



1 **Determination of Tropical Belt Widening Using Multiple GNSS Radio Occultation** 2 **Measurements**

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9 **Abstract**

10 In the last decades, Global navigation satellite systems (GNSS) have provided an exceptional
11 opportunity to retrieve atmospheric parameters globally through GNSS radio occultation (GNSS-
12 RO). In this paper, data of 12 GNSS-RO missions from June 2001 to November 2020 with high
13 resolution were used to investigate the possible widening of the tropical belt along with the
14 probable drivers and impacts in both hemispheres. Applying both lapse rate tropopause (LRT) and
15 cold point tropopause (CPT) definitions, the global tropopause height shows increase of
16 approximately 36 m/decade and 60 m/decade, respectively. Moreover, the tropical edge latitude
17 (TEL) estimated based on two tropopause height metrics, in the northern hemisphere (NH) and
18 southern hemisphere (SH), are different from each other. For the first metric, subjective method,
19 the tropical width from GNSS has expansion behavior in NH with $\sim 0.41^\circ/\text{decade}$ and a minor
20 expansion in SH with $\sim 0.08^\circ/\text{decade}$. In case of ECMWF Reanalysis v5 (ERA5) there is no
21 significant contraction in both NH and SH. For Atmospheric Infrared Sounder (AIRS), there are
22 expansion behavior in NH with $\sim 0.34^\circ/\text{decade}$ and strong contraction in SH with $\sim -0.48^\circ/\text{decade}$.
23 Using the second metric, objective method, the tropical width from GNSS has expansion in NH
24 with $\sim 0.13^\circ/\text{decade}$, and no significant expansion in SH. In case of ERA5, there is no significant
25 signal in NH while SH has a minor contraction. AIRS has an expansion with $\sim 0.13^\circ/\text{decade}$ in
26 NH, and strong contraction in SH with $\sim -0.37^\circ/\text{decade}$. The variability of tropopause parameters
27 (temperature and height) is maximum around the TEL locations at both hemispheres. The total
28 column ozone (TCO) shows increasing rates globally, and the rate of increase at the SH is higher
29 than that of the NH. There is a good agreement between the spatial and temporal patterns of TCO
30 variability and the TEL location estimated from GNSS LRT height. Carbon dioxide (CO₂), and
31 Methane (CH₄), the most important greenhouse gases (GHGs) and the main drivers of global
32 warming, have a global increasing rate and the increasing rate of the NH is similar to that of the
33 SH. The spatial pattern in the NH is located more pole ward than its equivalent at the SH. Both
34 surface temperature and precipitation increase in time and have strong correlation with GNSS LRT
35 height. Both show higher increasing rates at the NH, while the precipitation at the SH has slight
36 decrease and the surface temperature increases. The surface temperature shows a spatial pattern
37 with strong variability, which broadly agrees with the TEL locations. The spatial pattern of
38 precipitation shows northward occurrence. In addition, Standardized Precipitation
39 Evapotranspiration Index (SPEI) has no direct connection with the TEL behavior.

40 **Keywords:** GNSS-RO, Tropopause, Tropical belt, climate change.



41 1. Introduction

42 Several studies have reported a widening of the tropics in observations, model simulations and
43 reanalyses. This expansion may lead to profound changes in the global climate system, even a
44 minor expansion of the tropical belt would have significant implications because the shift of the
45 jet streams and subtropical dry zones toward poles have direct effects on weather and precipitation
46 patterns. The widening of the tropical belt is largely considered to be a response to global warming
47 caused by increased GHGs concentrations (Davis and Rosenlof, 2012; Davis and Birner, 2013;
48 Staten et al., 2018; Grise et al., 2019; Watt-Meyer et al., 2019). The reported widening rates range
49 from 0.25° to 2.0° latitude/decade and their statistical significance vary by large amount based on
50 the metrics used to estimate the TEL as well as the data sets utilized for its derivation. In addition,
51 the used metrics may respond in different ways to the force driving the widening because of their
52 differing physics (Davis and Rosenlof, 2012).

53 In astronomy and cartography, the edges of the tropical belt are the Tropics of Cancer and
54 Capricorn, at latitudes of ~23.5° north and south, where the Sun is directly overhead at solstice.
55 They are determined by the tilt of the Earth's axis of rotation relative to the planet's orbital plane,
56 and their location varies slowly, predictably and very slightly by about 2.5° latitude over 40,000
57 years (Gnanadesikan and Stouffer, 2006). In climatology, tropics edges vary seasonally,
58 interannually, and in response to climate forcing. They move poleward in the summer and
59 equatorward in the winter (Davis and Birner, 2013). There are several indicators that define the
60 boundaries of the tropical belt. Generally, three main classes of metrics are employed to estimate
61 the tropical belt borders: circulation-based metrics (e.g., based on the Hadley cells and the
62 subtropical jets), temperature-based metrics (e.g., based on tropopause characteristics), and surface
63 climate metrics (e.g., based on precipitation and surface winds) (Waliser et al., 1999). The common
64 metrics used for TEL determination are discussed in details in (Staten et al., 2018; Adam et al.,
65 2018). TELs estimated applying different metrics not all necessarily yield the same location. Their
66 positions vary by much larger amounts and much more rapidly and unpredictably than the
67 astronomically defined tropics (Lee and Kim, 2003).

68 Study of tropical belt widening is a challenging task due to the complexity and dynamics
69 of the Earth's atmospheric system and the data limitations. These limitations are the low spatial
70 resolution of RS data as it only covers land and its distribution is not symmetrical in both
71 hemispheres. For the satellite remote sensing technologies and model analyses both suffer from
72 low vertical resolution. Furthermore, reanalyses trends can be biased to reflect changes in both the
73 quality as well as the quantity of the underlying data and the expansion rates computed from
74 different reanalyses were considerably different (Schmidt et al., 2004; Ao and Hajj, 2013).
75 Nowadays, Global navigation satellite systems (GNSS) have provided an exceptional opportunity
76 to retrieve land surface and atmospheric parameters globally (e.g., Jin and Park, 2006; Jin and
77 Zhang, 2016; Wu and Jin, 2014; Jin et al., 2011, 2017), particularly space-borne GNSS Radio
78 Occultation (GNSS-RO) because GNSS-RO has long-term stability and works in all-weather-
79 conditions, which make it a powerful tool for studying climate variability. Since GNSS-RO has
80 uniform global coverage, it covers all locations even at the polar regions and oceans, which are
81 blind zones of other detection systems such as RS and radar. Moreover, GNSS-RO observations



82 vertically finer resolved than any of the existing satellite temperature measurements available for
83 the upper-troposphere lower-stratosphere (UTLS) thus GNSS-RO is well suited for this challenge.
84 Moreover, it is a key component for a broad range of other studies, including equatorial waves,
85 Kelvin waves, gravity waves, Rossby and mixed Rossby–gravity waves, and thermal tides (Bai et
86 al., 2020; Scherllin-Pirscher et al., 2021). A number of studies confirmed the feasibility and
87 excellent eligibility of GNSS-RO measurements for monitoring the atmosphere and for climate
88 change detection (Foelsche et al., 2009; Steiner et al., 2011).

89 Nowadays, GNSS-RO is a valuable remote sounding technique for the atmosphere. During
90 the GNSS-RO event, the GNSS satellite transmit signals that are received onboard a LEO satellite.
91 Due to the atmospheric refractivity, these signals suffer time delay and bending. The atmosphere
92 excess propagation (AEP) is the main observable, and can be calculated with millimeters accuracy,
93 providing high quality and global observations (Wickert et al., 2001a). For instance, the AEP
94 estimate is the base to extract the profiles of bending angle, refractivity, and temperature (Wickert
95 et al., 2004; Xia et al., 2017). The GNSS-RO technique was firstly performed within the US
96 GPS/METEorology experiment for the period from 1995 to 1997 (Kursinski et al., 1997). Also, it
97 is continuously applied aboard various low earth orbiting (LEO) satellite missions since 2001.
98 “These missions are Challenging Mini-satellite Payload (CHAMP) (Wickert et al., 2004; Wickert
99 et al., 2001b); Gravity Recovery and Climate Experiment (GRACE) and Gravity Recovery and
100 Climate Experiment Follow-on (GRACE-FO) (Wickert et al., 2009); Scientific Application
101 Satellite-C/D (SAC-C/D) (Hajj et al., 2004); TerraSAR-X; TanDEM-X; Constellation Observing
102 System for Meteorology, Ionosphere, and Climate (COSMIC/COSMIC-2, also known as
103 FORMOSAT-3/FO RMOSAT-7); the Meteorological Operational satellite Programme-A/B/C
104 (MetOp-A/B/C); FengYun-3C/D (FY-3C/D) (Sun, 2018); Communications/Navigation Outage
105 Forecasting System(C/NOFS); Korea Multi-Purpose Satellite-5 (KOMPSAT-5); the Indian Space
106 Research Organization spacecraft Ocean Satellite-2 (OceanSat-2); and Spanish PAZ (peace in
107 Spanish). A few missions were retired, such as COSMIC-1, GRACE, CHAMP, and SAC-C/D,
108 and some missions are completed by the end of 2020, such as FY-3C, TanDEM-X/TerraSAR-X,
109 KOMPSAT-5, OceanSat-2, and C/NOFS. More missions are planned for the future like MetOp
110 Second Generation (MetOp-SG), FengYun-3E/F/G/H (FY-3E/F/G/H), TerraSAR-X Next
111 Generation (TSX-NG), Jason Continuity of Service-A/B (JASON-CS-A/B”, also known as
112 Sentinel 6A/6B), and Meteor-MP N1/N2. By 2025, the planned missions will provide around
113 14,700 RO profiles daily (Jin et al., 2013; Oscar, 2020)”.

114 In recent years, monitoring the tropopause has received an increased attention for climate
115 change studies. Many studies signified the tropopause rise as a result of the troposphere warming
116 caused by the increase of the GHGs emissions in the atmosphere (Meng et al., 2021; Pisoft et al.,
117 2021). The tropopause characteristics are important for the understanding of the exchange of
118 troposphere-stratosphere (Holton et al., 1995). In addition, the chemical, dynamical, and radiative
119 connections between the troposphere and stratosphere are crucial to understand and predict climate
120 change worldwide. Exchanges of water, mass, and gases between the troposphere and stratosphere
121 occurs through the tropopause. Several studies have investigated the tropopause over the tropics
122 using different data types, and have revealed the problem of the TEL shift (Ao and Hajj, 2013;
123 Tegtmeier et al., 2020; Kedzierski et al., 2020). GNSS-RO provided high accuracy remote sensing



124 observations of the thermal structure of the tropopause and was used to investigate the trend and
125 variability of the tropopause (Son et al., 2011). Among the most outstanding advantages of GNSS-
126 RO are their high accuracy of 0.2–0.5 K in estimating temperature in the UTLS region and vertical
127 resolution of 200 m. These advantages make GNSS-RO especially appropriate to detect the
128 possible tropical belt widening based on the height metrics of the tropopause (Kursinski et al.,
129 1997; Ho et al., 2012). Using tropopause metrics for TEL determination have many advantages
130 because they can be accurately estimated from remotely sensed temperature profiles with sufficient
131 vertical resolution, such as GNSS-RO profiles (Davis and Birner, 2013; Seidel and Randel, 2006).

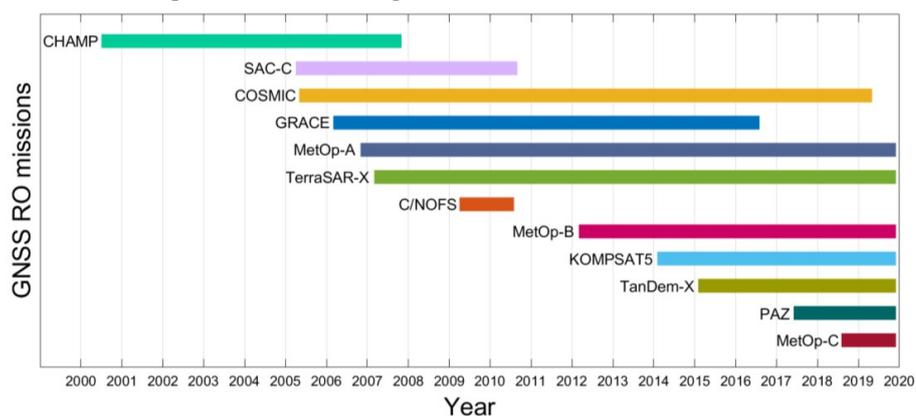
132 In this study, we investigate the TEL variability from different sources, mainly GNSS-RO data. In
133 Section 2, a description of the GNSS-RO data and other data sets used in our study is presented.
134 In addition, the methods to derive TEL and the data analysis are presented. Section 3 describes
135 and discusses the results of the analysis, and our conclusion and summary are given in Section 4.

136 2. Data and Methods

137 2.1. Data

138 In this study we employ the following data sets:

- 139 • The main data used in this study is GNSS-RO atmospheric profiles data from 12 LEO
140 missions from June 2001 to November 2020. The data (CDAAC, 2021) is available at the
141 COSMIC Data Analysis and Archive Center (CDAAC). The GNSS-RO data availability
142 and its time span are shown in Figure 1.



151 **Fig. 1** GNSS-RO data used in this study.

- 152 • ERA5 is the fifth generation ECMWF reanalysis for the global climate and weather.
153 Monthly averaged temperature data on pressure levels from ERA5 that provides global
154 coverage for the period from Jun.2001 to Nov.2020 are used to calculate the LRT
155 tropopause height and temperature. The horizontal resolution of the ERA5 data is $0.25^\circ \times$
156 0.25° , while the vertical coverage covers from 1000 hPa to 1 hPa, with a vertical resolution
157 of 37 pressure levels (Hersbach et al., 2019a).
- 158 • The Atmospheric Infrared Sounder (AIRS) is the spectrometer onboard the second Earth
159 Observing System (EOS) polar-orbiting platform, Aqua. In combination with the



160 Advanced Microwave Sounding Unit (AMSU), AIRS constitutes an innovative
161 atmospheric sounding instrument with infrared and microwave sensors. LRT height and
162 temperature data provided by AIRS (AIRX3STM v7.0) are provided monthly and have
163 global coverage, with horizontal resolution of $1^\circ \times 1^\circ$ (Aumann et al., 2003; AIRS, 2019a).
164 In this study we use data for the period from September 2002 to November 2020. The data
165 is available at (AIRS, 2019a).

- 166 • The Modern-Era Retrospective analysis for Research and Applications version 2
167 (MERRA-2) provides total column ozone (TCO) at a global scale, monthly, and has a
168 spatial resolution of $0.5^\circ \times 0.625^\circ$. In this work, we use data from June 2001 to November
169 2020. The data is to be compared with the LRT height from GNSS-RO. In addition, TCO
170 can provide information about the tropics behavior and can help in emphasizing the GNSS-
171 RO outputs (GMAO, 2015).
- 172 • CarbonTracker is a CO₂ measurement and modeling system developed by NOAA Earth
173 System Research Laboratories (ESRL) to keep track of CO₂ sources and sinks throughout
174 the world. Monthly column average CO₂ data with a global coverage from June 2001 to
175 March 2019 is used in this study (Jacobson et al., 2020). The data has spatial resolution of
176 $2^\circ \times 3^\circ$. Here we use this data to study the behavior and trend of CO₂ which is the most
177 important GHG and the largest forcing component in climate change.
- 178 • AIRS provides monthly measurements of CH₄ at 24 pressure levels at a spatial resolution
179 of $1^\circ \times 1^\circ$ (AIRS, 2019b). We employ data from September 2002 to November 2020. CH₄
180 plays a crucial role in global warming as it is one of the main GHGs that drives long-term
181 climate change.
- 182 • Global monthly average surface temperature data from ERA5 reanalysis has horizontal
183 resolution of $0.25^\circ \times 0.25^\circ$ (Hersbach et al., 2019b). In this study we utilize data from June
184 2001 to November 2020. The purpose of using this data is to study the impacts of the
185 variability in the tropics on the global climatological parameters.
- 186 • Monthly average precipitation data is available from the Global Precipitation Climatology
187 Project (GPCP) at horizontal resolution of $2.5^\circ \times 2.5^\circ$ (Adler et al., 2016). We use data from
188 June 2001 to November 2020. The purpose of this data is to investigate the relation between
189 the tropical belt width and the corresponding precipitation pattern.
- 190 • Precipitation and Potential Evapotranspiration (PET): Global monthly average
191 precipitation and PET at horizontal resolution of $0.5^\circ \times 0.5^\circ$ are available from the Climatic
192 Research Unit (CRU) Time-Series (TS). This data is employed to compute the SPEI,
193 meteorological drought index. We utilize data from June 2001 to November 2020. The data
194 is available at (Harris et al., 2020). The SPEI drought index was calculated following the
195 indications of (Vicente-Serrano et al., 2010; Beguería et al., 2013).

196 2.2. Methods

197 The GNSS-RO temperature profiles with a uniform coverage worldwide have been used to
198 calculate the tropopause height and the tropopause temperature based on both tropopause
199 definitions LRT and CPT. According to the definition of World Meteorological Organization
200 (WMO) “The thermal LRT is defined as the lowest level at which the lapse rate decreases to
201 $2^\circ\text{C}/\text{km}$ or less, provided also the average lapse rate between this level and all higher levels within



202 2 km does not exceed $2^{\circ}\text{C}/\text{km}$ ” (WMO, 1957). While, the CPT is indicated by the minimum
203 temperature in a vertical profile of temperature (Holton et al., 1995). Here, in order to avoid
204 outliers, the tropopause height values of both definitions are limited between 6-20 km. The results
205 of LRT and CPT are subsequently gridded into $5^{\circ} \times 5^{\circ}$. Finally, the spatial and temporal variability
206 of all climatic parameters are investigated using the Principal Component Analysis (PCA)
207 technique (Calabia and Jin, 2016; Calabia and Jin, 2020). This technique provides a new set of
208 modes that provide the variance through a linear combination of the original variables, based on
209 Eigen Decomposition. The solution is a couple of matrices containing the eigenvalues and
210 corresponding eigenvectors of the initial dataset. Each eigenvector is regarded as a map, the
211 eigenvalues provide the percentage of the contribution to the total variability, and the temporal
212 coefficients are used to represent the maps at a given epoch. The first PCA mode has the largest
213 variance, and the following modes represent the next level of variance, which usually are a residual
214 variability. For this reason, since the variability of the variables used in this study are mainly driven
215 by the annual variation, we only employ the first PCA component for each case.

216 The locations of TEL are estimated from monthly zonal average of LRT height derived
217 from GNSS-RO, ERA5, and AIRS data. The ERA5 and AIRS tropopause parameters are
218 resampled at the same resolution of GNSS-RO. The zonal average LRT height is interpolated as a
219 function of latitude (Ao and Hajj, 2013), and the TEL is determined at each hemisphere using two
220 tropopause height metrics. The first method relies on subjective criterion, according to the first
221 method TEL defined as the latitude at which the LRT height falls 1.5 km under the tropical average
222 (15°S – 15°N) LRT height (Davis and Rosenlof, 2012). The second method is an objective criterion,
223 in which the TEL is defined as the latitude of maximum LRT height meridional poleward gradient
224 (Davis and Rosenlof, 2012). Moreover, the rate of expansion and/or contraction of the tropical belt
225 is estimated from both calculation methods, at each hemisphere, independently. In addition, the
226 trend and spatial-temporal variability of CO_2 and CH_4 , as important drivers of global warming and
227 as a result tropical widening, are investigated. Furthermore, the trend and spatial-temporal pattern
228 of TCO that give information about the tropical belt width and its patterns of variability is
229 investigated. Finally, we broadly examine the surface temperature, precipitation, and drought
230 trends as meteorological parameters which may have a changing behavior as a response to tropics
231 expansion.

232 3. Results and Analysis

233 3.1. Tropopause characteristics from GNSS-RO

234 Figure 2 shows the GNSS LRT and CPT parameters from June 2001 to November 2020. As shown
235 in Figure 2, the CPT height is always higher than that of LRT. The mean difference between them
236 is about 2.62 km, and there is no significant correlation 0.21. The LRT temperature is higher than
237 that of the CPT. The mean difference between them is about 4.02 k, and the correlation coefficient
238 is 0.4. Our results are consistent with previous studies that displayed a global increase of the
239 tropopause height from radiosonde observations (Seidel and Randel, 2006) and reanalysis (Santer
240 et al., 2004).



241 Our analysis shows global increasing trend of LRT height of 36 m/decade since 2001 and
242 this has good agreement with that of Schmidt et al (2008) which shown upward trend of global
243 LRT height of 39–66 m/decade. The LRT temperature show an increase of 0.09 k/decade. For the
244 LRT definition, the correlation coefficient between the LRT height and temperature is -0.78 . In
245 case of CPT definition, the global trend of CPT height has increased 60 m/decade since 2001, but
246 that of CPT temperature has decreased 0.09 k/decade. The correlation coefficient between the CPT
247 height and temperature is -0.82 .

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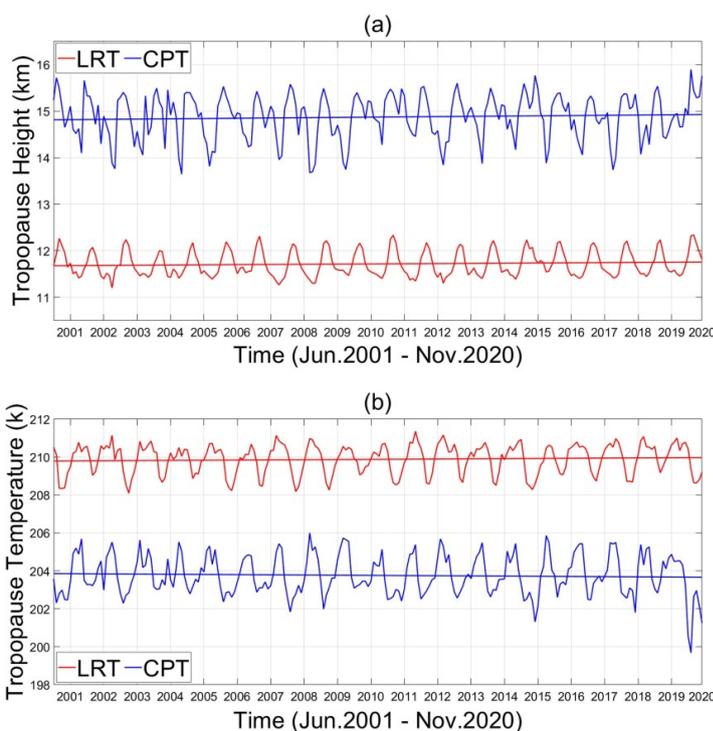
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263 **Fig.2** The LRT and CPT (a) height and (b) temperature is shown from 2001 to 2020.

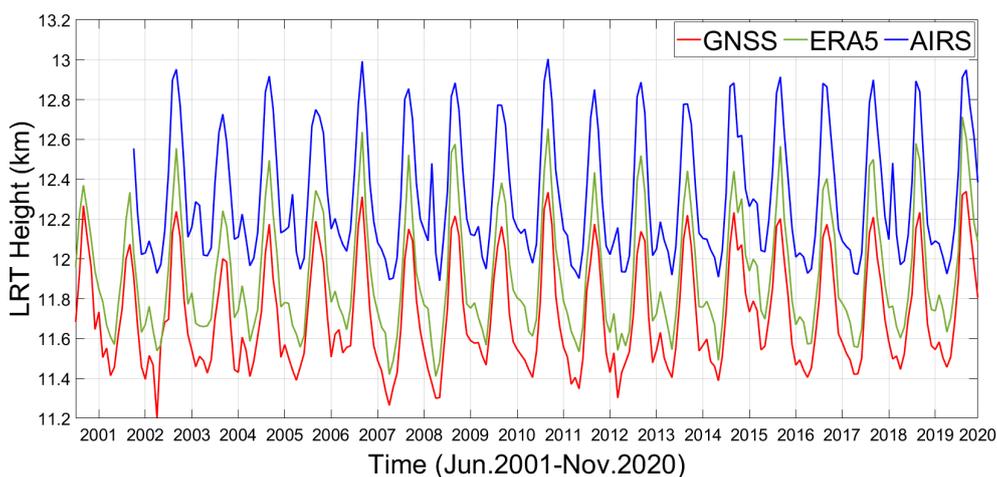
264 3.2. Comparison between GNSS, ERA5, and AIRS

265 In this study, TEL is estimated from the monthly zonal average tropopause height retrieved from
266 the LRT definition. This is done because the LRT represents the location of the point of thermal
267 transition between troposphere and stratosphere. Furthermore, it reacts to both tropospheric and
268 stratospheric temperature changes. Many studies (Seidel and Randel, 2006; Santer et al., 2004)
269 have shown that LRT height is a good indicator of climate change. Figure 3 shows the LRT height
270 values derived from GNSS, ERA5, and AIRS. In general, AIRS shows the highest values of LRT
271 height, while GNSS shows the lowest values. The trends show that ERA5 data has the highest
272 increasing rate of LRT height, being 48 m/decade since June, 2001. In contrast, AIRS has the
273 lowest rates for LRT height, showing an increase of 12 m/decade since September, 2002.



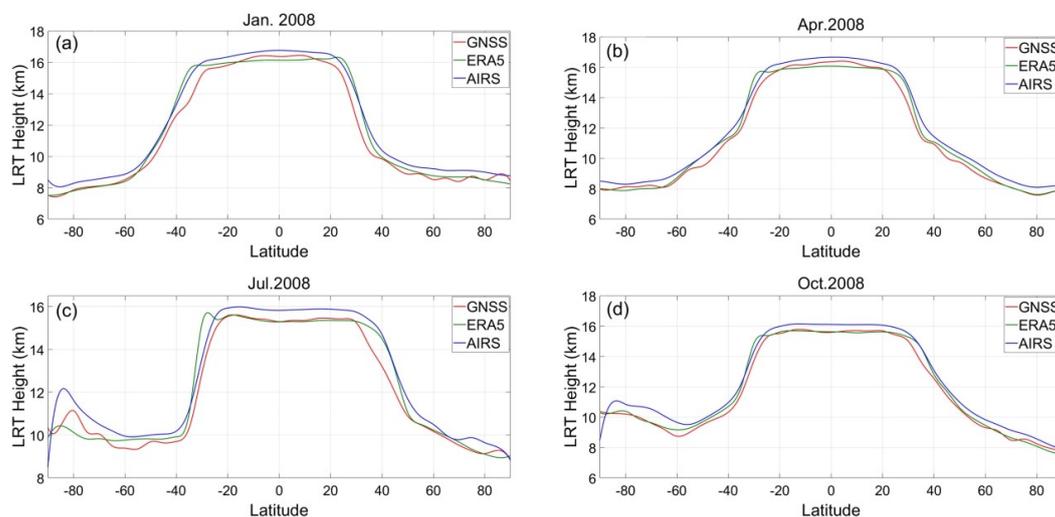
274 The zonal mean of LRT height for the 3 data sets during January, April, July, and October
275 of 2008 are shown in figure 4. In January 2008, the high LRT covered higher latitudes in SH than
276 in the NH. The opposite occurs in July. In April 2008, the high LRT covered similarly in both
277 hemispheres. In October, the area covered with high tropopause at NH is larger than that of SH,
278 but not as wide as the coverage in July. This suggest that the warmer the air the wider the area
279 covered with high tropopause. As stated in section 3, the TEL at NH and SH have been estimated
280 applying 2 tropopause height metrics. The results are discussed in detail in the followings.

281



282 **Fig. 3** LRT height from GNSS, ERA5, and AIRS.

283



284 **Fig. 4** Monthly zonal average LRT height from GNSS, ERA5 and AIRS.

285



286 3.2.1. Subjective Criterion for TEL

287 According to subjective criterion (Davis and Rosenlof, 2012), the TEL at each hemisphere is the
 288 latitude at which the tropopause height is 1.5 km under the tropical average tropopause height
 289 (15°S-15°N). As shown in figure 5 and table 1, the tropical belt based on GNSS has expanded
 290 0.41°/decade in the NH, and 0.08°/decade in the SH, since 2001. Using GNSS-RO data the tropical
 291 belt expansion trends in NH and SH agree to some extent with the results of Ao and Hajj (2013).
 292 According to Meng et al (2021) the highest trend of LRT height is covering latitudinal band 30°N
 293 to 40°N and this possibly caused by the tropical widening and subtropical jet poleward shift over
 294 the past four decades (Staten et al., 2018), showing high agreement with our findings. In case of
 295 ERA5, there is no significant expansion or contraction at both hemispheres. On the other side,
 296 AIRS has expansion of about 0.34°/decade at the NH and strong contraction of about -0.48°/decade
 297 at the SH.

298 **Table.1** Tropical belt expansion and contraction rates based on subjective criterion.

Source	Duration	NH		SH	
GNSS	Jun.2001-Nov.2020	0.41	±0.09	0.08	± 0.04
ERA5	Jun.2001-Nov.2020	-0.01	±0.1	-0.04	± 0.05
AIRS	Sep.2002-Nov.2020	0.34	± 0.11	-0.48	±0.05

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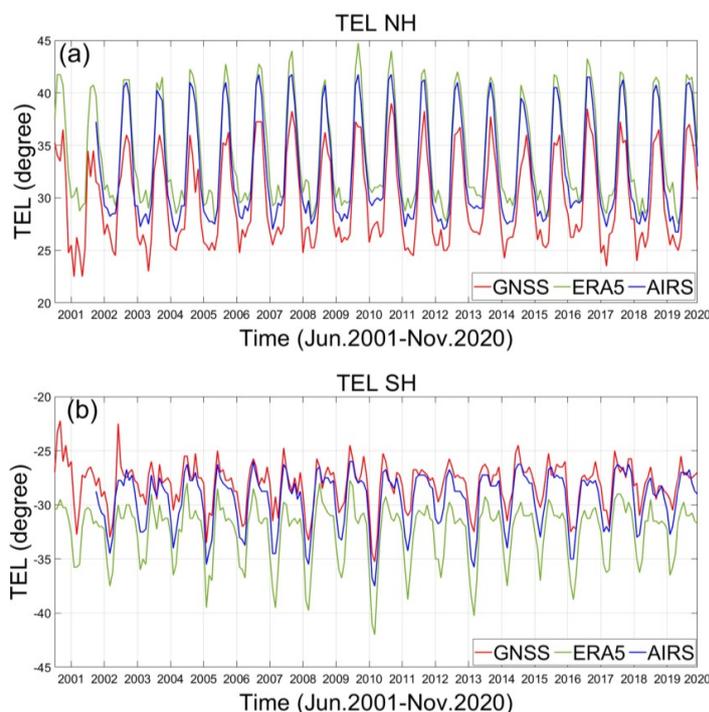


Fig. 5 TEL using subjective criterion for TEL at (a) NH and (b) SH.



316 3.2.2. Objective Criterion for TEL

317 According to objective criterion (Davis and Rosenlof, 2012), TEL at each hemisphere is the
 318 latitude of maximum poleward gradient of tropopause height. As shown in figure 6 and table 2,
 319 the tropical belt based on GNSS has expanded about 0.13°/decade in the NH since 2001, but there
 320 is no significant expansion or contraction in the SH. In case of ERA5, there is no significant signal
 321 in NH, while SH has a minor contraction of approximately -0.08°/decade. On the other side, AIRS
 322 capture an expansion of 0.13°/decade in NH, and strong contraction in SH of -0.37°/decade. It is
 323 clear from these results, that the rates of expansion and contraction using the objective criterion
 324 are less than that of the subjective criterion. For the objective method, TEL occurrences are located
 325 more poleward than that of the subjective method.

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Table.2 Tropical belt expansion and contraction rates based on objective criterion.

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Source	Duration	NH		SH	
GNSS	Jun.2001-Nov.2020	0.13	± 0.1	-0.03	±0.06
ERA5	Jun.2001-Nov.2020	- 0.06	±0.1	- 0.08	± 0.06
AIRS	Sep.2002-Nov.2020	0.13	± 0.04	-0.37	±0.06

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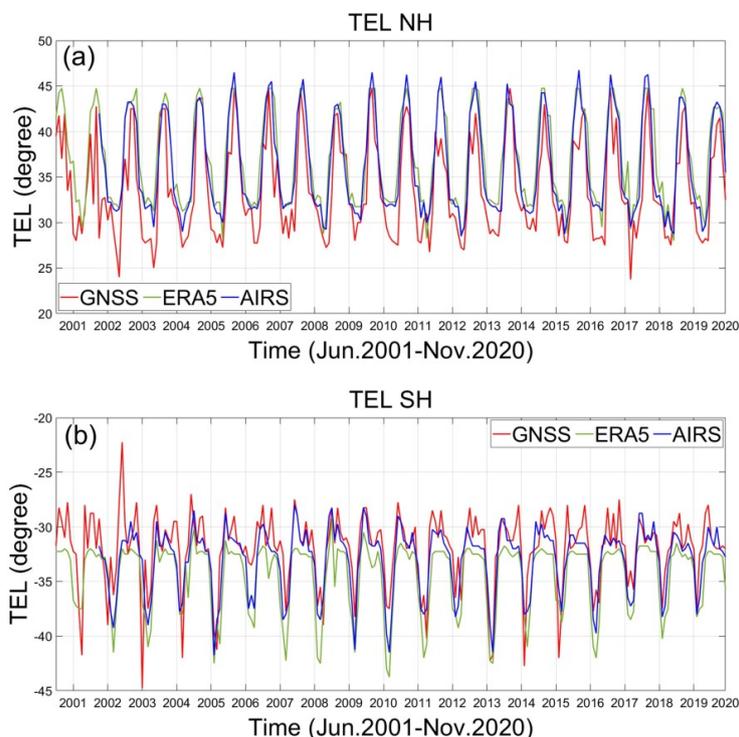
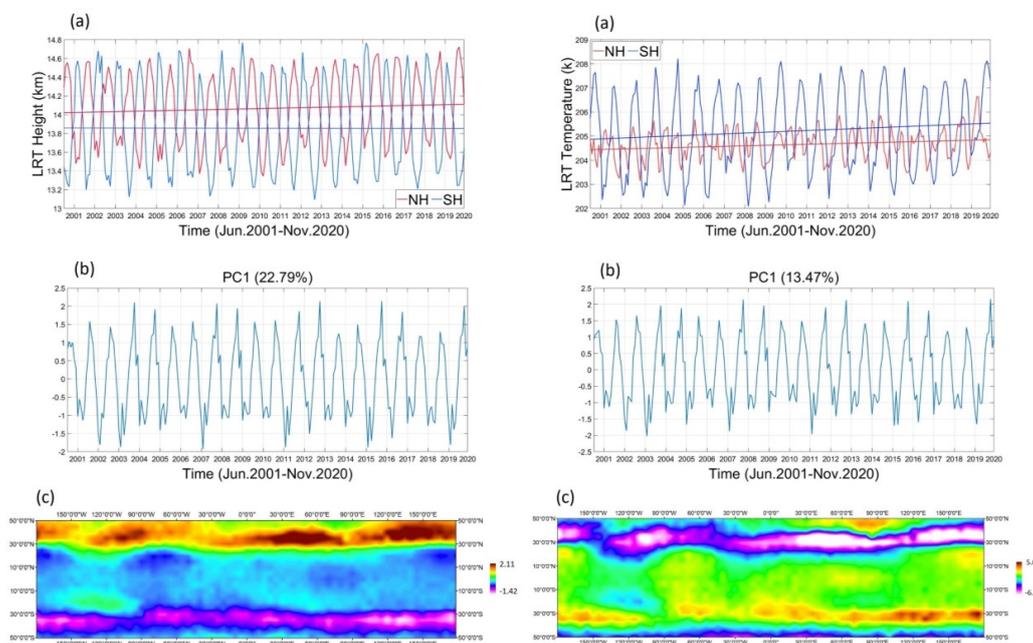


Fig.6 TEL using objective criterion, (a) TEL at NH and (b) TEL at SH.



349 3.3. Spatial and Temporal Variability of LRT

350 In this section, the LRT height and temperature are investigated between 50°N to 50°S. Figure 7
351 shows the analyses results for LRT height and temperature. At the NH, the LRT height has
352 increased about 48 m/decade since 2001 and this is consistent with that of Meng et al (2021) which
353 shown increase of LRT height around 44.4 m/decade over 20°N to 80°N for the period from 2001
354 to 2020. In contrast, LRT height at the SH shows a slight decrease of -2.4 m/decade. Regarding to
355 LRT temperature, it has increased about 0.21 k/decade for NH and 0.34 k/decade for SH. Both
356 hemispheres LRT temperature time series show increasing rates higher than that of the global 0.09
357 k/decade. Figure 7 also shows the temporal and spatial variability given by the 1st PCA. The
358 temporal variability for LRT Height captures 22.79% of the total variance. For the LRT
359 temperature, PCA1 captures 13.47% of the total variability. These values are relatively small,
360 showing that the variability spreads along lower degree PCA modes. We can clearly see the annual
361 forcing. The spatial variability shows similar patterns for LRT height and temperature. The signal
362 at the NH is stronger and wider than that at the SH.



363 **Fig.7** GNSS-RO based LRT height (left) and temperature (right). In (a) temporal time series (b) temporal
364 variability given by PCA1, and (c) spatial variability map given by PCA1.

365 3.4. Total Column Ozone (TCO), Carbon dioxide (CO₂), and Methane (CH₄)

366 Figure 8 shows that since 2001, TCO has increased globally 0.7 DU/decade. TCO has a strong
367 correlation of -0.64 with the LRT height. In the NH, the TCO has increased 0.06 DU/decade, while
368 in the SH 1.05 DU/decade. The PCA1 of TCO represents the 66.68% of the total variability. The
369 spatial map of PCA1 shows stronger signal at the NH than that at the SH. The NH signal is located
370 more poleward than that of the SH. Comparisons with GNSS-RO LRT height spatial and temporal

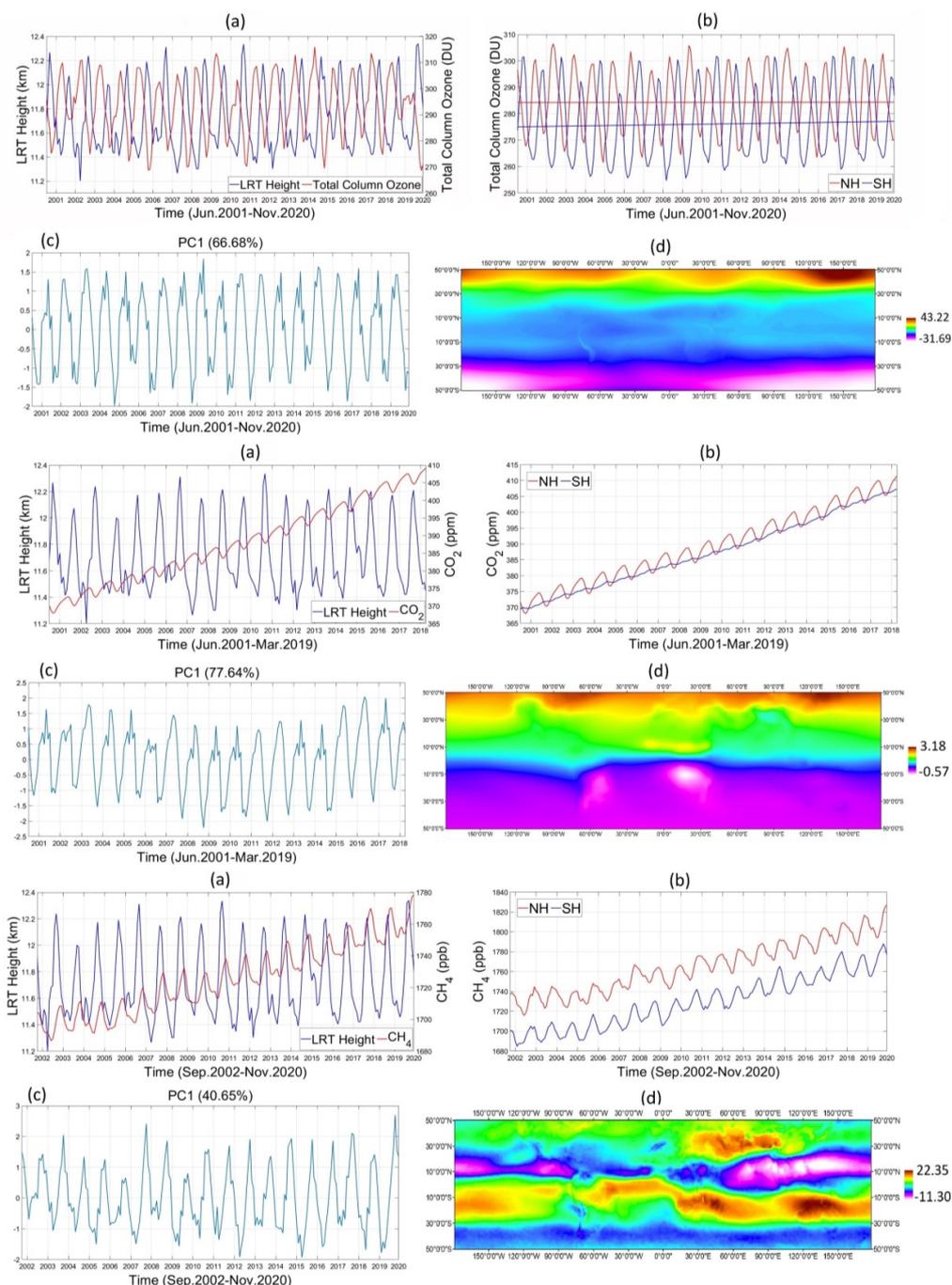


371 pattern suggest the TCO expansion at the NH, and a weak expansion or non-significant contraction
372 at the SH.

373 CO₂ is the most important GHG and it is considered a main driver of global warming. The
374 time series of the CO₂ is shown in figure 8. In this figure, we can see CO₂ increase of 21.38
375 ppm/decade since 2001. This variable has a correlation of -0.05 with GNSS LRT height. CO₂
376 column average in both NH and SH has the same increasing rate of 21.6 ppm/decade. This is higher
377 than the global rate. The STD at NH is 11.38 which is higher than that of SH 10.90. The temporal
378 variability given by the PCA1 capture 77.64% of the total variability. PCA1 shows increasing
379 trend and large variability with time. The map of PCA1 variability shows a shift toward the north
380 pole. This seems to be related to the coverage of the tropical belt i.e., the TEL occurrence at the
381 NH is more pole ward than that of the SH.

382 CH₄ is one of the main GHGs, and it is considered a long-term driver of climate change.
383 The global time series of CH₄ column average (Fig. 8a) shows increasing trend of 39 ppb/decade
384 since 2001. This variable has a correlation of 0.23 with GNSS-RO LRT height. CH₄ column
385 average in both NH and SH show equal increasing trends 46.8 ppb/decade. This is higher than the
386 global rate. The STD at the NH is similar to that of the SH 25.91. The temporal variability PCA1
387 capture 40.65% of the total variability. PCA1 shows non-significant trend but the range increases
388 with time. The map of PCA1 shows more pole ward signal in the NH than its equivalent at the SH.
389 The NH signal reaches 30°N, and the SH signal does not reach the limit of 30°S. This is clearly in
390 with the TEL results, showing the tropical condition in the NH cover a wider area than that at the
391 SH.

392



393 **Fig.8** TCO (top), CO₂ (middle), and CH₄ (bottom) results. In (a) temporal time series against LRT height
 394 (b) temporal time series at NH and SH (c) temporal variability given by PCA1 and (d) spatial variability
 395 map given by PCA1.



396 *3.5. Surface Temperature and GPCP Precipitation*

397 Figure 9 shows the global surface temperature has increased 0.3 k/decade since 2001. A clear
398 correlation between the surface temperature and the GNSS-RO LRT height is seen, with a value
399 of 0.81. The surface temperature in both NH and SH shows an increasing trend of 0.23 k/decade
400 and 0.18 k/decade, respectively. The surface temperature at the NH has STD of 3.5. This value is
401 higher than that of the SH 1.5. The PCA1 capture 84.41% of the total variance. The PCA1 shows
402 an increasing trend and amplitude with time. The PCA1 map has a weaker signal in the SH than
403 that in the NH. The results of surface temperature agree with the GNSS-RO TEL. For instance,
404 the NH show higher expansion than at the SH which shows a minor expansion using subjective
405 criterion and non-significant contraction applying objective criterion. Hence, these results support
406 surface temperature as a proposed driver for tropics expansion (Allen et al., 2012a; Adam et al.,
407 2014).

408 The GPCP Precipitation show a global decrease of -0.04 mm/decade since 2001. The
409 precipitation behavior has strong correlation of 0.61 with the GNSS LRT height. The GPCP
410 Precipitation in the NH show a minor decreasing trend -0.02 mm/decade meanwhile the SH shows
411 a significant decreasing trend -1.3 mm/decade. The precipitation at the NH has STD of 15.84 and
412 the SH of 16.47. The PCA1 capture 29.30% of the total variability. PCA1 has increasing trend and
413 amplitude with time. The PCA1 map shows a pattern at the north side of the equator.

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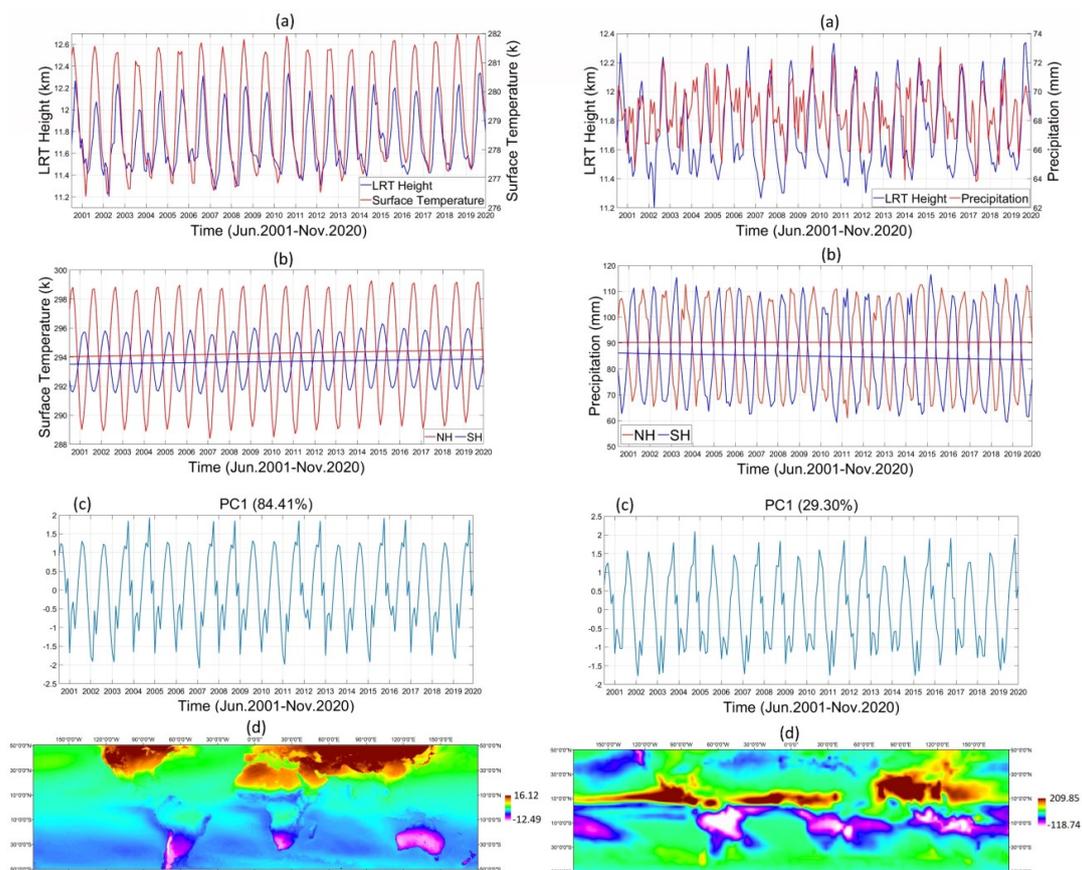
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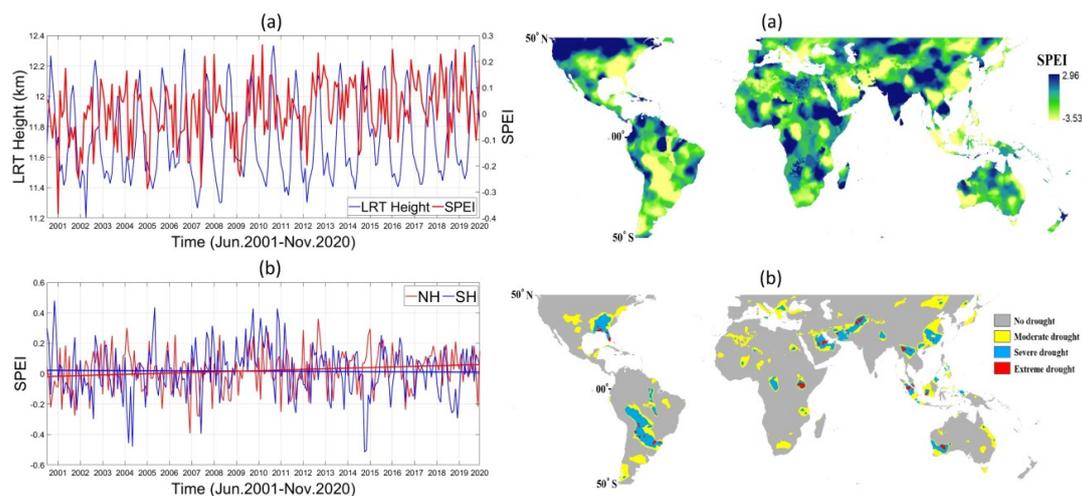


423 **Fig.9** Surface Temperature (left) and GPCP precipitation (right). In (a) temporal time series against LRT
 424 height (b) temporal time series at NH and SH (c) temporal variability given by PCA1 and (d) spatial
 425 variability map given by PCA1.

426 **3.6. Standardized Precipitation Evapotranspiration Index (SPEI)**

427 The SPEI is usually employed to monitor the meteorological drought status. Figure 10 shows the
 428 SPEI has increased 0.056 per decade since 2001. The NH show an increase of 0.035 per decade,
 429 and the SH decreased -0.005 per decade. This variable has no correlation with GNSS LRT height
 430 -0.002. Because the study area is wide and extends through many continents, the SPEI, in our
 431 study, only provides information about the dry and wet condition. Figure 10 shows the spatial
 432 pattern of SPEI in September 2019, and the areas by category of no-drought, moderate, severe,
 433 and extreme. Figure 11 shows the number of cells covered with drought, and its corresponding
 434 classification from figure 10. The total number of cells covered with drought at the NH nearly
 435 double its value at the SH. Both hemispheres have a decreasing trend of the number of cells
 436 covered with drought. The decrease rate is 510 cell/decade at the NH and 373 cell/decade at the
 437 SH. The drought does not show any spatial pattern regarding the location of TEL.

438



439 **Fig.10** On the left, SPEI drought index (a) global SPEI time series in comparison with LRT height and (b)
 440 SPEI for two latitudinal bands 0°-50°N & 0°-50°S. On the right, (a) SPEI drought index in September 2019
 441 and (b) SPEI drought categories in September 2019.

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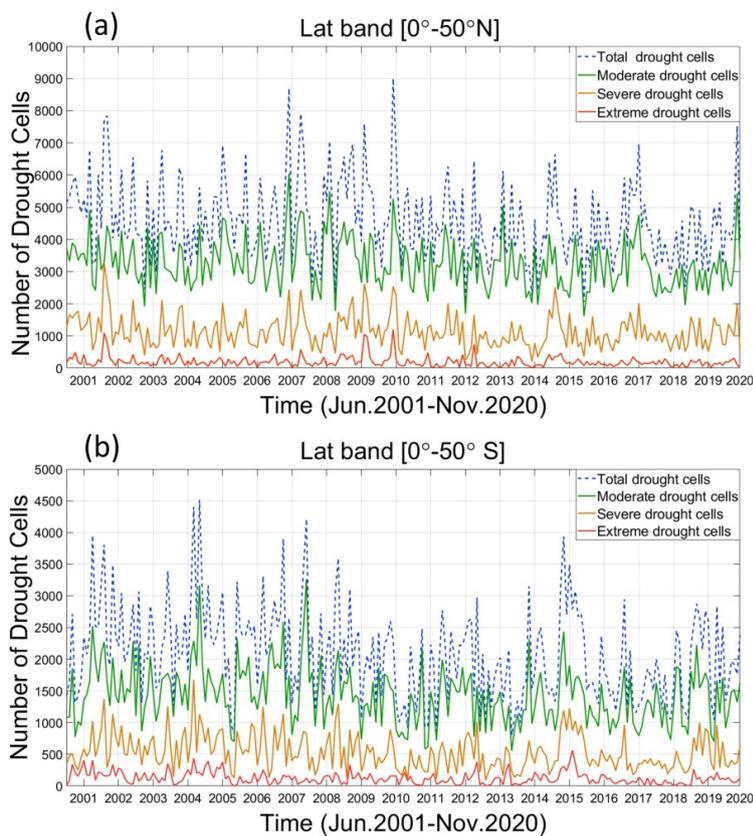


Fig.11 Number of cells covered with drought at (a) NH and (b) SH.



460 4. Conclusions

461 The GNSS-RO is a well-established technique to derive atmospheric temperature structure in the
462 UTLS region. In this study, GNSS-RO data of 12 RO missions are combined together to examine
463 the possible tropical belt expansion. GNSS-RO profiles are employed to derive tropopause height
464 and temperature based on LRT and CPT definitions. Our analyses show that LRT and CPT height
465 have increased 36 m/decade and 60 m/decade, respectively, since June, 2001. There is high
466 correlation between the tropopause height and temperature, being -0.78 and -0.82 for LRT and
467 CPT, respectively. Comparison with the LRT height from ERA5 shows an increase of 48 m/decade
468 since June, 2001, while that derived from AIRS has a smaller increase 12 m/decade since
469 September, 2002.

470 TEL at each hemisphere is estimated using two tropopause height metrics. Applying the
471 first method, subjective criterion, there are higher expansion and contraction rates than that from
472 the second method, objective criterion. While using the objective criterion, the locations of TEL
473 at both hemispheres are more poleward than that from the subjective criterion. For the subjective
474 method, tropical width results from GNSS-RO have an expansive behavior in the NH with about
475 $0.41^\circ/\text{decade}$, and a minor expansion trend in the SH with $0.08^\circ/\text{decade}$. On the other side, ERA5
476 has non-significant contraction in both hemispheres. For the AIRS data, there is a clear expansion
477 behavior in the NH with about $0.34^\circ/\text{decade}$, and a strong contraction in the SH with $-0.48^\circ/\text{decade}$.
478 In case of objective method, GNSS-RO has an expansion behavior in the NH with about
479 $0.13^\circ/\text{decade}$, but there is no significant expansion or contraction in the SH. Results of several
480 studies, based on different data sets and metrics, shown an expansive behavior of tropical belt in
481 NH higher than that of SH (Hu and Fu, 2007; Archer and Caldeira, 2008; Hu et al., 2010; Zhou et
482 al., 2011; Allen et al., 2012b). This broadly agree with our GNSS-RO based results. For the ERA5,
483 there is no significant signal in the NH, while the SH has a minor contraction of about -
484 $0.08^\circ/\text{decade}$. The AIRS data show an expansion value in the NH with $0.13^\circ/\text{decade}$, and strong
485 contraction in SH with $-0.37^\circ/\text{decade}$. From all data sets, the TEL is located more poleward in the
486 NH than in the SH. For both subjective and objective methods, the TEL reach the latitudes of
487 44.75°N and 46.75°N , respectively, at the NH. Meanwhile, at the SH the TEL reach the latitudes
488 of 42°S and 44.75°S for subjective and objective methods, respectively. In both hemispheres, the
489 variability of tropopause parameters (temperature and height) is maximum around the TEL
490 locations.

491 The TCO shows increasing rates globally. The rate in the SH is higher than that of the NH.
492 The ozone variability agrees well with the spatial and temporal modes of TEL estimated from
493 GNSS-RO LRT height. This supports GNSS-RO TEL estimates over that of ERA5 and AIRS. On
494 the other side, CO_2 and CH_4 , as the main GHGs responsible for global warming, show a global
495 increasing rate. Their increasing rate at the NH and the SH are nearly the same. The patterns of
496 TCO and CO_2 display good agreement with the TEL locations at NH and SH. They show more
497 poleward occurrence with time and the variability in NH is higher than that of SH. In addition,
498 CH_4 has signal at NH occurs more poleward than that at SH. The surface temperature and the
499 precipitation both increase with time, and have strong correlation with LRT height. Both variables
500 show an increasing rate at the NH higher than at the SH. The surface temperature shows strong



501 spatial variability pattern that broadly agrees with the TEL locations from GNSS-RO. The spatial
502 pattern of precipitation shows northward orientation. The SPEI meteorological drought index
503 shows increasing rate globally, and NH shows increasing trend while SH shows decreasing trend.
504 Since SPEI is multivariate, it has no direct response to the TEL behavior. In both hemispheres, the
505 number of cells covered with drought decreased since 2001. The study results signify the
506 importance of monitoring the tropopause and TEL parameters that can accurately indicate the
507 climate variability and climate change globally.

508 **Funding:** This study was supported by the National Natural Science Foundation of China (NSFC)
509 Project (Grant No. 12073012), National Natural Science Foundation of China-German Science
510 Foundation (NSFC-DFG) Project (Grant No. 41761134092), China Scholarship Council (CSC)
511 and Ministry of Higher Education of the Arab Republic of Egypt.

512 **Author contributions**

513 M.D. provided the main ideas, developed the methodology, conceived and performed the
514 experiments, and analyzed the results; S.G. provided supervision, mentorship, and funding
515 support; A.C. provided manuscript edition and revision tasks; A.S. helped in manuscript writing
516 and editing.

517 **Competing interests.** The authors declare that they have no conflict of interest.

518 **Acknowledgements**

519 The authors thanks to the CDAAC for providing GNSS-RO data, NOAA ESRL for providing
520 CarbonTracker CT2019B, and Copernicus Climate Change Service Information for MERRA-2 &
521 ERA5 data. We are grateful to the Climate Research Unit (CRU), the University of Delaware
522 (UDEL), and Global Precipitation Climatology Center (GPCC) for granting access to datasets. The
523 first author especially thanks to National Research Institute of Astronomy and Geophysics, Egypt,
524 and to Nanjing University of Information Science and Technology, China, for granting the
525 scholarship for pursuing his Ph.D.

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