



1                   **Parallel electric fields produced by the ionospheric injection**

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Office Geophysik, Ogoori, 838-0141, Japan  
Osuke Saka  
saka.o@nifty.com

10   **Abstract**

11 It is well known that there exists a thin layer in lower boundary of the ionosphere between  
12 altitudes of 80 km and 140 km in which collisional ions and collisionless electrons mix. Local  
13 breakdown of charge neutrality may be initiated in this layer by electric fields from the  
14 magnetosphere as well as by electric fields generated there by the local neutral winds. The  
15 breakdown may be momentarily canceled by the Pedersen currents, but a complete  
16 neutralization is prevented because some ionospheric plasmas are released as outflows by  
17 parallel electric fields. Those parallel electric fields are produced by inherent plasma  
18 processes in the polar ionosphere and act as auroral drivers in the topside ionosphere.

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21   **1. Introduction**

22 The closure of field-aligned currents (FAC) transmits the Poynting flux of the fields and  
23 particle momentum to the Earth's ionosphere, which in turn generates parallel electric fields  
24 for particle precipitation [Block, 1977]. These parallel electric fields associated with the FAC  
25 contribute to auroral radiance as well as the outflow of atmospheric ions [Seki et al., 2003;  
26 Moore and Holwitz, 2007; Engwall, 2009; Strangeway et al., 2010; Birn et al., 2012]. Vortical  
27 plasma motions in the equatorial plane, such as that associated with transient enhancement  
28 of magnetospheric convection and fast earthward flows (BBF) from the magnetotail, provide  
29 a source of FAC in the magnetosphere [Kan et al., 1982; Birn et al., 2004]. The ionosphere  
30 generally contains currents supplied from the magnetosphere; current continuity in the  
31 ionosphere via the FAC requires constitution of the electrostatic potential in the ionosphere,  
32 which in turn produces currents in the ionosphere via electric fields [Kamide and Matsushita,  
33 1979].

34           In contrast, the ionosphere has the capability to produce electrostatic potential itself  
35 through differences in the mobility of collisional ions and collisionless electrons once the  
36 electric fields are transmitted along field lines into the auroral zone from the magnetosphere



37 or produced there by the local neutral winds. In the E region, these electric fields may  
38 accumulate collisionless electrons in one direction, while collisional ions cannot follow them.  
39 The electrostatic potential thus produced in the E region generates parallel potentials to  
40 sustain original potential structures. In this scenario, Poynting fluxes propagated along the  
41 field lines would finally dissipate in the ionosphere by driving the ionospheric Pedersen  
42 currents closed through the FACs. The present scenario fits the MI-coupling caused by the  
43 parallel electric fields along the field lines, while the FACs are not the primary component of  
44 the coupling. The proposed new scenario referred to as ionospheric injection is summarized  
45 in Sect. 2. Summary and discussion are presented In Sect. 3.

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## 48 **2. Ionospheric injection**

49 We consider cases where negative potential regions are produced by the local breakdown  
50 of the charge neutrality. The breakdown occurred in a thin layer located at boundaries  
51 between mesosphere and thermosphere where collisional ions and collisionless electrons  
52 mix [Rishbeth and Garriot, 1969]. The thin layer distributes at altitude of 140 km to 80 km  
53 and is referred to as ionosphere hereafter. Negative charges in the negative potential regions  
54 yield vertical component of electric fields (downward) above the ionosphere. If the vertical  
55 fields were of the order of  $100\mu V / m$ , the force associated with the electric fields and the  
56 force arising from the magnetic mirror force on the hot electrons ( $T_e = 1keV$ ) are comparable.  
57 The downward electric fields displace the mirror point of the hot plasmas (electrons) supplied  
58 from the tail to higher altitudes. Ions do not change their pitch angle because ions that moved  
59 mirror point to lower altitudes enter the loss cone. Following Persson (1963), we assume that  
60 disagreed angular distributions of ions and electrons at each point produce space charges  
61 in the flux tube and integration of them along the field lines generates parallel electric fields.

62 Distribution of the pitch angle along the field lines can be expressed using constant of  
63 the motion,

$$64 \quad \mu = \frac{m_q}{2B} v^2 \sin^2 \alpha .$$

65 Here,  $\alpha$  denotes pitch angle at the magnetic field  $B$ , and  $m_q v^2 / 2$  is kinetic energy of  
66 particle  $q$  conserved along the field lines. Substituting  $B_R$  at the mirror height ( $\sin^2 \alpha = 1$ ),  
67 altitude profiles of the pitch angle above the reflection point can be given as,

$$68 \quad \sin^2 \alpha = \frac{B}{B_R} .$$



69 Figure 1 shows altitude profile of  $\sin^2 \alpha$  along  $L=6$  of the dipole fields from the ionosphere  
 70 to 10,520 km above it. The black line denotes  $\sin^2 \alpha$  for ions and the red line is for electrons.  
 71 It is assumed that electron mirror height ( $\sin^2 \alpha = 1$ ) moves to 1,226 km above the  
 72 ionosphere ( $X=0.54$  Re) where field magnitude is 60% of that at the ionospheric altitudes,  
 73 while ions did not change their mirror height at the ionosphere ( $X=0.42$  Re). Disagreed pitch  
 74 angle distributions between electrons and ions along the field lines result in discrepancies in  
 75 the number densities of electrons and ions at each point. The number density difference  
 76 reaches a maximum when populations in the loss cone are empty. When the loss cone  
 77 contains full populations, density difference becomes null.

78 The number densities of hot plasmas are calculated substituting isotropic Maxwellian  
 79 distribution of temperature  $T_q$ ,

$$80 \quad f_{trap}(v_{//}, v_{\perp}) = \left( \frac{m_q}{2\pi k T_q} \right)^{3/2} \exp\left( -\frac{m_q}{2k T_q} (v_{//}^2 + v_{\perp}^2) \right) \text{ out of the loss cone, and}$$

$$81 \quad f_{loss}(v_{//}, v_{\perp}) = \alpha f_{trap}(v_{//}, v_{\perp}) \text{ in the loss cone}$$

82 into

$$83 \quad \frac{n_q}{n_0} = 2\pi \int [f_{trap}(v_{//}, v_{\perp}) + f_{loss}(v_{//}, v_{\perp})] v_{\perp} dv_{\perp} dv_{//}.$$

84 Here,  $q$  is applicable to either electrons or ions. Note that  $\alpha < 1$  to reduce populations in  
 85 the loss cone.

86 We choose two cases; phase space density in loss cone is reduced to half of the trapped  
 87 ones ( $\alpha = 0.5$ ) and empty ( $\alpha = 0$ ).

88 Normalized density difference,  $(n_i - n_e) / n_0$ , associated with pitch angle curves in Figure 1  
 89 is shown in Figure 2, where loss cone is assumed to be empty ( $\alpha = 0$ ). When we choose  
 90  $\alpha = 0.5$ , normalized density difference shown in Figure 2 was reduced to half.

91 In the one-dimensional model, parallel electric fields at  $s_1$  are calculated by integrating the  
 92 density difference along field lines  $s$ ,

$$93 \quad E_{//}(s_1) = \int_{s_0}^{s_1} \frac{e(n_i - n_e)}{\epsilon_0} ds.$$

94 Here  $s_0$  denotes ionospheric altitude where integration starts. These are plotted in Figure  
 95 3 starting from the ionosphere (0 km) to a point 10,520 km. To plot profiles of the parallel  
 96 electric fields in Figure 3, geometrical factor ( $\sqrt{B_{s_1}/B_{s_0}}$ ) was multiplied to  $E_{//}(s_1)$  for  
 97 adjusting the diverging geometry of the dipole configuration.  $E_{//}(s_1)$  is linearly proportional



98 to the background hot plasma density supplied from the tail. In this plot, hot plasma density  
99  $n_0 = 10^{-1} m^{-3}$  was assumed. Two cases are plotted in Figure 3: (A) hot plasma populations  
100 empty in the loss cone and (B) population in the loss cone filled with half of the trapped  
101 plasma density. In each figure, new mirror points of hot electrons are (a) 1,226 km, (b) 240  
102 km, and (c) 119 km above the ionosphere where the field magnitudes were 60%, 90%, and  
103 95% of those at the ionosphere, respectively. Note that the electric field intensity in Figure  
104 3(B) was reduced to half for all cases in Figure 3(A). These are non-vanishing parallel electric  
105 fields along the field lines created by the discrepant pitch angle distributions of ions and  
106 electrons at each point [Persson, 1963]. The parallel electric fields started from the  
107 ionosphere have a peak at 2,000 - 3,000 km and decrease monotonically with altitudes  
108 because of the diverging effect of the flux tubes. Figure 4 depicts electrostatic potential  
109 profiles obtained by integrating parallel electric fields of (a), (b), and (c) in Figure 3(A) along  
110 field lines.

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### 113 **3. Discussion and Summary**

114 It is shown that breakdown of charge neutrality in the polar ionosphere resulted in  
115 disagreement of angular distributions of ion and electron velocities which in turn generated  
116 parallel electric fields above the ionosphere with peak amplitudes at 3,000 km. Those electric  
117 fields decreased monotonically to the equatorial plane. Normally, the local breakdown would  
118 be triggered by the transverse electric fields transmitted from the magnetosphere during the  
119 dipolarization onset. We can suggest that ionospheric injection may excite substorm auroras  
120 in polar ionosphere.

121 We also consider the cases where breakdown of the charge neutrality was initiated in  
122 the polar ionosphere by the neutral winds. If wind channel was localized along  $x$  between  $x_1$   
123 to  $x_2$ , neutral wind generates positive charge in leading edge ( $x_2$ ) and negative charge in  
124 trailing edge ( $x_1$ ); the  $E \times B$  drift ( $E$  is polarization electric fields in the wind channel) generates  
125 negative charge to one side and positive to the other side of the wind channel. Auroras are  
126 produced at the negative charge region as spiral auroras. If wind velocity is weaker than  $E \times B$   
127 drift, breakdown of the charge neutrality may not happen because polarization drift of ions  
128 suppressed the charging up of the ionosphere. Wind velocities of the order of  $10^3 m/s$  are  
129 necessary to produce substorm auroras by the neutral wind. This scenario may be  
130 reminiscent of auroras among gas planets in the solar system such as weather-driven  
131 auroras in Saturn [Chowdhury et al., 2021].

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134 **4. Data availability**

135 No data sets were used in this article.

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138 **5. Competing interest**

139 The author declares that there is no conflict of interest.

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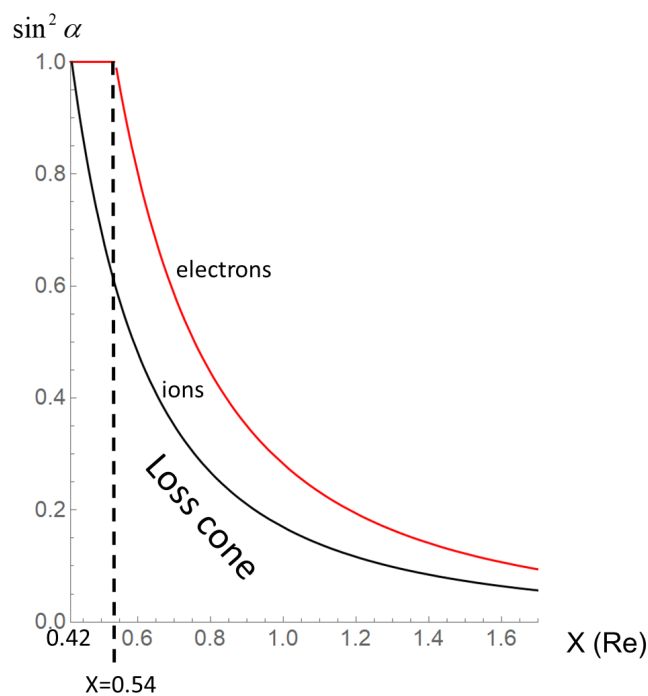


Figure 1

203 Altitude profiles of  $\sin^2 \alpha = B/B_R$  for electrons (red) and ions (black).  $X$  (Re) denotes  
204 equatorial projection of the altitudes, from the ionosphere ( $X=0.42$  Re) to 10,520 km above  
205 it ( $X=1.70$  Re).  $B_R$  represents field magnitudes of mirror point. Mirror point for ions is at the  
206 ionosphere. Electrons moved their mirror point to higher altitudes at 1,226 km above the  
207 ionosphere ( $X=0.54$  Re). In loss cone, plasmas are empty or filled with reduced populations  
208 (see text).

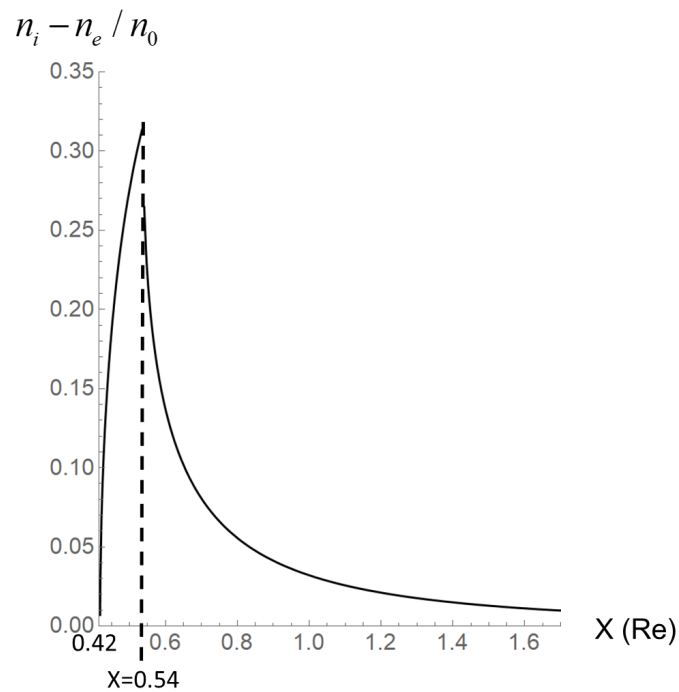


Figure 2

- 209 Difference of number density  $n_i - n_e$  normalized by  $n_0$ . Number densities of ions ( $n_i$ ) and  
210 electrons ( $n_e$ ) are calculated by integrating Maxwellian distribution over velocity space.  
211 Plasma density in loss cone is empty. The plot along L=6 started from the ionosphere  
212 ( $X=0.42$  Re) to 10,520km above it ( $X=1.70$  Re). Real density is given by multiplying  $n_0$ .  
213 Density gap at  $X=0.54$  Re is caused by discontinuous change of  $\sin^2 \alpha$ .



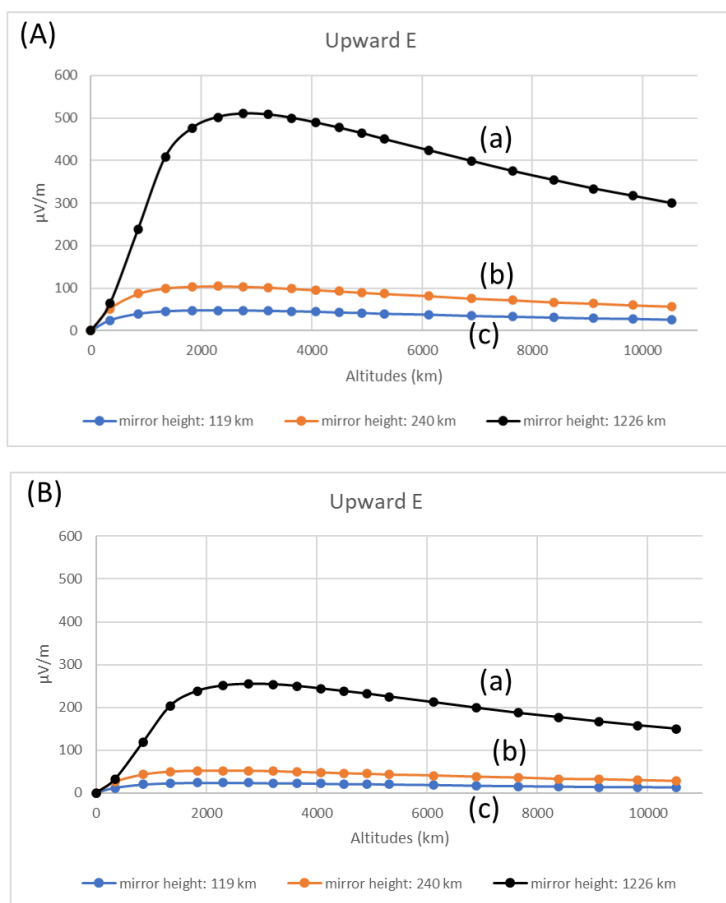


Figure 3

214 Altitude profiles of parallel electric fields  $E_{||}$  (upward,  $\mu\text{V}/\text{m}$ ) along field lines. Horizontal  
 215 axis is altitudes in km of the field lines along  $L=6$ . Note that the ionosphere is at 0 km. (A)  
 216 plasma density in loss cone is empty. (B) density is reduced in loss cone to 50% of the  
 217 trapped populations. New mirror point was (a) 1,226 km, (b) 240 km, and (c) 119 km above  
 218 the ionosphere for (A) and (B).

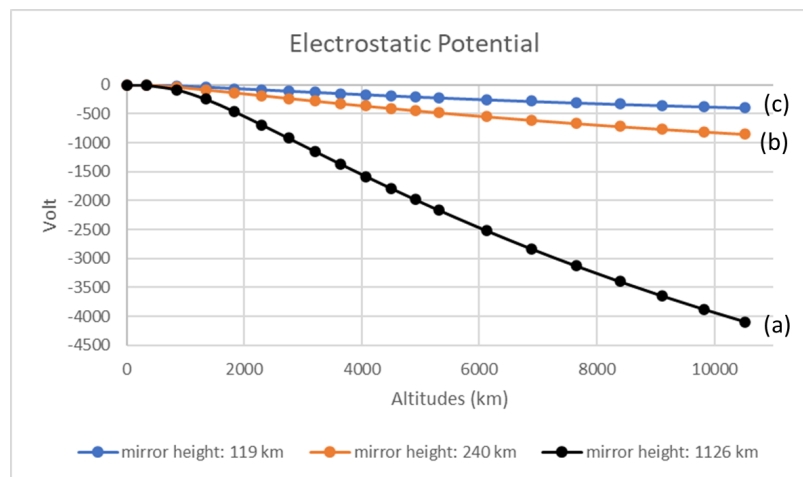


Figure 4

219 Altitude profiles of electrostatic potentials (Volt) of flux tubes from the ionosphere to 10,520  
220 km. Parallel electric fields marked (a), (b), and (c) in Figure 3(A) were integrated along field  
221 lines to plot potential profiles (a), (b), and (c) in the figure, respectively. Electrostatic potential  
222 is assumed to be zero at the ionosphere.