



1 Statistical Study and Corresponding Evolution of Plasmaspheric 2 Plumes under Different Levels of Geomagnetic Storms

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11 **Abstract.** Using observations of Van Allen Probes, we present a statistical study of plasmaspheric plumes in the inner
12 magnetosphere. Plasmaspheric plumes tend to occur during the recovery phase of geomagnetic storms. Furthermore, the results
13 imply that the occurrence rate of observed plasmaspheric plume in the inner magnetosphere is larger during stronger
14 geomagnetic activity. This statistical result is different from the observations of the Cluster satellite with much higher L-shells
15 in most orbital period, which suggest that the plasmaspheric plume near the magnetopause tends to be observed during
16 moderate geomagnetic activity (Lee et al., 2016). In the following, the dynamic evolutions of plasmaspheric plumes during a
17 moderate geomagnetic storm in February 2013 and a strong geomagnetic storm in May 2013 are simulated through group test
18 particle simulation. It is obvious that the plasmaspheric particles drift out on open convection paths due to sunward convection
19 during both geomagnetic storms. It seems that the outer plasmaspheric particles exhaust sooner and the plasmasphere shrinks
20 faster during strong geomagnetic storms. As a result, the longitudinal width of the plume is narrower and the plume is limited
21 to lower L-shells during the recovery phase of strong geomagnetic storm. The simulated evolutions may provide a possible
22 interpretation for the occurrence rates: Van Allen Probes tend to observe plumes during stronger geomagnetic storms, and the
23 Cluster satellite with higher L-shells tends to observe plumes during moderate geomagnetic storms.

24 1 Introduction

25 The innermost magnetosphere is occupied by the torus of cold dense plasma known as the plasmasphere (Lemaire et al., 1998).
26 In general, the dynamics of plasmaspheric particles are controlled by the combination of corotational and solar wind-driven
27 convection electric fields. The southward interplanetary magnetic field (IMF) at the magnetopause brings about dayside
28 magnetopause reconnection, resulting in an increase in dawn-dusk convection electric fields in the inner magnetosphere
29 (Dungey, 1961). Goldstein et al. (2005a) suggested that the electric field at the plasmopause was approximately 13% of the
30 solar wind electric field (E_{SW}). Under the effect of a dawn-dusk convection electric field, plasmaspheric particles move
31 sunward through the $E \times B$ drift, and may transfer into the magnetospheric boundary layers. This dynamic mechanism leads
32 to the erosion of the plasmasphere and the formation of a plasmaspheric plume near the dusk side (Goldstein et al., 2004;
33 Darrouzet et al., 2008; Walsh et al., 2013). Long-term observations also suggest that the radial location of the plasmopause
34 can move inward during periods of geomagnetic disturbance, which are mainly correlated with increases in the southward IMF
35 (Elphic et al., 1996; Carpenter and Lemaire, 1997). After the time interval of the geomagnetic disturbance, low energy
36 ionospheric particles are drawn upward from low altitudes along magnetic field lines, and contribute to the refilling of the
37 eroded plasmasphere. It may take more than 10 days to recover to the normal level of the plasmasphere (Chu et al., 2017;
38 Lointier et al., 2013).

39 The plasmaspheric plume is an important region of ‘detached plasma elements’ in the magnetosphere, it connects to the main
40 body of the plasmasphere and stretches outward (Goldstein et al., 2004; Darrouzet et al., 2009b; Moldwin et al., 2016).
41 Therefore, the plasmaspheric plume provides an effective coupling channel of energy/mass between the inner magnetospheric



42 plasmasphere and outer magnetosphere. During geomagnetic storms, the plasmaspheric plume may reach the dayside
43 magnetopause and thus reduce the reconnection rate (Dargent et al., 2020). Furthermore, structureless hiss waves and
44 electromagnetic ion cyclotron (EMIC) waves often arise in high-density plasmaspheric plumes (Meredith et al., 2004; Yuan
45 et al., 2012; Usanova et al., 2013; Grison et al., 2018; Yu et al., 2016; Yuan et al., 2010; Zhang et al., 2018, 2019). The electron
46 scattering induced by hiss waves is thought to be a key contributor to the formation of the radiation belt slot region (Su et al.,
47 2015; Shi et al., 2019; Zhang et al., 2019). Therefore, it is very important to study the formation and evolution of plasmaspheric
48 plumes. Generally, plasmaspheric plumes are identified when the electron density is more than the modeled density of the
49 plasmasphere (provided by Sheeley et al. (2001)) in a specific L-shell outside the plasmopause (Moldwin et al., 2004; Zhang
50 et al., 2019). Using density data from the Cluster spacecraft, Darrouzet et al. (2008) and Lee et al. (2016) presented statistical
51 studies of plasmaspheric plumes. Since the time interval of Cluster in the outer magnetosphere is much greater than that in the
52 inner magnetosphere, Cluster provides a good opportunity to investigate plumes in the outer magnetosphere. Studies suggest
53 that the occurrence rate of plasmaspheric plumes is significantly higher on the afternoon side than on the prenoon side, and
54 plasmaspheric plumes tend to be observed during moderate geomagnetic activity.

55 In this paper, data from Van Allen Probes are used in situ measurement is used to identify plasmaspheric plumes in the inner
56 magnetosphere (with L-shells ≤ 6). Plasmaspheric plume spatial distributions and occurrence rates at different levels of
57 geomagnetic activity are investigated. The results imply that the occurrence rate of plasmaspheric plumes in the inner
58 magnetosphere is largest during strongest geomagnetic activity, which is different from the statistical result near the
59 magnetopause provided by Lee et al. (2016). Moreover, to explain the different occurrence rates of observed plasmaspheric
60 plumes as a function of the levels of geomagnetic activity, group test particle simulations are used to exhibit the evolution of
61 plasmaspheric plumes during both moderate and strong geomagnetic activity.

62 2 Data and Methodology

63 In our study, using the observations of Van Allen Probe A, we performed statistical research on plasmaspheric plumes in the
64 inner magnetosphere. The perigee of Van Allen Probe is $\sim 1.1 R_E$ (radius of the Earth), and its apogee is $\sim 6.2 R_E$. Electron
65 density data with a 6.5 s time resolution are provided by Level 4 of the Electric and Magnetic Field Instrument Suite and
66 Integrated Science (EMFISIS) data sets of Van Allen Probe A (Kletzing et al., 2013), which is mainly calculated from the
67 trace of the upper hybrid resonance frequency (Kurth et al., 2015). Using electron density data, the structure of the
68 plasmaspheric plume is identified based on the following criteria. (1) The plasmopause is identified as the innermost steep
69 gradient of electron density, which requires the electron density to decrease by a factor >5 within 0.5 L-shell (Moldwin et al.,
70 2002; Malaspina et al., 2016; Zhang et al., 2019). Through the above criterion of the plasmopause, a very small number of
71 identified events are not the real plasmopause. To ensure the accuracy of the plasmopause database, these spurious events are
72 deleted artificially. (2) While Van Allen Probes are outside the plasmopause, we identify the region where the observed electron
73 density sharply increases, and the observed density exceeds the density calculated by the model of Sheeley et al. (2001) as
74 follow:

$$75 \quad n_e = 1390 \left(\frac{3}{L}\right)^{4.83} - 240 \left(\frac{3}{L}\right)^{3.60} \quad (1)$$

76 Referencing the criterion of plasmaspheric plume identification in Darrouzet et al. (2008) and Zhang et al. (2019), if the satellite
77 orbit range of enhanced electron density is more than $0.2 R_E$ and less than $2 R_E$, we consider the region can be identified as a
78 plasmaspheric plume by satellite.

79 Figure 1 displays an example of a plasmaspheric plume observed by Van Allen Probe A from 06:30 UT to 13:20 UT on 6 June
80 2013. According to the criterion above, the location of the plasmopause is indicated by black vertical lines. While the satellite
81 is outside the plasmopause, the measured electron density (blue curve) from 07:25 UT to 08:10 UT (marked by gray shadow)



82 absolutely exceeds the density model provided by the Sheeley et al. (2001) model (red curve). As a result, the region of high
83 density marked by gray shadow is considered a plasmaspheric plume.

84 3 Statistics of Observation

85 Following the criterion method described above, we capture 422 plasmaspheric plume events out of 4030 Van Allen Probe A
86 orbits in the inner magnetosphere from January 2013 to December 2018. In this study, the global spatial distributions of
87 plasmaspheric plumes associated with different geomagnetic phases are analyzed. For a geomagnetic storm, the minimum Dst
88 must be at least below -30 nT, and the duration of that $Dst \leq -30$ nT must be more than 10 minutes (Gonzalez et al., 1994).
89 The geomagnetic storm onset, which indicates the beginning of a geomagnetic storm, is defined as the time when the slope of
90 the Dst index becomes negative and remains negative until the minimum of Dst index. Then, 3 hours (hr) before the time of
91 onset is defined as the initial phase, as in Halford et al. (2010) and Wang et al. (2016). The period from the onset to the
92 minimum Dst in the geomagnetic storm is defined as the main phase, while the recovery phase begins after the minimum Dst
93 and ends when the Dst recovers to 80% of the minimum value or the next storm starts. The statistical outcome shows that 185
94 plasmaspheric plume events are detected during the nonstorm period. These events during the nonstorm period account for
95 43.8% percent of the total. The high proportion may be due to the relatively quiet geomagnetic activity during most of the time
96 interval. As shown in Figure 2a, it seems that the nonstorm plasmaspheric plume events cover all magnetic local time (MLT)
97 ranges. However, the maximum number of plasmaspheric plume events occurs from MLT~18 to MLT~24. The spatial
98 distributions of plasmaspheric plumes during different phases of geomagnetic storms are shown in Figure 2b-d. The numbers
99 of plasmaspheric plume events in the initial, main and recovery phases are 31, 32 and 174, respectively. During geomagnetic
100 storms, it seems that the plasmaspheric plume events observed in the recovery phase (174) occupy the largest proportion, and
101 the plasmaspheric plumes in the recovery phase are mainly located on the dusk side. On the other hand, the numbers of
102 plasmaspheric plumes in both the initial and main phases are lower (31 and 32, respectively). The plasmaspheric plumes in
103 the initial phase are mainly observed on the dusk-midnight side, and the plasmaspheric plumes in the main phase mainly occur
104 on the afternoon side.

105 Furthermore, we also examine the relationship between the occurrence rate of plasmaspheric plumes and the levels of
106 geomagnetic disturbance. Similar to the analysis of the relationship between the plasmaspheric plume near magnetopause and
107 geomagnetic activity studied in Lee et al. (2016), we selected the minimum Dst value from the previous 24 hr to account for
108 the response time of the plasmopause to geomagnetic activity, which was also adopted by Moldwin et al. (2004) and Darrouzet
109 et al. (2008). Figure 3a shows the distribution of observed plasmaspheric plume density data points as a function of minimum
110 Dst in the previous 24 hr. Notably, every density data point provided by Van Allen Probes during the interval of a plume event
111 is considered as one plasmaspheric plume sample. Figure 3b shows the normalized occurrence rates of plasmaspheric plumes
112 in the inner magnetosphere with respect to the minimum Dst in the previous 24 hr, which is obtained from the number of
113 density data points in the plasmaspheric plume divided by that of all density data points provided by Van Allen Probes during
114 the different levels of geomagnetic activity. It seems that the occurrence rates of plasmaspheric plumes in the interval of -10
115 $< Dst < -10$ nT are lower. On the other hand, the occurrence rates in intervals of $-70 < Dst < -50$ nT, $-50 < Dst < -30$ nT and
116 $-30 < Dst < -10$ nT are higher. The occurrence rates in the three intervals when -10 nT $Dst < 10$ nT are similar, but the occurrence
117 rate increases slightly with increasing geomagnetic activity level. The statistical results from Van Allen Probes are somewhat
118 different from the statistical result of plasmaspheric plumes near the dayside magnetopause measured by the Cluster spacecraft
119 displayed in Lee et al. (2016). The results of Lee et al. (2016) implied that plasmaspheric plumes near the magnetopause with
120 high L-shells tend to be observed during moderate geomagnetic activity, and the highest occurrence rate is in the interval -30
121 $< Dst < -10$ nT.



122 4 Simulated Evolution of plasmaspheric Plume

123 4.1 Model Inputs

124 Test particle simulation is a useful method to analyze the motions and variations in plasma (Zhou et al., 2018). To explain the
125 disparity in the occurrence rates disparity of the observed plasmaspheric plume associated with geomagnetic activity levels in
126 different L-shells ($L \leq 6.2$ in the inner magnetosphere observed by the Van Allen Probe A satellite, and $L \geq 6.2$ during most
127 of the Cluster orbital period), we run a group test particle simulation to analyze the evolution of plasmaspheric plumes during
128 different levels of geomagnetic storms. By calculating the drift paths of a great quantity of test plasmaspheric particles, the
129 simulation not only provides the evolution of the plasmopause and plasmaspheric plume boundaries, which is similar to the
130 plasmopause test particle (PTP) simulation provided by Goldstein et al. (2003, 2005a, b, 2014b), but also reveals the evolution
131 of equatorial density in both the plasmasphere and plasmaspheric plume.

132 In this study, the geomagnetic field is assumed to be a dipolar field, and electron motion is assumed to be adiabatic. Following
133 Goldstein et al. (2003, 2005a), we establish a magnetospheric model for the electric potential. The electric potential is the sum
134 of the corotation electric potential Φ_{rot} and convection electric potential Φ_{VS} :

$$135 \quad \Phi_{rot} = -C \frac{R_E}{R} \quad (2)$$

$$136 \quad \Phi_{VS} = -E_{IM} R^2 \sin \varphi (6.6 R_E)^{-1} \quad (3)$$

137 where C is a constant equal to 92 given by Völk and Haerendel (1970), R is the geocentric distance, and φ is the azimuthal
138 angle. E_{IM} indicates the assumed inner magnetospheric electric field derived from the solar wind electric field (E_{SW}), where
139 E_{SW} is computed from 1 min OMNI data (derived from upstream measurements by the Advanced Composition Explorer (ACE)
140 spacecraft (Stone et al., 1998)). For the southward IMF, $E_{IM} = f \cdot |E_{SW}|$, where the factor f is assumed to be a constant 0.13.
141 On the other hand, in the northward IMF, $E_{IM} = f \cdot 0.25 \text{ mV m}^{-1}$ (Goldstein et al., 2014a, b).

142 Based on the model of a realistic magnetospheric electric field, the evolution of the cold plasmaspheric electron distribution
143 in the geomagnetic equator is simulated. To obtain the initial electron density distribution in the plasmasphere during the quiet
144 geomagnetic period, the electron density in the plasmasphere as a function of the L-shell provided by the Sheeley et al. (2001)
145 model is used (for L-shell ≤ 7), and the initial electron density is assumed to be the same at different MLTs. In addition, to
146 simplify the calculation of the model, the electron densities outside the plasmopause are all assumed to be 5 cm^{-3} . A total of
147 100000 test particles at an initial energy of 1 eV are launched into the model. The pitch angle of electrons is assumed to be
148 arbitrary because the gradient/curvature drift velocity associated with the pitch angle can be negligible for cold electrons
149 (Roederer and Zhang, 2014). The number of test particles within a unit area is transformed into a realistic density according
150 to the weighting factor. Using the model above, the evolutions of the plasmasphere and plasmaspheric plume during different
151 levels of geomagnetic storms are simulated. It should be pointed out that the shape of the real plasmasphere is complicated.
152 As it is difficult to obtain the absolutely accurate shape of a real plasmasphere, a typical plasmaspheric model is used as the
153 initial distribution of electron density in the current study. Although there may be some deviations between the simulated
154 plume and the real plume, the simulation can still reflect the trends of density variation.

155 4.2 Plasmasphere Dynamics 13-15 February 2013

156 Figure 4 shows the geomagnetic and solar wind conditions for a moderate geomagnetic storm on 13-15 February 2013. As
157 shown in Figure 4a, the minimum value of the Dst index is -37 nT during the geomagnetic storm. During the main and recovery
158 phases of the geomagnetic storm, the IMF is southward most of the time (shown in Figure 4b). Based on the E_{SW} , we calculated
159 the E_{IM} , which is shown in Figure 4c.

160 The E_{IM} (derived from the E_{SW}) in Figure 4 was used as input to drive the test particle simulation. The simulation is started at
161 17:40 UT on 13 February 2013. This initial condition onset is defined as the time at which the E_{IM} slope becomes positive and
162 remains positive on its way to the maximum E_{IM} value. The initial distribution of electron density is shown in Figure 5a. The



163 electron density is a function of the L-shells, and is provided by the model of Sheeley et al. (2001). With the dynamic evolution,
164 it is obvious that the plasmaspheric particles move sunward through the $E \times B$ drift within 4 hr (as shown in Figure 5b), and
165 the plasmopause on the nightside moves towards lower L-shells. Meanwhile, the plasmopause on the dayside temporarily
166 expands to higher L-shells, and its location exceeds L-shell ~ 8.5 . Next, the solar wind-driven magnetospheric convection strips
167 away the outer layers of the plasmasphere. Under the combined action of convection and corotation, the plasmaspheric plume
168 is formed on in the afternoon side, and the location of the dayside plasmopause decreases to L-shell ~ 4.2 (as shown in Figure
169 5c). The eroded plasmaspheric material is transported sunward and may be lose to the dayside magnetopause boundary
170 (Spasojevic et al., 2005; Spasojevic and Inan, 2010). Meanwhile, the plasmaspheric plume is formed near the dusk side due to
171 the combination of convection and corotation electric fields at 20:40 UT on 14 February (as shown in Figure 5d).
172 To combine the simulation with the identification of plasmaspheric plumes from satellites (Cluster observations provided by
173 Lee et al. (2016) and Van Allen Probe observations in our study), the range of enhanced density with a specific L-shell meeting
174 the standard below is considered a satellite-observable plasmaspheric plume: (1) the density is more than the modeled density
175 of the plasmasphere provided by Sheeley et al., (2001), and (2) the isolated cycle of enhanced density with a specific L-shell
176 (R_{CL}) is more than $0.2 R_E$ but less than $2 R_E$ ($0.2 R_E \leq R_{CL} \leq 2 R_E$). As shown in Figure 5e and f, the range of enhanced density
177 satisfied the criterion of an observable plasmaspheric plume from the 30th hr (23:40 UT on 14 February) to the 40th hr (09:40
178 UT on 15 February) at L-shell=6 (indicated by pink curve). As indicated by the black curve in Figure 5f, the Van Allen Probe
179 B also observed the plume from L-shell ~ 4.7 to L-shell ~ 5.2 at approximately 04:00 UT on 15 February 2013. There is a small
180 deviation between the simulated plume and the real one, which may be because the initial shape and density of real
181 plasmasphere is very complicated, but the real plasmasphere is hard to obtain, thus only an empirical plasmaspheric model is
182 adopted in the simulations. In the other intervals displayed in Figures 5c, d, g, and h, the longitudinal range of enhanced density
183 near L-shell=6 is too high. The wide isolated range of enhanced density near L-shell ~ 6 makes it difficult for the Van Allen
184 Probes with elliptic orbits to identify the structure as a plasmaspheric plume, because the Van Allen Probes may operate in the
185 high electron density region during the whole interval of the inbound and outbound orbits. Compared with that in Figure 5f,
186 the plasmaspheric bulge in Figures 5c, d, g and h are increasingly wider and larger, because the interplanetary magnetic field
187 was southward on 15 February. Although the E_{IM} was small, it may have strengthened the plasmaspheric bulge near the dusk
188 side.
189 Meanwhile, as shown in Figure 5c-h, the range of enhanced density satisfied the criterion of an observable plasmaspheric
190 plume from the 17th hr (10:40 UT on 14 February) to the 54th hr (23:40 UT on 15 February) in at L-shell=8 (indicated by
191 yellow curve) during most times. Therefore, in this case of a moderate geomagnetic storm, it seems that the satellite with
192 higher L-shells has a larger probability of identifying the plasmaspheric plume structure than that in the inner magnetosphere
193 with lower L-shells.
194

195 4.3 Plasmasphere Dynamics 30 April -03 May 2013

196 Figure 6 shows the geomagnetic and solar wind conditions for a strong geomagnetic storm from 30 April to 03 May 2013. As
197 shown in Figure 6a, the minimum value of the Dst index is -72 nT during the geomagnetic storm. The calculated E_{IM} (shown
198 in Figure 6c) in the main phase is much larger than that in the above moderate geomagnetic storm presented in section 4.2.
199 This implies that the convection during the strong geomagnetic storm was much more intense. Similar to Figure 4, the vertical
200 dashed line (17:00 UT on 30 April 2013) indicates that the start time of the test particle simulation corresponds to the strong
201 geomagnetic storm.
202 Figure 7 reveals the dynamic evolution of the plasmasphere and plasmaspheric plume during the strong geomagnetic storm.
203 The initial distribution of electron density the same as for the previous event at 17:00 UT on 30 April is shown in Figure 7a.
204 Due to more intense convection during the main phase of the strong geomagnetic storm, more plasmasphere material is lost.



205 It is obvious that the particles in the outer plasmasphere dissipate in a very short time interval, as shown in Figure 7d. The
206 location of the plasmapause is reduced to L-shells < 3 at 21:00 UT on 01 May (within 28 hr). Meanwhile, a typical
207 plasmaspheric plume structure formed near the dusk side. At 18:00 UT on 01 May 2013, the recovery phase of the geomagnetic
208 storm starts. As indicated by the black curve in Figure 7g, the Van Allen Probe also observed the plume from L-shell~3.4 to
209 L-shell~4.3 at approximately 07:00 UT on 02 May 2013. Although the E_{IM} is positive in some intervals of the recovery phase,
210 the motions of the residual material of the plasmasphere at low L-shells (L-shell < 3) are mainly controlled by the corotation
211 electric field during the recovery phase. The intermittent positive E_{IM} during the recovery phase of the second geomagnetic
212 storm may continue to bring about plume particle loss in the magnetopause, especially for the plume particles with higher L-
213 shells. As a result, the plasmaspheric plume becomes thinner than that during the moderate geomagnetic storm (presented in
214 section 4.2), especially for L-shell ≥ 8 . As shown in Figures 7f-h, after 01:00 UT on 02 May, the bulged density at L-shell ~8
215 is too low to be identified as an observable plasmaspheric plume. Overall, the plasmaspheric plume was mainly confined to
216 lower L-shells (L-shell ≤ 7) in the recovery phase of the geomagnetic storm. The time interval of the Cluster satellite in the
217 region with L-shell ≥ 6 is much greater than that in the inner magnetosphere. As a result, during this strong geomagnetic storm,
218 especially the recovery phase of the geomagnetic storm, the Cluster satellite has a lower probability of identifying the
219 plasmaspheric plume structure than the Van Allen Probe satellites (in the inner magnetosphere with lower L-shells).

220 5 Discussion and Conclusion

221 In the present study, using density data from Van Allen Probe A, we performed a statistical analysis of plasmaspheric plumes
222 in the inner magnetosphere. A total of 422 plasmaspheric plume events are captured out from 4030 Van Allen Probe A orbits.
223 The statistical results show that the ratio of observed plasmaspheric plume events is largest (~43%) during the nonstorm period.
224 This may be because the plasmaspheric plume that forms during a geomagnetic storm, may remain residual for quite a long
225 time period after the geomagnetic activity has recovered. In addition, quiet geomagnetic activity occupies most of the time
226 interval (Halford et al., 2010; Wang et al., 2016). Therefore, the number of observed plasmaspheric plume events during the
227 nonstorm period is high. Since the corotation electric field plays a leading role in the motion of plasmaspheric particles during
228 quiet geomagnetic activity, the residual plasmaspheric plume can corotate with the Earth. Consequently, the residual
229 plasmaspheric plume may be observed by satellite in all MLTs (as shown in Figure 2a).

230 Moreover, during the interval of geomagnetic storms, plasmaspheric plume events are mainly concentrated in the recovery
231 phase and dusk side. This result is similar to the conclusions of previous works, such as Chi et al. (2000), Reinisch et al. (2004),
232 and Kim et al. (2007), and suggests that the structure of the plasmaspheric plume appears is more obvious after the large
233 erosion in the main phase of geomagnetic storms. However, this result is different from the observation at the magnetopause.
234 Walsh et al. (2013) suggested that the most common location where plume material contacts the magnetopause is at MLT~13.6.
235 This may be because the plasma material is dragged from the dusk region with lower L-shells towards the noon side with
236 higher L-shells due to sunward convection.

237 In this study, to investigate the correlation between the occurrence rate of observed plasmaspheric plumes in the inner
238 magnetosphere and the level of geomagnetic storms, we select the minimum Dst value from the previous 24 hr to account for
239 the response time of the plasmapause to geomagnetic storms. The results show that the occurrence rate of observed
240 plasmaspheric plumes in the inner magnetosphere increases with increasing geomagnetic activity, and the largest occurrence
241 rate corresponds to the most intense geomagnetic activity. This result is different from the occurrence rate of observed
242 plasmaspheric plume events detected by the Cluster satellite with a much higher apogee, which was presented in Lee et al.
243 (2016). They suggested that the plasmaspheric plume events observed by the Cluster satellite tend to be observed during
244 moderate geomagnetic activity. The dynamic evolutions of the plasmaspheric plume are simulated during both moderate and
245 strong geomagnetic storms to demonstrate the disparity of observations at different L-shells. The simulation results suggest



246 that plasmasphere erosion is smaller and that the range of plasmaspheric plumes in the inner magnetosphere is wider during
247 moderate geomagnetic activity (as shown in section 4.2). The wider isolated region of high density contributed by
248 plasmaspheric plumes near L-shells ≤ 6.2 may make it difficult for the Van Allen Probes with elliptic orbits to identify the
249 structure as an observed plasmaspheric plume. In addition, the isolated region of high density contributed by plasmaspheric
250 plumes is narrower when L-shells ≥ 8 , which make it easy for Cluster (with higher L-shells during most of the orbital period)
251 to identify the plasmaspheric plume structure during moderate geomagnetic storms, especially in the recovery phase. It must
252 be admitted that the magnetic field is assumed to be a dipolar field in this study, so the calculations of electron motions are not
253 entirely correct near the magnetopause. Nonetheless, it can generally reflect the trend of electron density within L-shells ≤ 8.5 ,
254 which is exhibited in Figures 5 and 7.

255 On the other hand, the simulated scale of plasmaspheric plumes during strong geomagnetic storms is different from that during
256 moderate geomagnetic storms. As presented in section 4.3, plasmasphere erosion is extremely intense during the main phase
257 of a strong geomagnetic storm. A great quantity of outer plasmaspheric particles is lost outside the magnetopause. The
258 plasmopause shrank to L-shells < 3 when the recovery phase started, and the residual plasmasphere may be primarily controlled
259 by the corotation electric field. During the recovery phase of strong geomagnetic storm, the plasmaspheric plume is much
260 thinner and narrower than the plasmaspheric plume during a moderate geomagnetic storm. Consequently, the Van Allen Probes
261 more easily identify the structure of plasmaspheric plumes during the recovery phase of strong geomagnetic storms. In addition,
262 the enhanced density near the magnetopause contributed by the stretched plasmaspheric plume is too low during strong
263 geomagnetic storms. The obvious structure of the plasmaspheric plume is confined to lower L-shells. As a result, the Cluster
264 satellites with higher L-shells in most orbital periods have difficulty identifying the structure of plasmaspheric plumes during
265 strong geomagnetic storms.

266 In summary, the main conclusions of the study are as follows:

267 1. The plasmaspheric plume events during the nonstorm period are distributed in all MLTs, but the number of plasmaspheric
268 plume events from the dusk side to the midnight side is the largest. In addition, during geomagnetic storms, the plasmaspheric
269 plume events tend to occur near the dusk side during the recovery phase.

270 2. The plasmaspheric plume in the inner magnetosphere is preferentially observed during strong geomagnetic storms. This
271 result is different from the statistical results of observations near the magnetopause, which suggest that the plasmaspheric
272 plume tends to be observed during moderate geomagnetic storms.

273 3. The evolutions of plasmaspheric plumes during moderate and strong geomagnetic storms were simulated, respectively.
274 During the case of the moderate geomagnetic storm, the wider isolated region of high density contributed by the plume may
275 make it difficult for the Van Allen Probes in the inner magnetosphere to identify the structure as an observed plasmaspheric
276 plume. However, the region of high density contributed by the plasmaspheric plume is narrower near the magnetopause, which
277 makes it easy for the satellite near magnetopause to identify the plasmaspheric plume structure.

278 4. During the case of the strong geomagnetic storm, the plasmopause shrank to a very low L-shell, and the scale of the plume
279 was narrower, and these two results in the Van Allen Probes in the inner magnetosphere frequently identify the structure of
280 the plasmaspheric plume. In addition, the plasmaspheric plume may be confined to lower L-shells, which makes it difficult for
281 the Cluster satellite to identify the plasmaspheric plume structure.

282 Notably, the cases above cannot represent all the evolutions of plasmaspheric plumes during either moderate or strong
283 geomagnetic storm. However, this study provides an alternative mechanism to interpret the different occurrence rates of
284 plasmaspheric plumes detected by different satellites. Furthermore, since a relatively long time is required for the plasmasphere
285 to recover to a normal level after a geomagnetic storm (Xiao-Ting et al., 1988; Chu et al., 2017), we did not consider the
286 refilling process of the plasmasphere from the ionospheric particles drawn upward.

287 More theoretical and comprehensive modeling will be studied in our future project.



288 *Data availability.* The data of EMFISIS aboard Van Allen Probes are download from <http://emfisis.physics.uiowa.edu/Flight/>.
289 The data of OMNI are from <http://cdaweb.gsfc.nasa.gov>.

290 *Author contributions.* The conceptional idea of this study was developed by HL and RT. HL and TF wrote the paper, and RT
291 revised it. ZY and XD substantially helped with the analysis. OZ contributed to the Van Allen Probe data processing. All
292 authors discussed the results.

293 *Competing interests.* The authors declare that they have no conflict of interest.

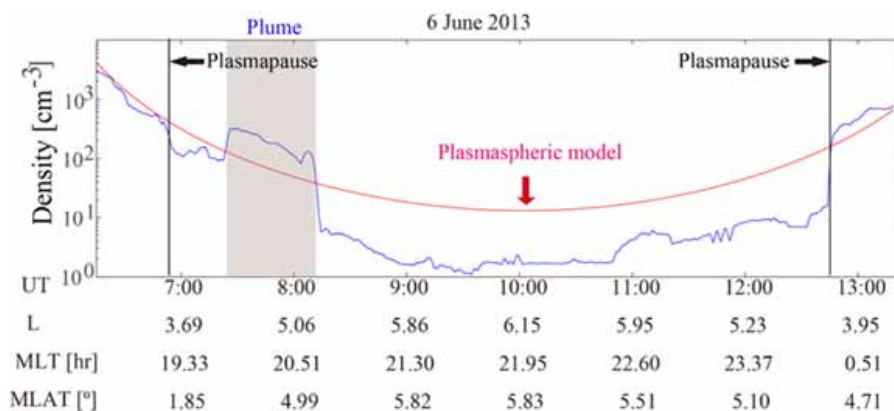
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296 by OMNI at <http://cdaweb.gsfc.nasa.gov>.

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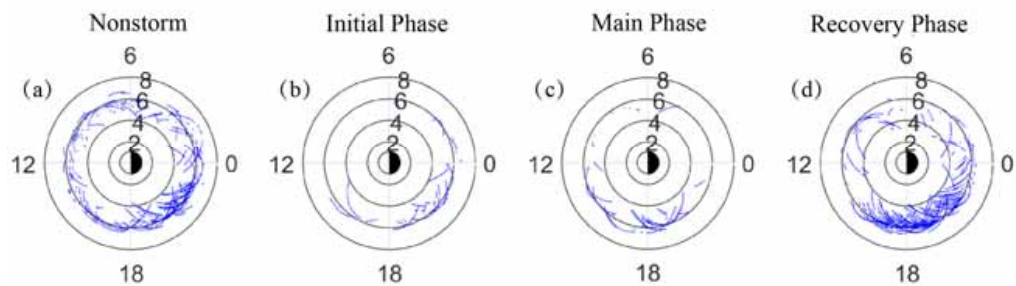
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406 **Figure 1.** A typical example of a plasmaspheric plume measured by Level 4 EMFISIS data sets of Van Allen Probe A. The
 407 measured electron density and the density provided by Sheeley et al. (2001) are indicated by blue and red curves, respectively.
 408 The black vertical lines denote the location of the plasmopause as determined by Moldwin et al. (2002). The gray shadow
 409 indicates the region of the detected plasmaspheric plume.

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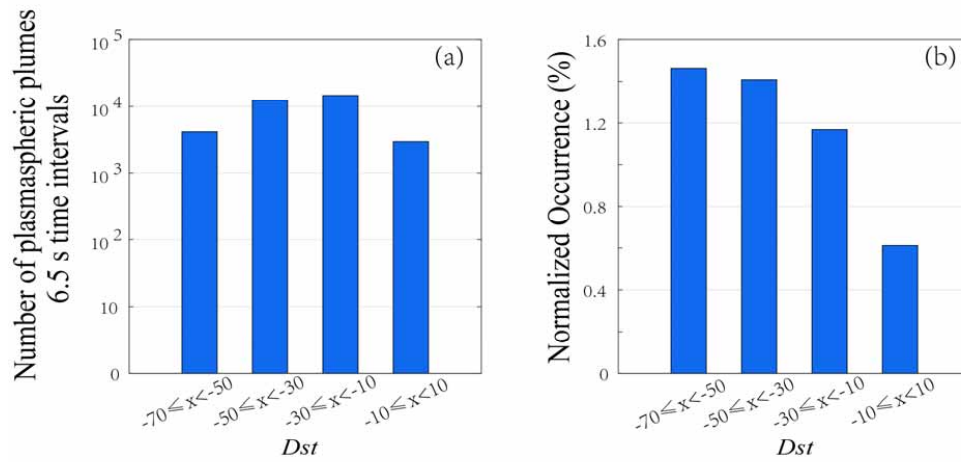


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414 **Figure 2.** The spatial distribution of plasmaspheric plumes (422 total events from January 2013 to December 2018) are shown
415 in the MLT- L plane. (a–d) The distributions of observed plasmaspheric plumes during the nonstorm period (185 events), initial
416 phase (31 events), main phase (32 events), and recovery phase (174 events).

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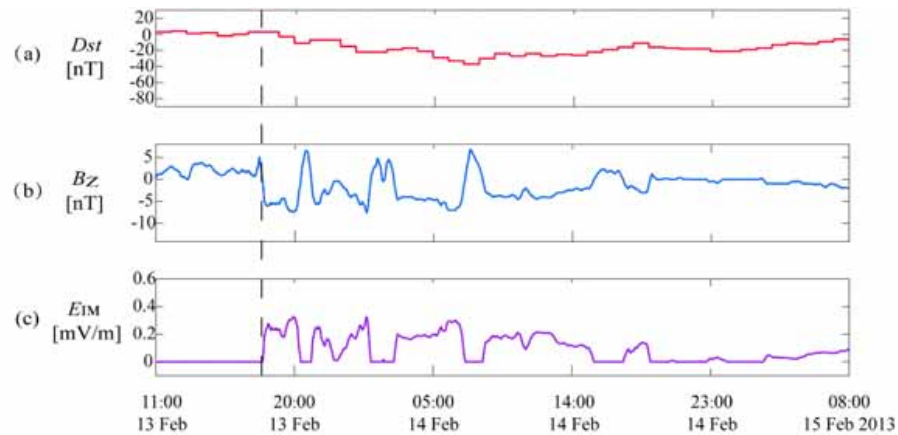
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420 **Figure 3.** (a) The distribution of observed plasmaspheric plume density data points as a function of the minimum *Dst* in the
421 previous 24 hr. (b) The normalized occurrence rates of plasmaspheric plumes in the inner magnetosphere with respect to the
422 minimum *Dst* in the previous 24 hr.

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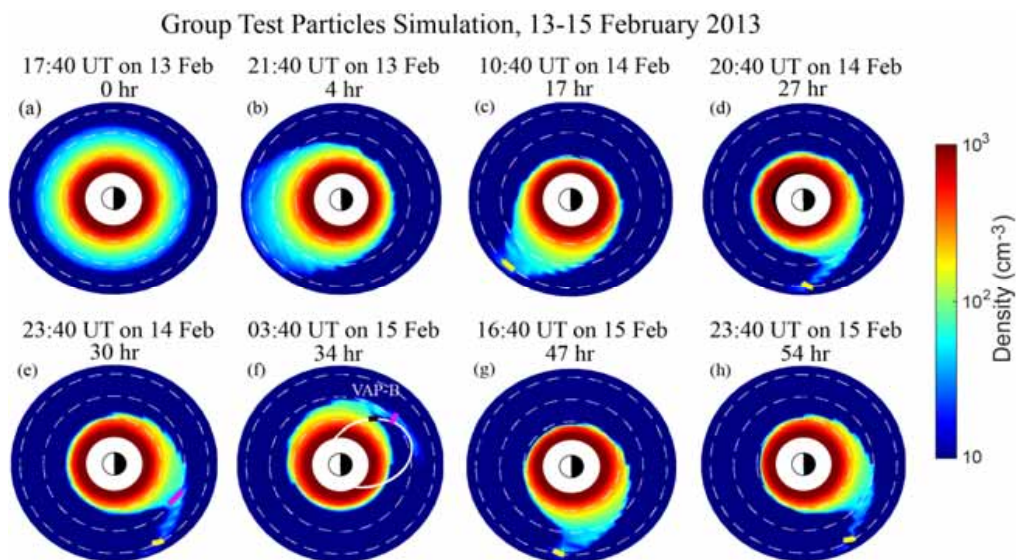
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426 **Figure 4.** Geomagnetic and solar wind conditions on 13-15 February 2013. The vertical dotted line indicates the start time of
427 the test particle simulation (17:40 UT on 13 February 2013). (a) *Dst* index. (b) *z* component of IMF in GSM coordinates from
428 merged 1 min OMNI data. (c) Assumed inner magnetospheric E_{IM} derived from E_{SW} (see text).

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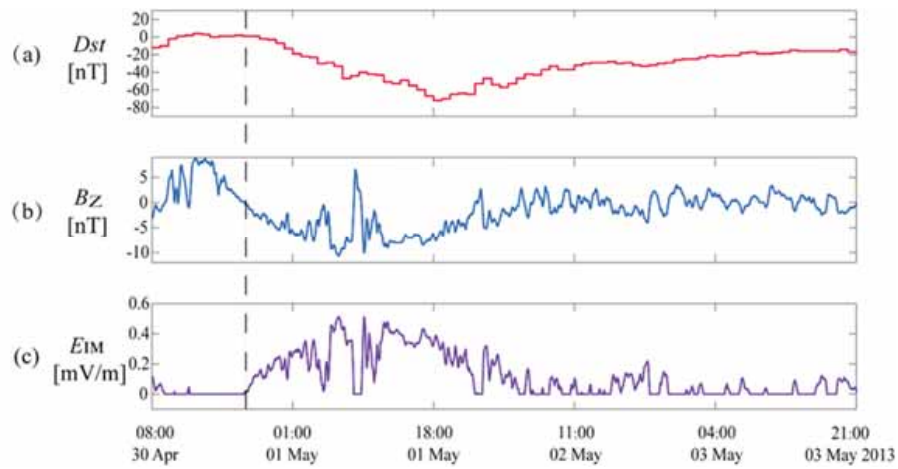
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432 **Figure 5.** The equatorial plots of the simulated plasmasphere and plasmaspheric plume through test particle simulation during
433 13-15 February 2013. The white curve represents the orbit of the Van Allen Probe B satellite from 22:00 UT on 14 February
434 to 07:00 on 15 February 2013. The black curves indicate the observed plasmaspheric plume. The white dashed circles represent
435 L -shells =4, 6, and 8. The time above each panel represents the evolution time of the plasmasphere and plasmaspheric plume.
436 The pink (yellow) curve indicates the range of enhanced density with a specific L -shell =6 (L -shell =8) that meets the standard
437 of a satellite-observable plasmaspheric plume.

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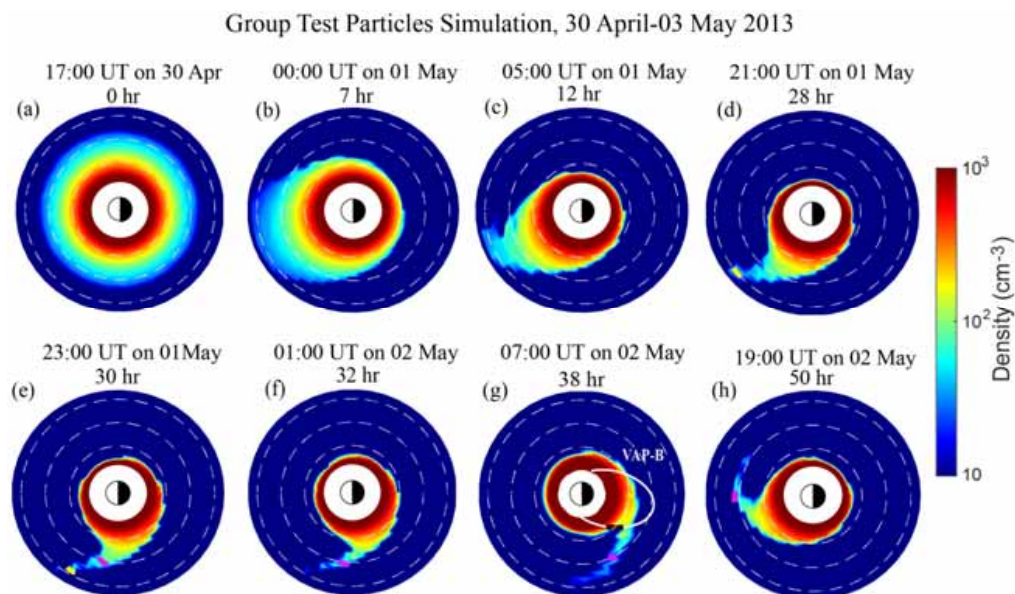


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442 **Figure 6.** Geomagnetic and solar wind conditions on 30 April-03 May 2013. The format is the same as Figure 4.

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446 **Figure 7.** The equatorial plots of the simulated plasmasphere and plasmaspheric plume through test particle simulation during
447 30 April-03 May 2013. The white curve represents the orbit of the Van Allen Probe B satellite from 06:00 UT to 15:00 on 02
448 May 2013. The black curves indicate the observed plasmaspheric plume. The white dotted circles represent $L=4, 6,$ and $8.$ The
449 number on each plot represents the time of evolution. The pink (yellow) curve indicates the range of enhanced density with a
450 specific $L=6$ ($L=8$) that meets the standard of a satellite-observable plasmaspheric plume.

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