

Analysises of different propagation models for the estimation of the topside ionosphere and plasmasphere with an Ensemble Kalman Filter

Tatjana Gerzen¹, David Minkwitz², Michael Schmidt¹, Eren Erdogan¹

¹ Technical University Munich (TUM), Deutsches Geodätisches Forschungsinstitut (DGFI), Arcisstr. 21, Munich, Germany

² Airbus Defence and Space, Robert-Koch-Str. 1, Taufkirchen, Germany

Correspondence to: Tatjana Gerzen (tatjana.gerzen@tum.de)

Abstract.

The accuracy and availability of satellite-based applications like GNSS positioning and remote sensing crucially depends on the knowledge of the ionospheric electron density distribution. The tomography of the ionosphere is one of the major tools to provide link specific ionospheric corrections as well as to study and monitor physical processes in the ionosphere and plasmasphere. In this work, we apply an Ensemble Kalman Filter (EnKF) approach for the 4D electron density reconstruction of the topside ionosphere and plasmasphere with the focus on the investigation of different propagation models and compare them with the iterative reconstruction technique SMART+. The STEC measurements of eleven LEO satellites are assimilated into the reconstructions. We conduct a case study on a global grid with altitudes between 430 and 20200 km, for two periods of the year 2015 covering quiet to perturbed ionospheric conditions. Particularly, the performance of the methods to estimate independent STEC and electron density measurements from the three Swarm satellites is analysed. The results indicate that the methods EnKF with Exponential decay as the propagation model and SMART+ perform best, providing in summary the lowest residuals.

1 Introduction

The ionosphere is the ~~charged upper~~ part of the ~~upper~~ atmosphere extending from about 50 - 1000 km and going over in the plasmasphere. The characteristic property of the ionosphere is that it contains sufficient free electrons to affect the ~~radio waves~~ propagation of trans-ionospheric radio signals, as from telecommunication, navigation or remote sensing satellites, by refraction, diffraction and scattering.

Therefore, the knowledge of the three-dimensional electron density distribution and ~~its~~ dynamics are of practical importance. Around 50% of the signal delays or range errors of L-band signals used in GNSS originate from altitudes above the ionospheric F2 layer, ~~consisting which consist~~ of topside ionosphere ~~going over into~~ ~~th~~and plasmasphere (cf. Klimentko et al., 2015; Chen and Yao, 2015). So far, especially the topside ionosphere and plasmasphere is not well described.

The choice of the ionospheric correction model has an essential impact on the accuracy of the estimated ionospheric delay and its uncertaintyies. A widely used approach for ionospheric modelling is the single-layer model, whereby the ionosphere is projected onto a two-dimensional (2D) spherical layer, typically located between 350 and 450 km. However, usually 2D models are not accurate enough to support high accuracy navigation and positioning techniques in real time (cf. e.g. Odijk 2002; Banville 2014). ~~Additionally, they do not provide the possibility to look insight the complex coupling processes between magnetosphere, plasmasphere and ionosphere.~~ More accurate and precise positioning is achievable by considering the ionosphere as 3D medium. There are

39 several activities in the ionosphere community aiming to describe the me~~an~~edian ionospheric behavior by the
40 development of 3D electron density models based on long-term historical data. Two widely used models are the
41 International Reference Ionosphere model (IRI, cf. Bilitza et al., 2011) and the NeQuick model (cf. Nava et al.,
42 2008).

43 Since those models represent a ~~median-mean~~ behavior, it is essential to update them by the assimilation of actual
44 ionospheric measurements. There is a variety of approaches developed and validated for the ionospheric
45 reconstruction by the combination of actual observations with an empirical or a physical background model.
46 Hernandez-Pajares et al. (1999) present one of the first GNSS-based data-driven tomographic models, which
47 considers the ionosphere as a grid of three-dimensional voxels and the electron density within each voxel is
48 computed as a random walk time series. The voxel-based discretisation of the ionosphere is further used for
49 instance in Heise et al., 2002; Wen et al., 2007; Gerzen and Minkwitz, 2016;~~;~~ Gerzen et al.~~;~~ 2017;~~;~~ Wen et al.,
50 2020. These authors reconstruct the 3D ionosphere by algebraic iterative methods. An alternative is to estimate the
51 electron density as a linear combination of smooth and continuous basis functions, like e.g. spherical harmonics
52 (SPH) (Schaer 1999), B-splines (Schmidt et al., 2008; Zeilhofer, 2008; Zeilhofer et al., 2009~~40~~); Olivares-Pulido
53 et al., 2019), B-splines and trigonometric B-splines (Schmidt et al. 2015), B-splines and Chapman functions (Liang
54 et al., 2015 and 2016), and empirical orthogonal functions and spherical harmonics (Howe et al., 1998).

55 Besides the algebraic methods, also techniques taking benefit of information on spatial and temporal covariance
56 information, such as Optimal Interpolation, Kalman Filter, three- and four-dimensional variational techniques and
57 Kriging, are applied to update the modelled electron density distributions; (cf. Howe et al., 1998; Angling et al.,
58 2008; Minkwitz et al., 2015 and 2016; Nikoukar et al., 2015; Olivares-Pulido et al., 2019).

59 Moreover, there are approaches based on physical models, which combine the estimation of the electron density
60 with physical related variables such as neutral winds or the oxygen/nitrogen ratio (cf. Wang, et al. 2004; Scherliess
61 et al., 2009; Lee et al., 2012; Lomidze et al., 2015; Schunk, et al., 2004 and 2016; Elvidge and Angling, 2019).

62 In general, the majority of data, available for the reconstruction of the ionosphere and plasmasphere, are Slant
63 Total Electron Content (STEC) measurements, i.e. the integral of the electron density along the line of sight
64 between the GNSS satellite and receiver. Often, STEC measurements provide limited vertical information and
65 hence the modelling of the vertical the electron density distribution is hampered (cf. e.g. Dettmering, 2003).

66 The estimation of the topside ionosphere and plasmasphere poses a particular difficulty since direct electron
67 density measurements are rare and since low plasma densities at these high altitudes contribute only marginally to
68 the STEC measurements. Especially, ground-based STEC measurements are dominated by electron densities
69 within and below the characteristic F2 layer peak. Consequently, information about the plasmasphere ~~can be~~
70 ~~hardly is difficult to~~ extracted from ground-based STEC measurements; (cf. e.g. Spencer and Mitchell, 2011). Thus,
71 in the presented work, we concentrate on the modeling of the topside part of the ionosphere and plasmasphere and
72 utilize only the space-based STEC measurements.

73 In this paper, we introduce an Ensemble Kalman Filter to estimate the topside ionosphere and plasmasphere based
74 on space-based STEC measurements. The propagation of the analy~~zed~~ state vector to the next time step within a
75 Kalman Filter is a key challenge~~tricky point~~. The majority of the approaches, working with EnKF variants, use~~ss~~
76 physic-based models for the propagation step (cf. e.g. Elvidge and Angling 2019; Codrescu et al., 2018; Lee et al.,
77 2012). In our work, we investigate the question how the propagation step can be realized, if a physical model is
78 not available or if the usage of a physical model is rejected as computationally~~y~~-time consuming. We discretize the
79 ionosphere and the plasmasphere below the GNSS orbit height by 3D voxels, initialize them with electron densities

80 calculated by the NeQuick model and update them with respect to the data. We present different methods how to
 81 perform the propagation step and assess their suitability for the estimation of electron density. For this purpose, a
 82 case study over quiet and perturbed ionospheric conditions in 2015 is conducted, investigating the capability of
 83 the estimations to reproduce assimilated STEC as well as to reconstruct independent STEC and electron density
 84 measurements.

85 We organize the paper as follows: Section 2 describes the EnKF with the different propagation methods and the
 86 generation of the initial ensembles by the NeQuick model. Section 3 outlines the validation scenario with the
 87 applied data sets, and Section 4 presents the obtained results. Finally, we conclude our work in Section 5 and
 88 provide an outlook on the next steps.

89 2 Estimation of the topside ionosphere and plasmasphere by EnKF

90 2.1 Formulation of the underlying inverse problem

91 The information about the ~~slant total electron content~~ (STEC), along the satellite-to-receiver ray path s can be
 92 obtained from multi-frequency GNSS measurements. In detail, STEC is a function of the electron density Ne
 93 along the ray path s , given by

$$94 \quad STEC_s = \int Ne(h, \lambda, \varphi) ds, \quad (1)$$

94 where $Ne(h, \lambda, \varphi)$ is the unknown function describing the electron density values depending on altitude h ,
 95 geographic longitude λ and latitude φ .

96 The discretization of the ionosphere by a 3D grid and the assumption of a constant electron density function within
 97 a fixed voxel allow us the transformation of Eq. (1) into a linear system of equations

$$98 \quad STEC_s \approx \sum_{i=1}^K Ne_i \cdot h_{si} \Rightarrow y = Hx + r, \quad (2)$$

98 where y is the a -($m \times 1$) vector of the STEC measurements, x is the vector of unknown electron densities with
 99 $x_i = Ne_i$ equals the electron density in the voxel i , h_{si} is the length of the ray path s in the voxel i and r is the
 100 vector of measurement errors assumed to be Gaussian distributed with $r \sim N(0, R)$ with expectation 0 and
 101 covariance matrix R .

102 2.2 Background model

103 As regularisation of the inverse problem in Eq. (2), a background model often provides the initial guess of the
 104 state vector x . In this study, we apply the NeQuick model version 2.0.2. The NeQuick model was developed at the
 105 International Centre for Theoretical Physics (ICTP) in Trieste/Italy and at the University of Graz/Austria (cf.
 106 Hochegger et al. (2000); Radicella and Leitinger (2001); Nava et al. (2008)). We use the daily solar flux
 107 index F10.7 is used, to drive the NeQuick model.

108 2.3 Analysis step of the EnKF

109 We apply an EnKF to solve the inverse problem defined in Section 2.1. Evensen (1994) introduces the EnKF as
 110 an alternative to the standard Kalman Filter (KF) in order to cope with the non-linear propagation dynamics and
 111 the large dimension of the state vector and its covariance matrix. In an EnKF, a collection of realisations, called
 112 ensembles, represent the state vector x and its distribution.

113 Let $X^f = [x_1^f, \dots, x_{N\kappa}^f]$ be a $(K \times N)$ matrix whose columns are the ensemble members, ideally following the a
 114 priori distribution of the state vector x . Further, the observations collected in y are treated as random
 115 variables. Therefore, we define an $(m \times N)$ - ensemble of observations $Y = [y_1, y_2, \dots, y_N]$ with $y_i = y + \epsilon_i$
 116 and a random vector ϵ_i from the normal distribution $N(0, R)$.

117 We define the ensemble covariance matrix around the ensemble mean $E(X^f) = \frac{1}{N} \sum_{j=1}^N x_j^f$ as follows:

$$P^f = \frac{1}{N-1} \sum_{j=1}^N \left\{ \left(x_j^f - E(X^f) \right) \cdot \left(x_j^f - E(X^f) \right)^T \right\}. \quad (3)$$

118 In the analysis step of the EnKF, the a priori knowledge on the state vector x and its covariance matrix is updated
 119 by

$$X^a = X^f + P^f H^T (R + H P^f H^T)^{-1} \cdot (Y - H X^f), \quad (4)$$

120 where the matrix X^a represents the a posteriori ensembles and hence the a posteriori state vector.

121 For the propagation of the analysed solution to the next time step, we test different propagation models described
 122 in Section 2.4. In order to generate the initial ensembles $X^f(t_0)$ we use the NeQuick model and describe the
 123 procedure in Section 2.5. Keeping in mind that we have to deal with an extremely large huge state vector (details
 124 are presented in Section 3.1), the important big advantage of the EnKF, for the present study, is that there is no
 125 need for explicitly calculation of the ensemble covariance matrix (cf. Eq. (3)(3)). Instead, to perform the analysis
 126 step in Eq. (4)(4) we follow the implementation suggested by Evensen (2003).

127 2.4 Considered models for the propagation step of the EnKF

128 In this section, we introduce the different models investigated to propagate the analysed solution to the next time
 129 step. With all of them, we propagate the ensembles 20 minutes in time. Generally, these propagation models can
 130 be generally described as $X^f(t_{n+1}) = F(X^a(t_n)) + W_F(t_{n+1}) + \Omega_F(t_{n+1})$. In the following subsections,
 131 we outline possible choices applied different approaches of the model F , the systematic error W_F and the
 132 process noise Ω_F and present in this paper a selection of the most promising variants of them.

133 Note: Beyond the presented methods, in addition we had tested a propagation model based on “persistence”, i.e.
 134 $X^f(t_{n+1}) = X^a(t_n) + W_{persistence}(t_{n+1}) + \Omega_{persistence}(t_{n+1})$. Already after a time period of about 24 hours, this method
 135 had shown unreasonable effects in the reconstructions, like a completely misplaced equatorial crest region.

136

137 2.4.1 Method 1: Rotation

138 The method Rotation assumes that in geomagnetic coordinates, the ionosphere remains invariant in space while
 139 Earth rotates below it (cf. Angling and Cannon, 2004). Thus, we propagate the analysed ensemble $X^a(t_n)$ from
 140 time t_n to the next time step t_{n+1} by:

$$X^f(t_{n+1}) = Rot(X^a(t_n)) + W_{rot}(t_{n+1}). \quad (5)$$

141 In detail, to calculate $Rot(X^a(t_n))$ the geomagnetic longitude is changed corresponding to the evolution time
 142 $\Delta t = t_{n+1} - t_n$, i.e. 5 degree of longitude per 20 minutes. W_{rot} denotes the systematic error introduced by
 143 approximation of the true propagation of X^f by a simple rotation. We tested here the following estimation of W_{rot} :

$$W_{rot}(t_{n+1}) = ratio_{rot}(t_{n+1}) \cdot E \left(Rot(X^a(t_n)) \right) \cdot \epsilon_{1 \times N} \quad \text{with and } ratio_{rot}(t_{n+1}) = \frac{(x^b(t_{n+1}) - Rot(x^b(t_n)))}{3 \cdot Rot(x^b(t_n))} \quad (6)$$

$$ratio_{rot}(t_{n+1}) = \frac{(x^b(t_{n+1}) - Rot(x^b(t_n)))}{3 \cdot Rot(x^b(t_n))} \quad (7)$$

167 where x^b is the electron density vector calculated by the NeQuick model and $\epsilon_{1 \times N}$ is an $(1 \times N)$ matrix of
 168 ones. The division in the second equation is an element-wise one. The ratio $ratio_{rot}(t_{n+1})$ in Eq. (7) represents
 169 the relative error introduced by the application of $Rot(x^b(t_n))$ instead of $x^b(t_{n+1})$. In this way, we obtain in Eq.
 170 (6) an approximation of the mean error introduced by approximation of the true state at time t_{n+1} by the a-rotation
 171 of the true state at time t_n . The factor $\frac{1}{3}$ has been chosen empirically as the result of an internal validation not
 172 presented within this paper.

173 2.4.2 Method 2: Exponential decay

174 Here we assume the electron density differences between the voxels of the analysis and the background model to
 175 be a first order Gauss-Markov sequence. These differences are propagated in time by an exponential decay function
 176 (cf. Nikoukar et al. 2015, Bust and Mitchell, 2008; Gerzen et al., 2015)

$$X^f(t_{n+1}) = X^b(t_{n+1}) \cdot \epsilon_{1 \times N} + f(t_{n+1}) \cdot [X^a(t_n) - X^b(t_n)], \quad (8)$$

177 where $X^b(t)$ is the ensemble of electron density vectors calculated by the NeQuick model for the time t as
 178 described in Section 2.5; $f(t_{n+1}) = \exp\left(-\frac{\Delta t}{\tau}\right)$; $\Delta t = t_{n+1} - t_n$; τ denotes the temporal correlation parameter
 179 chosen here as 3 hours.

180 Note: Similar to the method described here, we tested also the application of $Rot([X^a(t_n) - X^b(t_n)])$ instead of
 181 $[X^a(t_n) - X^b(t_n)]$ in Eq. (8). The results were similar and are therefore not presented here.

182 2.4.3 Method 3: Rotation with exponential decay

183 For the third method, we define the propagation model as a combination of the propagation models described
 184 in the previous subsections, in particular

$$X^f(t_{n+1}) = x^b(t_{n+1}) \cdot \epsilon_{1 \times N} + f(t_{n+1}) \cdot Rot([X^a(t_n) - x^b(t_n) \cdot \epsilon_{1 \times N}]) + W(t_{n+1}) + \sqrt{\frac{\Delta t}{20}} \cdot \Omega_{exp}(t_{n+1}). \quad (9)$$

185 The systematic error W is estimated as

$$W(t_{n+1}) = f(t_{n+1}) \cdot \frac{8}{10} \cdot W_{rot}(t_{n+1}). \quad (10)$$

186 Thereby f and W_{rot} are defined as in the two previous sections. The factor $\frac{8}{10}$ thereby is again chosen
 187 empirically. The process noise Ω_{exp} is assumed to be white with $\Omega_{exp}(t_{n+1}) = f(t_{n+1}) \cdot \Omega_{rot}(t_{n+1}) +$
 188 $(1 - f(t_{n+1})) \cdot Q_{exp}(t_{n+1})$. Here the matrix Ω_{rot} consists of random realizations of the distribution $N(0, \Sigma^{rot})$
 189 with

$$\Sigma_{ii}^{rot}(t_{n+1}) = \left(ratio_i \cdot \left\{ E \left(Rot(X^a(t_n)) \right) \right\}_i \right)^2, \quad (1140)$$

221 where $ratio_i$ increases continuously depending on the altitude of the voxel i from $\frac{0.5}{100}$ for lower altitudes to $\frac{1}{100}$ for
 222 the higher altitudes (chosen empirically); $E \left(Rot(X^a(t_n)) \right)$ denotes the ensemble mean vector. The equations
 223 (9)(8) and (11)(10) can be interpreted as follows: For the chosen time step of 20 minutes, the standard deviation
 224 of the time model error regarding the voxel i is equal to $\sqrt{\Sigma_{ii}^{rot}(t_{n+1})} = ratio_i \cdot \left\{ E \left(Rot(X^a(t_n)) \right) \right\}_i$, varying
 225 between 0.5% and 1% of the corresponding analyzed electron density in the voxel i . In details, we generate at
 226 each time step a new vector $\rho_i \sim N(0,1)$ with $\dim(\rho_i) = 100 \times 1$ and calculate to calculate the i -th row ω_i^{rot} of
 227 Ω_{rot} by Eq. Fehler! Verweisquelle konnte nicht gefunden werden.

$$\omega_i^{rot}(t_{n+1}) = \sqrt{\Sigma_{ii}(\Omega_{rot}(t_{n+1}))} \cdot \rho_i(t_{n+1})^T. \quad (121211)$$

228
 229 The matrix $Q_{exp}(t_{n+1})$ consists of random realizations (different for each time step) consistent with the a priori
 230 covariance matrix L of the errors of the background $x^b(t_{n+1})$ (cf. Howe and Runciman, 1998). In details: The a
 231 priori covariance is assumed to be diagonal and L_{ii} equals the square of 1% of the corresponding background
 232 model value. Then the i -th row of Q_{exp} is calculated by Eq. (13)(12):

$$q_i(t_{n+1}) = \sqrt{L_{ii}(t_{n+1})} \cdot \rho_i(t_{n+1})^T. \quad (1342)$$

233 2.5 Generation of the ensembles

234 In order to generate the ensembles we vary the F10.7 input parameter of the NeQuick model (cf. Section 2.2).
 235 First, we analysed the sensitivity of the NeQuick model on F10.7. Based on the results, we calculate a vector
 236 $\mathbf{F10.7}(t)$ of the solar radio flux index with $\dim(\mathbf{F10.7}(t)) = 100 \times 1$ and $\mathbf{F10.7}(t) \sim N \left(F10.7(t), \frac{3}{100} \cdot \right.$
 237 $\left. F10.7(t) \right)$ at time t . The vector $\mathbf{F10.7}$ serves as input for the NeQuick model to calculate the 100 ensembles of
 238 X^b during the considered period and the initial guess of the electron densities $X^f(t_0)$.

239 An example on the variation of the generated ensembles is provided by Figure 1Figure 1. Particularly, we show
 240 in this figure the distribution of the differences between the ensemble of electron densities $X^b(t)$ and the NeQuick
 241 model values for DOYs 041 and 076. The residuals are depicted for a selected altitude and chosen UT times,
 242 presented through different colors (cf. subfigure history). In addition, the mean, the standard deviation (STD) and
 243 the root mean square (RMS) of the residuals are presented in the subplots.

244 2.6 Provision of a benchmark by SMART+

245 In order to provide a benchmark for the described methods, we apply SMART+ as an additional reconstruction
 246 technique.

247 SMART+ is a combination of an iterative simultaneous multiplicative column normalized method SMART (cf.
 248 Gerzen and Minkwitz, 2016) and a 3D successive correction method (3D SCM) (cf. e.g. Kalnay, 2011; Gerzen
 249 and Minkwitz, 2016). -As first step, SMART distributes the STEC measurements among the electron densities
 250 in the ray-path intersected voxels. For a fixed-voxel- i , the multiplicative innovation is calculated as a weighted
 251 mean of the ratios between the actual measurements and the currently expected measurements. The weights are

252 given by the length of the ray path corresponding to the measurement in the voxel i divided by the sum of lengths
253 of all rays crossing the voxel- i . Consequently, only voxels intersected by at least one measurement-~~ray path~~.
254 innovated during the SMART procedure. Thereafter, assuming non-zero correlations between the ray path
255 intersected voxels and those not intersected by any STEC-, an extrapolation is done from intersected to not
256 intersected voxels. For this purpose, one iteration of the 3D SCM is applied. For more details we refer to Gerzen
257 and Minkwitz (2016) and Gerzen et al. (2017).
258 For SMART+ the number of iterations at each time step is set to 25 and the correlation coefficients are chosen as
259 described in Gerzen and Minkwitz (2016). For each time step, SMART+ reconstructs the electron densities based
260 on the background model (here NeQuick) and the currently available measurements. In other words, there is no
261 propagation of the estimated electron densities from a time step t_n to the time step t_{n+1} .

262 3 Validation scenario

263 Within this study, the EnKF with the different propagation methods is applied and validated for the tomography
264 of the topside ionosphere and plasmasphere. ~~TParticularly, two periods with quiet (DOY 041-059, 2015) and~~
265 ~~perturbed (DOY 074-079, 2015) ionospheric conditions are analysed. In this scope, we investigate the ability to~~
266 ~~reproduce assimilated STEC as well as to estimate independent STEC measurements and in-situ electron density~~
267 ~~measurements of the Swarm Langmuir Probes (LP).~~

268 In addition, we apply the tomography approach SMART+ (cf. Section 2.6 ~~Gerzen and Minkwitz, 2016 and Gerzen~~
269 ~~et al., 2017) to provide a benchmark. For SMART+ the number of iterations at each time step is set to 25 and the~~
270 ~~correlation coefficients are chosen as described in Gerzen and Minkwitz (2016).~~

271 3.1 Reconstruction area

272 We estimate the electron density over the entire globe with a spatial resolution of 2.5 degrees in geodetic latitude
273 and longitude. Altitudes between 430 km and 20 200 km are reconstructed where the resolution equals 30 km for
274 altitudes from 430 km to 1000 km and decreases exponentially with increasing altitude for altitudes above 1000
275 km, i.e. in total 42 altitudes. Consequently, the number of unknowns is $K = 217728$. The temporal resolution Δt
276 is set to 20 minutes.

277 3.2 Ionospheric conditions in the considered periods

278 We use the solar radio flux F10.7, the global planetary 3h index Kp and the geomagnetic disturbance storm time
279 (DST) index to characterize the ionospheric conditions during the periods of DOY 041-059 and DOY 074-079
280 2015. In the February period (DOY 041-059, 2015) the ionosphere is evaluated as quiet with F10.7 between 108
281 and 137 sfu, a Kp index below 6 (on two days between 4 and 6, during the rest of the period below 4) and DST
282 values between 20 and -60 nT. The 17-th of March (DOY 076) 2015 is known as the St. Patrick's Day storm. The
283 F10.7 value equals ~116 sfu on DOY 075 and ~113 sfu on DOY076, the Kp index is below 5 on DOY 075 and
284 increases to 8 on DOY 076; DST drops down to -200nT on DOY 076.

285 3.3 Data

286 3.3.1 STEC measurements

287 As input for the tomography approaches and for the validation, we use space-based calibrated STEC measurements
288 of the following LEO satellite missions: COSMIC ~~satellites~~, Swarm, ~~satellites~~, TerraSAR-X, ~~X~~, MetOpA and
289 MetOpB, and ~~GRACE LEO satellites~~. Please note that in 2015, the orbit height of the COSMIC and MetOp
290 satellites is ~800 km, the orbit height of the Swarm B and TerraSAR-X satellites is ~500 km and the one of the
291 Swarm C satellite ~460 km. The STEC measurements of Swarm A and GRACE are used ~~only~~ for the validation
292 only. The Swarm A satellite flew side by side on-site with the Swarm C satellite at around 460 km height. The
293 height of the GRACE orbit was around 430 km. All satellites flew at almost polar orbits. More information about
294 the LEO satellites may be found on the following webpages:

295 COSMIC: (<https://www.nasa.gov/directorates/heo/scan/services/missions/earth/COSMIC.html>),

296 Swarm: (https://www.esa.int/Applications/Observing_the_Earth/Swarm),

297 TerraSAR-X: (<https://earth.esa.int/web/eoportal/satellite-missions/t/terrasar-x>),

298 MetOpA and MetOpB: (<https://directory.eoportal.org/web/eoportal/satellite-missions/m/metop>),

299 GRACE: (https://www.nasa.gov/mission_pages/Grace/index.html).

300 The STEC measurements of the Swarm satellites are acquired from <https://swarm-diss.eo.esa.int/> and the STEC
301 measurements of the other satellite missions are downloaded from <http://cdaac->
302 www.cosmic.ucar.edu/cdaac/tar/rest.html. Both data providers supply also information on the accuracy of the
303 STEC data. We utilize this information to fill the covariance matrix R of the measurement errors. The collected
304 STEC data is checked for plausibility filtered before the assimilation.

305 3.3.2 In-situ electron density measurements from the Swarm Langmuir Probes

306 The LPs on board the Swarm satellites provide in-situ electron density measurements with a time resolution of 2
307 Hz. For the present study, the LP in-situ data are acquired from <https://swarm-diss.eo.esa.int/>. In addition, f~~Further~~
308 information on the pre-processing of the LP data is made available ~~on this website.~~

309 Lomidze et. al (2018) assess the accuracy and reliability of the LP data (December 2013 to June 2016) by nearly
310 coincident measurements from low- and middle-latitude incoherent scatter radars, low-latitude ionosondes, and
311 COSMIC satellites, which cover all latitudes. The comparison results for each Swarm satellite are consistent across
312 these different measurement techniques. The results show that the Swarm LPs underestimate the electron density
313 systematically by about 10%.

314 4 Results

315 In this section, the different methods are presented with the following color code: blue for the method Rotation,
316 green for the method Exponential decay, light blue for the method Rotation with exponential decay, magenta for
317 NeQuick and red for SMART+. The legends in the figures are the following: “Rot” for the method Rotation, “Exp”
318 for the method Exponential decay, “Rot and Exp” for the method Rotation with exponential decay.

319 4.1 Reconstructed electron densities

320 At the end of each EnKF analysis step, we have, for each of the considered methods, 100 ensembles representing
321 the electron density values within the voxels. The EnKF reconstructed electron densities are then calculated as the
322 ensemble mean. The top subplots of ~~Figure 2~~ **Figure 2** present the electron densities ~~at DOY 076, 19:00 UT,~~
323 ~~reconstructed by the method Rotation with exponential decay, i.e. $E(X_{Rot\ and\ Exp}^a(t_n))$, for t_n corresponding~~
324 ~~to DOY 076, at 19:00 UT).~~ The ~~left hand side~~ **upper left corner** subplot shows horizontal layers of the topside
325 ionosphere at different heights between 490 and 827 km. The ~~right hand side~~ **subplot in the upper right corner** ~~†~~
326 ~~illustrates~~ **shows** the plasmasphere for altitudes between 827 and 2400 km at ~~chosen~~ **selected** longitudes. The bottom
327 line subplots show ~~the vertical~~ **VTEC** maps deduced from the 3D electron density in the considered altitude range
328 between 430 and 20200 km ~~for the same time stamp, where:~~ **†** The left hand side subplot ~~represents~~ **show** the
329 reconstructed values and the right hand side VTEC ~~is deduced~~ **calculated** from the NeQuick model ~~calculated~~
330 ~~electron density. It is observed that~~ **†** The reconstructed **VTEC values** ~~results~~ are ~~slightly a bit~~ **slightly** higher than ~~the ones~~
331 ~~of the~~ **NeQuick** ~~model~~ **ones**.

332
333 ~~Figure 3~~ **Figure 3** displays ~~the electron density layers reconstructed by the~~ **the electron density layers reconstructed by the** method Rotation ~~reconstructed electron~~
334 ~~density layers, i.e. $E(X_{Rot}^a(t_n))$, for t_n equal~~ **density layers, i.e. $E(X_{Rot}^a(t_n))$, for t_n equal** ~~corresponding to DOY 076, at 19:00 UT.)~~ **corresponding to DOY 076, at 19:00 UT.)** ~~at different~~ **Again,**
335 ~~reconstructed electron densities at~~ heights between 490 and 827 km (left) and ~~the corresponding~~ **Vertical** ~~TEC~~
336 ~~map~~ **map** ~~deduced from the reconstructed 3D electron density in the altitude range between 430 and 20200 km~~ (right)
337 ~~are depicted. All reconstructed values seem to be plausible, showing as expected the crest region, low electron~~
338 ~~densities in the Polar regions, etc.~~ The method Rotation delivers much higher values than ~~the~~ **the** NeQuick ~~model, cf.~~
339 ~~Figure 2~~ **Figure 2**. In ~~Figure 4~~ **Figure 4**, we take a closer look at the differences between the modelled and reconstructed
340 ~~electron densities. All reconstructed values seems to be plausible, showing as expected the crest region, low~~
341 ~~electron densities in the Polar regions, etc.~~ **electron densities in the Polar regions, etc.**

342 ~~In the following, we discuss~~ **Figure 4** ~~Figure 4 - Figure 7~~ **Figure 7**, in order to understand the deviations between
343 ~~the reconstructions produced by~~ **the reconstructions produced by** ~~of the different methods. On~~ **Figure 4** ~~Figure 4-3~~ **the differences**
344 ~~between the reconstructed and the modelled electron densities, (i.e. $E(X^a(t_n)) - x^b(t_n)$), and~~ **between the reconstructed and the modelled electron densities, (i.e. $E(X^a(t_n)) - x^b(t_n)$), and**
345 ~~modeled (i.e. $x^b(t_n)$) electron densities are shown for all~~ **modeled (i.e. $x^b(t_n)$) electron densities are shown for all** ~~methods: EnKF with~~ **methods: EnKF with** ~~Rotation with exponential decay as forecast~~
346 ~~function; EnKF with~~ **Rotation; EnKF with** ~~Exponential decay and; SMART+~~ **Exponential decay and; SMART+** (from top left subfigure to bottom
347 ~~right subfigure) on DOY 076 at 19:00 UT. In addition,~~ **right subfigure) on DOY 076 at 19:00 UT. In addition,** ~~Figure 5~~ **Figure 5** ~~expresses these differences in percent.~~
348 ~~Please note the different ranges of the colorbars for the subfigures. Figure 6 illustrating~~ **es** the orbits of the LEO
349 ~~satellites for the STEC measurements used for the reconstructions on DOY 076, at 19:00 UT (left) and the~~
350 ~~corresponding ground-track (right). The highest differences are observed for the~~ **Methods** ~~Rotation and~~
351 ~~Exponential decay, whereas the method~~ **Rotation with exponential decay yields;** ~~the smallest differences.~~
352 ~~Furthermore, as expected, the EnKF approaches provide smooth and coherent patterns of differences in the~~
353 ~~ionization. Contrary, the complementary approach of SMART+ has rather small patterns in areas where~~
354 ~~measurements are available and falls back to the background model in areas without measurements in the~~
355 ~~surrounding. In this context, the correlation lengths between the electron densities are of importance. These~~
356 ~~correlation lengths are set empirically in SMART+, whereas EnKF establishes them automatically, i.e. without~~
357 ~~setting or estimating them explicitly as for instance in SMART+ or Kriging approaches. For a comprehensive~~
358 ~~evaluation of~~ **Future analyses are necessary which evaluate** ~~the quality of the different reconstructions in the~~

context of the used correlation lengths, future analyses with further validation data and in dependence on the coincidences between the measurement geometry and the geometry of the validation data set are necessary.

Taking into account the differences in **Figure 5**, for instance around 120°E, and the measurement geometry in **Figure 6**, it is evident that the estimates of the EnKF are not only based on the current measurements but also on a priori information obtained from assimilations before DOY 076, 2015, 19:00 UT. This is of course not the case for SMART+.

In order to supplement the understanding on the differences between the different propagation methods, **Figure 7** presents the differences $E(X_{method}^f(t_{n+1})) - E(X_{method}^a(t_n))$ on the left column subfigures; and the percentage differences $100 \cdot$

$$\frac{E(X_{method}^f(t_{n+1})) - E(X_{method}^a(t_n))}{\frac{1}{2} \cdot [E(X_{method}^f(t_{n+1})) + E(X_{method}^a(t_n))]} \text{ in the right column; for } t_n$$

corresponding to DOY 076, at 19:00 UT. Particularly, for the methods (from top to bottom): Rotation with exponential decay, Rotation and Exponential decay are presented. The differences for the methods Rotation and Rotation with exponential decay clearly indicate the rotation of the crest region (cf. also **Figure 3**). The method Rotation with exponential decay works less rigorously in the rotation than the method Rotation since it is anchored by the background model and the rotation of the differences $X^a(t_n) - x^b(t_n)$ is damped by the exponential decay function, see Eq. (9). Contrary to these two methods, the method Exponential decay tries to propagate the difference $X^a(t_n) - X^b(t_n)$ to the next time step and adds them to the background $X^b(t_{n+1})$. Hence, we observe in the lower left corner subplot of **Figure 7** a similar pattern as in the corresponding lower left corner subplot of **Figure 4**.

Concluding, the different behaviour of the propagation methods in combination with the sparse measurement geometry might serve as an explanation for the substantial differences observed in the VTEC maps shown in **Figure 2** and **Figure 3**.

4.2 Plausibility check by comparison with assimilated STEC

In this **Section**, we check the ability of the methods to reproduce the assimilated STEC measurements. For that purpose, we calculate STEC along a ray path j , for all ray path geometries, using the estimated 3D electron densities, denoted as $STEC_j^{est}$, and compare them with the measured STEC, $STEC_j^{meas}$, used for the reconstruction. Then the mean deviation $\Delta STEC$ between the measurements $STEC_j^{meas}$ and the estimate $STEC_j^{est}$ is calculated for each of the considered methods according to

$$\Delta STEC(t_n) = \frac{1}{m} \sum_{j=1}^m (|STEC_j^{meas}(t_n) - STEC_j^{est}(t_n)|), \quad (14)$$

where m = number of assimilated measurements. $\Delta STEC$ is calculated at each epoch t_n . In terms of the notation used for the Eqs. (1) - (4), we can reformulate the above formula for the mean deviation as

$$\Delta STEC(t_n) = \frac{1}{m} \sum_{j=1}^m (|y_j(t_n) - E(X_a(t_n))^T \cdot H_j|), \text{ with } H_j = j\text{-th row of } H. \quad (15)$$

Further, we consider the **RMS** of the deviations, in detail

$$RMS(t_n) = \sqrt{\frac{1}{m} \sum_{j=1}^m (|STEC_j^{meas}(t_n) - STEC_j^{est}(t_n)|)^2}. \quad (1645)$$

457 To calculate $\Delta STEC$ and RMS , the same measurements are used as for the reconstruction. In this sense, the results
 458 presented in ~~Figure 8~~ ~~Figure 4 - Figure 12~~ ~~Figure 8~~ ~~can serve~~ as a plausibility check, testing the ability of the
 459 methods to reproduce the assimilated TEC.

460 ~~Figure 8~~ ~~Figure 4~~ depicts the distribution of the residuals, left subfigure for the quiet period, right subfigure for
 461 the perturbed period. The corresponding residual median, standard deviation (STD) and root mean square (RMS)
 462 values are also presented in the figure. It is worth to mention here that during the quiet period, the measured STEC
 463 is below 150 TECU. For all DOYs of the perturbed period, except DOY 076, the measured STEC is below ~130
 464 TECU. On DOY 076, the STEC values rise up to 370 TECU.

465 The NeQuick model seems to underestimate the measured topside ionosphere and plasmasphere STEC during both
 466 periods. During both periods, SMART+ seems to perform best, followed by the method Rotation. However, ~~the~~
 467 ~~last one~~ ~~Rotation~~ produces higher STD and RMS values. Compared to the NeQuick residuals, SMART+ is able to
 468 reduce the median of the residuals by up to 86% during the perturbed and up to 79% during the quiet period. The
 469 RMS is reduced by up to 48% and the STD by up to 41%. Rotation reduces the NeQuick median by up to 83%,
 470 the RMS by up to 27%, the STD value is almost on the same level as for NeQuick. The method Exponential decay
 471 is able to decrease the median of the NeQuick residuals by up to 54%, the RMS by up to 25%, and the STD values
 472 by up to 13%. The method Rotation with exponential decay performs similar to the NeQuick model. The latter
 473 could indicate that the parameters chosen for the error terms and weighting in Eq. (9)(9) could still be improved,
 474 although an extensive validation of these parameters was performed prior to the analyses presented in this paper
 475 and the best configuration was selected.

476
 477 Interestingly, the median values are higher during the quiet period, while ~~during the perturbed period the RMS and~~
 478 ~~the~~ ~~STD~~ values are on the same level compared between perturbed and quiet periods. The reason therefore is
 479 probably that the assimilated STEC values have in average lower magnitude during the days in the perturbed
 480 period, compared to those during the quiet period (which explains the lower median), except the storm DOY 076,
 481 while on DOY 076 they are significantly higher (which explains the comparable ~~STD and RMS~~).

482 ~~Figure 9~~ ~~Figure 5~~ and ~~Figure 10~~ ~~Figure 6~~ plot $\Delta STEC$ values versus time for the selected periods. Noticeable is
 483 the increase of $\Delta STEC$ during the storm on DOY 76. On the rest of the period, $\Delta STEC$ is below eight TECU.
 484 During both periods, SMART+ generates the lowest $\Delta STEC$ values. $\Delta STEC$ of the methods Rotation and
 485 Exponential decay are in most of the cases higher than SMART+ delta STEC values and lower than the NeQuick
 486 model. $\Delta STEC$ of the method Rotation with exponential decay is similar to the NeQuick model.

487 ~~Figure 11~~ ~~Figure 7~~ and ~~Figure 12~~ ~~Figure 8~~ present the distribution of $\Delta STEC$ and the RMS error (cf. Eq. ~~(15)~~ ~~(14)~~)
 488 for the quiet and perturbed periods respectively. ~~Figure 11~~ ~~Figure 7~~ confirms the conclusions we draw so far from
 489 ~~Figure 8~~ ~~Figure 4~~ and ~~Figure 9~~ ~~Figure 5~~. SMART+ delivers the lowest $\Delta STEC$ and RMS values, followed by the
 490 method Rotation and then by the method Exponential decay. Rotation with exponential decay performs similar to
 491 the NeQuick model. For the perturbed period, again SMART+ delivers the lowest $\Delta STEC$ and RMS statistics,
 492 followed by the Exponential decay and the Rotation with similar results.

493 4.3 Validation with independent space-based sTEC data

494 In order to validate the methods with respect to their capability to estimate independent STEC, the LEO satellites
495 Swarm A and GRACE ~~are chosen~~ have been used. The STEC measurements of these satellites are not assimilated
496 by the tested methods. ~~It is to mention here that 2015 the Swarm A satellite was flying site on site with the Swarm~~
497 ~~C satellite at around 460 km height. The height of the GRACE orbit was around 450 km. All satellites were flying~~
498 ~~on almost polar orbits.~~

499 For each of the three LEOs, the residuals between $STEC^{meas}$ and $STEC^{est}$ are calculated and denoted as $dTEC =$
500 $STEC^{meas} - STEC^{est}$. Further, the absolute values of the residuals $|dTEC|$ are considered.

501 In general, for the quiet period, the STEC measurements of Swarm A vary below 105 TECU and for the second
502 period below 170 TECU. For the GRACE satellite, the STEC measurements are below 282 TECU for the quiet
503 period and below 264 TECU for the second period.

504 ~~Figure 13~~ Figure 9 and ~~Figure 14~~ Figure 10 display the histograms of the STEC residuals during the quiet period
505 for Swarm A and GRACE respectively. Presented are the distributions of the residuals $dTEC$ and the absolute
506 residuals $|dTEC|$. Also plotted are the median, STD and RMS of the corresponding residuals. ~~Figure 15~~ Figure
507 ~~11~~ and ~~Figure 16~~ Figure 12 depict the histograms of the STEC residuals during the perturbed period.

508 Again, the NeQuick model seems to underestimate the measured STEC during both periods for GRACE and
509 Swarm A satellites. Compared to the NeQuick model, during both periods, the methods SMART+ and Exponential
510 decay decrease the residuals and the absolute residuals between measured and estimated STEC for both GRACE
511 and Swarm A satellites. The method Rotation with exponential decay performs for both periods very similar to the
512 NeQuick model. The performance of the method Rotation is partly even worse than the one of the background
513 model. Our impression is that the number and the distribution of the assimilated measurements is too small and
514 angle limited to be sufficient to dispense with a background model, as is the case with the Rotation method, which
515 uses the model only for the estimation of the systematic error.

516 Regarding the STEC of Swarm A, the lowest residuals and the most reduction in comparison to the NeQuick
517 model, are achieved by SMART+. The median and the STD of the SMART+ residuals are ~ 0.3 TECU and ~ 3.4
518 TECU respectively; for quiet and ~ 0.7 TECU and ~ 7 TECU for the perturbed period. Compared to the NeQuick
519 model, the absolute median value is reduced up to 64% by SMART+ during the quiet and by up to 61% during the
520 perturbed period. The STD value is decreased by up to 47% during the quiet and up to 29% during the storm
521 period. The second lowest residuals are achieved by the Exponential decay - here the median of the residuals is
522 around 0.2 TECU for quiet and around 0.8 TECU for the perturbed period.

523 Regarding the STEC of GRACE during the quiet period, the lowest residuals and the most reduction in comparison
524 to background, are achieved by the Exponential decay, followed by SMART+. Exponential decay reduces the
525 background absolute median value by up to 26% and the STD value by up to 28%. The median of the residuals is
526 around 0.2 TECU. For SMART+, the median of the residuals is around 2.9 TECU. During the perturbed period,
527 SMART+ reduces the absolute median at most by 17% and the STD by 9%, the Exponential decay does not reduce
528 the absolute median, compared to NeQuick, but it reduces the absolute STD value by 23%. The median of the
529 residuals are around -0.5 TECU for Exponential decay and around 0.8 TECU for SMART+.

530 Comparing between quiet and storm conditions, in general an increase of RMS and STD of the [SWARM A](#)
531 residuals is observable for the NeQuick model and all tomography methods regarding both satellites. [This is not](#)
532 [the case for the GRACE residuals.](#)

533 4.4 Validation with independent LP in-situ electron densities

534 In this section, we further extend our analyses to the validation of the methods with independent LP in-situ electron
535 densities of the three Swarm satellites. According to the locations of the LP measurements, the estimated electron
536 density values are interpolated [\(by a 3D interpolation, using the MATLAB build-in function](#)
537 [scatteredInterpolan.m\)](#) from the 3D electron density reconstructions. For each satellite, the measured electron
538 density Ne^{meas} is compared to the estimated one Ne^{est} . In particular we calculate the residuals $dNe = Ne^{meas} -$
539 Ne^{est} , the absolute residuals $|dNe|$, the relative residuals $dNe_{rel} = \frac{dNe}{Ne^{meas}} \cdot 100\%$ and the absolute relative
540 residuals $|dNe_{rel}|$.

541 [Figure 17](#)[Figure 13](#) depicts the distribution of the residuals dNe for the quiet period along with the median, STD
542 and RMS values. Each of the three subplots presents one of the Swarm satellites. In [Figure 18](#)[Figure 14](#) the
543 histograms of $|dNe|$ and $|dNe_{rel}|$ are given for the same period. In [Figure 18](#)[Figure 14](#) we do not separate the
544 values for the different satellites, because these are similar. [Figure 19](#)[Figure 15](#) and [Figure 20](#)[Figure 16](#) show
545 the corresponding histograms for the perturbed period.

546 The electron densities of the NeQuick model are in median slightly higher than the LP in-situ measurements for
547 all three satellites during both periods. The median and STD values for the $|dNe_{rel}|$ residuals produced by
548 NeQuick are $\sim 33\%$ and $\sim 38\%$ resp. during the quiet period. For the perturbed period, we observe higher median
549 and STD values of $\sim 45\%$ and $\sim 56\%$, resp. The increase of the RMS and STD values of the absolute residuals is
550 also visible for all the considered reconstruction methods.

551 The methods SMART+ and Rotation with exponential decay follow the trend of the model and show similar
552 distributions in [Figure 17](#)[Figure 13](#) and [Figure 19](#)[Figure 15](#). Comparing these two methods with the NeQuick
553 model, the performance of SMART+ is slightly better reducing the median of the absolute and absolute relative
554 residuals by up to 8%. Further, during both periods, SMART+ reduces the STD values of the $|dNe|$ values by up
555 to 23%. However, the STD and RMS values of the $|dNe_{rel}|$ residuals for SMART+ during the quiet period are
556 higher than those of the NeQuick model. The median and STD values of the $|dNe_{rel}|$ residuals for SMART+ are
557 $\sim 30\%$ and $\sim 43\%$ resp. during quiet and higher during perturbed period, namely $\sim 43\%$ and $\sim 53\%$ resp. The
558 statistics of the methods Exponential decay and Rotation are worse than those of NeQuick.

560 5 Summary and conclusions

561 In this paper, we [focus on the assessment of assess](#) three different propagation methods for an Ensemble Kalman
562 Filter approach in the case that a physical propagation model is not available or discarded due to computational
563 burden. We validate these methods with independent STEC observations of the satellites GRACE and Swarm A
564 and with independent Langmuir probes data of the three Swarm satellites. The methods are compared to the
565 algebraic reconstruction method SMART+, serving as a benchmark and to the [background model](#) NeQuick-~~model~~

566 for periods of the year 2015 covering quiet to perturbed ionospheric conditions. ~~This work is carrying out our first~~
567 ~~case study in this regard.~~

568 Overlooking all the validation results, the methods SMART+ and Exponential decay reveal the best performance
569 with the lowest residuals. ~~In general,~~ whereas the method Rotation with exponential decay provides only a small
570 improvement compared to the NeQuick model. While SMART+ modifies the electron densities of the background
571 model around the measurement geometry and produces rather small patches, the EnKF produces larger and are
572 smoother patterns. As expected, the validations indicate that the electron density estimates of the EnKF are not
573 only dependent on the current measurement geometry but also on prior assimilations.

574 ~~In summary, the comparison with the assimilated~~ The plausibility check in section 4.2 STEC shows that during
575 both periods all methods reduce successfully the median, RMS and STD values of the STEC residuals and provide
576 better results in comparison to than the background model. SMART+ demonstrates the best performances at best
577 improving and lowers the error the statistics of the NeQuick model by up to 86%, followed by the method Rotation,
578 decreasing the median of the residuals by up to 83%. The method Exponential decay lowers-reduces the median
579 by up to 55%, but the STD values stay almost on the same level as for the NeQuick model.

580 Although the EnKF with the method Rotation reproduces the assimilated STEC data well, less accurate estimates
581 are obtained in the validation with independent data. We assume this has two main reasons: First, as the only
582 propagation method, Rotation is not anchored by the background model. Second, the number of the assimilated
583 measurements is low compared to the number of unknowns and the available measurements are unevenly
584 distributed and angle limited. Both together could lead to increased deviations of the estimates from the truth.

585

586 ~~Regarding the ability to estimate independent STEC measurements,~~ the methods SMART+ and the EnKF with
587 Exponential decay provide the best estimates of the independent STEC and reduce the ~~independent~~ STEC residuals
588 by up to 64% for Swarm A and 28% for GRACE, compared to the NeQuick model. SMART+ generates the
589 smallest residuals for the STEC measurements of Swarm A and Exponential decay performs at best for STEC
590 measurements of GRACE.

591 Concerning the estimation of independent electron densities of the ~~Langmuir Probes~~ data, SMART+ shows the
592 best results, reducing ~~the background statistics of~~ the absolute residuals by up to 23%. The median and STD values
593 of the absolute residuals $|dN_{e_{rel}}|$ for SMART+ are $\sim 30\%$ and $\sim 43\%$ respectively; during quiet ionospheric
594 conditions and higher, namely $\sim 43\%$ and $\sim 53\%$ respectively; during perturbed ionospheric conditions period. The
595 distributions of the residuals produced by Rotation with exponential decay are similar to the ones of the NeQuick
596 model. In general, all the considered methods generate relatively high residuals.

597 These observations could be explained by the fact ~~It should be noted here~~ that the independent electron density
598 measurements are located at the lower edge of the reconstructed area and all the assimilated measurements are
599 located above. Additionally, ~~as already mentioned in Section 3.3.2,~~ Swarm LPs was found to underestimate the
600 true electron density systematically, cf. Section 3.3.2. ~~In order to~~ This could be the second reason, why the
601 reconstructions, based on the STEC, do not match the LPs electron densities. To get obtain better results for the
602 lower altitudes, it might therefore be necessary to apply a kind of anchor point from below for the lower altitudes
603 within the reconstruction procedure. ~~We plan to utilise which could for instance be therefore~~ the Swarm LPs
604 electron density measurements themselves.

605 [Another approach to improve the reconstructions could be to precondition the background model, e.g. in terms of](#)
606 [F2 layer characteristics or the plasmopause location \(cf. e.g. Bidaine and Warnant, 2010, Gerzen et. al., 2017\).](#)

607
608 ~~[Further](#), to get a comprehensive [finale](#) ~~concluding~~ impression of the performance of the investigated methods and~~
609 ~~to [get again](#) insight into the ability of the methods [to](#) ~~for~~ correctly characteriz[ation](#) of the [shapes of the](#) electron~~
610 ~~density profile [shapes](#), we [intend to continue the validation of the methods with additional independent](#)~~
611 ~~[measurements of the \[plasmasphere and topside ionosphere\]\(#\), e.g. coherent scatter radar data, \[and plasmasphere\]\(#\).](#)~~

612 ~~[start to work on comparisons with independent electron density data, located in the plasmasphere and with](#)~~
613 ~~[coherent scatter radar data.](#)~~

614 ~~[Furthermore, a pre adjustment of the background model, e.g. in terms of F2 layer characteristics or the](#)~~
615 ~~[plasmopause location, may be helpful to improve the reconstruction results \(cf. e.g. Bidaine and Warnant, 2010,](#)~~
616 ~~[Gerzen et. al., 2017\).](#)~~

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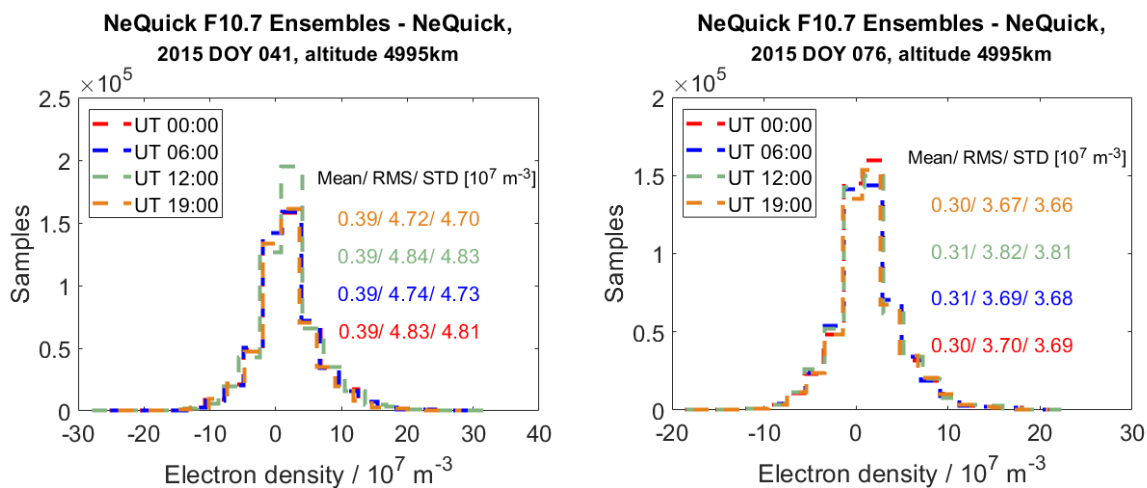
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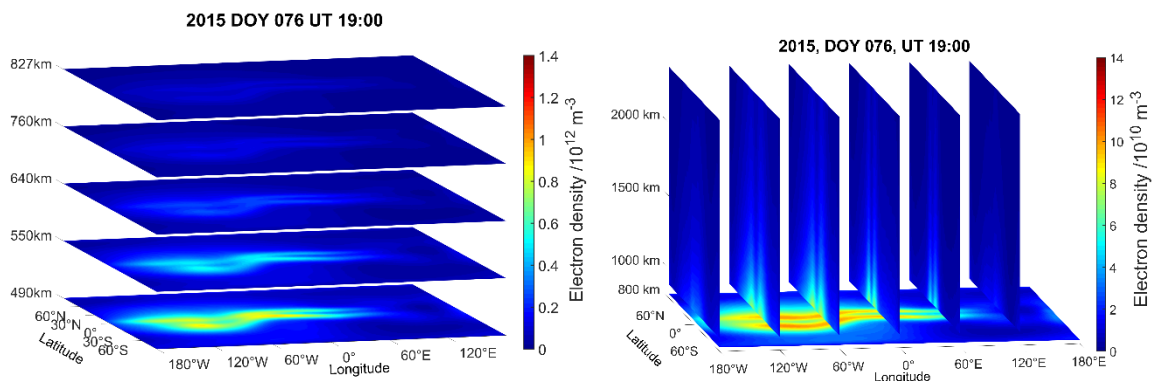
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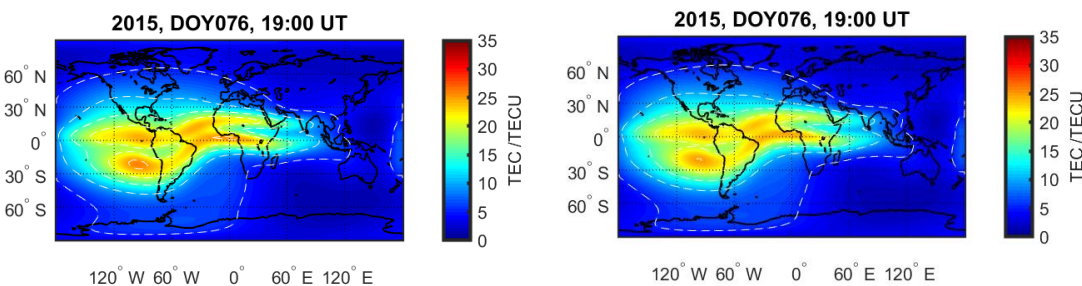


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735 **Figure 1: The distribution of the ensemble residuals for a chosen altitude and selected UT times, for all**
736 **latitudes, longitudes. Left:— for DOY 041, right:— for DOY 076.**

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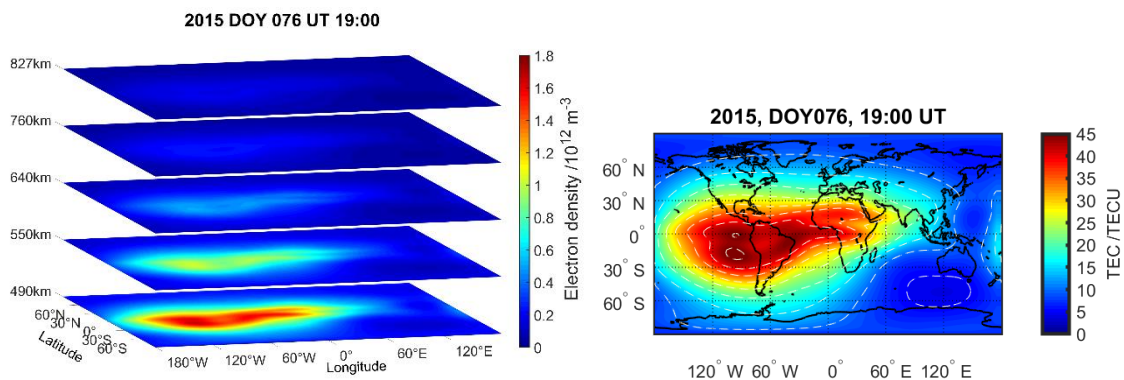


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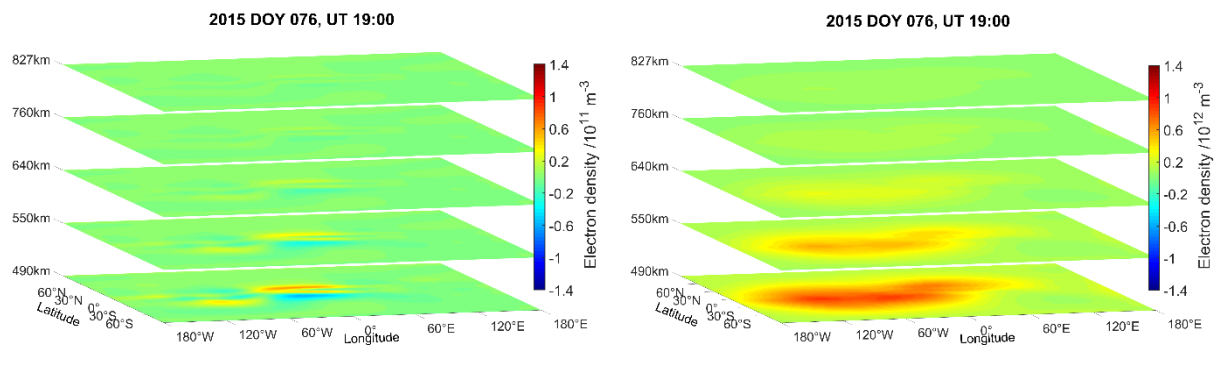
739 **Figure 2: Subfigures top: Rotation with exponential decay reconstructed electron density represented by**
 740 **layers at different heights between 490 and 827 km (left) and at chosen longitudes for altitudes between 827**
 741 **and 2400 km (right). Subfigures bottom: The vertical TEC map deduced from the reconstructed (left) and**
 742 **NeQuick-modeled (right) 3D electron density in the altitude range between 450 and 20200 km.**

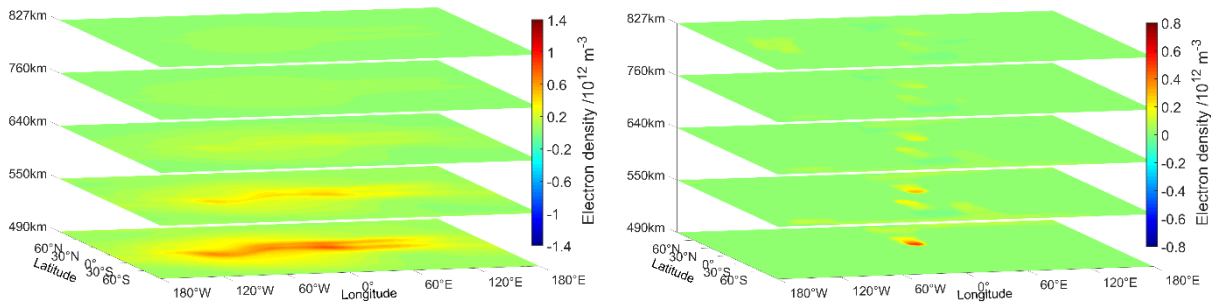
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744 **Figure 3: Subfigures top: Method Rotation reconstructed electron density represented by layers at different**
 745 **heights between 490 and 827 km (left) and vertical TEC map deduced from the reconstructed 3D electron**
 746 **density in the altitude range between 450 and 20200 km (right).**

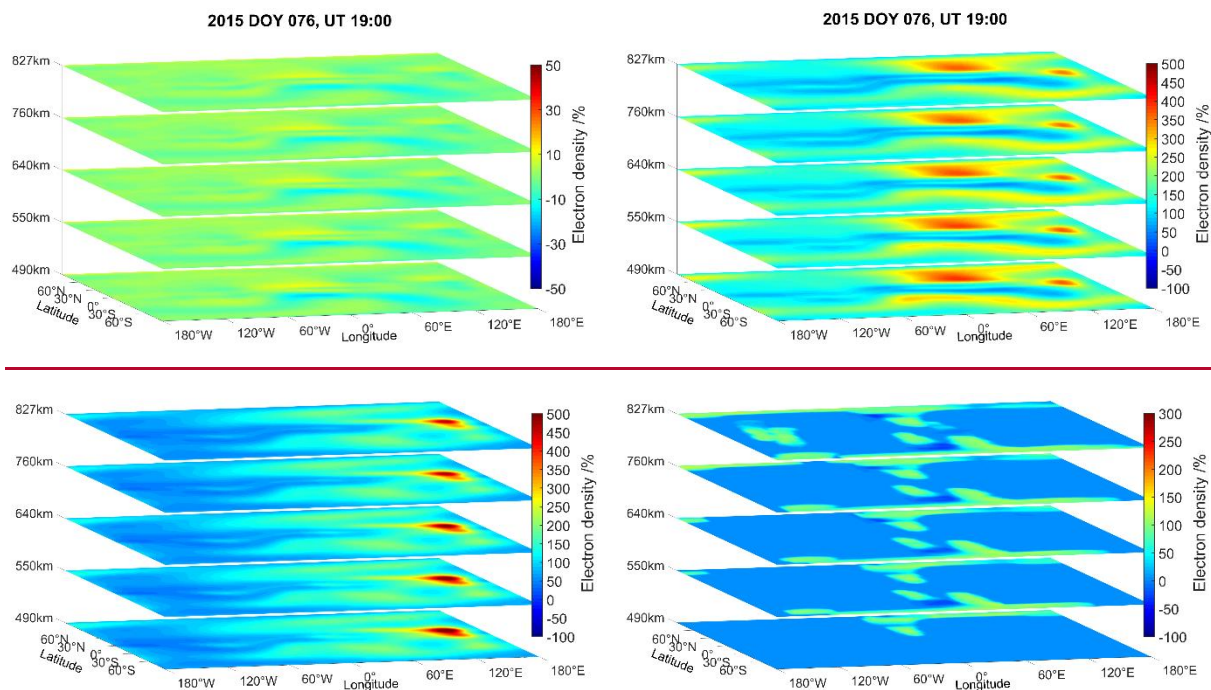
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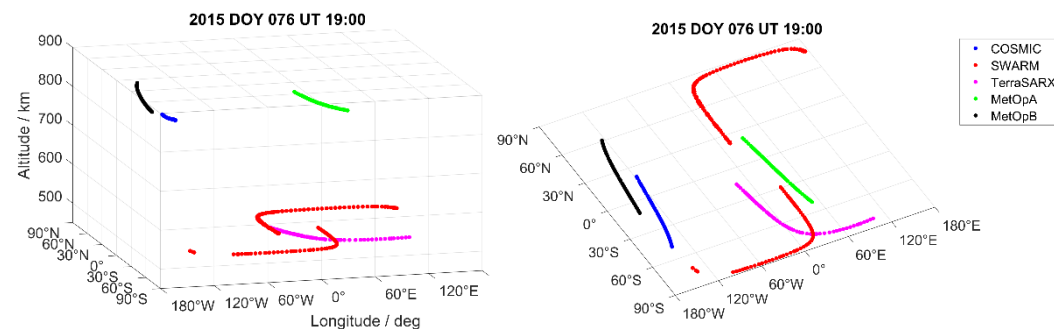
749 **Figure 4: Reconstructed minus NeQuick modeled-electron density represented by layers at different heights**
 750 **between 490 and 827 km. Left top: For Rotation with exponential decay. Right top: Rotation. Left bottom:**
 751 **Exponential decay. Right bottom: SMART+.**



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754 **Figure 5: Differences between reconstructed and NeQuick modeled electron density in percent, represented**
 755 **by layers at different heights between 490 and 827 km. Left top: For Rotation with exponential decay. Right**
 756 **top: Method-Rotation. Left bottom: Method-Exponential decay. Right bottom: SMART+.**

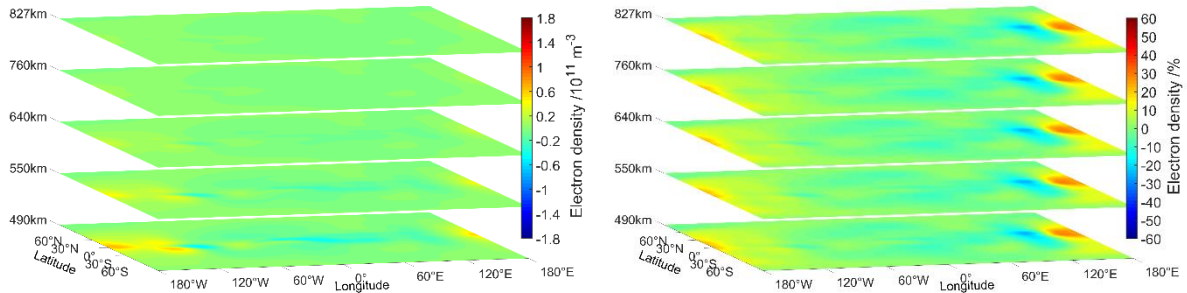


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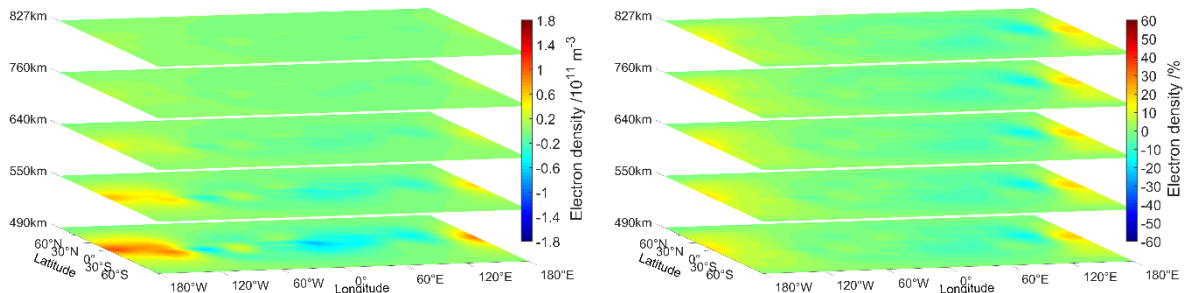
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Figure 6: The locations of the LEO satellites offer the STEC measurements used for the reconstruction.

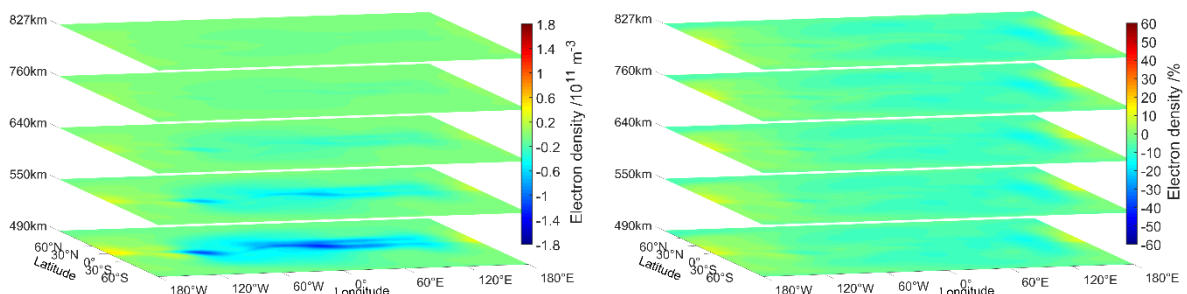
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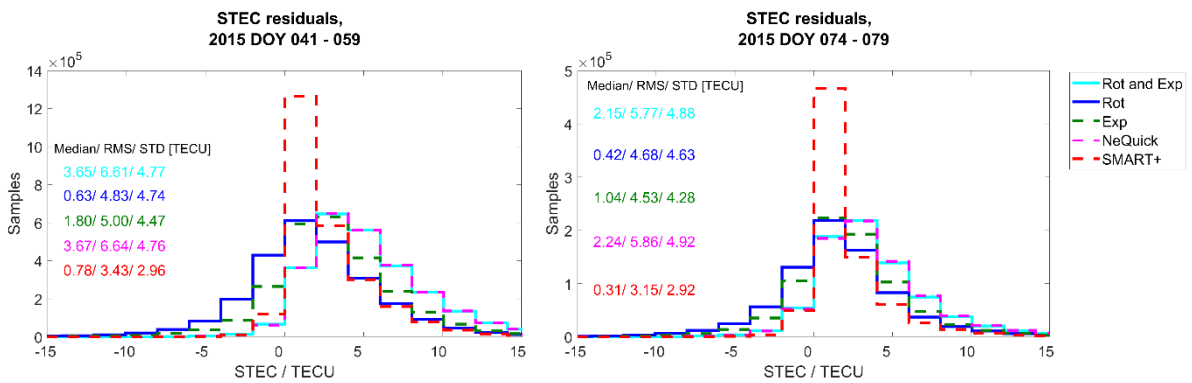


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Figure 7: Left: Differences between the forecasted and analysed electron densities, represented by layers at different heights between 490 and 827 km. Right: Differences in percent. Top: Method Rotation with exponential decay. Middle: Rotation. Bottom: Exponential decay.

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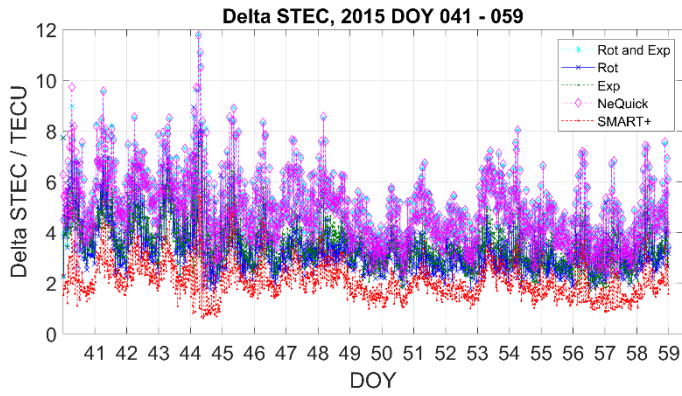


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Figure 84: Plausibility check – distributions of the STEC measured minus STEC estimated residuals. Left subfigure depicts residuals of the quiet period, right subfigure for the perturbed period.

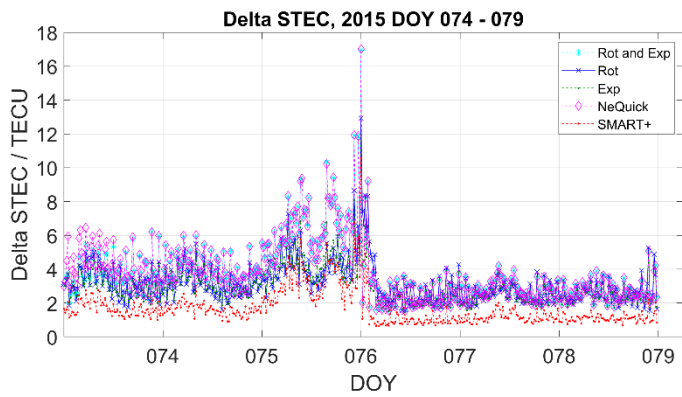


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769 **Figure 95:** Plausibility check for the quiet period – $\Delta STEC$ values versus time.

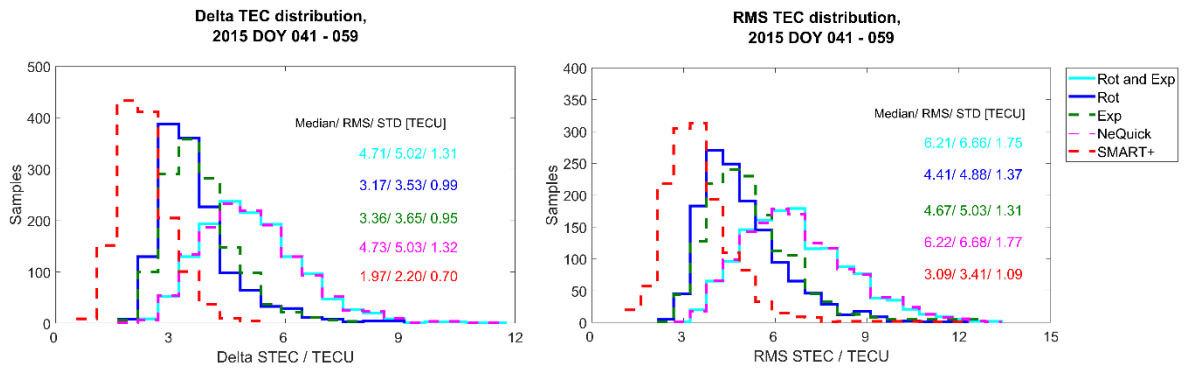
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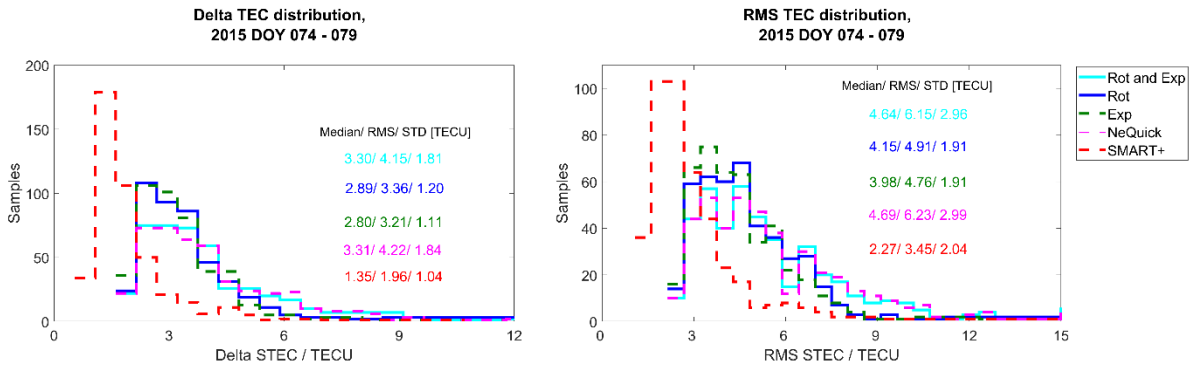
773 **Figure 106:** Plausibility check for the perturbed period – $\Delta STEC$ values versus time.



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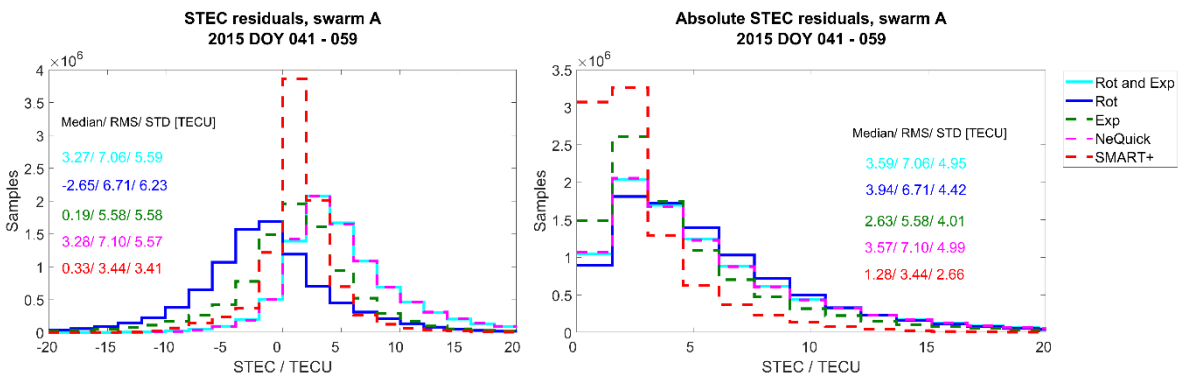
775 **Figure 117:** Plausibility check for the quiet period – distributions of the delta TEC (left) and RMS (right)

776 values.



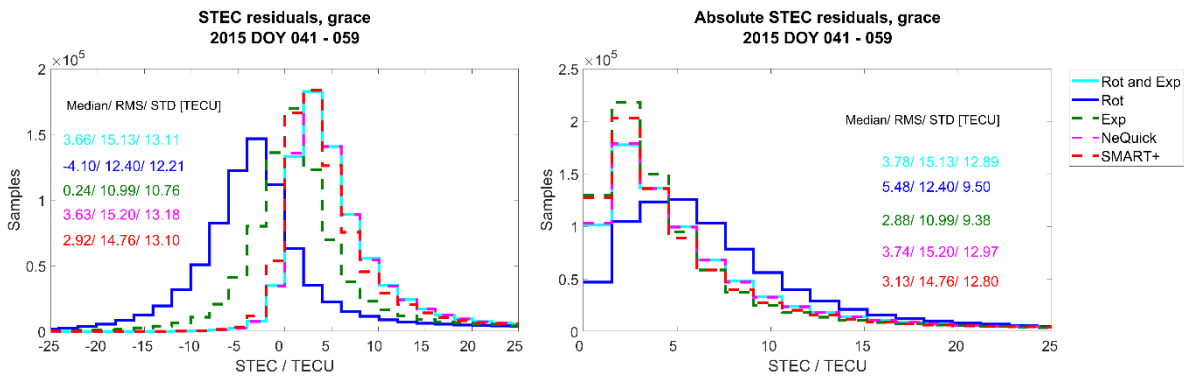
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778 **Figure 128:** Plausibility check for the perturbed period – distributions of the delta TEC (left) and RMS
 779 (right) values.



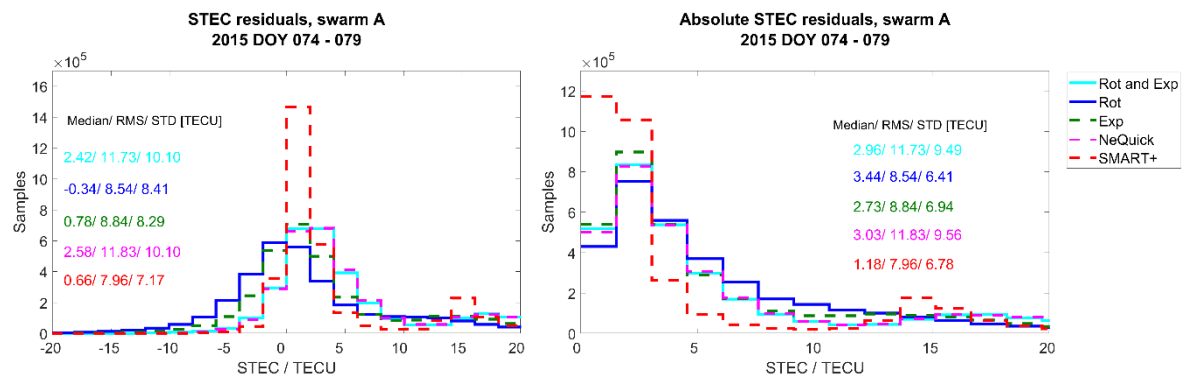
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781 **Figure 139:** Histograms of the STEC residuals (left) and absolute residuals (right) during the quiet period,
 782 for Swarm A.



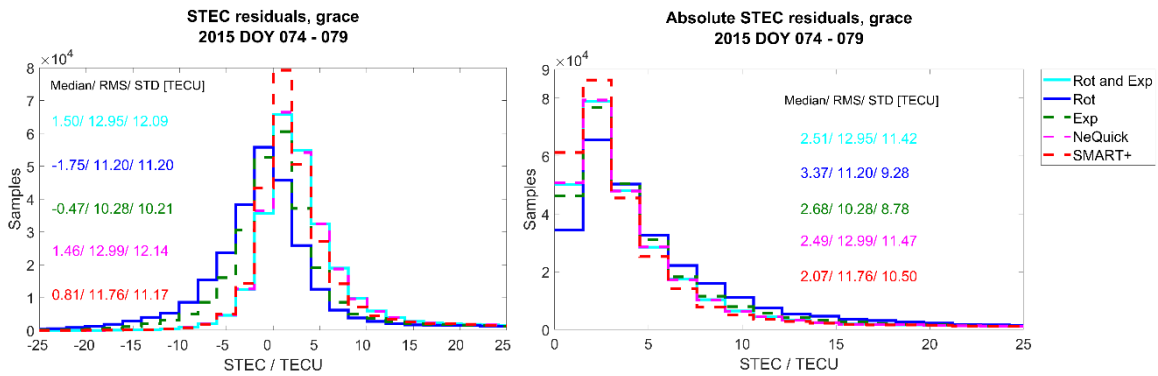
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784 **Figure 1410:** Histograms of the STEC residuals (left) and absolute residuals (right) during the quiet period,
 785 for GRACE.

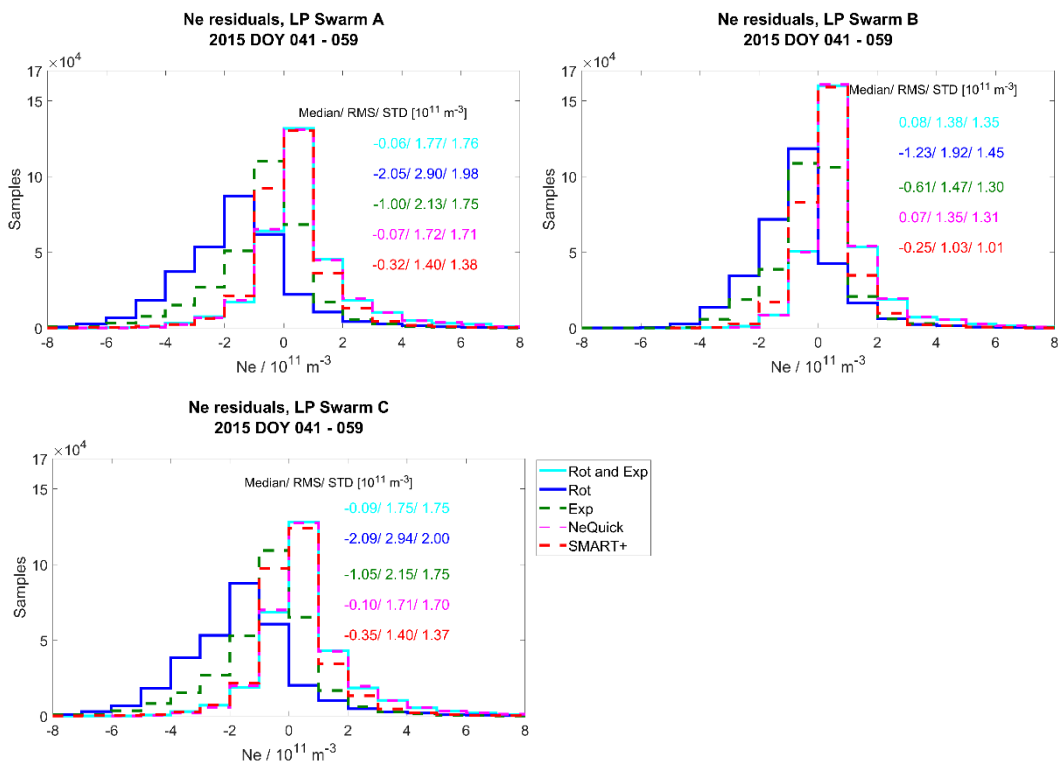


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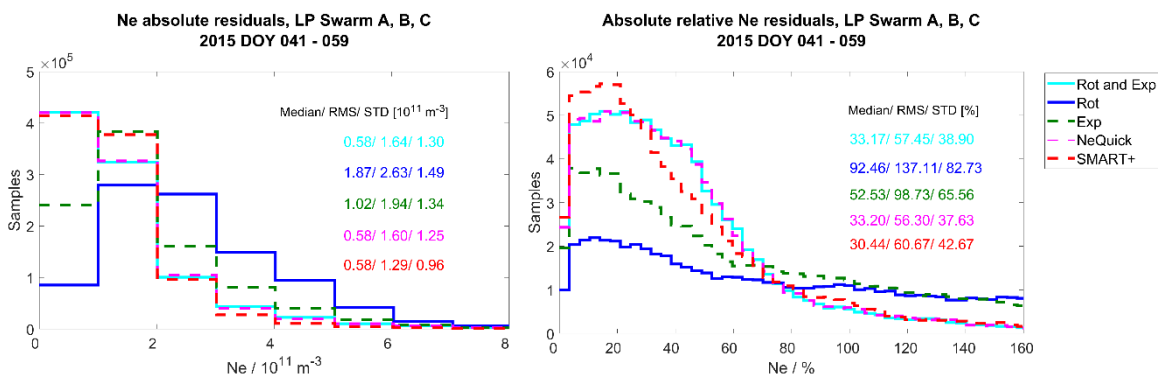
787 **Figure 1541:** Histograms of the STEC residuals (left) and absolute residuals (right) during the perturbed
 788 period, for Swarm A.



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 790 **Figure 1642:** Histograms of the STEC residuals (left) and absolute residuals (right) during the perturbed
 791 period, for GRACE.



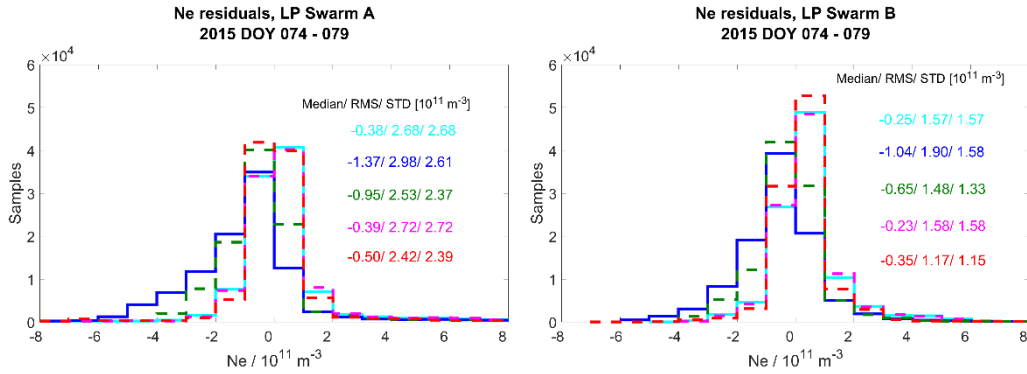
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 794 **Figure 1713:** Validation with LP data – distribution of the Swarm A, B, C (separated) electron density
 795 residuals for the quiet period.



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Figure 1814: Validation with LP data – distribution of the Swarm absolute and absolute relative electron density residuals for the quiet period.

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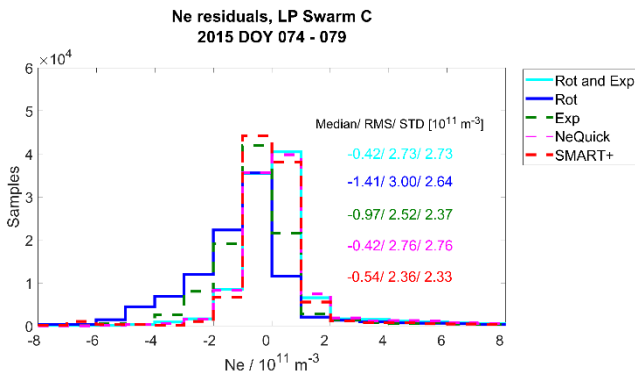


Figure 1915: Validation with LP data – distribution of the Swarm A, B, C (separated) electron density residuals for the perturbed period.

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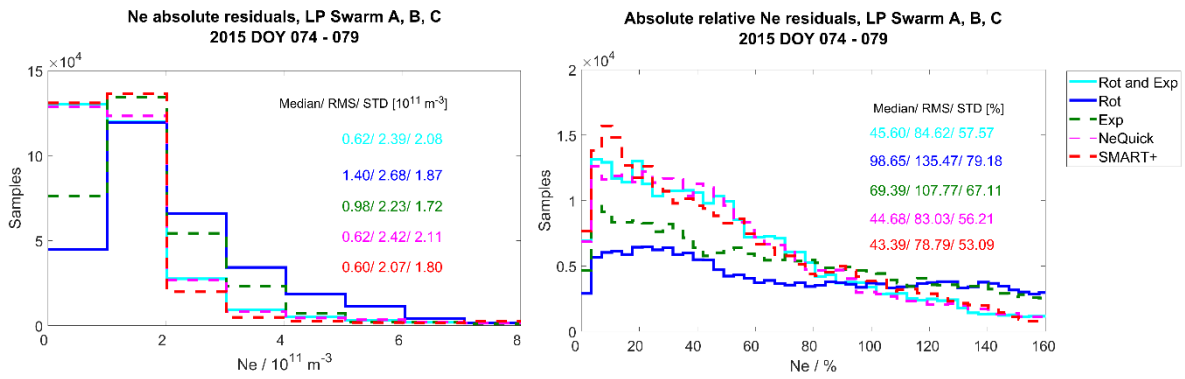


Figure 2016: Validation with LP data – distribution of the Swarm absolute and absolute relative electron density residuals for the perturbed period.

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