulse-type features in the time series in Fig. 5a have a skewed shape and only a few have a reasonably symmetric Lorentzian form. Figure 6c shows an example of a skewed pulse. A Lorentzian function cannot be reasonably fitted to the pulse. In Fig. 6d the same spectrum as in Fig. 5c is displayed but with the spectral slopes for the obtained f_s of the Lorentzian functions: in (a) f_s ≈ 13 kHz (dotted line) and in (b) f_s ≈ 11 kHz (dash-dotted line). The Lorentzian pulse widths are consistent with the slope of the spectrum. As different widths of Lorentzian pulses give

210 different f_s , an observed exponential slope is associated with a temporal evolution containing predominantly Lorentzian pulses of approximately equal width, as for Fig. 5b ($f_s \approx 9 \text{ kHz}$), Fig. 6a ($f_s \approx 13 \text{ kHz}$) and Fig. 6b ($f_s \approx 11 \text{ kHz}$).

The width τ of the approximately Lorentzian shaped pulses in the time series η_3^{jkl} at a given (x, y) depends on the amplitudes E_0, A_1, A_2, A_{01} and A_{02} . Figure 7 displays a case with $E_0 = 0.2 \text{ V/m}$, $A_{01} = A_{02} = 0.4 \text{ V}$ and other parameters as for Figs. 4 to 6 for which $E_0 = 0.1 \text{ V/m}$ and $A_{01} = A_{02} = 0.2 \text{ V}$. Figure 7a shows the time series at (x, y) = (0.0, 0.1) m. The two pairs of red dashed lines at $t \approx 0.00057 \text{ s}$ and $t \approx 0.00077 \text{ s}$ indicate two examples of negative pulse-type signatures that have

- approximately Lorentzian shapes. In Fig. 7b the pulse (blue dots) at $t \approx 0.00057$ s is displayed together with a fitted Lorentzian function (black line) with a width corresponding to $f_s \approx 13$ kHz. In Fig. 7c the pulse (blue dots) at $t \approx 0.00077$ s is shown with a fitted Lorentzian function (black line) with a width corresponding to $f_s \approx 15$ kHz, The associated exponential slopes agree approximately with that of the power spectrum of the time series as seen in Fig. 7d. The pulse in Fig. 7c with $f_s \approx 15$
- 220 kHz appears to have a slightly better fit to the spectrum. The obtained scaling frequencies of $f_s \approx 13$ kHz and $f_s \approx 15$ kHz are a few kilohertz higher than those in Figs. 5 and 6 for which $f_s \approx 9$, 11 and 13 kHz. Stronger driving through E_0 , A_{01} and A_{02} gives a larger drift, $v_D = E_D \times B_g / B_g$, and thereby narrower pulses with larger f_s .



Figure 5. Fit of a Lorentzian function to a single pulse-type feature in the signal of η_3^{jkl} for the same time series as in Fig. 4 ($f_3 = 20$ kHz and $E_0 = 0.1$ V/m). (a) Temporal evolution from t = 0.0005 s to t = 0.0025 s and (b) for a single pulse (blue dots). The time period for the single pulse is marked by the leftmost pair of red vertical dashed lines at $t \approx 0.0067$ s in (a). The three remaining pairs of vertical dashed lines indicate the time periods discussed in Fig. 6. The solid black curve in (b) is a fitted Lorentzian pulse of width $\tau \approx 8.90 \times 10^{-6}$ s which corresponds to $f_s \approx 9$ kHz. (c) The power spectrum for the time series in (a). The dashed line shows the exponential slope $P = 10^{-6} \exp(-f/f_s)$.



Figure 6. Three examples of pulse-type features from the computed time series η_3^{jkl} (blue dots) in Figs. 4 and 5a and fits of a Lorentzian function (black lines). (a) Pulse at $t \approx 0.00092$ s and fitted Lorentzian function with $f_s \approx 13$ kHz (η_3^{jkl} was decreased by 0.3×10^{-7} to optimize the fit). (b) Pulse at $t \approx 0.00182$ s and $f_s \approx 11$ kHz (η_3^{jkl} was decreased by 0.6×10^{-7} to optimize the fit). (c) Pulse at $t \approx 0.00214$ s. (d) The power spectrum (the same as in Fig. 5c) with the black lines showing the exponential slope $P = 10^{-14} \exp(-f/f_s)$ for the pulses in (a) and (b).



Figure 7. Lorentzian pulse-type features in the computed time signal of η_3^{jkl} at (x, y) = (0.0, 0.1) m for $E_0 = 0.2$ V/m, $A_{01} = A_{02} = 0.4$ V and other parameter values as for Figs. 4 to 6. (a) Temporal evolution from t = 0.0005 s to t = 0.0025 s. Two pulses are indicated by the two pairs of red vertical dashed lines. (b) Pulse (blue dots) at $t \approx 0.00057$ s and fitted Lorentzian function (solid black curve) of width $\tau \approx 6.27 \times 10^{-6}$ s, which corresponds to $f_s \approx 13$ kHz (η_3^{jkl} was decreased by 1.2×10^{-7} to optimize the fit). (c) Pulse (blue dots) at $t \approx 0.00077$ s and fitted Lorentzian function (solid black curve) of width $\tau \approx 5.25 \times 10^{-6}$ s, which corresponds to $f_s \approx 15$ kHz (η_3^{jkl} was decreased by 0.9×10^{-7} to optimize the fit). (d) The power spectrum for the time series in (a). The black lines show the exponential slope $P = 10^{-14} \exp(-f/f_s)$ for the f_s in (b) and (c).

5 Discussion

- Plasma drifts in multi-cell patterns may exhibit deterministic chaos due to topological modulations of the flow (e.g., Shi et al., 2009; Maggs and Morales, 2011, 2012). The topological modulations result in the formation of narrow temporal pulses 225 of Lorentzian shape in the plasma flow. As the power spectrum of a Lorentzian pulse is exponential it follows that if the Lorentzian pulses in the time signal have approximately the same widths, its spectrum will be exponential. Exponential power spectra are an inherent characteristic of deterministic chaos.
- The BUM feature in the spectrum of electromagnetic emissions stimulated by powerful radio waves in the ionosphere 230 commonly exhibits an exponential high frequency flank, as shown in Fig. 1. The BUM has been attributed to parametric fourwave interaction involving the electromagnetic pump wave, electrostatic EB, UH and LH waves (Huang and Kuo, 1994), with matching conditions for the high frequency waves that is suggested by the empirical relation Eq. (3). In the theory, the UH oscillations at $\omega_2 = \omega_0 + \omega_3$ are assumed to scatter off small scale density irregularities into electromagnetic emissions that can escape the ionosphere and be detected as the BUM on the ground. Whereas the initial theory by Huang and Kuo (1994) 235 considered waves in one spatial dimension, the present understanding is that on thermal time scales wave modes perpendicular to B_g are localized inside density depletions of small scale striations (Gurevich et al., 1997; Mjølhus, 1997; Istomin and Leyser, 1998). In two-dimensional geometry perpendicular to B_{g} , excited localized wave modes will have standing multi-cell

oscillations inside the density depletions.

In the present treatment, results are presented of numerical simulations of relevant nonlinear wave processes. LH oscillations 240 are modeled by Eqs. (11) and (14) in the plane perpendicular to B_g and excited by nonlinear interactions of the pump, EB and UH modes. Specifically, the beating of the pump field with the EB and UH fields enter through the ponderomotive force Fand the drift velocity $v_{\rm D}$ in the advection terms in the equations. Figure 2 shows the initial condition for the electron density fluctuations η_3^{0kl} . With $\eta_3^{0kl} \propto \Phi_{\rm D}(t=0)$, the $E_{\rm D} \times B_{\rm g}$ drift occurs along equipotential lines around extrema in η_3^{jkl} . Thus, the direction of the $E_{\rm D} \times B_{\rm g}$ drift changes from clockwise to anti-clockwise, and vice versa in adjacent extrema in $\Phi_{\rm D}$ and η_3^{jkl} . The resulting separatrices in the $E_{\rm D} \times B_{\rm g}$ drift are illustrated by dashed lines in Fig. 2. Also, the drift changes direction 245 with the change of sign of E_D every half wave period $T_3/2 = 1/(2f_3)$.

Figure 3 displays the temporal evolution of the LH electron η_3^{jkl} (blue) and ion η_{i3}^{jkl} (red) density fluctuations at (x,y) =(0.0, 0.1) m for (a) $E_0 = 0.001$ V/m and $A_{01} = A_{02} = 0.002$ V and (b) $E_0 = 0.1$ V/m and $A_{01} = A_{02} = 0.2$ V, with $f_3 = 20$ kHz and $A_1 = A_2 = 0.2$ V in both cases. In Fig. 3a, η_3^{jkl} and η_{i3}^{jkl} oscillate at the LH resonance frequency of 7.6 kHz, which is lower than f_3 . Because of the low E_0 , the external driving through F and v_D at f_3 is too weak to have a noticeable effect 250 on the time dependence. However, in Fig. 3b the temporal evolution is different with pulse-type features occurring seemingly erratically and some of which are narrower than the driving frequency at f_3 indicated by the black curve in Fig. 3a.

Figure 4a, shows the electron η_3^{jkl} (blue) and ion η_{i3}^{jkl} (red) oscillations for the same parameter values as in Fig. 3b but for the longer time period between 0 s and 0.0025 s. As seen in Fig. 4b, the power spectrum of both η_3^{jkl} and η_{i3}^{jkl} has an approximately exponential slope. Figures, 5 and 6, which are for the same time period, show that some of the pulse-type features in the time series have close to a Lorentzian shape. The examples in Figs. 5 and 6 give for the fitted Lorentzian functions the scaling

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frequencies $f_s \approx 9$ kHz, (Fig. 5b), $f_s \approx 13$ kHz, (Fig. 6b) and $f_s \approx 11$ kHz, (Fig. 6c), As the obtained f_s agree approximately with the slope of the spectrum it is concluded that the power spectra in Figs. 4b, 5b and 6d are determined by Lorentzian pulses due to chaotic dynamics and that the Lorentzian pulses have approximately the same widths. In the discussed model, with

- 260 the frequency matching conditions in Eq. (15), the spectrum of LH oscillations (ω_3) is upshifted to the UH mode according to $\omega_2 = \omega_0 + \omega_3$. The UH oscillations could then scatter off density irregularities of the filamentary density striations into electromagnetic emissions that can be detected as the BUM in the SEE spectrum on the ground. It is therefore concluded that the experimentally observed exponential high frequency flank of the BUM emission (Fig. 1) is evidence of deterministic chaos in wave interactions along the lines in the present simulations.
- The temporal evolution is chaotic for $E_0 = 0.1$ V/m (Figs. 3b, 4, 5 and 6) but not for $E_0 = 0.001$ V/m (Fig. 3a). This is evidence that a threshold must be exceeded for chaotic time dependence. Because of temporal modulations in the multi-cell drift trajectories associated with Φ_D , plasma may cross separatrices in the $E_D \times B_g$ drift. Deterministic chaos seems to set in when the drift is fast enough for plasma to drift sufficiently far to cross a separatrix and move to drift around an adjacent potential extremum, before the potential changes sign every half wave period and the drift direction reverses.
- 270 Whereas some of the pulse-type features in the time series have an approximately Lorentzian form, most of them are asymmetric and the later pulse flank is generally steeper than the earlier flank. This asymmetry may indicate nonlinear steepening of the pulses. A careful look reveals that this is the case too for the reasonably symmetric Lorentzian pulses in Figs. 5 to 7. It is interesting that the skewness of a Lorentzian pulse does not affect its power spectrum (Maggs and Morales, 2011; Garcia and Theodorsen, 2018), so that asymmetric Lorentzian pulses contribute to an exponential power spectrum. However, it is not clear
- 275 whether some of the skewed pulses observed in the present simulations can actually be considered as skewed Lorentzians. This requires further investigations.

Finally, the scaling frequency f_s in the present model depends on E_0 , A_{01} and A_{02} . Figure 7 shows a case for $E_0 = 0.2$ V/m, $A_{01} = A_{02} = 0.4$ V and other parameter values as for Figs. 4 to 6. The obtained f_s for the fitted Lorentzian functions are typically a few kilohertz higher than for $E_0 = 0.1$ V/m and $A_{01} = A_{02} = 0.2$ V (Figs. 5 and 6). With increasing E_0 , f_s increases. However, experiments on pump power stepping at the Sura facility suggest that the slope of the BUM high frequency flank is independent of the pump power (Wagner et al., 1999, their figure 9). The maximum effective radiated power (ERP)

was about 150 MW and the BUM flank was observed to have similar slopes for the pump power levels -6 dB, -3 dB and 0 dB relative to the maximum ERP.

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- The present simulation results are not consistent with this experimental result. As seen from Fig. 7, for which f_s for the fitted Lorentzian functions in Figs 7b and 7c are a few kilohertz larger than in Figs. 5 and 6, f_s depends on E_0 , A_{01} and A_{02} . At this stage it may only be speculated on possible reasons for this discrepancy. In the present study only a single density depletion associated with a single small scale striation is considered. In reality many striations are excited simultaneously. Theories (Mjølhus, 1983; Gurevich et al., 1995; Hall and Leyser, 2003) and numerical computations (Eliasson and Leyser, 2015) show that striations are electromagnetically coupled to one another through the electromagnetic Z mode. The question arises whether,
- 290 for sufficiently high pump powers, the nonlinear processes of oscillations localized inside a striation are nonlinearly saturated. Increasing the pump power may then only result in more striations to be excited. This could account for the higher BUM

intensity at higher pump power but with f_{sl} that depends on the localized interactions independent of pump power. But this requires modeling the physics on a global scale with many striations and with nonlinear saturation for the involved oscillations amplitudes, which is beyond the scope of the present study.

295 6 Conclusions

The prominent BUM feature in the spectrum of electromagnetic emissions stimulated by powerful HF radio waves in the ionosphere, commonly has an exponential high-frequency flank for pump frequencies near a harmonic of the ionospheric electron gyro frequency. Exponential power spectra have been shown to be a characteristic of deterministic chaos. As the BUM has been interpreted in terms of parametric four-wave interaction involving the electromagnetic pump field, EB, UH and non-resonant LH modes (Huang and Kuo, 1994), a simplified two-fluid model of parametrically excited LH oscillations has been derived and studied by numerical simulations. The LH oscillations were taken to be localized in a cylindrical density depletion in the plane perpendicular to a homogeneous and static geomagnetic field. As such, they form cylindrical modes characterized

by the frequency, an azimuthal mode number and radial wave number. The localized LH modes are associated with multi-cell plasma drift patterns. For sufficiently strong driving fields, the time signal of the LH electron and ion density fluctuations

at a fixed position in the simulation plane exhibit an approximately exponential power spectrum, thereby being evidence of

deterministic chaos. The exponential spectrum is connected to pulse-type features of Lorentzian form in the time signal.

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As the parameter values in the simulations are reasonable to the ionospheric experiments, it is proposed that the observed exponential flank of the BUM is the result of deterministic chaos in the LH dynamics. According to the model of parametric interaction for the BUM, the beating of the LH oscillations with the pump field shifts the LH spectrum to the UH mode at frequencies above the pump frequency where they could be converted to electromagnetic emissions and be observed on the pump field shifts the the terministic reasonable to the result of deterministic terministic chaos in the pump field shifts the LH spectrum to the UH mode at frequencies above the pump frequency where they could be converted to electromagnetic emissions and be observed on

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the ground. In view of the generality of the physics of deterministic chaos, it may be that similar processes can occur in other regions of space plasma, for example, in ionospheric single- or multi-cell convection that is topologically modulated by fluctuations in the geomagnetic field.

Code availability. The simulation code is not publicly available.

315 *Author contributions.* The author identified the research task, derived the theoretical model, developed and performed the numerical simulations, analyzed the results and wrote the paper.

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