



Validation of SSUSI derived ionization rates and electron densities

Stefan Bender^{1,2}, Patrick J. Espy^{1,2}, and Larry J. Paxton³

¹Department of Physics, Norwegian University of Science and Technology, Trondheim, Norway

²Birkeland Centre for Space Science, Bergen, Norway

³Applied Physics Laboratory, Johns Hopkins University, Laurel, Maryland, USA

Correspondence: Stefan Bender (stefan.bender@ntnu.no)

Abstract. The coupling of the atmosphere to the space environment has become recognized as an important driver of atmospheric chemistry and dynamics. In order to quantify the effects of particle precipitation on the atmosphere, reliable global energy inputs on spatial scales commensurate with particle precipitation variations are required. To that end, we have validated the Special Sensor Ultraviolet Spectrographic Imagers (SSUSI) products for average electron energy and electron energy flux by comparing to EISCAT electron density profiles. This comparison shows that SSUSI FUV observations can be used to provide ionization rate profiles throughout the auroral region. The SSUSI on board the Defense Meteorological Satellite Program (DMSP) Block 5D3 satellites provide nearly hourly, high-resolution UV snapshots of auroral emissions. These UV data have been converted to average energies and energy fluxes of precipitating electrons. Here we use those SSUSI-derived energies and fluxes to drive standard parametrizations in order to obtain ionization-rate and electron-density profiles in the E-region (90–150 km). These profiles are then compared to EISCAT ground-based electron density measurements. We compare the data from two satellites, DMSP F17 and F18, to the Tromsø UHF radar profiles. We find that differentiating between the magnetic local time (MLT) “morning” (3–11 h) and “evening” (15–23 h) provides the best fit to the ground-based data. The data agree well in the MLT “morning” sector using a Maxwellian electron spectrum, while in the “evening” sector using a Gaussian spectrum and accounting for bounce-electrons achieved optimum agreement with EISCAT. Depending on the satellite and MLT period, the median of the differences varies between 0 and 20% above 105 km (F17) and $\pm 15\%$ above 100 km (F18). Because of the large density gradient below those altitudes, the relative differences get larger, albeit without a substantially increasing absolute difference, with virtually no statistically significant differences at the 1σ level.

1 Introduction

Particle precipitation and the processes initiated in the middle and upper atmosphere have been recognized as one ingredient to natural climate variability, and are included in the most recent climate prediction simulations initiated by the Intergovernmental Panel on Climate Change (IPCC) (Matthes et al., 2017). So far, however, most of the studies are based on in-situ particle observations at satellite orbital altitudes (≈ 800 km) (e.g. Wissing and Kallenrode, 2009; van de Kamp et al., 2016; Smith-Johnsen et al., 2018), or on trace-gas observations (Randall et al., 2009; Funke et al., 2017). In addition, most recent studies focus on the influence of “medium-energy” electrons (30–1000 keV) (Smith-Johnsen et al., 2018) that have their largest impact in the mesosphere ($\lesssim 90$ km), but are more sporadic with relatively low flux levels.



Here we present a method to estimate the auroral particle input from 90–150 km, which is not only larger than the medium-energy input, but also occurs more regularly and persists throughout the night. To date, the impacts of this thermospheric source of aurorally produced reactive odd nitrogen (NO_x) on the lower atmosphere are uncertain due to the insufficient altitude, spatial, and temporal sampling of currently used observations to characterize its source-function and transport to the stratosphere (e.g. Randall et al., 2001, 2009). Using direct auroral observations will help to elucidate and quantify the production of auroral NO_x with high spatio-temporal resolution, in particular as potential input for chemistry-climate models to trace the transport.

The Special Sensor Ultraviolet Spectrographic Imagers (SSUSI) are one of five “Special Sensor” instruments on board the Defense Meteorological Satellite Program (DMSP) Block 5D3 satellites (Paxton et al., 2018). These satellites orbit at 850 km altitude in polar, sun-synchronous orbits with observing times around 5–8 h (17–20 h) (UT). The latest DMSP-5D3 satellites, F17–F19, carry SSUSI instruments; they were launched in 2006 (F17), 2009 (F18), and 2014 (F19). Here we compare the data from F17 and F18 to the ground-based measurements because control over F19 was lost in February 2016.

The SSUSI instruments remotely image the far-ultraviolet auroral emissions (Paxton et al., 1992, 1993, 2002; Paxton and Zhang, 2016; Paxton et al., 2017). The images are taken around morning and evening magnetic local times (MLT) between 3 and 11 h (15–23 h). By scanning approximately $\pm 60^\circ$ across track (Paxton et al., 1993), the SSUSI instruments observe the auroral zone on an approximately 3000 km wide swath. The single pixel resolution is $10 \times 10 \text{ km}^2$ at the nadir point, and the scans extend from about 50° polewards in both hemispheres. The orbital period is of the order of 100 min such that the auroral zone is pictured multiple times by each satellite during a single night.

The EISCAT (European Incoherent Scatter Scientific Association) data are from the Tromsø UHF radar located at $69^\circ 35' 11'' \text{N}$ and $19^\circ 13' 38'' \text{E}$, in the auroral zone. The Tromsø radars include both transmitter and receiver, enabling them to provide altitude-resolved profiles of ionospheric electron density above the location using the incoherent scatter radar technique (Robinson and Vondrak, 1994). Depending on the so-called “pulse code” used, the altitude resolution can be less than 200 m, but more typical in our comparison is $\approx 5 \text{ km}$.

In a previous study, Aksnes et al. (2006) compared EISCAT radar data and UV-derived satellite data during a single day. The satellite data were derived from the SSUSI predecessor sensors called UVI (Ultraviolet Imager), and the study validated the optical approach, at least for moderate geomagnetic activity. Here the SSUSI instruments allow for a full statistical investigation, extending the earlier studies to multiple local times and auroral conditions. We also base our calculation on the approach presented in Aksnes et al. (2006), using the more recent ionization rate parametrizations introduced by Fang et al. (2010).

The manuscript is organized as follows, Sect. 2 introduces the SSUSI satellite data and the EISCAT radar data. In Sect. 3 we present the details of the comparison method, and in Sect. 4 we present our results and discuss them. Our conclusions are then presented in Sect. 6.



2 Data

2.1 SSUSI UV and electron data

The SSUSI sensors telemeter down 5 UV channels¹ with spectral centres at 121.6 nm (atomic hydrogen H Lyman- α), 130.4 nm, 135.6 nm (both atomic oxygen OI), 145 nm (140–150 nm, N₂ LBH²-S), and 172.5 nm (165–180 nm, N₂ LBH-L). These channels capture the main auroral UV emissions, and are used to calculate the average electron energy, \bar{E} in keV, and total electron energy flux, Q_0 in $\text{erg cm}^{-2} \text{s}^{-1}$, at each pixel.

Here we use the data from the SSUSI sensors on board the DMSP F17 and F18 satellites over their respective operating periods from 2008–2019 and from 2011–2019. To compare to EISCAT data, only data points within $2 \times 2^\circ$ (latitude \times longitude) of the radar’s geomagnetic location were used. In addition, we require the average energy to be within the valid regime ($2 \text{ keV} \leq \bar{E} \leq 20 \text{ keV}$), and the derived energy flux Q_0 to be non-zero.

2.2 EISCAT electron densities

The EISCAT radar employs the incoherent scatter technique (Robinson and Vondrak, 1994; Lehtinen and Huuskonen, 1996) to obtain altitude profiles of several ionospheric parameters, such as electron density, electron temperature, ion temperature, and many others. Depending on the setup, the antennae of the Tromsø radars can be pointed in different directions and at different altitudes, as well as a number of “experiments” or pulse codes determining altitude and time resolution.

We use the publicly available EISCAT E-region electron density data from the Tromsø UHF radar. The data are available via the “Madrigal” data base at <http://cedar.openmadrigal.org> (last access 21 September 2020). The data are averaged ± 5 min around the SSUSI scan time, and only high elevation angles $\geq 75^\circ$ were considered. No distinction between the different pulse codes was made as long as there were electron densities available from at least 80 km and above, and all scans that provided those electron densities were interpolated to a common 1-km altitude grid before averaging.

3 Method

3.1 Ionization rates

We use the parametrization given by Fang et al. (2010) driven by the SSUSI-derived electron energies and fluxes, and combine them with the NRLMSISE-00 (Picone et al., 2002) modelled neutral atmosphere to calculate the atmospheric ionization-rate profiles. Some care has to be taken when converting the average energy provided by SSUSI, \bar{E} , to the characteristic energy E_0 required by those parametrizations. We use a Maxwellian spectrum for “morning” magnetic local times (MLT) (03–11 h) and a Gaussian for “evening” MLT (15–23 h). For the Maxwellian particle flux, the relation is $\bar{E} = 2E_0$, while for the Gaussian the average energy is equal to the characteristic energy $\bar{E} = E_0$, and we set its width W to $W = E_0/4$ (Strickland et al.,

¹ The sensors record the entire spectrum, but the downlink is limited to 5 channels. SSUSI also uses in-flight calibration using a FUV star spectrum with well-understood brightness and spectral shape.

² Lyman–Birge–Hopfield system



1983). Before we use the parametrization by Fang et al. (2010), the total precipitating energy flux, Q_0 , from the valid SSUSI
 85 data points (those with non-zero Q_0 and \bar{E} in the valid energy range as described in Sect. 2.1), are scaled by the ratio of the
 number of valid observations to the total number of observations in the $2 \times 2^\circ$ comparison area.³ This is to compensate for the
 portion of that area in which SSUSI did not observe sufficient UV emissions and thus could not infer the electron precipitation
 characteristics properly.

The Fang et al. (2010) parametrization is derived for mono-energetic electron beams. We therefore integrate the ionization
 90 rates q_{mono} at altitude h over the energy spectrum to obtain the total ionization rate $q(h)$ in $\text{cm}^{-3} \text{s}^{-1}$ at that altitude:

$$q(h) = \int_0^{\infty} q_{\text{mono}}(E, h) \phi(E) E dE. \quad (1)$$

Here $\phi(E)$ is the electron differential flux in $\text{keV}^{-1} \text{cm}^{-2} \text{s}^{-1}$, the Maxwellian-type spectrum is given by (Fang et al., 2010,
 Eq. (6)):

$$\phi(E) = \frac{Q_0}{2E_0^3} \cdot E \cdot \exp\{-E/E_0\}, \quad (2)$$

95 and the Gaussian particle flux spectrum⁴ is given by (Strickland et al., 1993):

$$\phi(E) = \frac{Q_0}{\sqrt{\pi} W E_0} \cdot \exp\{-(E - E_0)^2/W^2\}. \quad (3)$$

In Eqs. (2) and (3), E_0 denotes the characteristic energy (mode of $\phi(E)$) in keV, and Q_0 is the total energy flux in $\text{keV cm}^{-2} \text{s}^{-1}$.

To convert energy dissipation into a number of electron–ion pairs, we similarly distinguish between early and late MLT.
 This is due to the presence of upward moving “bounce-electrons” contributing to the UV-derived flux at late MLT (Basu et al.,
 100 1993; Strickland et al., 1993). We use the “standard” 35 eV per electron–ion pair (Porter et al., 1976; Roble and Ridley, 1987;
 Fang et al., 2008, 2010) for the early MLT. In all the parametrizations used, the ionization rate q is proportional to the ratio of
 the dissipated energy ΔE to the energy loss per electron–ion pair $\Delta \epsilon$, i.e. $q \propto \Delta E / \Delta \epsilon$. The dissipated energy ΔE is directly
 proportional to the incoming energy flux Q_0 and hence $\phi(E)$. Thus the aforementioned bounce effect can be accommodated
 either by reducing the effective energy flux (Basu et al., 1993; Strickland et al., 1993), or by increasing the energy required per
 105 ionization event. In this work to account for the bounce-electrons, we use 43.73 eV per electron–ion pair for the late MLT to
 effectively scale the energy flux as determined from the UV emissions by a small factor, as suggested by Basu et al. (1993);
 Strickland et al. (1993).

³Let A be the set of all SSUSI points within the $2 \times 2^\circ$ comparison area, and B the set of valid points, i.e. the points used for the profile calculation defined
 by $B := \{i \in A \mid 2 \text{keV} \leq \bar{E}(i) \leq 20 \text{keV} \wedge Q_0(i) > 0\}$. Then, the scaling we apply is equal to $Q_0(j) = \tilde{Q}_0(j) \cdot |B|/|A|$, $j \in B$; with \tilde{Q}_0 the flux given in
 the SSUSI data files and $|\cdot|$ the cardinality of the sets.

⁴Note that the Gaussian distribution in Eq. (3) is normalized only when integrating from $-\infty \dots \infty$. Integrating only the positive part leads to additional
 terms of $\exp\{-E_0^2/W^2\}$ and $\text{erf}(-E_0/W)$ which can be neglected for sufficiently narrow distributions, i.e. large ratios of E_0/W .



3.2 Electron densities

Following Vondrak and Baron (1976); Gledhill (1986); Robinson and Vondrak (1994); Aksnes et al. (2006), the atmospheric
110 electron density n_e is related to the ionization rate q by the recombination rate α via the continuity equation

$$\frac{\partial n_e}{\partial t} + \nabla \cdot (n_e \mathbf{v}) = q - \alpha n_e^2. \quad (4)$$

Assuming a steady state and neglecting transport (Vondrak and Baron, 1976; Gledhill, 1986; Robinson and Vondrak, 1994),
 $\partial n_e / \partial t = 0$ and $\mathbf{v} \approx 0$, results in the relation $q = \alpha n_e^2$ or $n_e = \sqrt{q/\alpha}$.

Different approaches have been used to parametrize the altitude dependence of the recombination rate α (Vondrak and
115 Baron, 1976; Vickrey et al., 1982; Gledhill, 1986) and (SSUSI internal document). The simplest variant is a constant rate
 $\alpha = 3 \cdot 10^{-7} \text{ cm}^3 \text{ s}^{-1}$ (Vondrak and Baron, 1976), or an exponential relationship with a constant scale height of 51.2 km (Vickrey
et al., 1982). (Gledhill, 1986, Eq. (3)) proposed the combination of two exponentials with different scale heights for auroral
inputs between 50 km and 150 km:

$$\alpha(h) = 4.3 \cdot 10^{-6} \exp\{-2.42 \cdot 10^{-2} h\} + 8.16 \cdot 10^{12} \exp\{-0.524 h\} \text{ cm}^3 \text{ s}^{-1}. \quad (5)$$

120 This corresponds to scale heights of approximately 41 km at high altitudes and 2 km at the lower end. To be consistent with Ak-
snes et al. (2006), we use (5) for the comparison here.

3.3 Comparison method

We follow the common approach for profile validation (e.g. Dupuy et al., 2009; Lossow et al., 2019), comparing the profiles of
the absolute and relative differences together with their uncertainties (confidence intervals).

125 For each orbit, the arithmetic mean μ_{orbit} is calculated from all individual profiles derived from all valid SSUSI data points in
the $2 \times 2^\circ$ area around the radar (see Sect. 2.1 and footnote 3). For each corresponding orbit the average of the EISCAT electron
densities ± 5 minutes of the overpass, $\mu_{5 \text{ min}}$, is also calculated. The difference of these quantities for each orbit at altitude h is
defined as:

$$\Delta N_{e, \text{orbit}}(h) = \mu_{\text{orbit}}(N_{e, \text{SSUSI}}(h)) - \mu_{5 \text{ min}}(N_{e, \text{EISCAT}}(h)). \quad (6)$$

130 Thus positive values indicate larger electron densities from SSUSI and negative values imply larger EISCAT densities.

The relative differences are calculated from the absolute differences by dividing by the average of the SSUSI and EISCAT
densities:

$$\delta N_{e, \text{orbit}}(h) = \frac{2 \cdot \Delta N_{e, \text{orbit}}(h)}{\mu_{\text{orbit}}(N_{e, \text{SSUSI}}(h)) + \mu_{5 \text{ min}}(N_{e, \text{EISCAT}}(h))}. \quad (7)$$

We evaluate the distribution of those differences over all orbits by means of the 2.5th, 16th, 50th, 84th, and 97.5th percentiles.
135 The 50th percentile is the median, the 16th and 84th percentiles correspond to the 1σ , and the 2.5th and 97.5th percentiles to
the 2σ confidence intervals. These percentiles are less susceptible to outliers and will give a better impression of the underlying
distribution than the mean and the standard deviation in cases where this distribution deviates substantially from a normal
distribution.

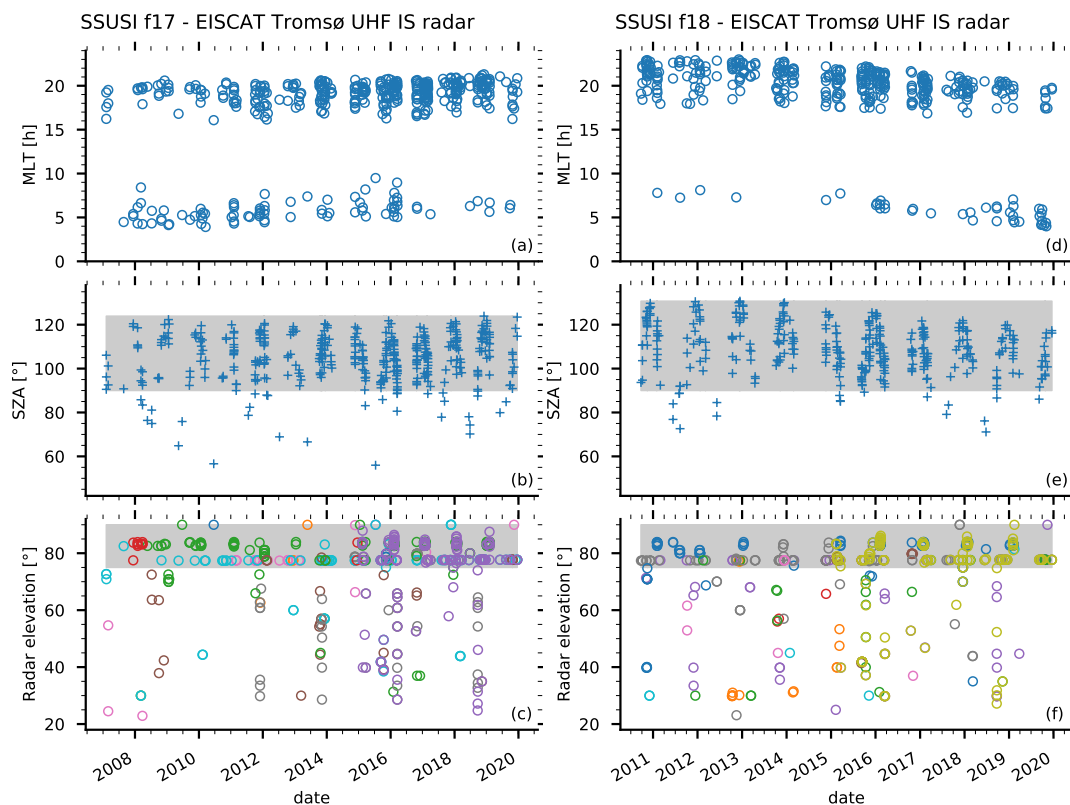


Figure 1. Available coincident data between the Tromsø UHF radar and the SSUSI on DMSP/F17 ((a)–(c)) and DMSP/F18 ((d)–(f)). Shown are the distributions of the magnetic local times (MLT, (a), (d)), the solar zenith angle (SZ, (b), (e)), and the radar elevation angles ((c), (f)). The data used in this comparison are indicated in the light-gray area, and the MLT are divided according to the times given in the text.

4 Results

140 4.1 Available coincident data

An overview of the available coincident data between the SSUSI instruments and the Tromsø UHF radar is shown in Fig. 1. The top panels within these figures show the distributions of the magnetic local times (MLT), which are centered for all satellites around 20h with a drift noticeable in both of the satellite orbits. The middle panels show the solar zenith angle (SZ) distributions at the coincident overpasses, and the bottom panels show the radar elevation angles. The different colours represent different radar experiments (pulse codes) in which electron density profiles were collected.

The number of coincidences used in this study is summarized in Table 1. Note that there is an asymmetry between the data available for early and late MLT, with more coincidences during the latter. This imbalance, and possibly different precipitation characteristics during the different MLT, could lead to a possible bias in the calculated electron densities and their differences to the EISCAT measurements.



MLT	F17	F18
03–11	52	27
15–23	246	213

Table 1. Number of coincidences of F17 and F18 with the EISCAT Tromsø UHF radar during the two MLT sectors.

150 4.2 Profile comparisons

As a measure of the distribution of the absolute and relative differences, we use the median together with the 68% ($\approx 1\sigma$) and 95% ($\approx 2\sigma$) confidence intervals derived from the 16th and 84th as well as the 2.5th and 97.5th percentiles, respectively. This enables us to quantify the differences better in cases where the distribution of those are skewed.

MLT 03–11

155 For early MLT (03–11 h), the electron density profiles together with the absolute and relative differences between the SSUSI-derived electron densities and the EISCAT Tromsø UHF radar measurements are shown in Figs. 2 and 3. The profiles were calculated over all coincidences described in Sect. 3.3, using the “standard” parameters for the ionization rates as described in Sect. 3.1 and the “aurora” recombination rate parametrization from Gledhill (1986).

The F17 morning sector results show low absolute and relative differences that grow as one approaches the peak electron density. On the other hand, F18 shows a small and nearly constant absolute difference throughout the altitude range. In both cases, the relative differences become large below the peak due to the decreasing mean density (the denominator in Eq. (7)).

For F17 (Fig. 2), the median of the absolute differences grows from near zero above 120 km to about $6 \times 10^4 \text{ cm}^{-3}$ (40%) at 100 km near the peak electron density. Below the peak, the absolute differences decrease to $3 \times 10^4 \text{ cm}^{-3}$ near 90 km, but the relative differences increase due to the rapidly decreasing mean density. For F18 (Fig. 3), the median of the absolute differences remains between -0.5 and $+1 \times 10^4 \text{ cm}^{-3}$ above the electron density peak near 100 km, leading to relative differences between $\pm 10\%$. Below the peak, absolute differences become $-1 \times 10^4 \text{ cm}^{-3}$ at 90 km, and the magnitude of the relative differences again increases due to decreasing mean densities.

MLT 15–23

For late MLT (15–23 h), the electron density profiles and the absolute and relative differences between the SSUSI-derived electron densities and the EISCAT Tromsø UHF radar measurements are shown in Figs. 4 and 5. As for early MLT, the profiles were calculated over all coincidences, but using a Gaussian electron spectrum and slightly larger energy per ionization event as described in Sect. 3.1.

For the evening sector, both the SSUSI and EISCAT observations suggest a broader electron density peak than in the morning sector. Both F17 and F18 demonstrate small and nearly constant absolute differences with EISCAT over the entire altitude range. The dipole structure of the differences would indicate a systematically higher peak height for EISCAT relative to SSUSI, and once again, the relative differences grow below the peak due to the rapidly decreasing electron density.

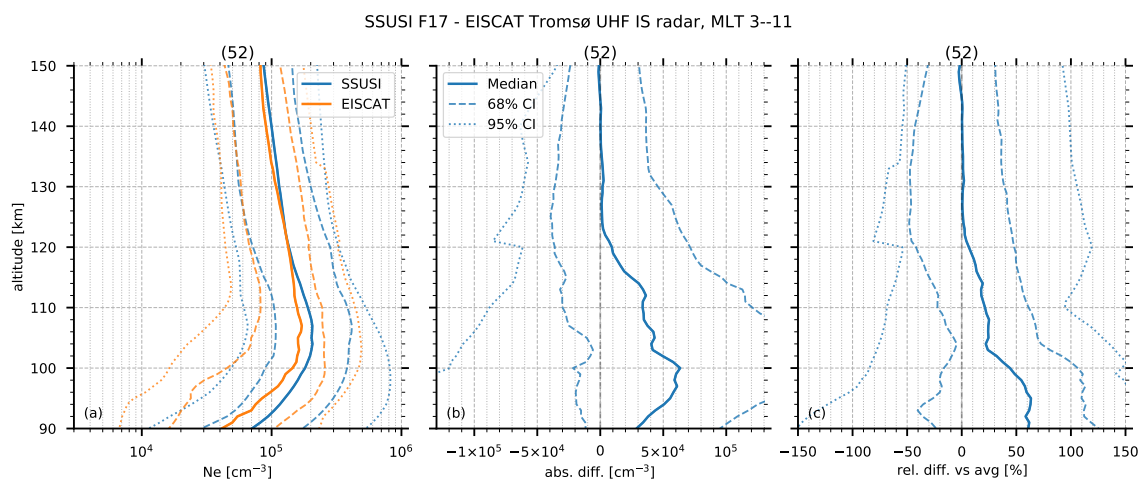


Figure 2. Profile comparison of calculated electron densities from SSUSI on DMSP/F17 to the ones measured by the EISCAT Tromsø UHF radar for early MLT (3–11 h). Density profiles (a), absolute differences (b), and relative differences (c). Shown are the medians (solid lines) and the 68% (dashed) and 95% (dotted) confidence intervals for the SSUSI-calculated electron densities (blue) and EISCAT (orange). The numbers in parentheses indicate the number of coincident satellite orbits used for averaging. The SSUSI profiles have been calculated assuming a Maxwellian electron spectrum with $E_0 = \bar{E}_{SSUSI}/2$ and 35 eV / ion pair. Note that the density profiles (a) are on a logarithmic scale.

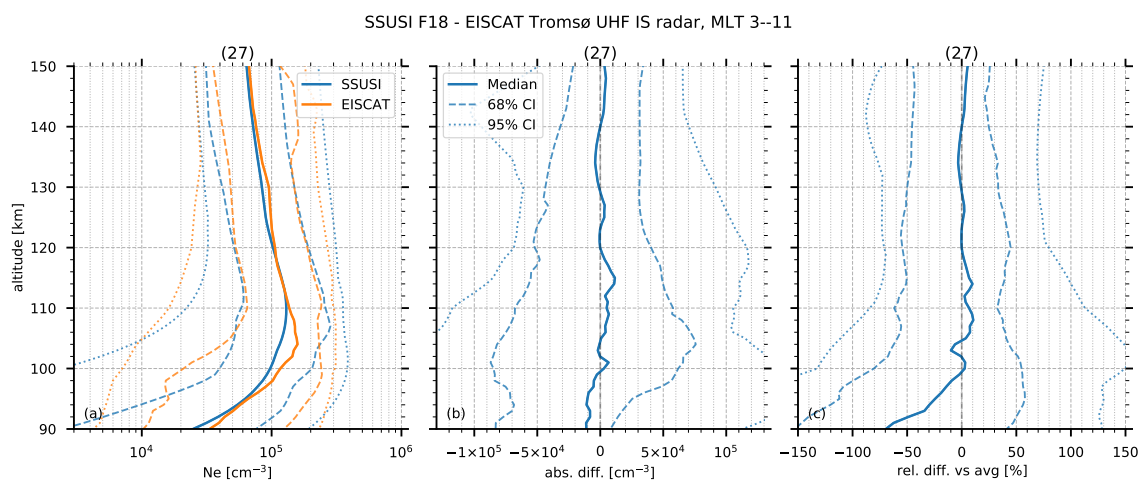


Figure 3. Profile comparison as in Fig. 2 for SSUSI on DMSP/F18 and the EISCAT Tromsø UHF radar for early MLT (3–11 h).

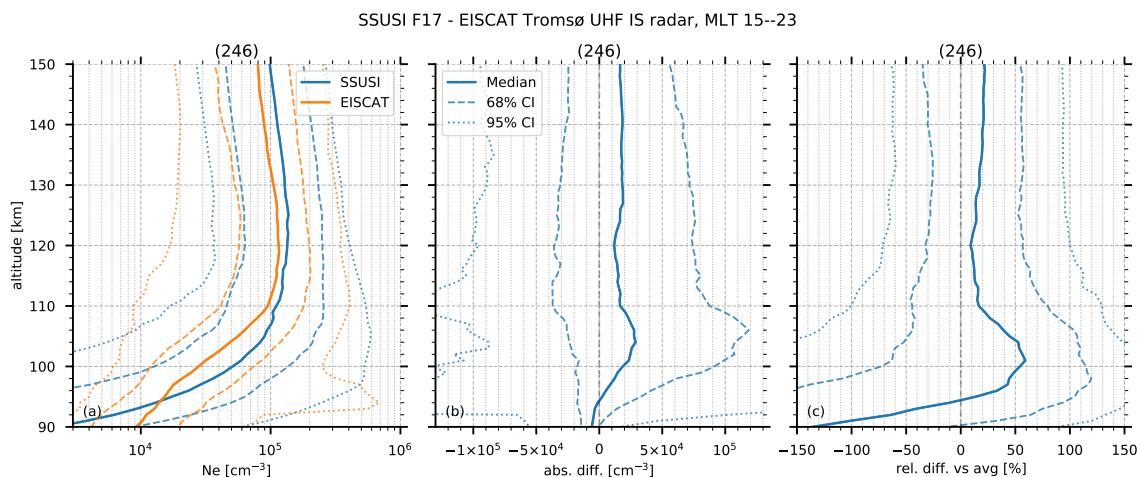


Figure 4. Profile comparison as in Fig. 2 for SSUSI on DMSP/F17 and the EISCAT Tromsø UHF radar for late MLT (15–23 h). The SSUSI profiles have been calculated assuming a Gaussian electron spectrum with $E_0 = \bar{E}_{\text{SSUSI}}$ and 43.73 eV / ion pair, details can be found in the text.

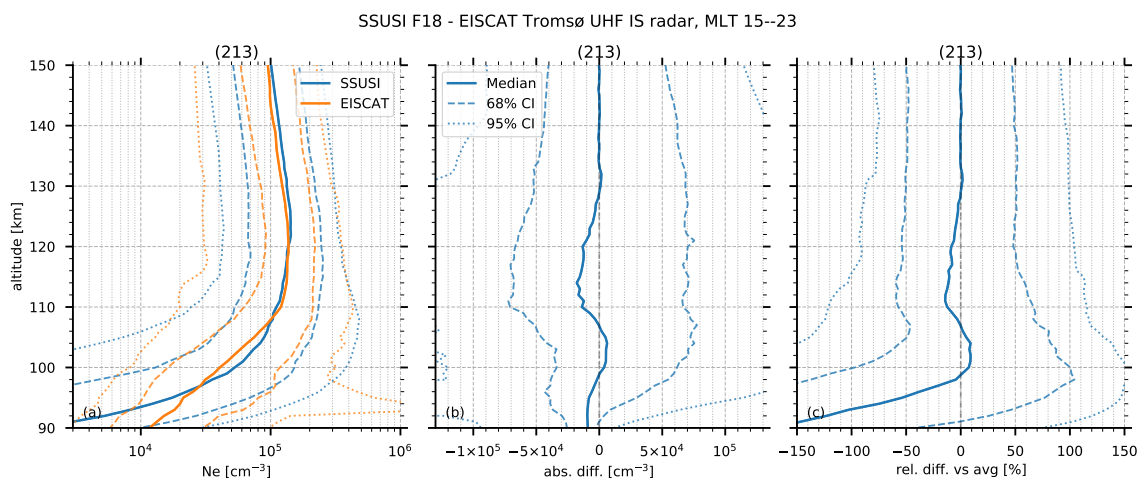


Figure 5. Profile comparison as in Fig. 4 for SSUSI on DMSP/F18 and the EISCAT Tromsø UHF radar for late MLT (15–23 h).

For F17 (Fig. 4), the median of the absolute differences is nearly constant at about $1 \times 10^4 \text{ cm}^{-3}$ above 125 km (15–20%), and reaches $3 \times 10^4 \text{ cm}^{-3}$ at 105 km (50%). While absolute differences decrease to about $0.5 \times 10^4 \text{ cm}^{-3}$ at 90 km, relative differences again become large due to decreasing mean densities. For F18 (Fig. 4), both absolute and relative differences are nearly zero above 125 km. However, they reach $-1.5 \times 10^4 \text{ cm}^{-3}$ (–15%) at 115 km, and $5 \times 10^3 \text{ cm}^{-3}$ (10%) at 105 km. The absolute differences then decrease to $-1 \times 10^4 \text{ cm}^{-3}$ at 90 km, again with large relative differences.



5 Discussion

There are a number of methods for treating atmospheric ionization from particle precipitation. These include multi-stream calculations (Basu et al., 1993; Strickland et al., 1993), derived parametrizations for spectra (Roble and Ridley, 1987; Fang et al., 2008) and mono-energetic beams (Fang et al., 2010), and Monte-Carlo approaches (Schröter et al., 2006; Wissing and Kallenrode, 2009). Similarly, a number of models are available for the recombination rates which are needed to calculate electron densities from the electron-ion pairs produced by particle precipitation.

In this study, we have used the mono-energetic approach derived by Fang et al. (2010) for atmospheric electron ionization rates, and integrated over Maxwellian and Gaussian particle spectra. Related parametrizations derived explicitly for Maxwellian particle flux spectra are available (Roble and Ridley, 1987; Fang et al., 2008), and the results for those are very close to the Maxwellian case studied here (not shown). Similarly, a variety of parametrizations exists for recombination rates, and here we chose the one given in Gledhill (1986). It should be noted that the parametrization by Vickrey et al. (1982) is very similar in the altitude region used in this study, resulting in comparable results.

The results show that the approach we have presented here, which mirrors earlier studies by Aksnes et al. (2006), leads to electron densities that agree with those measured by the ground-based EISCAT radars, within the variability of the data. While more sophisticated approaches may lead to closer agreement between the different techniques, they are beyond the scope of this study.

Note that the energy range provided by the SSUSI observations is limited to 2–20 keV, which also limits the altitude range of comparable ionization rates to approximately 90–150 km (e.g. Fang et al., 2008, 2010). The increasing (negative) differences between the SSUSI results and EISCAT at lower altitudes indicate this “blindness” to higher energies. It should be noted that the average energy and energy flux derived from the LBH emissions are essentially moments of the true distribution, such that one way to mitigate this problem may be assuming a different spectrum, for example adding a high-energy tail to the Maxwellian or Gaussian spectra (e.g. Strickland et al., 1993). However, the SSUSI energy range is typical for auroral inputs and good results at lower altitudes are not expected without further assumptions about the electron spectra. In addition, at lower altitudes the recombination rates increase substantially (Gledhill, 1986). This leads to increasing difficulties at lower altitudes when comparing observations of dynamic aurora by instruments with different observing volumes and spatio-temporal samplings as is the case here; the SSUSI instruments image a large area around the radar while the EISCAT is a narrow beam. Thus, future studies may employ ion-chemistry models such as the Sodankylä Ion Chemistry (SIC) model (Verronen et al., 2005; Turunen et al., 2009) to improve upon the recombination and quenching rates. Those models may also be used to derive trace gas species directly, which opens even more possibilities of comparisons, for example against satellite-based and ground-based trace gas measurements.

6 Conclusions

In this study we validate the SSUSI products for effective energy and flux by comparing to EISCAT derived electron density profiles. This comparison shows that SSUSI FUV observations can be used to provide high-resolution (down to 10×10 km)



215 ionization rate profiles across its 3000 km wide swath within the auroral zone that are comparable to those measured by
EISCAT between 100 and 150 km. In principle, the ionization rates can then also be used to calculate E-region conductivity
and trace-gas profiles.

The data indicate that the comparison between the SSUSI volume measurements and the EISCAT narrow beam observations
within that volume result in considerable pass-to-pass variability. As a result, there are no statistically significant differences
220 between the two measurement techniques. However, the trends in the comparisons show that a Maxwellian distribution and
an energy loss per electron–ion pair of 35 eV is adequate for the morning sector (MLT 03–11). On the other hand, in the
evening sector (MLT 15–23), where bounce electrons are present, a Gaussian distribution with an energy loss of 43.73 eV per
electron–ion pair is required to duplicate the higher and broader electron density peak.

The results show that electron densities derived from both SSUSI F17 and F18 agree with those measured by EISCAT to
225 within 0–20% above 120 km. Although the differences are not statistically significant, the trend in the biases indicates that
the SSSI estimates are generally higher, and the differences are larger for the evening sector in comparison to the morning
sector. While SSUSI F18 maintains small, $\approx 10\%$ differences with EISCAT through the peak of the electron density profile near
100 km, the trend of the SSSI F17 bias tends to increase towards the peak, reaching as high as 40% before decreasing.

Below the peak density, the relative differences between EISCAT and both satellites become large due to the rapidly decreas-
230 ing electron density. In addition, the SSSI results tend to be smaller than the EISCAT densities below 95 km, indicating that
the Maxwellian and Gaussian spectra may lack the high energies required to create ionization in this region. While the bias is
not significant, the tendency for SSSI to underestimate the electron density at lower altitudes may be the result of quenching
of the LBH emission affecting the flux and characteristic energy retrievals from SSUSI. This bias may also be due to the short
recombination times in this region shortening the coherence times between the observations, and the parametrization failing to
235 account for the formation of negative ions.

In virtual all cases (early and late MLT), the differences between EISCAT and SSUSI derived electron densities are well
within the 68% ($\approx 1\sigma$) confidence interval derived from the distribution of the differences, and are always less than 2σ . Thus,
the SSSI instrument may be used to extend the EISCAT measurements across the auroral zone, quantifying both the auroral
energy deposition and its spatial variability on short temporal and spatial scales. Based on this work, future studies can further
240 adjust the spectra as well as the recombination and quenching rates used for converting the UV emissions to electron energies
and fluxes to match the ground-based measurements even better.

Author contributions. SB carried out the data analysis and set up the manuscript. PJE and LP contributed to the discussion and use of
language. All authors contributed to the interpretation and discussion of the method and the results as well as to the writing of the manuscript.

Code and data availability. The SSUSI data used in this study are available at https://ssusi.jhuapl.edu/data_products and
245 the EISCAT data are available via the "Madrigal" database <http://cedar.openmadrigal.org>. The source code used to calculate



the ionization rates and electron densities is available at <https://zenodo.org/record/4298137> (Bender, 2020) or upon request from the first author.

Acknowledgements. S.B. and P.J.E. acknowledge support from the Birkeland Center for Space Sciences (BCSS), supported by the Research Council of Norway under the grant number 223252/F50. L.P. is the principal investigator of the SSUSI project. The computations were performed on resources provided by UNINETT Sigma2 - the National Infrastructure for High Performance Computing and Data Storage in Norway.



References

- Aksnes, A., Stadsnes, J., Østgaard, N., Germany, G. A., Oksavik, K., Vondrak, R. R., Brekke, A., and Løvhaug, U. P.: Height profiles of the ionospheric electron density derived using space-based remote sensing of UV and X ray emissions and EISCAT radar data: A ground-truth experiment, *J. Geophys. Res. Space Phys.*, 111, A02 301, <https://doi.org/10.1029/2005ja011331>, 2006.
- Basu, B., Jasperse, J. R., Strickland, D. J., and Daniell, R. E.: Transport-Theoretic Model for the Electron-Proton-Hydrogen Atom Aurora, 1. Theory, *J. Geophys. Res. Space Phys.*, 98, 21 517–21 532, <https://doi.org/10.1029/93ja01646>, 1993.
- Bender, S.: st-bender/pyeppaurora: Version 0.0.5, <https://doi.org/10.5281/zenodo.4298137>, <https://zenodo.org/record/4298137>, 2020.
- Dupuy, E., Walker, K. A., Kar, J., Boone, C. D., McElroy, C. T., Bernath, P. F., Drummond, J. R., Skelton, R., McLeod, S. D., Hughes, R. C., Nowlan, C. R., Dufour, D. G., Zou, J., Nichitiu, F., Strong, K., Baron, P., Bevilacqua, R. M., Blumenstock, T., Bodeker, G. E., Borsdorff, T., Bourassa, A. E., Bovensmann, H., Boyd, I. S., Bracher, A., Brogniez, C., Burrows, J. P., Catoire, V., Ceccherini, S., Chabrillat, S., Christensen, T., Coffey, M. T., Cortesi, U., Davies, J., Clercq, C. D., Degenstein, D. A., Mazière, M. D., Demoulin, P., Dodion, J., Firanski, B., Fischer, H., Forbes, G., Froidevaux, L., Fussen, D., Gerard, P., Godin-Beekmann, S., Goutail, F., Granville, J., Griffith, D., Haley, C. S., Hannigan, J. W., Höpfner, M., Jin, J. J., Jones, A., Jones, N. B., Jucks, K., Kagawa, A., Kasai, Y., Kerzenmacher, T. E., Kleinböhl, A., Klekociuk, A. R., Kramer, I., Küllmann, H., Kuttippurath, J., Kyrölä, E., Lambert, J.-C., Livesey, N. J., Llewellyn, E. J., Lloyd, N. D., Mahieu, E., Manney, G. L., Marshall, B. T., McConnell, J. C., McCormick, M. P., McDerimid, I. S., McHugh, M., McLinden, C. A., Mellqvist, J., Mizutani, K., Murayama, Y., Murtagh, D. P., Oelhaf, H., Parrish, A., Petelina, S. V., Piccolo, C., Pommereau, J.-P., Randall, C. E., Robert, C., Roth, C., Schneider, M., Senten, C., Steck, T., Strandberg, A., Strawbridge, K. B., Sussmann, R., Swart, D. P. J., Tarasick, D. W., Taylor, J. R., Tétard, C., Thomason, L. W., Thompson, A. M., Tully, M. B., Urban, J., Vanhellefont, F., Vigouroux, C., von Clarmann, T., von der Gathen, P., von Savigny, C., Waters, J. W., Witte, J. C., Wolff, M., and Zawodny, J. M.: Validation of ozone measurements from the Atmospheric Chemistry Experiment (ACE), *Atmos. Chem. Phys.*, 9, 287–343, <https://doi.org/10.5194/acp-9-287-2009>, 2009.
- Fang, X., Randall, C. E., Lummerzheim, D., Solomon, S. C., Mills, M. J., Marsh, D. R., Jackman, C. H., Wang, W., and Lu, G.: Electron impact ionization: A new parameterization for 100 eV to 1 MeV electrons, *J. Geophys. Res. Space Phys.*, 113, n/a–n/a, <https://doi.org/10.1029/2008ja013384>, 2008.
- Fang, X., Randall, C. E., Lummerzheim, D., Wang, W., Lu, G., Solomon, S. C., and Frahm, R. A.: Parameterization of monoenergetic electron impact ionization, *Geophys. Res. Lett.*, 37, L22 106, <https://doi.org/10.1029/2010gl045406>, 2010.
- Funke, B., Ball, W., Bender, S., Gardini, A., Harvey, V. L., Lambert, A., López-Puertas, M., Marsh, D. R., Meraner, K., Nieder, H., Päiväranta, S.-M., Pérot, K., Randall, C. E., Reddmann, T., Rozanov, E., Schmidt, H., Seppälä, A., Sinnhuber, M., Sukhodolov, T., Stiller, G. P., Tsvetkova, N. D., Verronen, P. T., Versick, S., von Clarmann, T., Walker, K. A., and Yushkov, V.: HEPPA-II model–measurement inter-comparison project: EPP indirect effects during the dynamically perturbed NH winter 2008–2009, *Atmos. Chem. Phys.*, 17, 3573–3604, <https://doi.org/10.5194/acp-17-3573-2017>, <http://www.atmos-chem-phys.net/17/3573/2017/>, 2017.
- Gledhill, J. A.: The effective recombination coefficient of electrons in the ionosphere between 50 and 150 km, *Radio Sci.*, 21, 399–408, <https://doi.org/10.1029/rs021i003p00399>, 1986.
- Lehtinen, M. S. and Huuskonen, A.: General incoherent scatter analysis and GUIDAP, *J. Atmos. Terr. Phys.*, 58, 435–452, [https://doi.org/10.1016/0021-9169\(95\)00047-x](https://doi.org/10.1016/0021-9169(95)00047-x), 1996.
- Lossow, S., Khosrawi, F., Kiefer, M., Walker, K. A., Bertaux, J.-L., Blanot, L., Russell, J. M., Remsberg, E. E., Gille, J. C., Sugita, T., Sioris, C. E., Dinelli, B. M., Papandrea, E., Raspollini, P., García-Comas, M., Stiller, G. P., von Clarmann, T., Dudhia, A., Read, W. G., Nedoluha,



- 290 G. E., Damadeo, R. P., Zawodny, J. M., Weigel, K., Rozanov, A., Azam, F., Bramstedt, K., Noël, S., Burrows, J. P., Sagawa, H., Kasai, Y., Urban, J., Eriksson, P., Murtagh, D. P., Hervig, M. E., Högberg, C., Hurst, D. F., and Rosenlof, K. H.: The SPARC water vapour assessment II: profile-to-profile comparisons of stratospheric and lower mesospheric water vapour data sets obtained from satellites, *Atmos. Meas. Tech.*, 12, 2693–2732, <https://doi.org/10.5194/amt-12-2693-2019>, 2019.
- 295 Matthes, K., Funke, B., Andersson, M. E., Barnard, L., Beer, J., Charbonneau, P., Clilverd, M. A., de Wit, T. D., Haberreiter, M., Hendry, A., Jackman, C. H., Kretzschmar, M., Kruschke, T., Kunze, M., Langematz, U., Marsh, D. R., Maycock, A. C., Misios, S., Rodger, C. J., Scaife, A. A., Seppälä, A., Shanguan, M., Sinnhuber, M., Tourpali, K., Usoskin, I., van de Kamp, M., Verronen, P. T., and Versick, S.: Solar forcing for CMIP6 (v3.2), *Geosci. Model Dev.*, 10, 2247–2302, <https://doi.org/10.5194/gmd-10-2247-2017>, <http://www.geosci-model-dev.net/10/2247/2017/>, 2017.
- Paxton, L. J. and Zhang, Y.: *Space Weather Fundamentals*, chap. Far ultraviolet imaging of the aurora, pp. 213–244, CRC Press Laurel, MD, USA, 2016.
- 300 Paxton, L. J., Meng, C.-I., Fountain, G. H., Ogorzalek, B. S., Darlington, E. H., Gary, S. A., Goldsten, J. O., Kusnierkiewicz, D. Y., Lee, S. C., Linstrom, L. A., Maynard, J. J., Peacock, K., Persons, D. F., and Smith, B. E.: Special sensor ultraviolet spectrographic imager: an instrument description, in: *Instrumentation for Planetary and Terrestrial Atmospheric Remote Sensing*, edited by Chakrabarti, S. and Christensen, A. B., SPIE, <https://doi.org/10.1117/12.60595>, <https://www.spiedigitallibrary.org/conference-proceedings-of-spie/1745/0000/Special-sensor-ultraviolet-spectrographic-imager-an-instrument-description/10.1117/12.60595.full>, 1992.
- 305 Paxton, L. J., Meng, C.-I., Fountain, G. H., Ogorzalek, B. S., Darlington, E. H., Gary, S. A., Goldsten, J. O., Kusnierkiewicz, D. Y., Lee, S. C., Linstrom, L. A., Maynard, J. J., Peacock, K., Persons, D. F., Smith, B. E., Strickland, D. J., and R. E. Daniell, J.: SSUSI - Horizon-to-horizon and limb-viewing spectrographic imager for remote sensing of environmental parameters, in: *Ultraviolet Technology IV*, edited by Huffman, R. E., SPIE, <https://doi.org/10.1117/12.140846>, <https://www.spiedigitallibrary.org/conference-proceedings-of-spie/1764/0000/SSUSI--Horizon-to-horizon-and-limb-viewing-spectrographic/10.1117/12.140846.full>, 1993.
- 310 Paxton, L. J., Morrison, D., Zhang, Y., Kil, H., Wolven, B., Ogorzalek, B. S., Humm, D. C., and Meng, C.-I.: Validation of remote sensing products produced by the Special Sensor Ultraviolet Scanning Imager (SSUSI): a far UV-imaging spectrograph on DMSP F-16, in: *Optical Spectroscopic Techniques, Remote Sensing, and Instrumentation for Atmospheric and Space Research IV*, edited by Larar, A. M. and Mlynczak, M. G., SPIE, <https://doi.org/10.1117/12.454268>, <https://www.spiedigitallibrary.org/conference-proceedings-of-spie/4485/0000/Validation-of-remote-sensing-products-produced-by-the-Special-Sensor/10.1117/12.454268.full>, 2002.
- 315 Paxton, L. J., Schaefer, R. K., Zhang, Y., and Kil, H.: Far ultraviolet instrument technology, *J. Geophys. Res. Space Phys.*, 122, 2706–2733, <https://doi.org/10.1002/2016ja023578>, <https://agupubs.onlinelibrary.wiley.com/doi/full/10.1002/2016JA023578>, 2017.
- Paxton, L. J., Schaefer, R. K., Zhang, Y., Kil, H., and Hicks, J. E.: SSUSI and SSUSI-Lite: Providing Space Situational Awareness and Support for Over 25 Years, *Johns Hopkins APL Tech. Dig.*, 34, 388–400, 2018.
- Picone, J. M., Hedin, A. E., Drob, D. P., and Aikin, A. C.: NRLMSISE-00 empirical model of the atmosphere: Statistical comparisons and
320 scientific issues, *J. Geophys. Res. Space Phys.*, 107, 1468, <https://doi.org/10.1029/2002JA009430>, 2002.
- Porter, H. S., Jackman, C. H., and Green, A. E. S.: Efficiencies for production of atomic nitrogen and oxygen by relativistic proton impact in air, *J. Chem. Phys.*, 65, 154–167, <https://doi.org/10.1063/1.432812>, 1976.
- Randall, C. E., Siskind, D. E., and Bevilacqua, R. M.: Stratospheric NO_x enhancements in the Southern Hemisphere Vortex in winter/spring of 2000, *Geophys. Res. Lett.*, 28, 2385–2388, <https://doi.org/10.1029/2000gl012746>, 2001.
- 325 Randall, C. E., Harvey, V. L., Siskind, D. E., France, J., Bernath, P. F., Boone, C. D., and Walker, K. A.: NO_x descent in the Arctic middle atmosphere in early 2009, *Geophys. Res. Lett.*, 36, n/a–n/a, <https://doi.org/10.1029/2009GL039706>, 2009.



- Robinson, R. M. and Vondrak, R. R.: Validation of techniques for space based remote sensing of auroral precipitation and its ionospheric effects, *Space Sci. Rev.*, 69, 331–407, <https://doi.org/10.1007/bf02101699>, 1994.
- Roble, R. G. and Ridley, E. C.: An auroral model for the NCAR thermospheric general circulation model (TGCM), *Ann. Geophys.*, 5, 369–382, 1987.
- 330 Schröter, J., Heber, B., Steinhilber, F., and Kallenrode, M.: Energetic particles in the atmosphere: A Monte-carlo simulation, *Adv. Space Res.*, 37, 1597–1601, <https://doi.org/10.1016/j.asr.2005.05.085>, 2006.
- Smith-Johnsen, C., Marsh, D. R., Orsolini, Y., Tyssøy, H. N., Hendrickx, K., Sandanger, M. I., Ødegaard, L.-K. G., and Stordal, F.: Nitric Oxide Response to the April 2010 Electron Precipitation Event: Using WACCM and WACCM-D With and Without Medium-Energy Electrons, *J. Geophys. Res. Space Phys.*, 123, 5232–5245, <https://doi.org/10.1029/2018ja025418>, 2018.
- 335 Strickland, D. J., Jasperse, J. R., and Whalen, J. A.: Dependence of auroral FUV emissions on the incident electron spectrum and neutral atmosphere, *J. Geophys. Res. Space Phys.*, 88, 8051, <https://doi.org/10.1029/ja088ia10p08051>, 1983.
- Strickland, D. J., Daniell, R. E., Jasperse, J. R., and Basu, B.: Transport-Theoretic Model for the Electron-Proton-Hydrogen Atom Aurora, 2. Model Results, *J. Geophys. Res. Space Phys.*, 98, 21 533–21 548, <https://doi.org/10.1029/93ja01645>, 1993.
- 340 Turunen, E., Verronen, P. T., Seppälä, A., Rodger, C. J., Clilverd, M. A., Tamminen, J., Enell, C.-F., and Ulich, T.: Impact of different energies of precipitating particles on NO_x generation in the middle and upper atmosphere during geomagnetic storms, *J. Atmos. Sol. Terr. Phys.*, 71, 1176–1189, <https://doi.org/10.1016/j.jastp.2008.07.005>, 2009.
- van de Kamp, M., Seppälä, A., Clilverd, M. A., Rodger, C. J., Verronen, P. T., and Whittaker, I. C.: A model providing long-term data sets of energetic electron precipitation during geomagnetic storms, *J. Geophys. Res. Atmos.*, 121, 12,520–12,540, <https://doi.org/10.1002/2015jd024212>, 2016.
- 345 Verronen, P. T., Seppälä, A., Clilverd, M. A., Rodger, C. J., Kyrölä, E., Enell, C.-F., Ulich, T., and Turunen, E.: Diurnal variation of ozone depletion during the October–November 2003 solar proton events, *J. Geophys. Res. Space Phys.*, 110, <https://doi.org/10.1029/2004ja010932>, 2005.
- Vickrey, J. F., Vondrak, R. R., and Matthews, S. J.: Energy deposition by precipitating particles and Joule dissipation in the auroral ionosphere, *J. Geophys. Res. Space Phys.*, 87, 5184–5196, <https://doi.org/10.1029/ja087ia07p05184>, 1982.
- 350 Vondrak, R. R. and Baron, M. J.: Radar measurements of the latitudinal variation of auroral ionization, *Radio Sci.*, 11, 939–946, <https://doi.org/10.1029/rs011i011p00939>, 1976.
- Wissing, J. M. and Kallenrode, M.-B.: Atmospheric Ionization Module Osnabrück (AIMOS): A 3-D model to determine atmospheric ionization by energetic charged particles from different populations, *J. Geophys. Res. Space Phys.*, 114, A06 104, <https://doi.org/10.1029/2008ja013884>, 2009.
- 355