

# 1 Dynamics of Variable Dusk-Dawn Flow Associated with Magnetotail 2 Current Sheet Flapping

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## 11 Abstract

12 Previous observations have provided a clear indication that the dusk-dawn ( $v_{Ly}$ ) sense of  
13 both slow ( $< 200 \text{ km s}^{-1}$ ) and fast ( $> 200 \text{ km s}^{-1}$ ) convective magnetotail flows is strongly  
14 governed by the Interplanetary Magnetic Field (IMF)  $B_y$  conditions. The related ‘untwisting  
15 hypothesis’ of magnetotail dynamics is commonly invoked to explain this dependence, in  
16 terms of a large-scale magnetospheric asymmetry. In the current study, we present Cluster  
17 spacecraft observations from 12 October 2006 of earthward convective magnetotail plasma  
18 flows whose dusk-dawn sense disagrees with the untwisting hypothesis of IMF  $B_y$  control of  
19 the magnetotail flows. During this interval, observations of the upstream solar wind  
20 conditions from OMNI, and ionospheric convection data using SuperDARN, indicate a large-  
21 scale magnetospheric morphology consistent with positive IMF  $B_y$  penetration into the  
22 magnetotail. Inspection of the in-situ Cluster magnetic field data reveals a flapping of the  
23 magnetotail current sheet; a phenomenon known to influence dusk-dawn flow. Results  
24 from the curlometer analysis technique suggest that the dusk-dawn sense of the  $\mathbf{J} \times \mathbf{B}$  force  
25 was consistent with localised kinks in the magnetic field and the flapping associated with  
26 the transient perturbations to the dusk-dawn flow observed by the Cluster 1 spacecraft. We  
27 suggest that the IMF  $B_y$  penetration at the location of Cluster was unable to override the  
28 variable dusk-dawn flow associated with the flapping. We conclude that invocation of the  
29 untwisting hypothesis may be inappropriate when interpreting intervals of dynamic  
30 magnetotail behaviour such as during current sheet flapping, particularly at locations where  
31 magnetotail flaring becomes dominant.

**Deleted:** Results from the curlometer analysis technique suggest that the dusk-dawn flow perturbations may have been driven by the  $\mathbf{J} \times \mathbf{B}$  force associated with a dawnward-propagating flapping of the magnetotail current sheet, locally overriding the expected IMF  $B_y$  control of the flows.

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## 40 **1. Introduction**

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42 Convective magnetotail plasma flows at Earth, driven by the closing of magnetic flux via  
43 reconnection as part of the Dungey Cycle (Dungey, 1961) have been studied extensively for  
44 many years (e.g. Angelopoulos et al. 1992, 1994; Sergeev et al., 1996; Petrukovich et al.,  
45 2001; Cao et al., 2006; McPherron et al., 2011; Frühauff & Glassmeier, 2016). Arguably, the  
46 most well studied of these is the Bursty Bulk Flow (BBF). Angelopoulos et al. (1994) defined  
47 BBFs as being channels of earthward plasma flow continually above  $100 \text{ km s}^{-1}$ , exceeding  
48  $400 \text{ km s}^{-1}$  at one point across some interval, usually across a timescale of a few minutes.  
49 The flows are said to be the main transporter of mass, energy and flux in the magnetotail  
50 (e.g. Angelopoulos et al., 1994; Nakamura et al., 2002; Grocott et al., 2004a; Kiehas et al.,  
51 2018). Although their earthward nature is the key defining characteristic of BBFs, they will  
52 invariably exhibit a dusk-dawn component in their bulk flow as well (e.g. Angelopoulos et  
53 al., 1994; Petrukovich et al., 2001; Grocott et al., 2004b). Understanding the drivers of dusk-  
54 dawn asymmetries in magnetospheric dynamics is an important element of geospace  
55 research (e.g. Haaland et al., 2017).

56

57 Magnetotail flows are generally expected to be symmetric about midnight, at least in the  
58 absence of any asymmetry (e.g. Kissinger et al., 2012). A key factor that has been observed  
59 to influence the dusk-dawn direction of the magnetotail flow, however, is the  $B_y$  component  
60 of the Interplanetary Magnetic Field (IMF). It is well established that when the IMF  
61 reconnects with the dayside terrestrial magnetic field, a non-zero IMF  $B_y$  component leads  
62 to asymmetric loading of open flux into the polar cap (e.g. Khurana et al., 1996; Tenfjord et  
63 al., 2015; Grocott et al., 2017; Ohma et al., 2019). This results in a twisting of the  
64 magnetotail whereby the closed field lines are rotated about the midnight meridian, and a  
65  $B_y$  component is superimposed onto the tail field as a consequence of IMF  $B_y$  penetration  
66 (Cowley, 1981; Petrukovich, 2011; Tenfjord et al., 2015). Subsequently, following nightside  
67 reconnection, the tail will untwist (Grocott et al., 2004c), with the excitation of multiple  
68 convective flow bursts, each with an earthward and dusk-dawn component, in the tail and  
69 nightside ionosphere (Grocott et al., 2007). In order to be consistent with the tail  
70 'untwisting hypothesis', any convective flows associated with an individual tail field line

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73 should share the same dusk-dawn direction (e.g. see Figure 3 of Grocott et al., 2005). The  
74 role of IMF  $B_y$  in the untwisting hypothesis has been examined previously in a number of  
75 studies (e.g. Grocott et al, 2007; Pitkänen et al., 2013, 2015, 2017). These studies revealed  
76 that under prolonged positive IMF  $B_y$  conditions, the earthward flows are expected to  
77 exhibit a dawnward component in the northern hemisphere ( $B_x > 0$ ) and a duskward  
78 component in the southern hemisphere ( $B_x < 0$ ), with the opposite correlation for negative  
79 IMF  $B_y$  conditions. This is especially true close to midnight, where the penetration of IMF  $B_y$   
80 is particularly noticeable. Further away from midnight, however, effects such as magnetotail  
81 flaring (Fairfield, 1979) are expected to produce a dominant  $B_y$  component, which may  
82 suppress IMF  $B_y$ -effects on the dusk-dawn asymmetry, resulting in the symmetric earthward  
83 convection of field lines (e.g. see Fig. 2 of Pitkänen et al., 2019). Nevertheless, IMF  $B_y$  has  
84 been shown to govern the dusk-dawn nature of these flows both during periods of steadier,  
85 slower convection (Pitkänen et al., 2019), as well as during more transient, dynamic BBF-like  
86 intervals (Grocott et al., 2007) at up to 7  $R_E$  towards the dusk-dawn flanks (Pitkänen et al.,  
87 2013). In the present study, we present Cluster observations of dawnward and duskward  
88 directed flows that do not match this expected dependence on IMF  $B_y$ , implying that the  
89 untwisting hypothesis is insufficient in this case. In particular, we highlight the problematic  
90 nature of the observation of dawnward flow, in relation to the pre-midnight location of  
91 Cluster. We instead suggest that the flows are being driven by local perturbations due to  
92 dynamic behaviour of the tail that are associated with flapping of the current sheet.  
93  
94 The current sheet, or ‘neutral’ sheet, lies in the equatorial plane at the center of the tail  
95 plasma sheet and separates the earthward ( $B_x > 0$ ) and tailward ( $B_x < 0$ ) directed field (Ness,  
96 1965). The current sheet is a highly dynamic region of the Earth’s magnetotail which can  
97 undergo various types of net motion, such as tilting due to lobe magnetic pressures (Cowley  
98 et al., 1981; Tenfjord et al., 2017) as well as flapping. Flapping of the current sheet can  
99 generally be described as a sinusoidal-like variation in  $B_x$  of up to tens of nanoTesla, where  
100 an observing spacecraft often measures repeated changes in the sign of  $B_x$  (e.g. Runov et al.,  
101 2009), indicative of crossings of the current sheet, with characteristic times ranging from a  
102 few seconds to (more commonly) several minutes (e.g. Runov et al., 2009; Wu et al., 2016;  
103 Wei et al., 2019). Drivers of current sheet flapping have been widely investigated, with  
104 possible causes ranging from external solar wind/IMF changes (Runov et al., 2009),

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109 induction of hemispheric plasma asymmetries (Malova et al., 2007; Wei et al., 2015), fast  
110 earthward flow (Nakamura et al., 2009) as well as periodical, unsteady magnetotail  
111 reconnection (Wei et al., 2019). Studies such as Volwerk et al. (2008) and Kubyshkina et al.  
112 (2014) have illustrated that flapping of the current sheet can be associated with variable  
113 dusk-dawn flow, potentially overriding, or preventing any IMF  $B_y$  control of the flow.

114  
115 In this paper we present Cluster spacecraft observations of an interval of dynamic  
116 magnetotail behaviour on 12 October 2006. Throughout this interval, Cluster 1 observed  
117 oscillations in the magnetic field  $B_x$  component, which we attribute to current sheet  
118 flapping, concurrent with a series of convective fast flows with significant and variable dusk-  
119 dawn components. The  $B_y$  component of the concurrent upstream IMF had been largely  
120 positive for several hours prior to the flapping. Consequently, the interval discussed here  
121 provides an opportunity to investigate the possible competition of two distinct mechanisms  
122 for control of the dusk-dawn flow: 1) IMF  $B_y$  and 2) localized dynamics related to the  
123 flapping of the current sheet. In contrast to studies which have come before such as those  
124 presented by Grocott et al. (2007) and Pitkänen et al. (2015), the observed dusk-dawn  
125 direction of transient flow enhancements in this case disagrees with that which might be  
126 expected from the prevailing IMF  $B_y$  conditions, despite clear evidence for global  
127 penetration of positive IMF  $B_y$ . We therefore suggest that IMF  $B_y$  penetration at the location  
128 of Cluster was unable to overcome the variable dusk-dawn flow associated with the  
129 flapping.

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## 131 2. Instrumentation and Data Sets

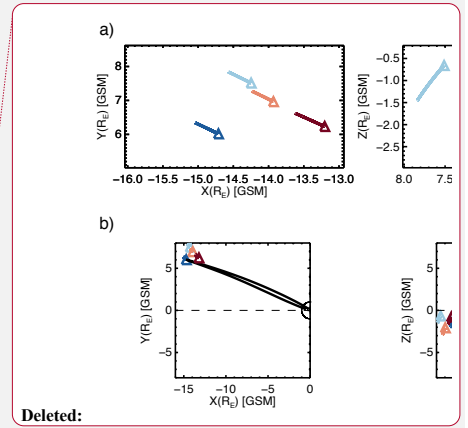
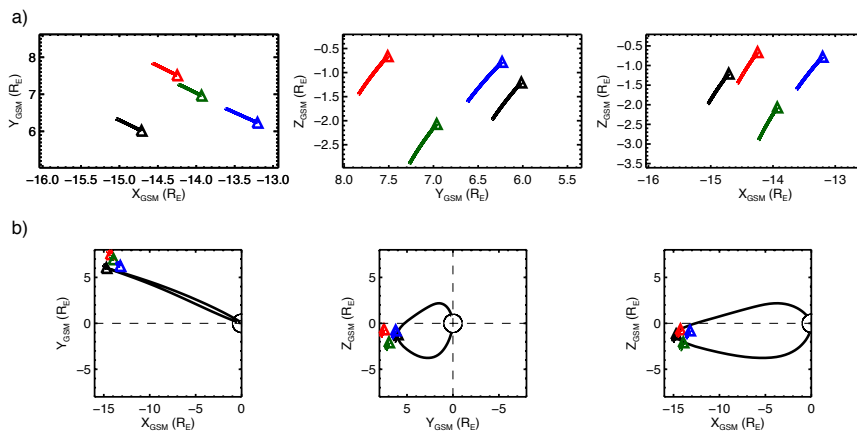
### 132 2.1. Spacecraft Data

133 The magnetospheric observations presented in this case study were made by the Cluster  
134 multi-spacecraft (C1-C4) constellation (Escoubet et al., 2001). We make use of the fluxgate  
135 magnetometer (FGM) onboard the Cluster spacecraft to obtain magnetic field  
136 measurements (Balogh et al., 2001), and obtain our bulk ion velocity data from the Hot Ion  
137 Analyser (HIA) on C1 and C3 calculated as on-board moments (Rème et al., 1997). The  
138 magnetic field data presented are 5 vectors-per-second (0.2s res) which have been 1s  
139 median-averaged, with the velocity data presented having spin resolution of just over 4s.  
140 Where these datasets have been combined to produce parameters such as the plasma beta

144 and field-perpendicular velocities, we have resampled both the magnetic field and plasma  
 145 data to 5s resolution. All data are presented in geocentric solar magnetospheric (GSM)  
 146 coordinates unless stated otherwise.

147  
 148 The interval of study in this paper occurred between 00:00 – 00:55 UT on 12 October 2006.  
 149 At 00:00 UT the Cluster spacecraft were located in the near-Earth magnetotail plasma sheet,  
 150 in the pre-midnight sector. C1 was located at  $(X = -14.7, Y = 6.0, Z = -1.2)$   $R_E$ , C2 at  $(X =$   
 151  $-14.2, Y = 7.5, Z = -0.7)$   $R_E$ , C3 at  $(X = -13.9, Y = 7.0, Z = -2.1)$   $R_E$ , and C4 at  $(X = -13.2, Y = 6.2,$   
 152  $Z = -0.8)$   $R_E$ . This is depicted in Fig. 1a by the colored triangles, along with the respective  
 153 spacecraft trajectories, from 00:00 – 00:55 UT, by the solid lines. Fig. 1b shows a zoomed-  
 154 out version of Fig. 1a, which illustrates the location of the spacecraft with respect to the  
 155 Earth. Fig. 1b also shows a traced modelled magnetic field line, achieved using the semi-  
 156 empirical TA15 model of the magnetosphere (Tsyganenko & Andreeva, 2015), which passes  
 157 through the location of C1 and connects to both the northern and southern hemispheres of  
 158 the Earth. We parameterised the TA15 model using mean-averaged solar wind dynamic  
 159 pressure ( $P_{dyn}$ ), IMF  $B_y$  and IMF  $B_z$  data from the 1-hour interval prior to 00:28 UT (the start  
 160 of our specific interval of interest). These values were  $P_{dyn} = 1.56$  nPa, IMF  $B_y = +1.56$  nT and  
 161 IMF  $B_z = -2.17$  nT. There was also a tailward dipole tilt of  $\approx -12^\circ$ . The model was also  
 162 parameterised with a solar wind coupling function index known as the ‘N index’, after  
 163 Newell et al. (2007). The N index varies between 0 (quiet) and 2 (very active), and in this  
 164 instance was  $\sim 0.4$ .

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171 **Figure 1:** a) The locations of the Cluster spacecraft in the X-Y, Y-Z, and X-Z GSM planes, from  
172 left to right, respectively, at 00:00 UT on 12 October 2006, marked by the triangles. The  
173 trajectories from 00:00 UT to 00:55 UT are marked by the solid lines. The spacecraft are  
174 color-coded according to the key on the right. b) As in a), with a zoomed-out view. The Earth  
175 is shown by the solid circle. A TA15 model magnetic field line passing through the location of  
176 C1 is shown as the solid black line.

177

178 The IMF measurements used in this study were provided by the OMNIweb database at 1-  
179 minute resolution, having been first propagated from L1 to the bow shock nose (King &  
180 Papitashvili, 2005).

181

## 182 2.2. SuperDARN Data

183 The ionospheric observations presented in section 3.3 were provided by the Super Dual  
184 Auroral Radar Network (SuperDARN), an international collaboration of 36 ground-based  
185 radars (Nishitani et al., 2019) that make line-of-sight Doppler measurements of the  
186 horizontal motion of the ionospheric plasma every few seconds (e.g. Chisham et al., 2007).  
187 Here, we use 2-min ionospheric convection maps created by fitting the line-of-sight  $E \times B$   
188 velocity data to an eighth order expansion of the ionospheric electric potential in spherical  
189 harmonics using the technique of Ruohoniemi & Baker (1998), implemented in the Radar  
190 Software Toolkit (RST version 4.2, 2018). To accommodate intervals with limited data  
191 availability, the data are supplemented with values derived from a statistical model  
192 parameterized by IMF conditions. This is a well-established technique that has been  
193 thoroughly discussed by, e.g., Chisham et al. (2007). The convection maps we present  
194 employ the commonly used model of Ruohoniemi & Greenwald (1996). As a check on the  
195 sensitivity of the maps to the choice of model input, we also tested the fitting using the  
196 alternative model of Thomas and Shepherd (2018) and found that this has little impact on  
197 the maps and no impact on our conclusions.

198

199 As a further measure to ensure that the choice of model is not critical to our results, we  
200 chose not to use the concurrent IMF vector to parameterise the background model. In this  
201 case, because we are using the SuperDARN data to provide evidence in support of the  
202 expected large-scale influence of IMF  $B_y$ , we deemed it inappropriate to include model data

203 already parametrised by IMF  $B_y$ . We instead specify a nominal southward IMF with zero  $B_y$   
204 component in our analysis, to ensure that a background model with no pre-existing IMF  $B_y$   
205 influence is used. Although this might result in the patterns we show being less accurate  
206 overall, especially in regions of poor data coverage, it will ensure that any  $B_y$ -associated  
207 asymmetry in the maps is driven by the radar data from our interval of study, and not the  
208 background model. This is discussed further in section 4.1, below.

209

### 210 **3 Observations**

211

212 In this section we present observations of the IMF, magnetotail magnetic field and plasma  
213 flow, and ionospheric convection from an interval on 12 October 2006.

214

#### 215 *3.1 IMF Observations*

216 Figure 2 presents an overview of the spacecraft data from an extended interval around our  
217 period of specific interest for broader context. In Figure 2a, we show a time-series of the  
218 IMF  $B_y$  and IMF  $B_z$  data from 20:00 UT on 11 October to 01:00 UT on 12 October 2006. These  
219 data reveal that IMF  $B_y$  was generally positive for several hours prior to the fast flow  
220 interval, with IMF  $B_z$  predominantly negative. There were three small intervals of negative  
221 IMF  $B_y$  at  $\sim 21:35$  UT, 23:00 UT and 23:40 UT and we discuss the possible ramifications of  
222 these, and our treatment of them, in section 4.1.

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#### 224 *3.2 Cluster Spacecraft Observations*

225 In Figure 2b, we present the in-situ magnetic field and plasma measurements from the  
226 Cluster spacecraft across the interval 00:00 – 00:55 UT.

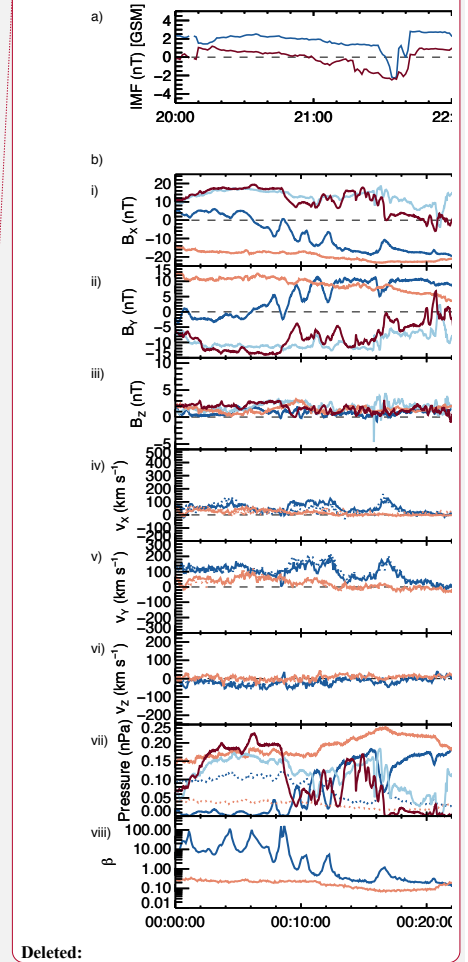
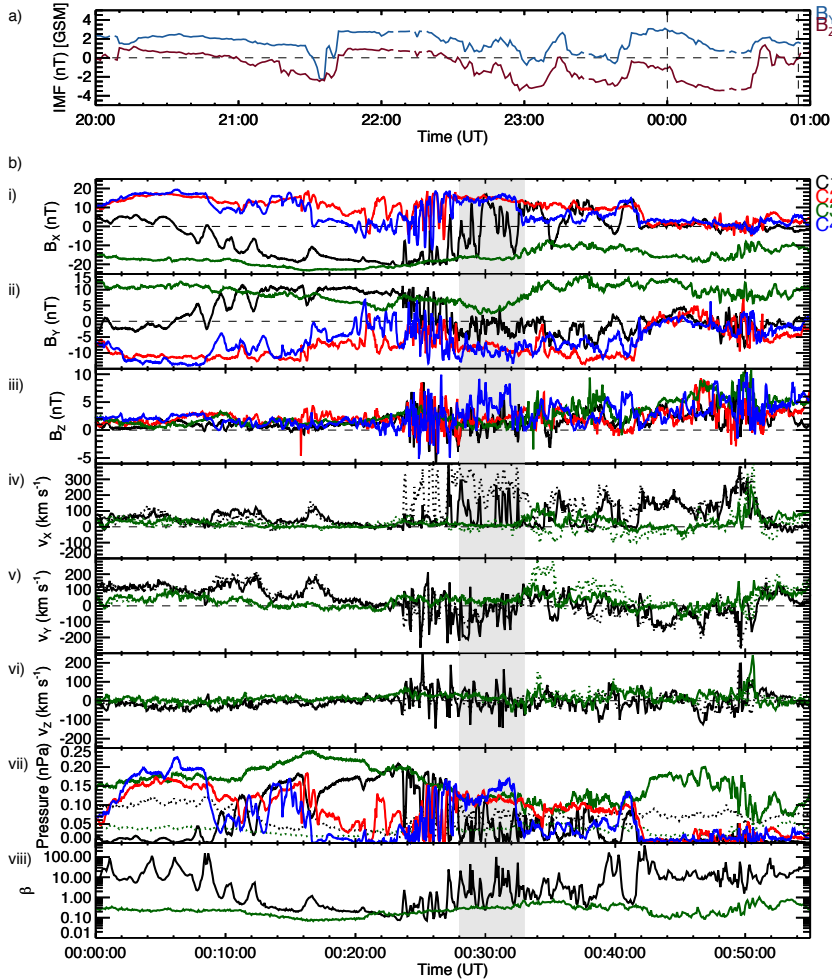
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 233 **Figure 2:** a) A plot of the IMF time series data for the IMF  $B_y$  (blue) and IMF  $B_z$  (red)  
 234 components, from 20:00 UT on 11 October 2006 to 01:00 UT on 12 October 2006. The  
 235 vertical dashed lines indicate the start (00:00 UT) and end (00:55 UT) of the interval of  
 236 Cluster data (below). b) The in-situ Cluster spacecraft measurements. Shown first is the local  
 237 magnetic field data, i)  $B_x$ , ii)  $B_y$  and iii)  $B_z$ , followed by the bulk ion velocity data, iv)  $v_x$ , v)  $v_y$ ,  
 238 and vi)  $v_z$  (dotted lines). The field-perpendicular component of the ion flow (indicative of  
 239 the  $\mathbf{E} \times \mathbf{B}$  convection) is shown in panels iv) to vi) by the solid lines. In panel vii) the magnetic



241  $\left(\frac{B^2}{2\mu_0}\right)$  and thermal ion ( $nkT$ ) pressures are shown by the solid and dotted lines respectively,  
 242 and in panel viii) the ion plasma beta from C1 and C3 is shown. All data are labelled  
 243 according to the color-coded key on the right-hand side. The time-interval between the gray  
 244 shaded region marks our specific interval of interest (discussed in text).

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247 At ~00:06 UT, C1 crossed from the northern hemisphere into the southern hemisphere,  
 248 illustrated by the sign change in  $B_x$  from positive to negative shown in Fig. 2b i). Coincident  
 249 with this, the observed  $B_y$ , shown in Fig. 2b ii) turned from negative to positive, **consistent**  
 250 **with the expected  $B_y$  due to magnetotail flaring (see section 4.2) at this pre-midnight**  
 251 **location (Fairfield, 1979).** Fig. 2b iv) reveals that up until ~00:24 UT, the **bulk** earthward flow  
 252 **( $v_x$ , dotted lines) and field-perpendicular flow ( $v_{\perp x}$ , solid lines)** measured by both C1 and C3  
 253 was generally low in magnitude ( $< 100 \text{ km s}^{-1}$ ). The **dusk-dawn ( $v_y$ )** component of the flow,  
 254 shown in Fig. 2b v), remained steadily duskward ( **$v_y > 0$** ) at C1 and duskward or close to zero  
 255 at C3. The **north-south ( $v_z$ )** component of the flow in Fig. 2b vi), measured by C1 and C3 was  
 256 effectively zero. During this period, the Cluster spacecraft that resided in the northern  
 257 hemisphere (predominantly C2 and C4), observed  $B_x < 0$ , and the spacecraft which resided  
 258 in the southern hemisphere (predominantly C1 and C3) observed  $B_x > 0$ , **again consistent**  
 259 **with magnetotail flaring.** Occasionally a spacecraft encountered the current sheet ( $B_x = 0$ ) at  
 260 which point it observed  $B_y = 0$ . We comment on the significance of these magnetic field  
 261 observations in section 4.2.

262

263 After ~00:24 UT, C1 began to observe a period of enhanced earthward flow  
 264 ( $v_x > 300 \text{ km s}^{-1}$ ) and variable dusk-dawn flow, concurrent with sudden variation in the local  
 265  $B_x$  component. Similarly, C2 and C4, but not C3, observed large magnitude ( $> 20 \text{ nT}$ ) rapid  
 266 variations in  $B_x$ , which appear to have an apparent timescale of around a minute and which  
 267 we attribute to a flapping of the current sheet. As well as rapid variations in  $B_x$ , both the  $B_y$   
 268 and  $B_z$  components of C1, C2 and C4 seemed highly variable. As perhaps to be expected,  
 269 these variations in the magnetic field were accompanied by significant variations in the  
 270 magnetic pressure of ~0.15 nPa, as shown by the solid lines in Fig. 2b vii).

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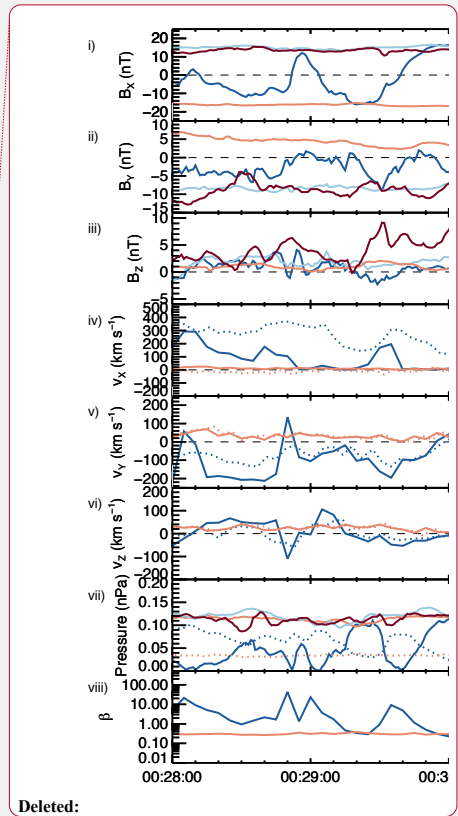
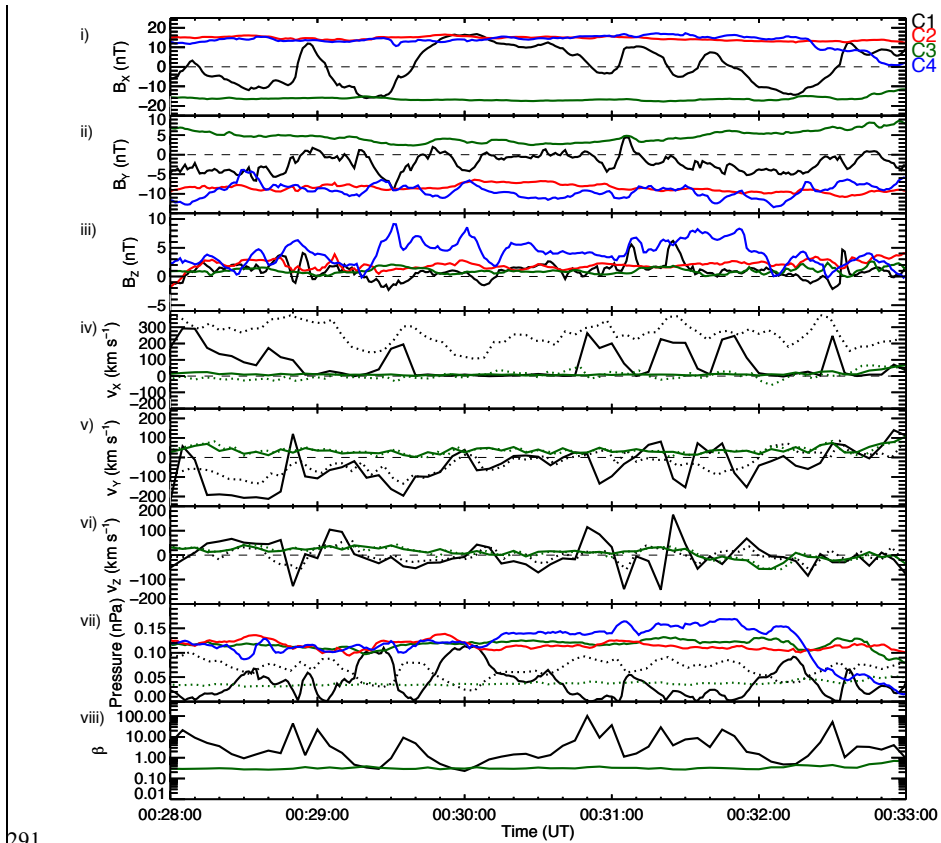
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281 Unlike the other spacecraft, C3 remained in the southern hemisphere throughout the entire  
 282 interval and did not observe the rapid fluctuations in  $B_x$ . Between 00:28 – 00:33 UT (the gray  
 283 shaded region), C1 began to repeatedly and rapidly cross the current sheet, as previously  
 284 experienced by C2 and C4, whilst continually observing enhanced earthward flow and  
 285 variable dusk-dawn convective flow ( $v_{1y}$ ). Across the entire interval, the plasma beta,  $\beta$ ,  
 286 indicated in Fig. 2b viii), measured by C3 remained above  $\sim 0.1$ , with C1's measured  $\beta$   
 287 ranging from 0.1 to over 100. This is consistent with the fact that C1 was continually  
 288 crossing the current sheet at the center of the plasma sheet, where  $\beta$  is larger (Baumjohann  
 289 et al., 1989). It is this interval of current sheet crossing and variable flow observed by C1  
 290 that we focus on below and is presented in more detail in Figure 3.

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 292 **Figure 3:** As in Fig. 2b, but for the interval 00:28 – 00:33 UT on 12 October 2006.

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298 Fig. 3 i) conveys the extent of the large-amplitude  $B_x$  variations observed by C1 between  
 299 00:28 and 00:33 UT.  $B_x$  was generally fluctuating between positive and negative values  
 300 throughout the five-minute interval, with a minimum at  $\sim -16$  nT and maximum at  $\sim 17$  nT.

301 The magnetic pressure at C1 shown by the solid ~~black~~ line in Fig. 3 vii) is consistent with the  
 302 idea that C1 was crossing the current sheet, as this generally reached minima at the center  
 303 of each current sheet crossing ( $B_x \approx 0$ ). The  $B_y$  component (Fig. 3ii) measured by C1 generally  
 304 remained negative and highly variable for the entire interval, with a number of large  
 305 negative enhancements and a few small positive excursions. It is particularly of note that  
 306 when C1 was below the neutral sheet, as implied by a negative  $B_x$  component,  $B_y$  was  
 307 almost always negative. As we discuss in section 4.2, this is inconsistent with what we would  
 308 expect based on the location of the spacecraft and also inconsistent with any expectation  
 309 that a positive IMF  $B_y$  should have penetrated into the tail. The  $B_z$  component (Fig. 3iii)  
 310 generally remained positive with some small negative excursions.

311

312 Unlike C1, C2-4 measured generally steady  $B_x$  throughout this five-minute period. C2 and C4  
 313 measured positive  $B_x$ , indicating that they were above the neutral sheet, and C3 measured  
 314 negative  $B_x$ , indicating that it was below the neutral sheet. Similarly,  $B_y$  was steadily negative  
 315 for C2 and C4 and steadily positive for C3. Again, we note the inconsistency between the C1  
 316 and C3 observations of  $B_y$ ; when in the southern hemisphere C1 generally observed  $B_y < 0$ ,  
 317 whereas C3 observed  $B_y > 0$ . On a few separate occasions C1 did briefly observe  $B_y > 0$  (e.g.  
 318 at 00:31:05 UT) but at these times C1 was located above the neutral sheet ( $B_x > 0$ ), while C2  
 319 and C4 observed  $B_y < 0$  above the neutral sheet. These variations in  $B_y$  imply the observation  
 320 of a 'kink' in the field at the location of C1, the ramifications of which are discussed further  
 321 in section 4.2.

322

323 At times when  $B_x$  observed by C1 was negative, indicating that C1 was below the neutral  
 324 sheet, C1 generally observed negative (dawnward)  $v_{\perp y}$  (Fig. 3v) with a magnitude varying  
 325 between 100 and 200 km s<sup>-1</sup>. At times when  $B_x$  became positive, indicating that C1 was  
 326 above the neutral sheet, C1 observed positive (duskward)  $v_{\perp y}$  a majority of the time,  
 327 although this flow barely reached 100 km s<sup>-1</sup>. The ~~negative~~ enhancements in  $v_{\perp y}$  were

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333 generally accompanied by negative enhancements in  $B_y$ . Across the interval, there was a  
334 near continual  $v_x > 200 \text{ km s}^{-1}$  flow (black dotted line in Fig. 3iv), peaking at almost  $400 \text{ km}$   
335  $\text{s}^{-1}$ , with concurrent peaks in the convective  $v_{\perp x}$  component (solid black line) of at least  
336  $200 \text{ km s}^{-1}$ . The convective flow measured by C3, however, was generally very weak ( $|v_{\perp}| <$   
337  $50 \text{ km s}^{-1}$ ) throughout this period (solid green line in Fig 3iv).  $v_z$  (Fig. 3vi), as measured by  
338 both C1 and C3 remained low in magnitude ( $< 100 \text{ km s}^{-1}$ ) for the duration of the interval,  
339 with a few  $v_{\perp z}$  excursions above  $100 \text{ km s}^{-1}$  observed by C1. The most significant  
340 enhancements in  $v_{\perp z}$  seen by C1 appeared to occur in conjunction with the rapid current  
341 sheet crossings between 00:30:50 and 00:32:00 UT. We discuss the implications of these  
342 observations in the context of the upstream IMF conditions and large-scale magnetospheric  
343 morphology in section 4.

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### 346 3.3 Ionospheric Convection Observations

347

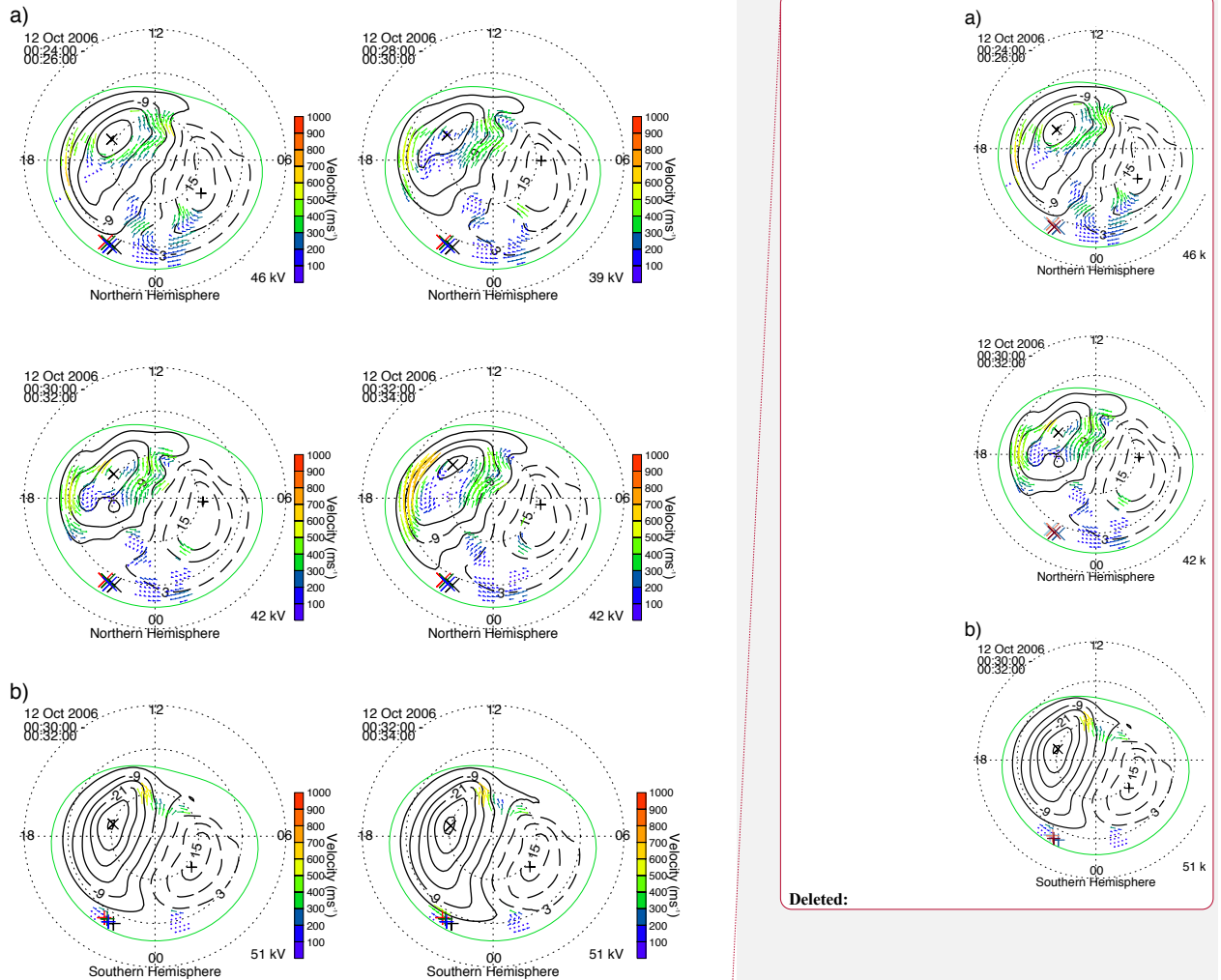
348 To provide the large-scale context in which we can interpret the more localized  
349 observations from the Cluster spacecraft we show ionospheric convection observations in  
350 Figure 4. In Fig. 4a we present a series of four 2-minute integration SuperDARN maps of the  
351 northern hemisphere ionospheric convection pattern, beginning at 00:24 UT, and ending at  
352 00:34 UT, which encompasses our specific interval. In all maps, plasma is flowing anti-  
353 sunward across the polar cap at high latitudes, also with a strong duskward sense, with the  
354 direction of the convection reversing in the pre-midnight sector before returning sunward at  
355 lower latitudes.

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361 **Figure 4:** Maps of the ionospheric plasma convection derived from SuperDARN  
 362 observations. Midnight is to the bottom of each map, noon to the top, dusk to the left and  
 363 dawn to the right. The dashed black circles are spaced every 10° in magnetic latitude. The  
 364 thicker solid and dashed black lines represent the plasma streamlines and are the contours  
 365 of the electrostatic potential. Flow vectors are plotted at the locations of radar observations  
 366 and these are color-coded based on the magnitude of their velocity. a) Four 2-minute  
 367 northern hemisphere maps from 00:24 – 00:26, 00:28 – 00:30, 00:30 – 00:32 and 00:32 –

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369 00:34 UT, respectively. b) Two 2-minute southern hemisphere maps from 00:30 – 00:32 and  
370 00:32 – 00:34 UT, respectively. On each northern (southern) hemisphere map, the  
371 footpoints of the Cluster spacecraft constellation are shown by the X's (+'s), mapped using  
372 the TA15 model.

373

374

375 Owing to the coupled nature of the magnetosphere-ionosphere system, the observed  
376 ionospheric convection pattern is indicative of the global-scale magnetospheric convection  
377 (Cowley, 1981). In this case, the typical symmetrical twin-cell convection pattern has been  
378 rotated clockwise, with the dawn cell extending across into the pre-midnight sector,  
379 indicative of convection that has been driven under the influence of a positive IMF  $B_y$   
380 component (e.g. Reistad et al., 2016, 2018). On each northern hemisphere map, the  
381 footpoints of the Cluster spacecraft constellation are indicated by the crosses (X), mapped  
382 using the TA15 model with the same parameterisation described in section 2.

383

384 Fig. 4b shows two 2-minute integration SuperDARN maps of the southern hemisphere  
385 ionospheric convection pattern, beginning at 00:30 UT, and ending at 00:34 UT. The  
386 associated footpoints of the Cluster spacecraft are indicated by the plus signs (+). Although  
387 the coverage of radar data is much less than in the northern hemisphere, there are data in  
388 the pre- and post-midnight sectors which appears to be influencing the location of the flow  
389 reversal region at the nightside end of the dusk cell. Opposite to the northern hemisphere  
390 case, it is the dusk cell in the south which is extending towards, or just beyond, the midnight  
391 meridian. This is also consistent with a large-scale positive IMF  $B_y$  influence, owing to the  
392 expected north-south asymmetry of the influence of IMF  $B_y$  in the magnetosphere (e.g.  
393 Pettigrew et al., 2010). The significance of these observations is further discussed in section  
394 4.1.

395

#### 396 **4. Analysis and Discussion**

397

398 We have presented observations of a dynamic interval of plasma flows and magnetic field in  
399 the Earth's magnetotail. In this section we discuss our rationale for interpreting the flows as

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401 being inconsistent with large-scale magnetotail untwisting and our interpretation of their  
 402 relationship to current sheet flapping.

403

404 *4.1 Evidence for an inconsistency with large-scale magnetotail untwisting*

405 During the five-minute interval studied (00:28 – 00:33 UT) C1 measured a continually  
 406 fluctuating  $B_x$  component (Fig. 3i), indicative of multiple crossings of the tail current sheet.  
 407 C1 was the only spacecraft to measure this signature across the interval (although similar  
 408 signatures had been observed a few minutes earlier by C2 and C4). C1 also measured a  
 409 series of earthward convective magnetotail fast flows with varying dusk-dawn components.

410 The data in Fig. 3 i) and Fig. 3 v) illustrate that when  $B_x$  was positive (negative), a duskward  
 411 (dawnward)  $v_{\perp y}$  was generally observed. Additionally, the data in Fig. 3 ii) show that C1

412 tended to observe a negative  $B_y$  component. According to the magnetotail untwisting  
 413 hypothesis (e.g. Pitkänen et al., 2015), these flow and magnetic field observations are

414 consistent with a negative IMF  $B_y$  penetration. The IMF data presented in Fig. 2a, on the  
 415 other hand, revealed that IMF  $B_y$  was generally positive for several hours prior to the fast

416 flow interval (00:28 – 00:33 UT). Based on the IMF data alone, therefore, one might expect  
 417 that a positive IMF  $B_y$  will have penetrated into the magnetosphere and thus ought to have

418 determined the “expected” dusk-dawn direction of the flow. In that case, the flows

419 observed here would have a dusk-dawn sense that is not explained by current theoretical  
 420 models of magnetotail untwisting, meaning they are not IMF  $B_y$ -controlled (e.g. Grocott et

421 al., 2007). There are a number of possible explanations for this discrepancy and we address  
 422 each one in turn.

423

424 The first possibility is that our conclusion regarding the expected sense of IMF  $B_y$ -control is  
 425 incorrect. As discussed above, the flows observed by Cluster would be consistent with the

426 magnetotail untwisting hypothesis in the case that we had IMF  $B_y < 0$  penetration. We

427 noted in section 3.1 that there were three small negative IMF  $B_y$  excursions prior to our

428 Cluster observations interval. Although the propagation of the IMF to the bow shock is

429 accounted for in the OMNI data, there is uncertainty regarding the time it takes for the IMF

430  $B_y$  to ‘propagate’ into the magnetotail. Uncertainties in IMF  $B_y$  propagation times (e.g. Case

431 & Wild, 2012) have previously been cited as an explanation for observing an unexpected

432 asymmetry (e.g. Pitkänen et al., 2013). Studies such as Tenfjord et al. (2015, 2017) and Case

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441 et al. (2018), for example, have suggested a reconfiguration time (to the prevailing IMF  $B_y$   
442 conditions) for nightside closed field lines of around 40 minutes. At  $\sim$ 00:28 UT (the  
443 beginning of our specific interval of interest), the IMF  $B_y$  had been positive for around  
444 50 minutes. Based on the Tenfjord timescale, this would thus imply that our interval was  
445 wholly IMF  $B_y > 0$  driven. Other studies, on the other hand, such as Browett et al. (2017),  
446 have shown that longer timescales of a few hours may be important.

447

448 However, for such long timescales to play a role one would expect to have observed a  
449 relatively persistent IMF  $B_y$  component during that time. The integrated IMF  $B_y$  over the  
450 hours prior to our interval was certainly convincingly  $B_y$ -positive, and it seems highly unlikely  
451 that a few minute-long fluctuations into the opposite IMF  $B_y$  polarity, 1 or 2 hours prior to  
452 the flows we observed, could have a significant influence. We can thus be confident that  
453 positive IMF  $B_y$  was governing the global magnetospheric dynamics in this case.

454

455 Despite this convincing argument that the IMF data alone imply a positive IMF  $B_y$   
456 penetration, we performed an additional analysis to further ensure that these negative  
457 excursions did not lead to a change in the global nature of the magnetosphere-ionosphere  
458 system. We inspected the concurrent northern hemisphere SuperDARN data (presented in  
459 Fig. 4a) to provide evidence of the large-scale convection pattern. If the large-scale flow is  
460 consistent with a positive IMF  $B_y$  component, then the magnetotail flows that we observed  
461 must be deviating from this for some reason *and cannot be related to IMF  $B_y$ -control*. The  
462 SuperDARN data indeed confirm that the large-scale morphology of the system was  
463 consistent with a positive IMF  $B_y$  component (e.g. Lockwood 1993; Grocott et al., 2017;  
464 Reistad et al., 2018). This can be inferred from the general shape of the convection pattern,  
465 whereby across multiple maps (00:24 – 00:34 UT) the pattern was rotated clockwise, with  
466 the dawn cell having extended into the pre-midnight sector. That this is the expected  
467 convection pattern for an IMF  $B_y$ -driven magnetosphere is also supported by the concurrent  
468 low level of geomagnetic activity. The auroral AU and AL indices (not shown) confirm that  
469 this interval is geomagnetically quiet (AU and |AL| both less than (or of the order of) 10 nT),  
470 such that the nightside ionospheric convection asymmetry should be driven by IMF  $B_y$  rather  
471 than conductivity-driven features such as the Harang *reversal* which might otherwise

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474 complicate the auroral zone flows (e.g. Grocott et al., 2007; Grocott et al., 2008; Reistad et  
475 al., 2018).

476

477 The validity of the convection observations is further supported by the coverage of nightside  
478 data which were used to constrain the model convection pattern. The data used to create a  
479 SuperDARN convection map are supplemented by data from a statistical model (in this case  
480 Ruohoniemi & Greenwald, 1996) which is typically parameterised by the instantaneous IMF  
481 conditions. In the case that there is a lack of real data coverage, a created SuperDARN map  
482 will be strongly influenced by the model data, as opposed to real data, and thus would  
483 reflect a prediction of convection based on the IMF conditions. The maps shown in Fig. 4a  
484 illustrate that there were dozens of SuperDARN vectors in the midnight sector which were  
485 fitted to create the global convection maps. To confirm that these data were sufficient, and  
486 that the observed large-scale convection pattern was not being driven by model data, we  
487 parameterised the model in our analysis with IMF  $B_y = 0$ . Despite this, a clear IMF  $B_y$ -  
488 asymmetry exists, thus demonstrating that the observed large-scale IMF  $B_y > 0$  global  
489 convection patterns must be data-driven.

490

491 A second possible explanation for the discrepancy between the dusk-dawn direction of the  
492 local and global-scale convection concerns the certainty with which we can determine the  
493 location of the spacecraft with respect to the large-scale convection pattern. The untwisting  
494 hypothesis, as considered by e.g. Pitkänen et al. (2013, 2017), relies on the assumption that  
495 the convection cell to which the spacecraft is connected should be a factor of only  
496 hemisphere and the sense of IMF  $B_x$ . In other words, as discussed above, for IMF  $B_y > 0$ , the  
497 hypothesis dictates that C1 ought to be located on the dawn cell when above the neutral  
498 sheet and the dusk cell when below, at least in the case that the spacecraft is close to  
499 midnight (Grocott et al., 2007). This might be true statistically, but does not account for the  
500 dusk-dawn location of the spacecraft, which in this case was  $6 \lesssim Y \lesssim 7 R_E$ . If, as a result, the  
501 spacecraft was actually located on the dusk cell when above the neutral sheet, and on the  
502 dawn cell when below the neutral sheet, then the sense of the observed plasma sheet flows  
503 would actually be consistent with the large-scale convection.

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515 One way to specify which cell the spacecraft is located within is to map its location into the  
516 ionosphere. This has been done using TA15 and is shown by the crosses (X) on the northern  
517 hemisphere convection maps and by plus signs (+) on the southern hemisphere convection  
518 maps, in Fig. 4a and 4b, respectively. Consider first the northern hemisphere map from  
519 00:30 – 00:32 UT in Fig. 4a: the spacecraft appear to map closer to the dawn cell than the  
520 dusk cell, such that the predominantly duskward flow that C1 observed in the northern  
521 hemisphere plasma sheet, would seem to be inconsistent. However, it is worth considering  
522 that the pre-midnight location of the spacecraft, the proximity of the mapped footpoints to  
523 the dusk cell, and the level of uncertainty generally accepted to be present in field line  
524 mapping, may give credence to the possibility that the spacecraft actually mapped to the  
525 dusk cell in the northern hemisphere. If this was the case, then the northern hemisphere  
526 flows observed by C1 would actually be consistent with the large-scale convection pattern.  
527 However, if we consider the southern hemisphere maps in Fig. 4b we can be more certain of  
528 which cell the spacecraft map to. Owing to the IMF  $B_y$  positive nature of the convection (i.e.  
529 the more extended southern hemisphere dusk cell) and the pre-midnight location of the  
530 spacecraft, the footpoints are located quite convincingly on the dusk cell. This is despite the  
531 dusk-dawn asymmetry being less pronounced than that seen in the northern hemisphere  
532 (and the associated poorer coverage of southern hemisphere SuperDARN data). When  
533 below the neutral sheet C1 observed downward flows, meaning it would have to have been  
534 on the southern hemisphere dawn cell to be consistent with the large-scale convection,  
535 which is clearly not the case. Indeed, the observed downward flow in the southern  
536 hemisphere at this location could only be interpreted in terms of the untwisting hypothesis  
537 for a situation where we had clear IMF  $B_y < 0$  penetration (and associated extended dawn  
538 cell), which has already been ruled out. C3, meanwhile, continually observed duskward flow,  
539 which appears to be consistent with the larger-scale convection. It seems much more likely,  
540 therefore, that C1 observed flow that was associated with localized magnetic field dynamics  
541 rather than being a signature of the large-scale convection.

542  
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#### 544 4.2 Evidence for a local perturbation in the magnetotail

545 The lack of consistency with the large-scale convection leads us to a third explanation for  
546 our observations, which is that there is a local perturbation within the tail that is

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552 independent of any large-scale, IMF  $B_y$ -controlled asymmetry associated with magnetotail  
553 untwisting. This is supported by the observations from the other Cluster spacecraft. The  
554 low-level of flow seen by C3 is mostly duskward (Fig. 3v) and therefore consistent with the  
555 idea of untwisting under IMF  $B_y > 0$ , given its southern hemisphere location; although, it  
556 should be noted that this observation would also be consistent with the expected duskward  
557 flow in a pre-midnight location even in the absence of a large-scale asymmetry (e.g.  
558 Kissinger et al., 2012). Further, in Fig. 2b v), up until the rapid  $B_x$  variations began at ~00:24  
559 UT, fast duskward flow in the southern hemisphere was also seen by C1. The fact that C3  
560 continued to then observe steady duskward flow, and no significant  $B_x$  change, suggests  
561 that the change in the nature of the C1 observations after 00:24 UT must in-fact be due to  
562 some localized process that was responsible for driving the dawnward component of the  
563 flows which was only observed by C1.

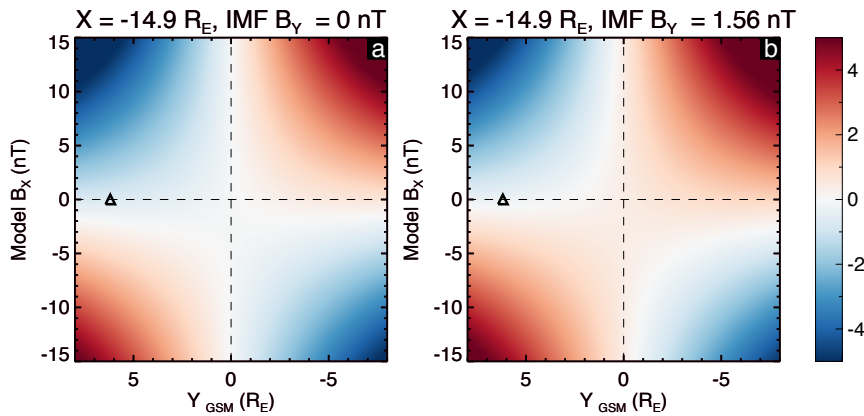
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565 This idea of a local perturbation is also supported by the variations in the local  $B_y$   
566 component. Fig. 3 ii) illustrates the in-situ variations in  $B_y$  with time across the interval.  
567 Despite there clearly being positive IMF  $B_y$  penetration globally (as confirmed by inspection  
568 of the OMNI and SuperDARN data), C1, C2 and C4 all recorded mostly negative local  $B_y$   
569 values. In the studies of, e.g., Pitkänen et al. (2013, 2017) this observation would have been  
570 offered as evidence of a negative of IMF  $B_y$  penetration, thus supporting the untwisting  
571 hypothesis. However, it is important to note that a negative local  $B_y$  component may be  
572 wholly consistent with positive IMF  $B_y$ . There are, in fact, multiple sources of  $B_y$  in the tail,  
573 such as magnetotail flaring (Fairfield, 1979), as well as tilt effects and current sheet warping  
574 (see e.g. Petrukovich et al., 2005), in addition to a penetration of the IMF  $B_y$ . To fully  
575 interpret the magnetic field observations, we must therefore consider the possible effects  
576 of these phenomena on the presence of  $B_y$  in the tail at the specific location of each  
577 spacecraft.

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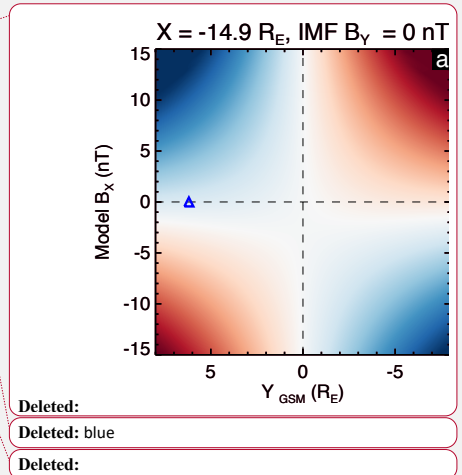
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583 **Figure 5:** TA15 model magnetic field data. In each case, plotted is Y vs  $B_x$  [GSM], (at  
 584  $X = -14.9 R_E$ , i.e. the X position of C1 at  $\sim 00:28$  UT on 12 Oct 2006), with the TA15 modelled  
 585  $B_y$  value shown by the color bar on the right. The **black** triangle shows the Y-location of C1,  
 586 at  $B_x = 0$ . In panel (a) we have imposed IMF  $B_y = 0$ , and for panel (b) we have used the 1-  
 587 hour mean-averaged IMF  $B_y$  (+1.56 nT) in the hour prior to 00:28 UT.

588

589 To aid in this interpretation, we present TA15 model magnetic field data in Figure 5, to  
 590 provide an indication of the expected background  $B_y$ -component at the time of our interval.  
 591 These data, from  $X = -14.9 R_E$ , are plotted against Y [GSM]-position on the horizontal axis,  
 592 and against the  $B_x$ -component on the vertical axis. We have reversed the conventional  
 593 direction of the horizontal axis (negative to positive from left to right) to be consistent with  
 594 a view looking earthward from downtail. In panel (a) we show the field for the case that IMF  
 595  $B_y = 0$  and in panel (b) the case that IMF  $B_y = +1.56$  nT (the 1-hour mean-averaged IMF  $B_y$  in  
 596 the hour prior to 00:28 UT). The first conclusion we can make from consideration of the  $B_y$   
 597 component in Fig. 5a is how, even under no IMF  $B_y$  penetration, a 'background'  $B_y$  value will  
 598 exist in the tail purely dependent on location. In such a 'symmetric' tail, one would expect  
 599 the background  $B_y$  value to appear as one moves away from midnight toward the dusk-  
 600 dawn flanks, as well as further above and below the neutral sheet. Pre-midnight, we would  
 601 expect to observe negative  $B_y$  above the neutral sheet ( $B_x > 0$ ), and positive  $B_y$  below the



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606 neutral sheet ( $B_x < 0$ ), with the opposite effect post-midnight. This is the well known  
 607 magnetotail flaring effect (Fairfield, 1979).

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609 The data in Fig. 5a also show the effect of the negative (tailward) dipole tilt (as appropriate  
 610 to our study interval) and current sheet warping on the local  $B_y$  component. According to  
 611 Petrukovich (2011), the current sheet warping (controlled by the dipole tilt) is expected to  
 612 add a negative  $B_y$  component pre-midnight and a positive  $B_y$  component post-midnight.  
 613 Furthermore, the ‘even tilt’ effect is expected to add a negative  $B_y$  component to both the  
 614 pre and post-midnight sectors for a negative tilt. This leads to the effect seen in Fig. 5a  
 615 where in the pre-midnight sector, the location of the  $B_y$  polarity change occurs in the  
 616 southern hemisphere (at  $B_x \approx -3$  nT).

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618 Fig. 5b illustrates the scenario relevant to our case study, where we have additionally a  
 619 global positive IMF  $B_y$  penetration. This additional positive  $B_y$  has the effect of moving the  
 620 location of the pre-midnight  $B_y$  polarity change back up towards the neutral sheet. This  
 621 explains why the Cluster spacecraft observed  $B_y \approx 0$  at times of  $B_x \approx 0$  during the few tens of  
 622 minutes prior to our interval, as noted in section 3.2. This also explains why C2-3 and C4  
 623 observed the polarity of  $B_y$  that they did throughout the interval. It is thus clear that positive  
 624 IMF  $B_y$  penetration does not mean we should expect to observe positive  $B_y$  everywhere in  
 625 the tail, rather, it simply means that there is expected to be some positive  $B_y$  perturbation  
 626 to the already present ‘background’  $B_y$  at a particular location. As Fig. 5b demonstrates, C2  
 627 and C4 (located above the neutral sheet) are expected to have observed negative  $B_y$  even  
 628 though positive IMF  $B_y$  has penetrated into the magnetotail, illustrating that the flaring  
 629 effect is generally dominant at the spacecraft location. The background  $B_y$  expected at their  
 630 location (pre-midnight,  $B_x > 0$ ), is negative and the IMF  $B_y$ -associated perturbation was not  
 631 large enough to enforce a sign change in  $B_y$ .

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633 The Cluster spacecraft in our study were all located pre-midnight (+Y GSM). From Figure 3,  
 634 C2 and C4 observed positive  $B_x$ , and negative  $B_y$ , and at ~00:28 UT were located at around  
 635  $Z = -1 R_E$  (Figure 1). C3, however, observed negative  $B_x$  and positive  $B_y$ , and was located at  
 636 around  $Z = -2.5 R_E$ . The location of the neutral sheet at ~00:28 UT can therefore be said  
 637 (locally) to have been somewhere between  $-1$  and  $-2.5 R_E$  in  $Z$ . C1 was located at around  $Z =$

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647  $-1.5 R_E$  and, throughout the five-minute interval, observed a  $B_x$  which continually fluctuated  
648 from positive to negative, yet observed mostly weakly negative  $B_y$ . For  $B_y$  to have remained  
649 negative, despite C1 moving above and below the neutral sheet, suggests that there was a  
650  $B_y$  negative 'kink' in the magnetotail that was localized to the vicinity of C1. This is further  
651 supported by the fact that numerous (albeit brief) positive  $B_y$  excursions occurred when C1  
652 was above the neutral sheet (as noted in section 3.2). We use the term 'kink' to highlight a  
653 deformation in the nearby field lines which results in the observed perturbations to the local  
654  $B_y$  component. We suggest that this deformation could be relatively small in terms of field  
655 line length, much like a kink in a cable or wire. In the following section, we investigate this  
656 kink in relation to the observed current sheet flapping.

657  
658

#### 659 *4.3 Evidence for current sheet flapping as a source of the asymmetric flows*

660 If a localized magnetic field perturbation was associated with the lack of observation of the  
661 expected dusk-dawn flow for magnetotail untwisting, investigating its cause seems a  
662 worthwhile endeavour. The clear sinusoidal-like variation in  $B_x$  observed by C1, which is  
663 evidence of current sheet flapping (e.g. Runov et al., 2009), provides us with a starting point  
664 for this investigation. This flapping must be highly localized as at the time of our five-minute  
665 flow interval (00:28 -00:33 UT), only C1 observed the flapping. MVA analysis (Sonnerup &  
666 Cahill, 1967) suggests that the flapping was a kink-like wave which was propagating  
667 dawnward (Rong et al., 2015; Wu et al., 2016), and therefore may have been a source of the  
668 observed dusk-dawn flow.

669

670 The causes of current sheet flapping have been discussed previously (Runov et al., 2009;  
671 Wei et al., 2019). One such cause has been attributed to localized, periodical reconnection –  
672 a process known to drive Bursty Bulk Flows (BBFs) in the magnetotail (Angelopoulos et al.,  
673 1994; Zhang et al., 2016). In fact, BBFs excited directly as a result of reconnection in the tail  
674 have been previously linked to magnetic fluctuations in the current sheet (Nakamura et al.,  
675 2009; Wu et al., 2016). Examining the data presented in Fig. 3 iii) and Fig. 3 iv), we note that  
676 C1 measured a generally positive  $B_z$ , with a few negative blips, as well as continually fast ( $v_x$   
677  $> 200 \text{ km s}^{-1}$ ) earthward flow, peaking at over  $370 \text{ km s}^{-1}$  with bursts of enhanced  
678 convective flow ( $v_{\perp x} > 200 \text{ km s}^{-1}$ ) also apparent. These observations are fairly consistent

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681 with (if slightly slower than) the original definition of a BBF (Angelopoulos et al., 1994). This,  
682 along with the absence of similar flow observations in the C3 data, suggests that C1 may  
683 have been located earthward of a localized reconnection site (owing to  $B_z > 0$ ), where  
684 persistent, localized reconnection was exciting fast earthward flow. The reconnection  
685 process may then have been driving the current sheet flapping, inducing the localized kink in  
686 the field, and ultimately controlling the dusk-dawn direction of the convective flow.

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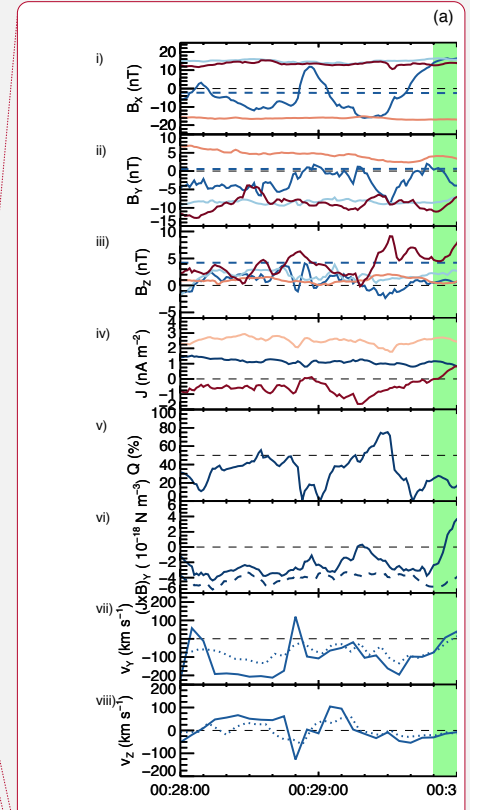
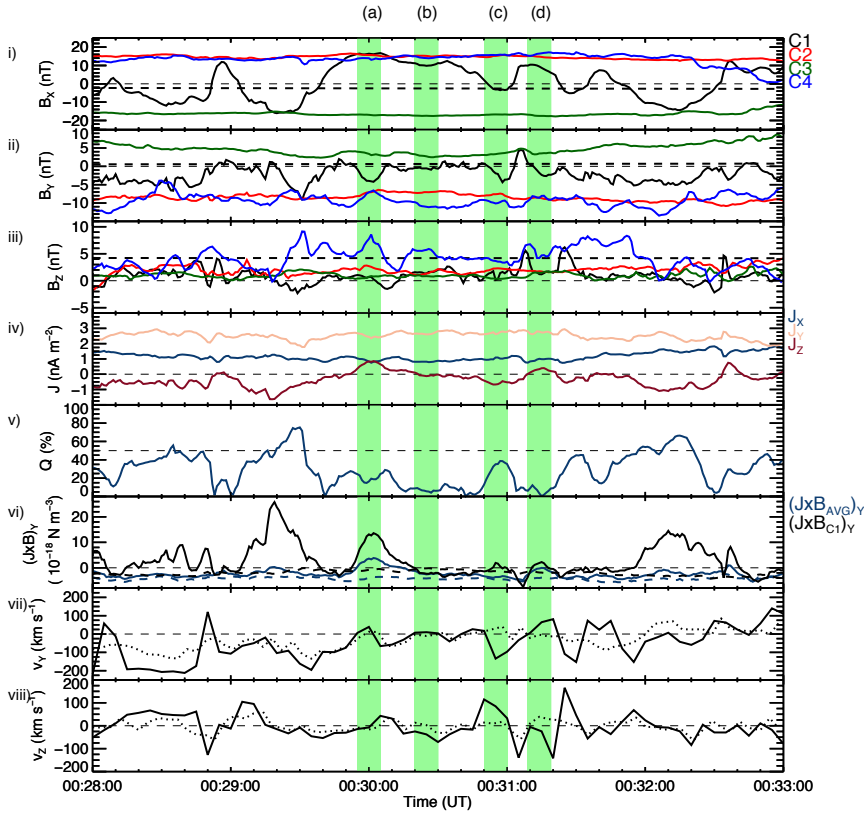
689 It is well known that the magnetic tension force is responsible for the acceleration of plasma  
690 following reconnection (Karlsson et al., 2015). Our observations of a dusk-dawn flow  
691 component may be related to the localized magnetic tension forces driving and directing  
692 plasma flows in association with the flapping. In order to provide some scope to this  
693 suggestion, we attempted to find the direction of the  $\mathbf{J} \times \mathbf{B}$  forces acting on the plasma. We  
694 used the curlometer technique (Dunlop et al., 1988, 2002), to estimate the average current  
695 density,  $\mathbf{J}$ , flowing through the volume bound by the spacecraft tetrahedron. The  $\mathbf{J} \times \mathbf{B}$   
696 force density [ $\text{N m}^{-3}$ ] is then calculated, firstly, by taking the cross product of  $\mathbf{J}$  with the  
697 average magnetic field vector  $\mathbf{B}$  from the four-spacecraft ( $\mathbf{B}_{AVG}$ ). We also calculate  $\mathbf{J} \times \mathbf{B}$   
698 using solely  $\mathbf{B}$  from C1 ( $\mathbf{B}_{C1}$ ), in order to provide a more local estimate for  $\mathbf{J} \times \mathbf{B}$  at the  
699 location of C1.

700

701 In order to check the validity of using the curlometer approach, we calculated the quality  
702 parameter,  $Q$ , defined as  $|\nabla \cdot \mathbf{B}|/|\nabla \times \mathbf{B}|$ . It is generally accepted that a value of  $Q < 0.5$  is  
703 required for a current estimate to be valid. Hence, the value of  $Q$ , along with due  
704 consideration of the spacecraft configuration and its orientation relative to the magnetic  
705 field structure, may be used as a monitor of how reliable the curlometer approach is  
706 (Dunlop et al., 2002). This is discussed further below, in reference to the analysis shown in  
707 Figure 6.

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 711 **Figure 6:** i-iii) The local magnetic field vector  $\mathbf{B}$  ( $B_x$ ,  $B_y$ ,  $B_z$ ) observed by C1-4, as shown  
 712 previously (solid lines) and the TA15 modelled  $\mathbf{B}$  vector for C1 (dashed black lines). iv) The  
 713 components of the current density vector  $\mathbf{J}$  ( $J_x$ ,  $J_y$ ,  $J_z$ ), v)  $Q$ , vi)  $(\mathbf{J} \times \mathbf{B}_{AVG})_y$  (solid blue line)  
 714 and  $(\mathbf{J} \times \mathbf{B}_{C1})_y$  (solid black line). The dashed blue and black lines indicate the equivalent  
 715 calculation where the TA15 model  $\mathbf{B}$  field of C1 has been used (see text). vii)  $v_y$  ( $v_{1y}$  in solid  
 716 lines), observed by C1 and viii)  $v_z$  ( $v_{1z}$  in solid lines), also observed by C1. The green  
 717 highlighted regions labelled (a), (b), (c) and (d) correspond to four specific time-windows of  
 718 interest (discussed in-text).  
 719

720 Shown in Fig. 6 i-iii) are the local magnetic field  $B_x$ ,  $B_y$  and  $B_z$  components, as presented  
 721 previously. In Fig. 6 iv) are the current density  $J_x$ ,  $J_y$  and  $J_z$  components determined from the



730 curlometer analysis. In Fig. 6 vi) is the dusk-dawn component of  $\mathbf{J} \times \mathbf{B}_{AVG}$  and  $\mathbf{J} \times \mathbf{B}_{C1}$ .  
 731 Finally, in Fig. 6 vii) and viii) are the dusk-dawn and north-south components of the flow  
 732 (and field-perpendicular flow) observed by C1, as shown previously. In panels (i-iii), the  
 733 dashed black line represents the TA15 modelled magnetic field (see section 4.2) at the  
 734 location of C1. In panel (vi) the dashed blue and black lines represent the  $(\mathbf{J} \times \mathbf{B}_{AVG})_y$  and  $(\mathbf{J}$   
 735  $\times \mathbf{B}_{C1})_y$  forces, respectively, where  $\mathbf{J}$  and  $\mathbf{J} \times \mathbf{B}$  have been computed using the model field  
 736 at the location of C1 and the true magnetic fields measured by C2-C4. These 'model  $(\mathbf{J} \times \mathbf{B})_y$   
 737 forces' have been computed to provide an illustration of what one would expect the  
 738 'unperturbed' magnetic field of C1 and the associated  $(\mathbf{J} \times \mathbf{B})_y$  force to look like, in the  
 739 absence of any dynamical effects such as current sheet flapping or field line 'kinking'. In  
 740 both cases, the model  $(\mathbf{J} \times \mathbf{B})_y$  forces are weakly downward, consistent with the  
 741 'background curvature' of the magnetic field at this pre-midnight location (see Fig. 7). Fig. 6  
 742 v) suggests that our curlometer approach is generally appropriate, as  $Q$  mostly remains  
 743 below 50% (horizontal dashed line) for the five-minute interval. We note that, unlike in  
 744 previous studies which have used the curlometer technique at inter-spacecraft separation  
 745 distances of  $\ll 1 R_E$  (e.g. Dunlop et al., 2002; Runov et al., 2003), in our case the Cluster  
 746 spacecraft separation is large ( $\gtrsim 1 R_E$ ). Therefore, the curlometer is likely to be an  
 747 underestimate of the true current at these scale sizes. Critically, however, the spacecraft  
 748 configuration is such that the estimate of the direction of the currents should be stable.  
 749 Thus, although the volume enclosed by the spacecraft is greater than the scale sizes of the  
 750 current sheet flapping and kink, a reliable estimate of the direction of the net  $\mathbf{J} \times \mathbf{B}$  force  
 751 within the enclosed volume may still be obtained.

752  
 753 Two key features of Figure 6 are apparent. Firstly, it appears as though the perturbations to  
 754  $(\mathbf{J} \times \mathbf{B})_y$  are mostly associated with the magnetic field perturbations generally only observed  
 755 by C1. This is made apparent by comparing  $(\mathbf{J} \times \mathbf{B}_{C1})_y$  with  $(\mathbf{J} \times \mathbf{B}_{AVG})_y$ , where the  
 756 perturbations are much larger in magnitude for  $(\mathbf{J} \times \mathbf{B}_{C1})_y$ . We also note that both  
 757  $(\mathbf{J} \times \mathbf{B}_{AVG})_y$  and  $(\mathbf{J} \times \mathbf{B}_{C1})_y$  are effectively always positive with respect to their model  
 758 equivalents. However,  $(\mathbf{J} \times \mathbf{B}_{AVG})_y$  is still mostly net negative whereas  $(\mathbf{J} \times \mathbf{B}_{C1})_y$  is net  
 759 positive. This suggests that using  $\mathbf{B}_{C1}$ , rather than  $\mathbf{B}_{AVG}$ , in calculating  $(\mathbf{J} \times \mathbf{B})_y$  has overall

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776 reduced the effects of the larger-scale background field curvature (incorporated by  
 777 including the other spacecraft). Second, the magnetic field and flow dynamics evident in Fig.  
 778 6 appear to almost always be associated with positive (duskward) enhancements in  $(\mathbf{J} \times \mathbf{B})_y$ ,  
 779 in contrast to the model downward sense of  $(\mathbf{J} \times \mathbf{B})_y$ . This is particularly evident in the case  
 780 of  $(\mathbf{J} \times \mathbf{B}_{C1})_y$ , but also generally true in the case of  $(\mathbf{J} \times \mathbf{B}_{AVG})_y$ . We therefore suggest that  
 781 the dynamic behaviour of  $(\mathbf{J} \times \mathbf{B})_y$  is simply consistent with the localised kinks and flapping  
 782 in the magnetic field that are associated with the transient perturbations to the dusk-dawn  
 783 flow observed by C1.

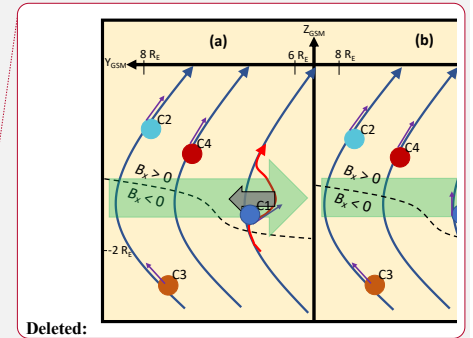
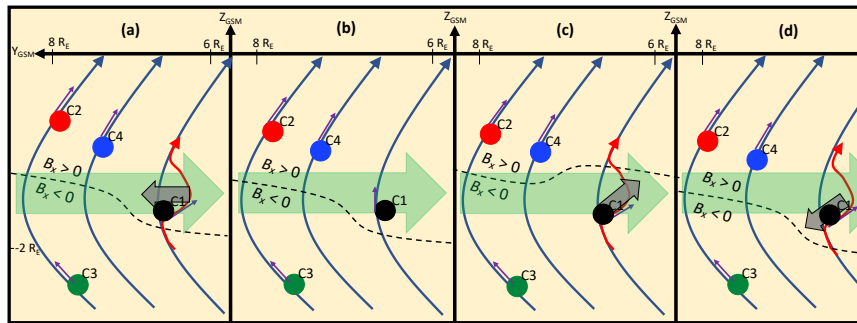
784  
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 786 *4.4 Visualization of the observed dynamics*

787 In an effort to visualize these plasma sheet dynamics, we show in Figure 7 a series of  
 788 sketches that attempt to associate the observed magnetic field perturbations with the  
 789 observed dusk-dawn convective flows. The panels correspond to the four time windows  
 790 indicated on Figure 6 by the highlighted regions labelled a-d. In each panel, we indicate the  
 791 approximate relative position of the 4 Cluster spacecraft in GSM coordinates, and the  
 792 appropriate sense of  $B_y$  measured by each spacecraft is shown by the purple arrows at each  
 793 spacecraft location (the Z-component of the field was in fact generally small, and has been  
 794 exaggerated here for illustrative purposes). We also superimpose nominal plasma sheet  
 795 field lines (again with an exaggerated extent in Z) that display the sense of  $B_y$  implied by the  
 796 TA15 data presented in Figure 5 (long blue curved arrows). The dashed lines represent the  
 797 location of the neutral sheet at the end of each time window. This is tilted slightly, as  
 798 appropriate for IMF  $B_y > 0$ , but with the end-state of the “flap” of the current sheet implied  
 799 by the sign of  $B_x$  observed by C1. In red is the perturbation to the field implied by the sign of  
 800  $B_y$  observed by C1.  
 801

**Deleted:** Second, the downward flow bursts (reproduced in Fig. 6 vii) tend to occur when  $(\mathbf{J} \times \mathbf{B})_y$  is more negative, with the weak duskward flow bursts occurring when  $(\mathbf{J} \times \mathbf{B})_y$  is less negative. We note that there is not a one-to-one correlation between the  $(\mathbf{J} \times \mathbf{B})_y$  and  $v_{\perp y}$  data. This could well be due to the large volume over which  $\mathbf{J} \times \mathbf{B}$  is being averaged and we make no attempt to interpret the detailed variations in  $(\mathbf{J} \times \mathbf{B})_y$  implied by these data. However, as this region of space will contain the localized flapping and kink, the calculated  $\mathbf{J} \times \mathbf{B}$  should be influenced by these dynamics and hence still provide an indication of the forces acting within that region. The consistency between the direction of  $(\mathbf{J} \times \mathbf{B})_y$  and  $v_{\perp y}$ , therefore suggests that the  $\mathbf{J} \times \mathbf{B}$  force associated with the current sheet flapping is exerting some level of control over the direction of the convective flow. We also note that the  $(\mathbf{J} \times \mathbf{B})_y$  force is effectively always less negative than the model  $(\mathbf{J} \times \mathbf{B})_y$  force. As can be seen in Figure 6 vi), the model  $(\mathbf{J} \times \mathbf{B})_y$  force is acting steadily downward, consistent with the duskward location of the spacecraft and suggesting that the curlometer analysis is simply picking up the  $(\mathbf{J} \times \mathbf{B})_y$  force associated with the ‘background curvature’ of the magnetic field. Thus, we suggest that the positive deviations of  $(\mathbf{J} \times \mathbf{B})_y$  from the model  $(\mathbf{J} \times \mathbf{B})_y$  force are due to the perturbations (flapping and kinking) observed by C1. ¶

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830 **Figure 7:** Schematic diagrams of the observed magnetic field perturbations and dusk-dawn  
 831 convective flows during the time-windows indicated in Fig. 6 by the highlighted regions. The  
 832 approximate locations of the four Cluster spacecraft relative to one-another in the Y-Z GSM  
 833 plane are indicated (not to scale) by the colored circles. The curved blue arrows represent  
 834 magnetic field lines, and the short purple arrow indicates the local sense of  $B_y$  at the  
 835 location of each spacecraft. The dashed black line indicates the current sheet. In panels (a),  
 836 (b) and (d), the curved red arrow shows the 'kinked' magnetic field line. The long thick green  
 837 arrow shows the direction of the model  $(\mathbf{J} \times \mathbf{B})_y$  force associated with the background  
 838 curvature of the magnetic field, and the small thick gray arrow shows the direction of the  
 839 dusk-dawn convective flow observed by C1.

840

841

842 In Fig. 7a C1 is located above the current sheet and measured negative  $B_y$ . A weakly  
 843 duskward convective flow was observed at this time (as indicated by the thick gray arrow),  
 844 consistent with the duskward sense of the  $(\mathbf{J} \times \mathbf{B})_y$  force, and opposite to the sense of the  
 845 model  $(\mathbf{J} \times \mathbf{B})_y$  force associated with the background curvature of the magnetic field. In Fig.  
 846 7b, C1 is still above the current sheet but measured  $B_y \approx 0$  and no dusk-dawn convective  
 847 flow. In Fig. 7c C1 is shown below the current sheet, where the background  $B_y$  would be  
 848 positive (see Fig. 5b). C1 instead observed an increasingly negative  $B_y$ , which we suggest is  
 849 associated with the presence of the kink in the field. At the same time, C1 also observed a  
 850 convective plasma flow with downward and slightly upward (+Z) component (thick gray

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855 arrow). We therefore suggest that the flow was associated with the upward/dawnward flap  
 856 of the current sheet, and that the dawnward sense of the flow likely also resulted in the  
 857 increase in negative  $B_y$  seen during the time-window shown in Fig. 6c. The positive  
 858  $(\mathbf{J} \times \mathbf{B}_{C1})_y$  at this time, whilst inconsistent with the dawnward sense of the flow, is therefore  
 859 consistent with the curvature of the magnetic field associated with the kink.  $(\mathbf{J} \times \mathbf{B}_{AVG})_y$   
 860 meanwhile, was negative, likely due to incorporating the larger-scale background curvature  
 861 of the magnetic field observed by the other spacecraft. In Fig. 7d C1 is shown above the  
 862 current sheet, where it observed a weakly negative  $B_y$ . In this case, C1 observed a  
 863 convective plasma flow with duskward and slightly downward ( $-Z$ ) component. Similarly to  
 864 in Fig. 7a, this flow occurred in concert with a positive enhancement in  $(\mathbf{J} \times \mathbf{B})_y$  relative to  
 865 the model  $(\mathbf{J} \times \mathbf{B})_y$ . This flow would therefore seem to be associated with the downward  
 866 flap of the current sheet, and its duskward sense could indicate that it is acting to reduce  
 867 the negative kink in  $B_y$  that is apparent over the time-window shown in Fig. 6d.

868  
 869 Whilst we acknowledge a degree of uncertainty in the details of the interpretation  
 870 presented above of the specific relationship between the flows and the field, it serves to  
 871 illustrate three observations about this interval of which we can be very certain: 1) The IMF,  
 872 ionospheric convection, and comparison of the plasma sheet magnetic field observations to  
 873 the TA15 model field, all lead to the expectation of an IMF  $B_y > 0$  large-scale asymmetry in  
 874 the magnetosphere. 2) The Cluster 1 spacecraft observed convective flow with a dusk-dawn  
 875 component that was inconsistent with current theories of IMF  $B_y$ -induced dusk-dawn flows  
 876 associated with magnetotail untwisting. We therefore note that the observations presented  
 877 here cannot be attributed to the current model of large-scale magnetotail untwisting. 3)  
 878 Magnetic field perturbations that were indicative of a localized current sheet flapping and  
 879 dusk-dawn kink in the field occurred coincident with the flows. It therefore seems likely that  
 880 in this case the IMF  $B_y$ -driven asymmetry was insufficient to override the localised dynamics  
 881 in governing the dusk-dawn component of the flow.

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898 **5. Summary**

899

900 We have presented a case study from 12 October 2006 revealing a dynamic interval of  
901 plasma flows and current sheet flapping, observed by the Cluster 1 spacecraft. The key  
902 observations presented in this study may be summarised as follows:

903

- 904 • The OMNI data revealed that the IMF  $B_y$  had been positive for several hours prior to  
905 our interval of Cluster data, with the exception of three short-lived negative  
906 excursions.
- 907 • The SuperDARN ionospheric convection observations revealed a large-scale  
908 asymmetry consistent with IMF  $B_y > 0$ .
- 909 • C1 observed a changing  $B_x$  magnetic field component, and associated duskward ( $v_{\perp y}$   
910  $> 0$ ) flow when in the northern magnetic hemisphere, and dawnward ( $v_{\perp y} < 0$ ) flow  
911 in the southern magnetic hemisphere.

912

913 Contrary to the results of a number of previous studies in the literature, during this  
914 particular interval, the dusk-dawn sense of the convective magnetotail flows ( $v_{\perp y}$ ); and in  
915 particular, the dawnward flow observed in the southern hemisphere, does not agree with  
916 expectations based on the theoretical understanding of global magnetotail untwisting and  
917 the prevailing positive IMF  $B_y$  conditions, nor to expectations based on the location of the  
918 spacecraft and associated magnetotail flaring. We instead attribute the flows to a localized  
919 magnetic field perturbation, or ‘kink’ in the magnetotail, which appears to have been  
920 independent of any large-scale dynamics and may have instead been related to the  
921 observed current sheet flapping. We attributed the current sheet flapping to being driven  
922 by localized reconnection, itself inferred from the presence of the observed bursty fast  
923 earthward flow ( $v_{\perp x} \approx 200 \text{ km s}^{-1}$ ). Analysis using the curlometer technique suggests that  
924 the  $(\mathbf{J} \times \mathbf{B})_y$  force is consistent with the localised kinks and flapping in the magnetic field  
925 that are associated with the transient perturbations to the dusk-dawn flow observed by C1.

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940 Although evidence for the large-scale penetration of IMF  $B_y > 0$  is apparent, the IMF  $B_y > 0$   
941 penetration at the location of C1 appears to have been unable to override the variable dusk-  
942 dawn flow associated with the current sheet flapping. Further studies by the authors are  
943 currently underway to determine if such flows are a frequent occurrence, and to consider,  
944 and account for, localized tail dynamics more fully in a statistical analysis of the magnetotail  
945 flows.

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