



1 **Multipoint Observations of Compressional Pc5 Pulsations in the Dayside**
2 **Magnetosphere and Corresponding Particle Signatures**

3
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17
18 **Abstract**

19 We use Van Allen Probes Radiation Belt Storm Probes-A and -B (henceforth RBSP-A
20 and -B) and GOES-13 and -15 (henceforth G-13 and G-15) multipoint magnetic field, electric
21 field, plasma, and energetic particle observations to study the spatial, temporal, and spectral
22 characteristics of compressional Pc5 pulsations observed during the recovery phase of a strong
23 geomagnetic storm on January 1, 2016. From ~19:00 UT to 23:02 UT, successive
24 magnetospheric compressions enhanced the peak-to-peak amplitudes of Pc5 waves with 4.5-6.0
25 mHz frequencies from 0-2 to 10-15 nT at both RBSP-A and -B, particularly in the prenoon
26 magnetosphere. Poloidal Pc4 pulsations with frequencies of ~22-29 mHz were present in the
27 radial B_x component. The frequencies of these Pc4 pulsations diminished with increasing radial
28 distance, as expected for resonant Alfvén waves standing along field lines. The GOES
29 spacecraft observed Pc5 pulsations with similar frequencies to those seen by the RBSP, but Pc4
30 pulsations with lower frequencies.

31 Both RBSP-A and -B observed frequency doubling in the compressional component of
32 the magnetic field during the Pc5 waves, indicating a meridional sloshing of the equatorial node
33 over a combined range in Z_{SM} from 0.25 to -0.08 Re, suggesting that the amplitude of this



34 meridional oscillation was $\sim 0.16 R_E$ about an equatorial node whose mean position was near Z_{SM}
35 = $\sim 0.08 R_E$. RBSP-A and -B HOPE and MagEIS observations provide the first evidence for a
36 corresponding frequency doubling in the plasma density and the flux of energetic electron,
37 respectively. Energetic electron fluxes oscillated out of phase with the magnetic field strength
38 with no phase shift at any energy. In the absence of any solar wind trigger or phase shift with
39 energy, we interpret the compressional Pc5 pulsations in terms of the mirror mode instability.

40 **Introduction**

41 ULF pulsations with periods of 100s or greater and high azimuthal wave numbers (m)
42 with magnetic field perturbations in the radial direction and electric field perturbations in the
43 azimuthal direction within the Earth's magnetosphere are typically poloidal waves [Sugiura and
44 Wilson, 1964]. According to Elkington et al. [2003], energetic particles with drift frequencies of
45 6.7-22 mHz and 1.7-6.7 mHz can readily interact with corresponding high- m poloidal Pc4 and
46 Pc5 pulsations. Because the atmosphere and ionosphere screen these high- m waves from the
47 ground, they can only be studied with the help of satellite observations. Thus studies like that of
48 Dai et al. [2013] employed observations from locations at or near geosynchronous orbit. Higbie
49 et al. [1982] and Nagano and Araki [1983] showed that long-lasting compressional Pc5
50 pulsations occur most frequently in the dayside magnetosphere during the recovery phase of
51 magnetic storms. Storm-time Pc5 pulsations occur in the afternoon sector between 12:00 and
52 18:00 local time following injections of ring current particles [Kokubun, 1985].

53 A number of studies have examined compressional Pc5 waves outside geostationary
54 orbit. According to these studies, compressional Pc5 waves were observed in the dawn
55 [Hedgecock, 1976], dusk [Constantinescu et al., 2009] and noon [Takahashi et al., 1985] sectors.
56 Zhu and Kivelson [1991] reported that intense compressional waves are a persistent feature on
57 both flanks of the magnetosphere. Compressional Pc5 pulsations occur within $\sim 20^\circ$ region of the
58 magnetic equator [Vaivads et al., 2001]. They have several R_E wavelengths [Walker et al.,
59 1982] and often exhibit harmonics. Elkington et al. [2003] noted that poloidal and
60 compressional modes are far more effective the radial transport of energetic particles than the
61 toroidal mode. Two methods are used to identify the harmonic mode of a poloidal oscillation.
62 The first compares the phase difference between the radial component of the magnetic field and
63 the azimuthal component of the electric field [Takahashi et al., 2011]. The second compares
64 observed wave frequencies with the eigenfrequencies predicted by theory [Cummings, 1969].
65 The multi-satellite study of Takahashi et al. [1987a] showed that a compressional Pc 5 wave had
66 an antisymmetric standing structure.



67 Compressional Pc5 pulsations have been ascribed to numerous excitation mechanisms.
68 They can be produced by internal and external processes. It is supposed that the solar wind is the
69 main external source for pulsations produced by the Kelvin-Helmholtz (KH) instability at the
70 magnetopause or the inner edge of the low-latitude boundary layer [e.g., Guo et al., 2010].
71 Observations indicating enhanced rates of Pc5 occurrence during periods of greater solar wind
72 velocity support this model [e.g., Engebretson et al., 1998]. Transient variations in the dynamic
73 pressure of the solar wind or foreshock [e.g., Wang et al., 2018; Shen et al., 2018] that cause
74 abrupt changes in the magnetic field strength in the magnetosphere and sudden impulses in the
75 ionosphere [e.g., Zhang et al., 2010, Sarris et al., 2010] provide another possible trigger for Pc5
76 pulsations. External pressure impulses can cause compressional oscillations of the
77 magnetosphere with discrete eigenfrequencies, known as global modes or cavity/waveguide
78 modes [Samson et al., 1992]. Periodic solar wind dynamic pressure variations directly drive
79 some compressional magnetospheric magnetic field oscillations [e. g., Kepko et al., 2003;
80 Motoba et al., 2003]. Takahashi and Ukhorskiy [2008] considered solar wind pressure variations
81 as the main external driver of Pc5 pulsations observed at geosynchronous orbit in the dayside
82 magnetosphere.

83 Internal generation mechanisms for compressional Pc5 pulsations include the drift-
84 bounce resonant instability which occurs for particles with resonance drift and bounce periods
85 [Southwood et al., 1969] and the drift-mirror instability in the presence of strong temperature
86 anisotropies [Chen and Hasegawa, 1991]. In high β plasmas (β is the plasma pressure divided by
87 the magnetic pressure), these mechanisms favor antisymmetric waves [Cheng and Lin, 1987].

88 One of the possible mechanisms of generation of compressional Pc5 pulsations observed
89 at geosynchronous orbit is a drift mirror instability of ring current particles [e.g., Lanzerotti et
90 al., 1969]. While the observed anticorrelated magnetic field strength and ion flux oscillations
91 are expected for a drift mirror wave [Kremser et al., 1981], the instability criterion is generally
92 not satisfied [Pokhotelov et al., 1986]. One possible reason for the lack of consistency between
93 theory and observation might be because the real geometry of the magnetosphere is not taken
94 into account [Cheng and Lin, 1987]. Compressional pulsations are often accompanied by
95 pulsations in particle fluxes [Kremser et al., 1981; Liu et al., 2016]. Particle observations can
96 provide useful information on the spatial and wave structure of ULF pulsations. Lin et al.
97 [1976] explained flux oscillations as the adiabatic motion of particles in a
98 magnetohydrodynamic wave. Kivelson and Southwood [1985] studied charged particle
99 behavior in compressional ULF waves and showed that “a mirror effect” is the dominant cause



100 for particle flux modulations. Finite gyroradius effects enable detection of gradients in particle
101 flux associated with waves [e.g., Korotova et al., 2013].

102

103 **1. Objectives**

104 We use multipoint magnetic field, plasma, and energetic particle observations from
105 RBSP-A and -B and G-13 and -15 to study the spatial, temporal, and spectral characteristics of
106 compressional Pc5 pulsations observed deep within the magnetosphere during the recovery
107 phase of the strong magnetic storm which began on December 31, 2015. We investigate the
108 mode of the waves and their nodal structure. We focus on the properties of double frequency
109 pulsations that occurred in the vicinity of the geomagnetic equator. We demonstrate that the
110 energetic particles respond directly to the compressional Pc5 pulsations and also exhibit a double
111 frequency oscillation. We search for possible solar wind triggers and test two possible
112 generation mechanisms: drift-bounce resonance, and mirror instability. The paper is organized
113 as follows: Section 2 describes instruments and resources. Section 3 presents the solar wind and
114 IMF conditions. Section 4 provides an analysis of these waves and their generation mechanisms.

115 **2. Resources**

116 The Van Allen Probes mission can be used to study the geospace response to a
117 fluctuating solar wind. The mission began in August 2012 with a twin spacecraft launch into
118 similar 10° inclination orbits with perigee altitudes slightly greater than 600 km and apogee
119 altitudes just beyond 30000 km [Mauk et al., 2012]. The spacecraft carry instruments that
120 measure electromagnetic fields, waves, and charged particle populations deep within the
121 magnetosphere. This paper employs observations of 20-4000 keV electrons from the MagEIS
122 instrument [Blake et al., 2013] in the Energetic Particle, Composition, and Thermal (ECT) suite
123 [Spence et al., 2013] in conjunction with observations from the magnetometer in the Electric and
124 Magnetic Field Instrument Suite and Integrated Science suite [Kletzing et al., 2013], and the
125 Electric Field and Waves (EFW) [Wygant et al., 2013] instrument. We examine electric and
126 magnetic field measurements with 11s and 4s time resolution, respectively, and differential
127 particle flux observations with ~11s (spin period) time resolution. The data are provided by
128 NASA/GSFC's CDAWEB in the MGSE (modified GSE) coordinate system. We use magnetic
129 field data from G-13 and -15 with 0.5 s time resolution [Singer et al., 1996]. Finally, we employ
130 Wind solar wind magnetic field and 3DP plasma data with 3s time resolution [Lepping et al.,
131 1995; Lin et al., 1995].

132



133 **3. Orbits and solar wind and geomagnetic conditions**

134 The pulsation events to be studied here occurred late on January 1, 2016, following a
135 prolonged period of strongly southward IMF orientation and geomagnetic activity. A substantial
136 increase in the solar wind dynamic pressure early on December 31 was followed by a strong
137 southward IMF that persisted almost without interruption from 11:00 UT on December 31, 2015
138 until 09:00 UT on January 1, 2016 (not shown). Figure 1 shows geomagnetic activity indices
139 Dst, Kp and AE obtained from the OMNI database for the three day interval from 12:00 UT on
140 December 30, 2015 to 24:00:00 UT on January 1, 2016. A strong electrojet with AE index
141 greater than 2100 nT at 12:36 UT on December 31, 2015 was followed by two moderate
142 substorms that enhanced AE at ~14:00 and 18:45 UT on January 1, 2016. The Dst index
143 responded by reaching a value as low as -110 nT at 00:30 UT on January 1, 2016. Shading
144 highlights the interval from ~18:55 to 23:02 UT late in the recovery phase and late in the day on
145 January 1, 2016 when the Van Allen Probes and GOES spacecraft observed the strong
146 compressional Pc5 pulsations of interest to this study.

147 The latter interval was marked by strong variations in the solar wind dynamic pressure.
148 Figure 2 presents Wind observations of the magnetic field and plasma from 16:00 to 24:00 UT
149 on January 1, 2016, during which time the spacecraft moved from GSM (X, Y, Z) = (194.7, 20.1,
150 -12.5) Re to (194.8, 23.6, -7.4) Re. Shading marks an interval of depressed magnetic field
151 strengths and generally anticorrelated enhanced densities, velocities and solar wind dynamic
152 pressures. The cone angle was less than 45° during this interval. The magnetic field was briefly
153 aligned with the Sun-Earth line (Bx) at the center of the interval from 20:00-21:00 UT. For most
154 of the ~4h long shaded interval, IMF Bx (By) was predominantly positive (negative) and the Bz
155 component remained almost constant near 0 nT, indicating a spiral and equatorial IMF
156 configuration.

157 Figure 3 presents RBSP-A and -B and G-13 (MLT~ UT-5) and -15 (MLT~ UT- 9)
158 trajectories from 15:00 UT to 24:00 UT on January 1, 2016 in the X-Y and X-Z GSM planes.
159 Open circles mark the beginning of the spacecraft trajectories which are duskward for the GOES
160 spacecraft and duskward at apogee for the Van Allen Probes. All of the spacecraft were north of
161 the equator when in the dayside magnetosphere. The thick line segments (dots) indicate the
162 locations of the spacecraft at the times when (weak) Pc5 magnetic field pulsations occurred.

163 Figure 4 compares lagged Wind solar wind dynamic pressure variations with G-13 and -
164 15 observations of the dayside magnetospheric magnetic field. The arrows connect
165 enhancements of the solar wind dynamic pressure to corresponding compressions of the
166 magnetosphere. It is relatively easy to associate the GOES magnetic field enhancements with



167 corresponding features in the solar wind dynamic pressure at the beginning and the end of the
168 interval but less easy from 19:50 UT to 21:20 UT corresponding to ~ 20:45 UT and 22:15 UT at
169 the GOES spacecraft. The lag time from Wind to the Earth is not uniform and depends on IMF
170 orientation. At the beginning and end of the interval, when the IMF was spiral ($B_x > 0$, $B_y < 0$),
171 the lag was in the range of ~46 to 58 min. Consistent with expectations, the lag became greater
172 for the interval from ~ 19:50 UT to 21:20 when the IMF was nearly radial (B_y and $B_z \sim 0$ nT).
173 The reasonable correspondence of the magnetosphere compressions to solar wind dynamic
174 pressure variations demonstrates that Wind was a good monitor for solar wind conditions and
175 that a series of pressure enhancements were applied to the magnetosphere during the interval of
176 interest. Pc5 pulsation amplitudes at G-13 and -15 were greater during the interval of enhanced
177 solar wind dynamic pressure and magnetospheric magnetic field strengths than they were at
178 earlier and later times.

179

180 **4. Pulsation Observations**

181 **4.1. Spatial characteristics of Pc5 pulsations**

182 Consider the spatial extent, temporal, and spectral characteristics of the compressional
183 Pc5 pulsations. Figure 5 shows RBSP-A (a) and -B (b) magnetic field observations in GSM
184 coordinates from 18:40 UT to 21:10 UT and from 20:40 UT to 23:10 UT, respectively, on
185 January 1, 2016. Taken together, the RBSP-A and -B observed compressional Pc5 pulsations
186 that occupied the inner dayside magnetosphere from 5.26 to 5.75 R_E and from 09:56 to 12:44
187 MLT. Prior to the arrival of the strong solar wind dynamic pressure variations, RBSP-A
188 observed very weak compressional pulsations with Pc5 periods and amplitudes of 1-3 nT from
189 18:15 to 18:55 UT. After G-15 began to observe compressions at about 19:00 UT (Figure 4), the
190 amplitudes of the pulsations at RBSP-A began to increase (Figure 5). They increased
191 prominently to values ranging from 10 to 15 nT in the B_z component with the peak amplitudes
192 occurring prior to local noon. The B_z component oscillated out of phase with the B_x component
193 and in quadrature with the B_y component. The compressional pulsations at RBSP-A ended at
194 20:58 UT. RBSP-B observed similar compressional Pc5 pulsations from 20:46 UT that ceased
195 simultaneously with the end of the magnetospheric compression seen by G-15 about 23:02 UT.

196 Figure 6 shows G-13 and -15 observations of the magnetic field in GSM coordinates
197 from 18:00 UT to 24:00 UT. The spacecraft observed long-duration compressional Pc5
198 pulsations over a wide longitudinal region in the pre- and post-noon magnetosphere from 10:00
199 to 15:20 MLT (Figure 3). There was also weak preexisting Pc5 wave activity before the strong
200 solar wind dynamic pressure variations. G-15 observed pulsations with amplitude less than 5 nT



201 from 18:28 UT to 19:04 UT. Then after the subsequent magnetospheric compressions their
202 amplitude increased to values ranging from 10 to 16 nT with peak amplitudes prior to local noon
203 as for the Pc5 pulsations observed by RBSP-A and -B. G-13 observed weak Pc5 pulsations with
204 amplitudes of 2-4 nT throughout most of the time interval from 16:40 UT to 21:00 UT. During
205 the interval from 19:34 UT (~14:45 MLT) to 20:10 UT
206 (~15:20 MLT), the pulsations reached slightly stronger amplitudes of 5-8 nT. At 23:02 UT all
207 wave activity observed at GOES stopped.

208 We converted the magnetic field observations from GSE into field-aligned coordinates
209 (FAC). Here the Z axis lies parallel to the locally-averaged magnetic field. The Y axis points
210 approximately azimuthally eastward and is transverse to B and to the outward radius vector. The
211 X axis completes the right-handed system and is directed approximately radially outward from
212 Earth. Figure 7 presents RBSP-A and -B magnetic field observations in FAC. The Bz
213 component reached 15 nT and it is the strongest one as is characteristic of compressional
214 pulsations. The amplitudes of the Bx and By components are weaker than those of the Bz
215 component and did not exceed 7 nT. Simultaneous RBSP-A and -B electric and magnetic field
216 measurements provide an opportunity to study the structure of the Pc5 waves. Determining the
217 harmonic mode of the Pc5 waves requires us to consider the phase of the azimuthal component
218 of the electric field Ey with respect to the radial component of the magnetic field Bx as a
219 function of latitude [Takahashi et al., 2011]. Figure 8 shows that the phase of the Ey component
220 leads that of the Bx component by 90° at RBSP-A from 19:10 UT to 20:00 UT and therefore the
221 Pc5 waves are second harmonic in nature.

222

223 **4.2. Spectral characteristics**

224 We calculated dynamic spectra for the magnetic field pulsations. Figure 9 presents the
225 radial, azimuthal and compressional components of the dynamic spectra of the magnetic field at
226 RBSP-A and -B from 18:00 to 21:10 UT and from 20:00 UT to 23:10 UT on January 1, 2016,
227 respectively. The color bar on the right shows the scale for power for frequencies ranging from
228 0 to 41 mHz in each component. The magnetic field exhibited several wide-band enhancements
229 at frequencies ranging from 4 to 29 mHz. As expected for compressional Pc5 pulsations, both
230 spacecraft observed the strongest power densities in the Bz component at dominant frequencies
231 of ~4.5-6 mHz. Red arrows in the Bz panels of Figure 9 for RBSP-A and -B indicate the double
232 frequency pulsations at ~5.5 mHz and ~11 mHz. We calculated Fourier spectra for the three
233 components of the RBSP-A and -B magnetic field in 600 second sliding-averaged mean FAC for
234 each thirty min interval during the event. Figure 10 presents examples of Fourier spectra



235 calculated for the RBSP-A and -B magnetic field from 19:30 UT to 20:00 UT and from 22:30
236 UT to 23:00 UT, respectively, on January 1, 2016. The red arrows show the dominant
237 frequencies at 5.5 and 5 mHz observed at the two spacecraft, corresponding to periods of 170-
238 200 s. RBSP-A and -B were situated three hours in local time apart, the similar frequencies
239 indicate that conditions in the dayside magnetosphere remained steady for a long time and over a
240 broad region.

241 In passing, we note the presence of Pc4 pulsations. Returning to Figure 9, we see enhanced
242 power densities at frequencies of ~22-29 mHz with dominant frequencies from 23 to 27 mHz
243 primarily in the radial Bx component. These can be ascribed to poloidal Pc4 produced
244 simultaneously with the Pc5 but likely with another energy source. The frequencies of the Pc4
245 pulsations decrease with increasing radial distance, as expected for resonant standing Alfvén
246 waves [Sugiura and Wilson, 1964]. Pulsation periods depend upon the magnetic field line
247 length, the magnetic field magnitude, and the ion density. Shorter field line lengths and
248 enhanced magnetic field strengths closer to Earth decrease pulsation periods. Blue arrows in
249 Figure 9 indicate Pc4 pulsations at ~25-27 mHz.

250 Figure 11 presents dynamic spectra for the G-13 and -15 magnetic field in FAC from 18:00
251 UT to 24:00 UT on January 1, 2016. Spectral power was calculated for frequencies from 0 to 48
252 mHz. Like the RBSP-A and -B magnetic field spectra, there are two broad frequency band
253 enhancements corresponding to Pc4 and 5 frequencies. The dominant frequencies for the
254 compressional Pc5 pulsations occur from 4.5 to 6.5 mHz. These frequencies are similar to those
255 observed by Van Allen Probes and we suppose that they were generated by the same sources.
256 The Pc4 pulsations are most pronounced in the radial Bx component and display strongest
257 spectral power densities in the frequency range from 13 to 21 mHz. These frequencies are lower
258 than those observed by Van Allen Probes, as expected since the GOES spacecraft were located
259 further radially outward from Earth [Sugiura and Wilson, 1964]. The frequencies of the long-
260 lasting Pc4 pulsations observed by G-15 depended on local time. They decreased from 20-22
261 mHz in the prenoon magnetosphere to 14-17 mHz near local noon, perhaps in response to
262 differing conditions (e.g., densities). Takahashi et al. [1984] noted that an increase in plasma
263 mass density from morning to afternoon is typical at geosynchronous orbit. Since the
264 frequencies of the Pc4 pulsations depended on local time and radial distance from Earth, their
265 sources must be more localized than those for the Pc5 pulsations.

266



267 **4.3. Particle signatures**

268 Energetic particle observations provide further information concerning this event. We
269 inspected RBSP-A and -B MagEIS observations of energetic particles from 18:30 UT to 21:00
270 UT and from 20:40 UT to 23:10 UT on January 1, 2016, respectively, and found that the
271 intensities of electrons with energies from tens of keV to 2 MeV oscillated with Pc5 periods
272 corresponding to those of the magnetic field. Figure 12 shows these oscillations. The energetic
273 electron fluxes oscillated out of phase with the magnetic compressional component of Pc5
274 pulsations and did not display any phase differences across all energies. The depth of
275 modulation (the peak to valley ratio) is larger for higher energy electrons consistent with the
276 results of Liu et al. [2016] who interpreted similar observations in terms of mirror mode waves.
277 Kivelson and Southwood [1985] noted that the maintenance of pressure balance in low-
278 frequency compressional waves usually requires the presence of some pitch angle anisotropy and
279 the antiphase relation between P and B suggests that particle pitch angle distributions peak near
280 90°. Figure 13 presents RBSP-A and -B observations of pitch angle distributions for electrons
281 with energies from 54 keV to 1060 keV from 18:30 to 21:00 UT and from 20:40 UT to 23:10 UT
282 on January 1, 2016, respectively. The figure confirms that pitch angle distributions peak near
283 90°. Furthermore, it shows that the electron intensities display quasi-periodic enhancements at
284 all energies with the strongest at pitch angles near 90°.

285

286 **4.4. Double-frequency pulsations**

287 When RBSP-A and -B and G-15 were in the vicinity of the geomagnetic equator the
288 compressional Pc5 pulsations displayed peculiar features indicating frequency doubling. Here
289 the compressional components oscillated with a frequency double that for the transverse
290 components. Coleman [1970] was the first to report observations of such events in the
291 geosynchronous magnetic field. Higuchi et al. [1986] called them harmonic structures when the
292 first and second harmonics exhibited similar amplitudes and transitional structures when the
293 amplitudes of the alternating peak were different. Takahashi [1987b] interpreted double-
294 frequency oscillations in terms of a model invoking the second harmonic structure of an
295 antisymmetric standing wave in which the location of the equatorial node of field-lined
296 displacement oscillates in phase with the wave. Cheng and Qian [1994] presented a model for
297 the magnetic field perturbations during the pulsations reported by Takahashi et al. [1987a, 1990].
298 Figure 6 in the paper of Korotova et al. [2013] illustrates how low-latitude spacecraft can
299 observe two magnetic field strength enhancements per wave cycle when the equatorial node
300 oscillates up and down in phase with an antisymmetric compressional wave. Right at the equator



301 the spacecraft observes identical amplitudes for the two compressions. At any other latitude the
302 two compressions at the spacecraft will have different magnitudes and the imbalance between
303 them increases when the spacecraft moves farther from the equator. Takahashi et al. [1997b]
304 showed that that a latitudinal shift of a fraction of degree can turn the harmonic structure of B_z
305 into nonharmonic. Spacecraft located from the magnetic equator at a large distance do not
306 observe frequency doubling, just a single enhancement. Korotova et al. [2013] derived the
307 latitudinal structure of the waves by invoking north-south sloshings of the low-latitude node.

308 Figure 14 presents (a) RBSP-A and -B observations of double frequency magnetic
309 pulsations and (b) their locations in the X-Y GSM and X-Z SM planes. Dashed lines in Figure
310 14 indicate intervals when the double frequency pulsations in B_z are most prominent: 20:45-
311 20:54 UT at RBSP-A and 21:03 UT to 21:31 UT at RBSP-B. However, the amplitudes of the
312 second harmonic are generally much lower than those of the first harmonic. At these times, e.g.
313 from 20:05 to 20:45 UT at RBSP-A and 21:35-21:55 UT at RBSP-B, the second harmonic
314 compressions in B_z are barely perceptible. Model predictions for the magnetic field
315 perturbations associated with an equatorial node whose latitude oscillates in phase with an
316 antisymmetric poloidal wave indicate that the ratio of the amplitudes of the first to second
317 harmonic compressions should change with latitude, being ~ 1 at the average position of the low-
318 latitude node and ~ 0 at and beyond the maximum latitude to which the oscillating node can reach
319 [Takahashi et al., 1987b]. To determine the meridional motion of the magnetic field node we
320 measured amplitudes of the first and second harmonics of the compressional pulsations. We
321 found that RBSP-A observed ratios near 1 at $Z_{SM} = \sim 0.08$ Re while RBSP-B observed ratios near
322 1 at $Z_{SM} = \sim 0.10$ Re. These are the locations where the southward-moving spacecraft pass
323 through the mean positions of the equatorial node. Figure 14a shows that RBSP-A observed
324 second harmonics from $Z_{SM} = 0.25$ to 0.04 Re, while RBSP-B observed them from $Z_{SM} = 0.19$ to
325 -0.08 Re. Consequently, we believe that the equatorial node oscillated with an amplitude of at
326 least 0.15 to 0.18 Re. Note however, that the ratio of the first to second harmonics does not
327 show a smooth transition as the spacecraft move equatorward. Either the amplitude of the
328 compressional pulsation or the meridional oscillation in the equatorial node varied in time,
329 probably abruptly.

330 Figure 12 shows that the compressional pulsations modulated energetic electrons
331 observed by RBSP- A and - B and we should therefore expect to find the signatures of the
332 double-frequency pulsations not only in the magnetic field but also in the fluxes of particles.
333 Takahashi et al. [1990] reported AMPTE/CCE observations of compressional Pc5 pulsations that
334 demonstrated harmonically related transverse and compressional magnetic oscillations that



335 modulated the flux of medium energy protons ($E > 10$ keV) with double frequency but did not
336 discuss the event in detail. We report the first evidence for meridional sloshing of the equatorial
337 node in the simultaneous compressional Pc5 pulsations and variations of electrons fluxes and
338 electron densities observed by MagEIS and Hope, respectively. Figure 15 presents RBSP-A (left
339 panel) and -B (right panel) electron fluxes for energies at 31.9 keV and 54.8 keV, electron
340 densities and the Bz component of the magnetic field in FAC from 19:00 UT to 21:00 UT and at
341 RBSP-B from 20:46 UT to 22:10 UT. The panels in the bottom of Figure 15 present expanded
342 views of 20 min intervals with the double-frequency pulsations. The Bz component of the
343 magnetic field varies with double frequencies out of phase with the fluxes of electrons and
344 densities. This study gives better insight into the nodal structure of the waves and helps to
345 clarify their source.

346

347 **4.5. Testing Pc4-5 pulsation generation mechanisms**

348 We tested several causes for the Pc4-5 pulsations, including solar wind pressure pulses,
349 the KH instability on the magnetopause, drift-bounce resonant particle interactions, and the
350 mirror-mode instability. First, with the exception of the interval from 19:35 UT to 19:55 UT, the
351 Wind observations shown in Figure 2 provide no evidence for periodic solar wind drivers in the
352 Pc5 range, be they density variations or IMF fluctuations, thus ruling out solar wind pressure
353 pulses as the direct cause of the Pc4-5 pulsations. We then considered the possibility of KH
354 waves. These waves are expected when the solar wind velocity is high and both the
355 magnetosheath and magnetospheric magnetic fields lie transverse to the magnetosheath flow, i.e.
356 on the flanks of the magnetosphere when the IMF points southward or in particular northward
357 [e.g., Guo et al., 2010]. As shown in Figure 2, the solar wind velocity during the interval when
358 the Pc5 events occurred was only moderate, 400-450 km/s. Furthermore, the IMF did not point
359 either strongly northward or southward. Therefore, we conclude like many previous researchers
360 that the compressional Pc5 pulsations were excited by processes internal to the magnetosphere.

361 Southwood [1981] and Kivelson and Southwood [1985] described how the resonant drift-
362 bounce interaction of particles with an azimuthally-propagating wave generates large amplitude
363 ULF waves in an inhomogeneous background field. For this to happen, the wave frequency ω
364 must satisfy the resonance condition:

$$365 \quad \omega - m\omega_d - N\omega_b = 0, \quad (1)$$

366 where ω_d and ω_b are the angular drift and bounce frequencies, N is an integer, and m is the
367 azimuthal wave number. Southwood [1973] predicted that particle flux oscillations just above



368 and below the resonant energy should be 180° out of phase. As Figure 12 demonstrates, RBSP-
369 A and -B ion and below electron observations provide no evidence for any such phase
370 reversal at any relevant energy. We exclude the drift-bounce resonance as the cause of the
371 compressional Pc5 pulsations.

372 Finally, we examined the mirror instability criterion. The mirror instability is a kinetic
373 phenomenon that occurs spontaneously in anisotropic high β plasmas when the ratio of
374 perpendicular to parallel pressures is large [Southwood and Kivelson, 1993]. The test for the
375 mirror instability is approximately:

$$376 \quad \Gamma = 1 + \beta_{\perp} [1 - T_{\perp}/T_{\parallel}] < 0, \quad (2)$$

377 where $T_{\parallel, \perp}$ are the plasma temperatures parallel and perpendicular to the ambient magnetic
378 field and β_{\perp} is the ratio of the perpendicular component of the thermal plasma pressure to the
379 magnetic pressure. For our calculations we obtained the magnetic field data from EMFISIS and
380 thermal plasma pressures perpendicular and parallel to the magnetic field from RBSPICE. We
381 used the density and temperature from HOPE to calculate the parallel and perpendicular thermal
382 pressures within the energy range covered by this instrument, but found these pressures to be
383 small compared to those from RBSPICE. Consequently, our calculations neglect the
384 contributions from HOPE to the thermal pressures.

385 Figures 16a and b show RBSP-A and -B plasma and magnetic field parameters
386 characterizing the pulsations. The upper panels indicate that magnetic field and plasma pressures
387 vary in antiphase during the Pc5 pulsations. However, the total pressure is not balanced as might
388 be expected for mirror mode waves. We suppose that this is because the RBSPICE (or even the
389 RBSPICE + HOPE) plasma instruments do not observe the entire plasma distribution. Assuming
390 that the total plasma pressure is proportional to the fraction that RBSPICE does observe, we
391 scaled the thermal plasma pressures observed by RBSPICE upward to values that cause the sum
392 of the magnetic and perpendicular thermal plasma pressure variations associated with the waves
393 to be approximately constant during the intervals from 19:03 UT to 19:14 UT for RBSP-A and
394 from 22:32 UT to 22:56 UT for RBSP-B. The upward scaling factors were 1.97 and 1.69,
395 respectively. We then applied these factors to both the perpendicular and parallel pressures. The
396 third panels of Figures 16a and b show the values of β_{\perp} calculated from these scaled pressures.
397 Shaded grey areas in the fourth panels show when the drift mirror instability is satisfied (< 0).
398 As the test for the mirror instability is satisfied throughout most of the intervals of enhanced
399 temperature (pressure) anisotropy and $\beta > 1$ at RBSP-A and -B, we attribute the compressional
400 Pc5 pulsations observed on January 1, 2016 to the mirror instability.



401

402 **Conclusions**

403 We used Van Allen Probes and GOES multipoint magnetic field, electric field, plasma
404 and energetic particle observations to study the nature of compressional Pc5 pulsations at the end
405 of a strong magnetic storm on January 1, 2016. From ~ 19:00 UT to 23:02 UT the
406 magnetosphere was compressed and transient increases of the total magnetic field strength
407 occurred every 20-40 min. During this interval the spacecraft observed compressional Pc 5
408 pulsations over a large longitudinal extent. They occupied the dayside magnetosphere from 5.26
409 to 6.6 Re and from 09:56 to 15:20 MLT. The subsequent solar wind pressure increases and
410 magnetospheric compressions enhanced the amplitude of Pc5 wave activity to values from 10 to
411 16 nT. The strongest amplitudes occurred prior to local noon. They were observed when the
412 IMF cone angle was less than 45° . We studied the wave mode of the Pc5 pulsations and found
413 that they had an antisymmetric structure.

414 The greatest spectral power densities observed at RBSP-A and -B occurred in the
415 north/south, or Bz, component of the magnetic field at frequencies of ~4.5-6.0 mHz. The two
416 spacecraft observed similar frequencies, indicating that conditions within the dayside
417 magnetosphere remained steady for a long time and over a broad region. Enhanced spectral
418 power densities at frequencies of ~22-29 mHz in the radial Bx component can be attributed to
419 the simultaneous generation of poloidal Pc4 pulsations by a different mechanism. The
420 frequencies of the Pc4 pulsations diminished with increasing radial distance. The dominant
421 frequencies for the compressional Pc5 pulsations observed by GOES resembled those observed
422 by RBSP-A and -B and we suppose that they were generated by the same sources. The Pc4
423 pulsations displayed frequencies that were
424 lower than those observed by RBSP-A and -B, as expected since the GOES spacecraft were
425 located further radially outward from Earth. Since the frequencies of the Pc4 pulsations
426 depended on local time and radial distance from Earth, their sources must be more localized than
427 those for the Pc5 pulsations.

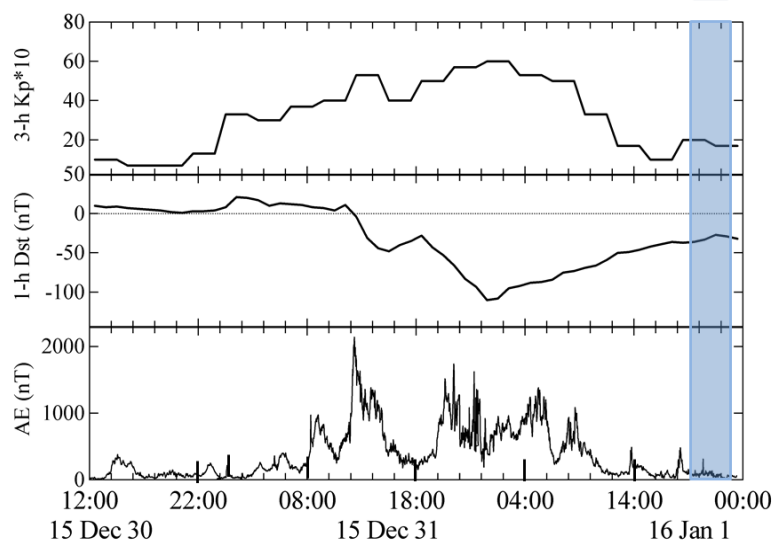
428 When the spacecraft were in the vicinity of the geomagnetic equator, RBSP-A observed
429 meridional sloshing of the equatorial wave node from $Z_{SM} = 0.25$ to 0.04 Re, while RBSP-B
430 observed them from $Z_{SM} = 0.19$ to -0.08 Re. Consequently, we believe that the motion of the
431 meridional oscillation of the position of the equatorial node was at least 0.15 to 0.18 Re. We
432 found that RBSP-A observed ratios near 1 at $Z_{SM} = \sim 0.08$ Re while RBSP-B observed ratios near
433 1 at $Z_{SM} = \sim 0.10$ Re. These were the locations where the southward-moving spacecraft RBSP-A
434 and -B passed through the mean positions of the equatorial node at $Z_{SM} = \sim 0.08$ Re and at $Z_{SM} =$



435 $\sim 0.10 R_e$, respectively. We report the first evidence for meridional sloshing of the equatorial
436 node in the double-frequency variations of electrons fluxes and electron density observed by
437 MagEIS and HOPE, respectively.

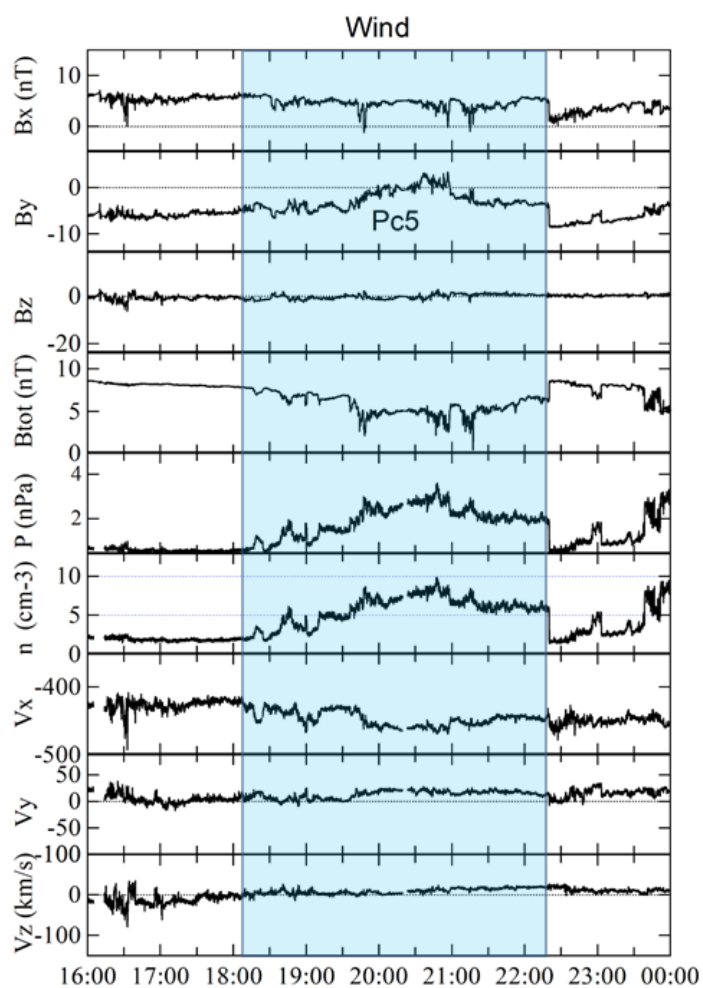
438 The energetic particles observed by RBSP-A and -B exhibited a regular periodicity over a
439 broad range of energies from tens of eV to 2 MeV with periods corresponding to those of the
440 compressional component of the ULF magnetic field. The electron intensities exhibited quasi-
441 periodic enhancements at all energies with the most intense at pitch angles near 90° . The
442 energetic electron fluxes oscillated out of phase with the magnetic field and did not display any
443 phase shift across all energies. The depth of modulation was larger for higher energy electrons.
444 We searched for possible solar wind triggers and discussed generation mechanisms for the
445 compressional Pc5 pulsations in terms of drift mirror instability and drift bounce resonance. We
446 interpret the compressional Pc5 waves in terms of drift-mirror instability.

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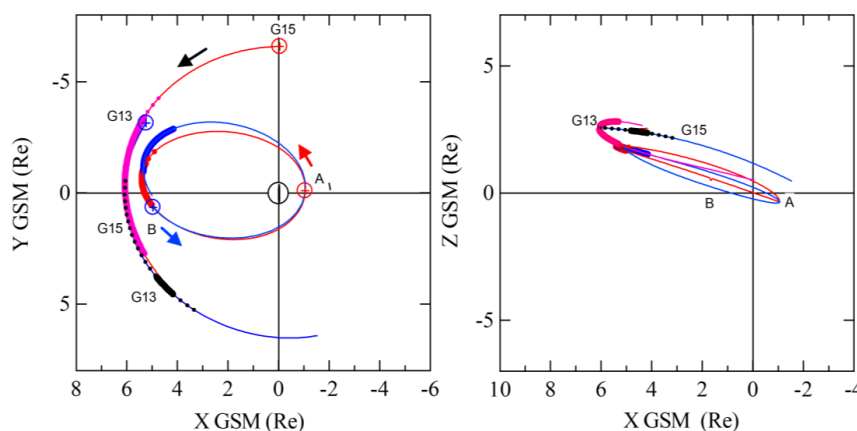


450
451 Figure 1. Geomagnetic activity indices K_p , Dst and AE indices from 12:00 UT on December 30
452 to January 2, 2016 available from the OMNI database (<http://omniweb.gsfc.nasa.gov>). The
453 shading highlights the interval when the spacecraft observed Pc5 compressional pulsations.

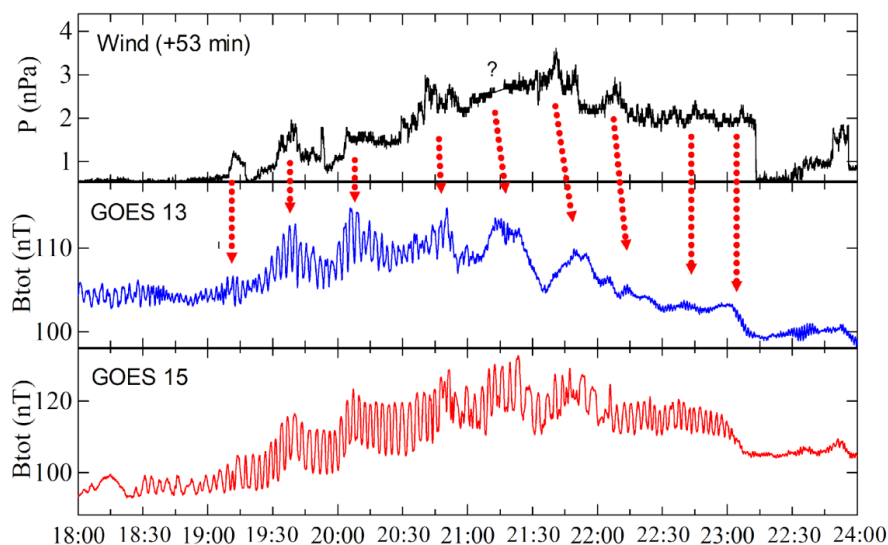
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456
457 Figure 2. Wind observations of the magnetic field and plasma from 16:00 UT to 24:00 UT on
458 January 1, 2016. Shading highlights the interval of interest.
459



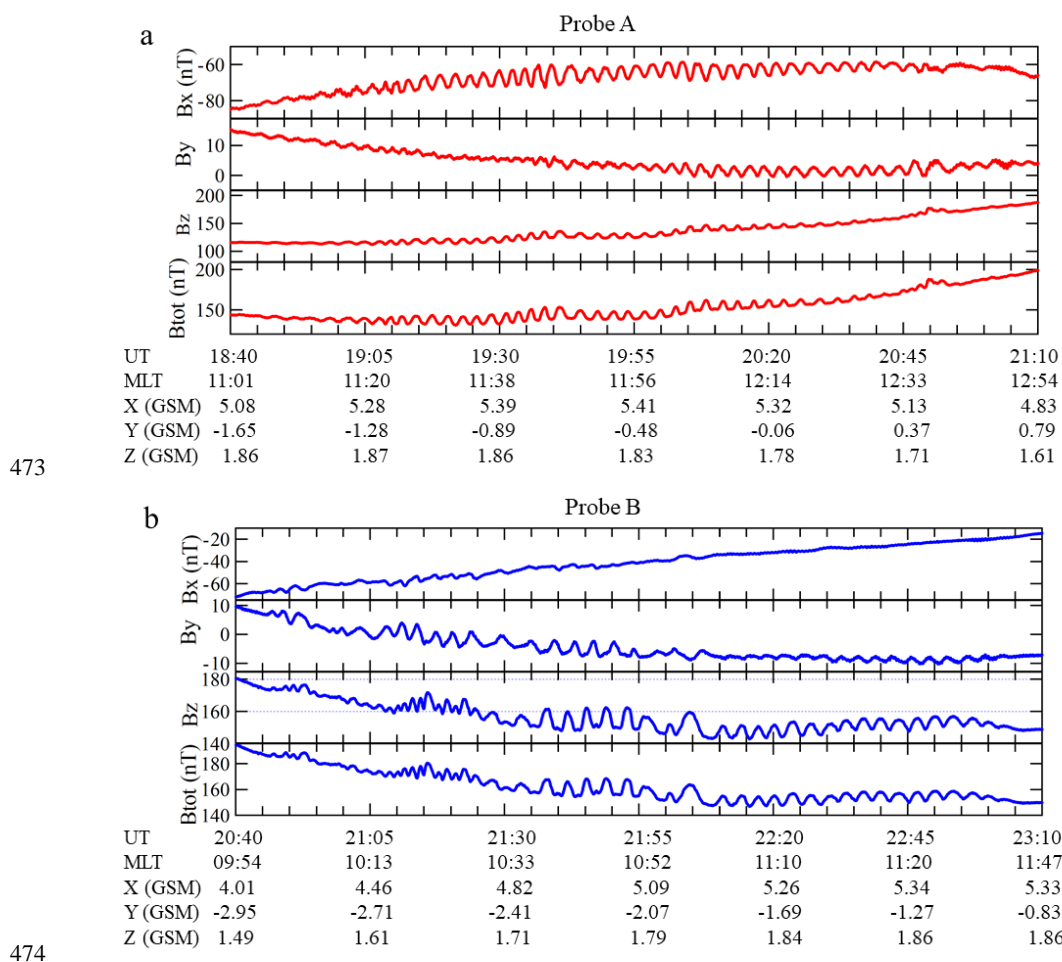
460
 461 Figure 3. Trajectories of RBSP-A (red) and -B (blue) and G-13 (blue) and -15 (red) from 15:00
 462 UT to 24:00 UT on January 1, 2016 in the X-Y and X-Z GSM planes. Open circles mark the
 463 beginning of the spacecraft trajectories, that are duskward in the dayside magnetosphere. The
 464 thick line segments indicate the locations of the spacecraft at the times when compressional Pc5
 465 magnetic field pulsations occurred. Dots mark their locations where weak pulsations ($A < 5$ nT)
 466 occurred.
 467



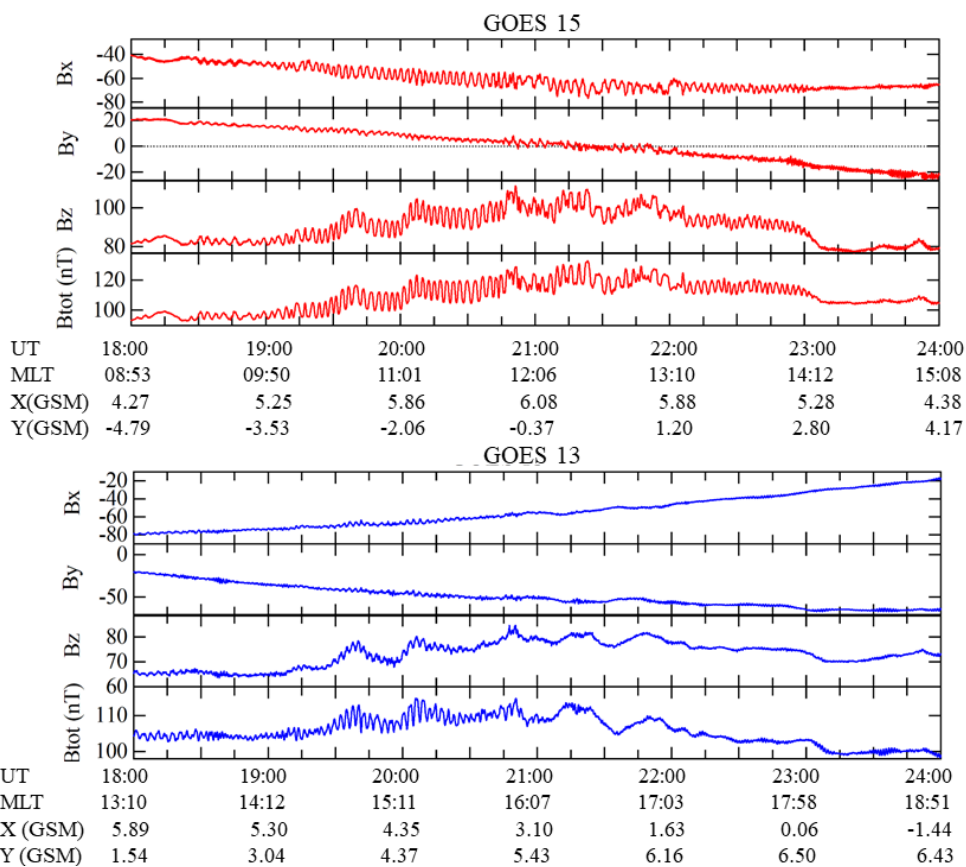
468
 469 Figure 4. Observations of the solar wind dynamic pressure at Wind (time shifted) and the total
 470 magnetic field strength at G-13 and -15 from 18:00 UT to 24:00 UT. The arrows connect



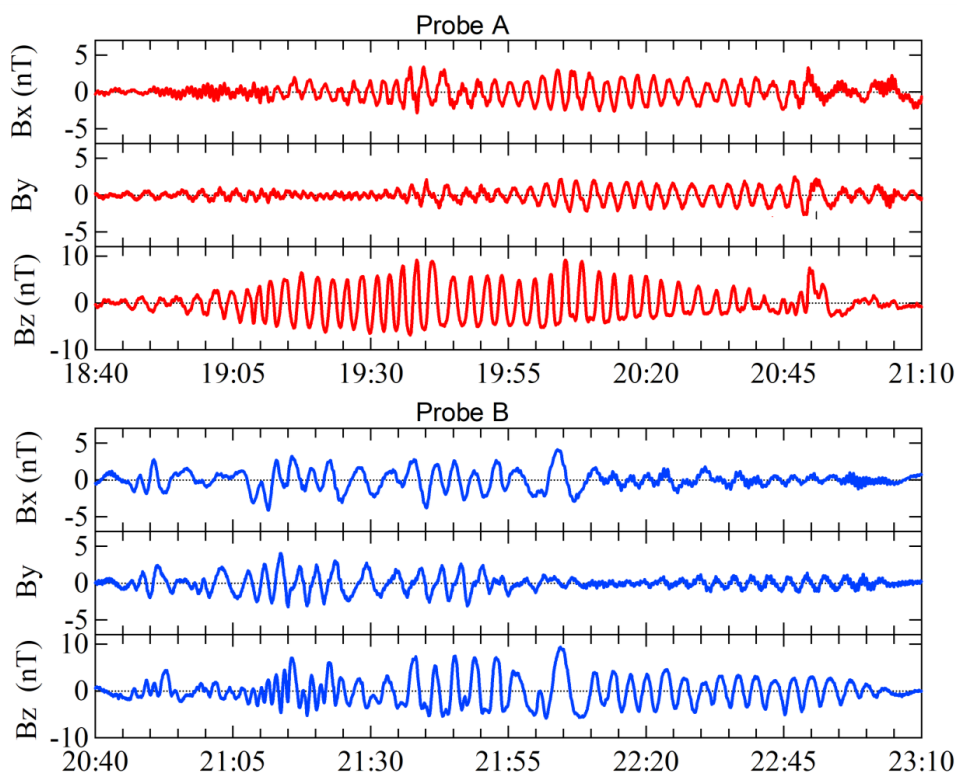
471 enhancements of the solar wind dynamic pressure to corresponding compressions of the
 472 magnetosphere.



475 Figure 5. RBSP-A (a) and -B (b) magnetic field observations in GSM coordinates from 18:40
 476 UT to 21:10 UT and from 20:40 UT to 23:10 UT on January 1, 2016, respectively. Beneath the
 477 panels are listed the universal time (UT), magnetic local time (MLT), X (GSM), Y (GSM) and Z
 478 (GSM) in Earth radii.

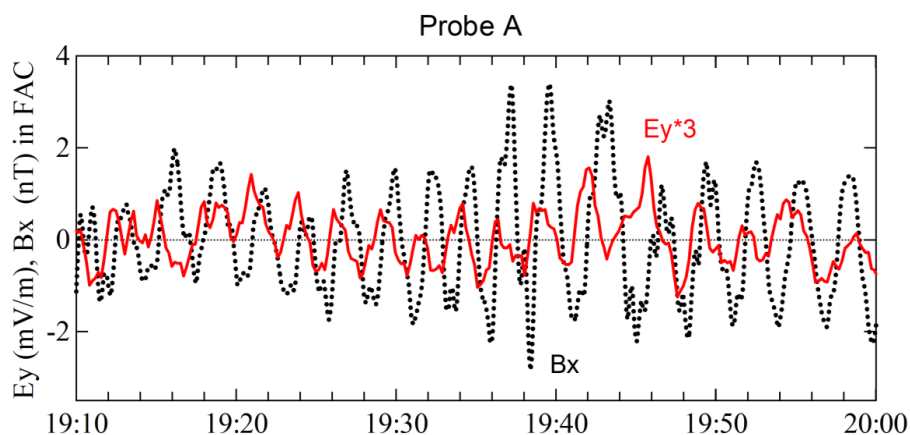


479
 480 Figure 6. G-13 and 15 observations of the magnetic field in GSM coordinates from 18:00 UT to
 481 24:00 UT on January 1, 2016. Beneath the panels are listed the universal time (UT), magnetic
 482 local time (MLT in SM), X (GSM) and Y (GSM) in Earth radii.



483

484 Figure 7. RBSP-A and -B magnetic field observations in field-aligned coordinates from 18:40
485 UT to 21:10 UT and from 20:40 UT to 23:10 UT on January 1, 2016, respectively.

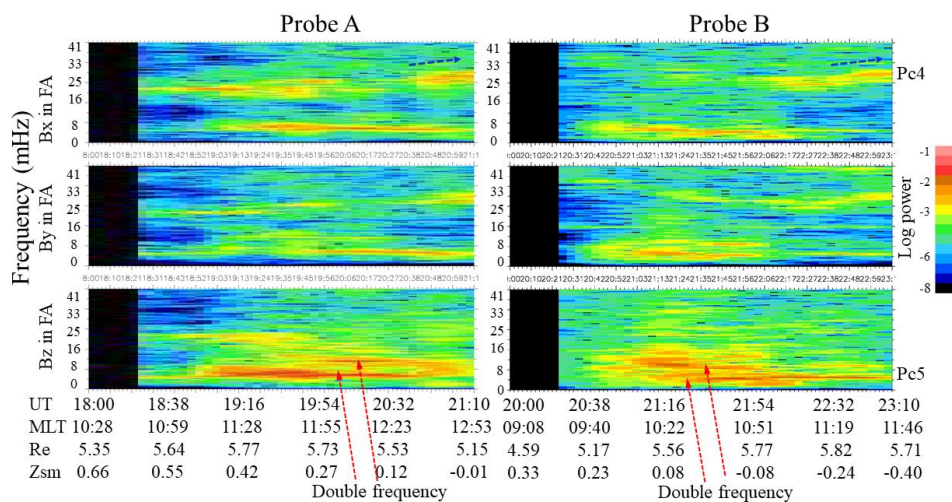


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487 Figure 8. The phase difference between the RBSP-A azimuthal component of the electric field
488 (red curve is boxcar smoothed) and the radial component of the magnetic field B_x in field-

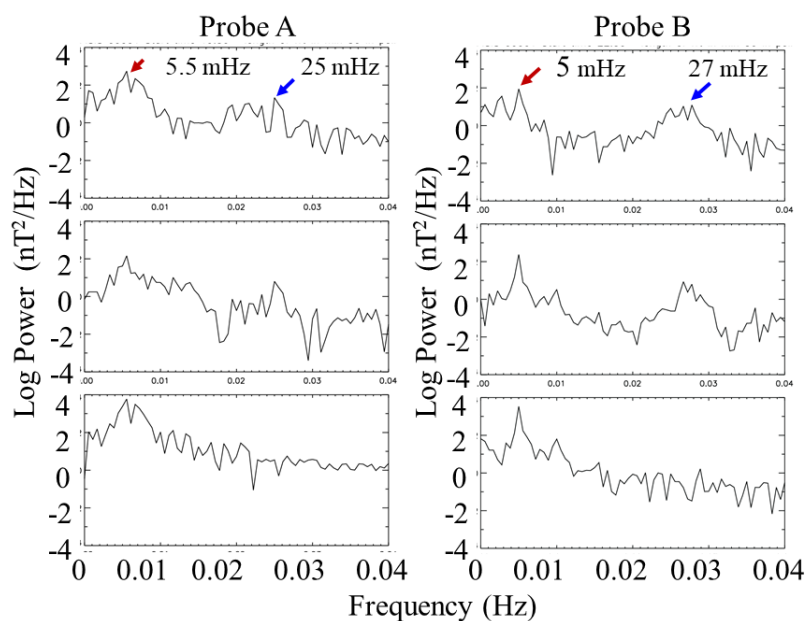


489 aligned coordinates (dashed curve) from 19:10 UT to 20:00 UT on January 1, 2016. The
 490 amplitude of E_y was multiplied by a factor of 3 to better display the visual effects.



491

492 Figure 9. Three component dynamic spectra of magnetic field data at RBSP-A and -B from
 493 18:00 to 21:10 UT and from 20:00 UT to 23:10 UT on January 1, 2016, respectively. Beneath
 494 the panels are listed the universal time (UT), magnetic local time (MLT), radius (Re) and Z
 495 (SM) in Earth radius.

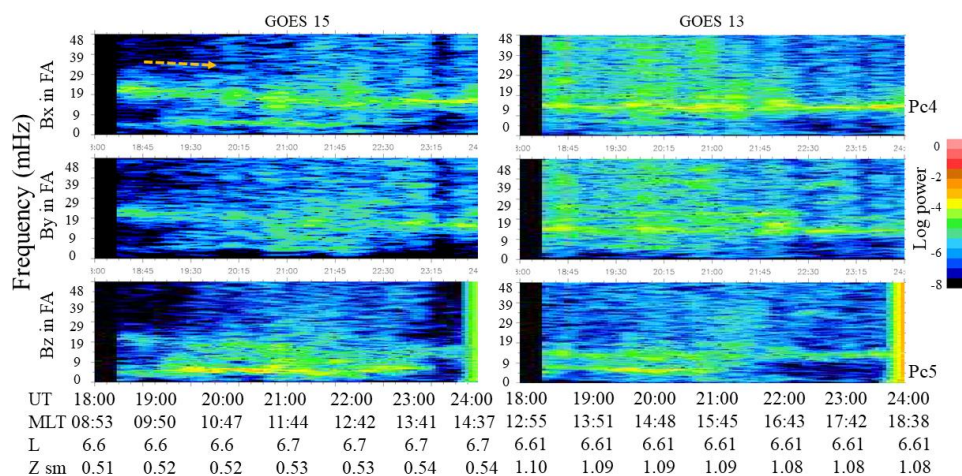


496



497 Figure 10. Fourier spectra calculated for the radial, azimuthal and compressional components of
 498 the RBSP-A and -B magnetic field in 5-minute sliding averaged mean field-aligned coordinates
 499 from 19:30 UT to 20:00 UT and from 22:30 UT to 23:00 UT on 1 January, 2016.

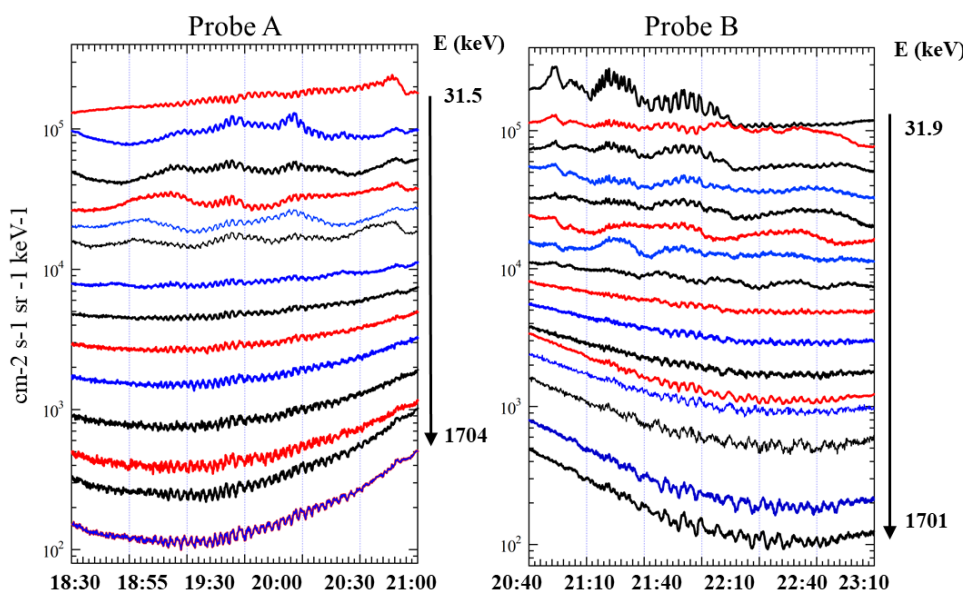
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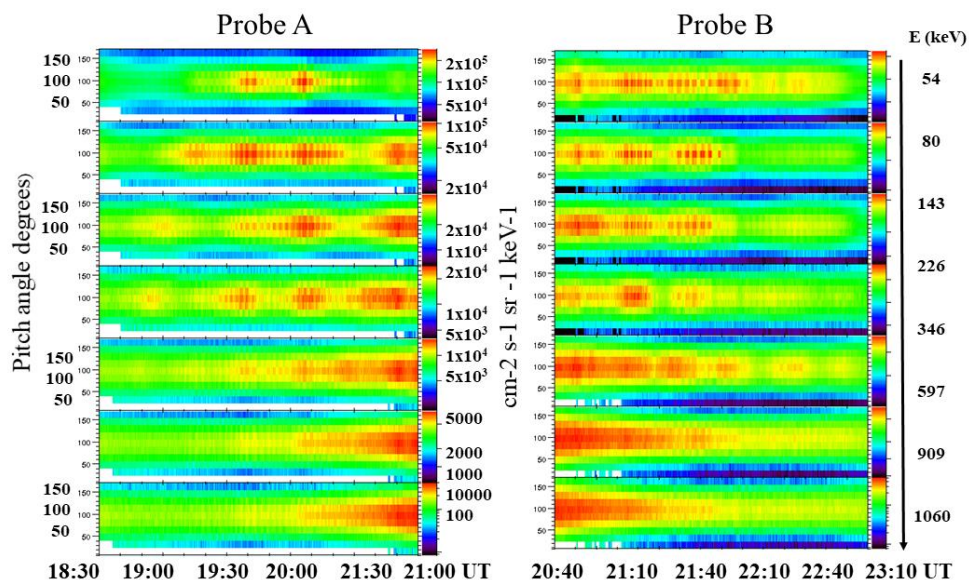
502 Figure 11. Three components of dynamic spectra of the magnetic field data at G-15 and G-13
 503 from 18:00 UT to 24:00 UT on January 1, 2016. Beneath the panels are listed the universal
 504 time (UT), magnetic local time (MLT in SM), L and Z (SM) in Earth radii.

505



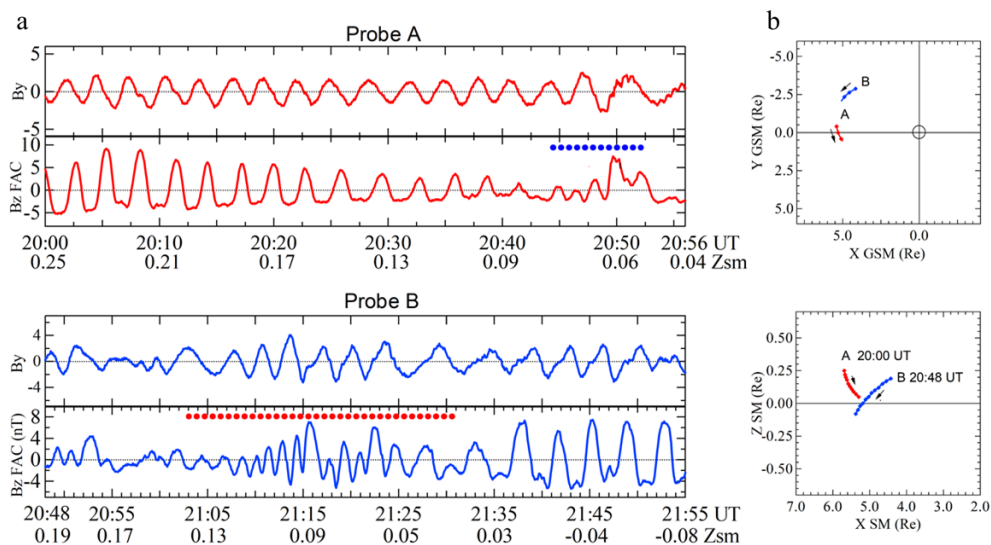


506 Figure 12. RBSP-A and -B observations of electron fluxes in the range of energies from 31.5
 507 keV to 1704 keV from 18:30 UT to 21:00 UT and from 20:40 UT to 23:10 UT, respectively.



508

509 Figure 13. RBSP-A and -B observations of pitch-angle distributions for electrons in the range of
 510 energies from 54 keV and 1060 keV from 18:30 to 21:00 UT and from 20:40 UT to 23:10 UT
 511 on January 1, 2016, respectively.

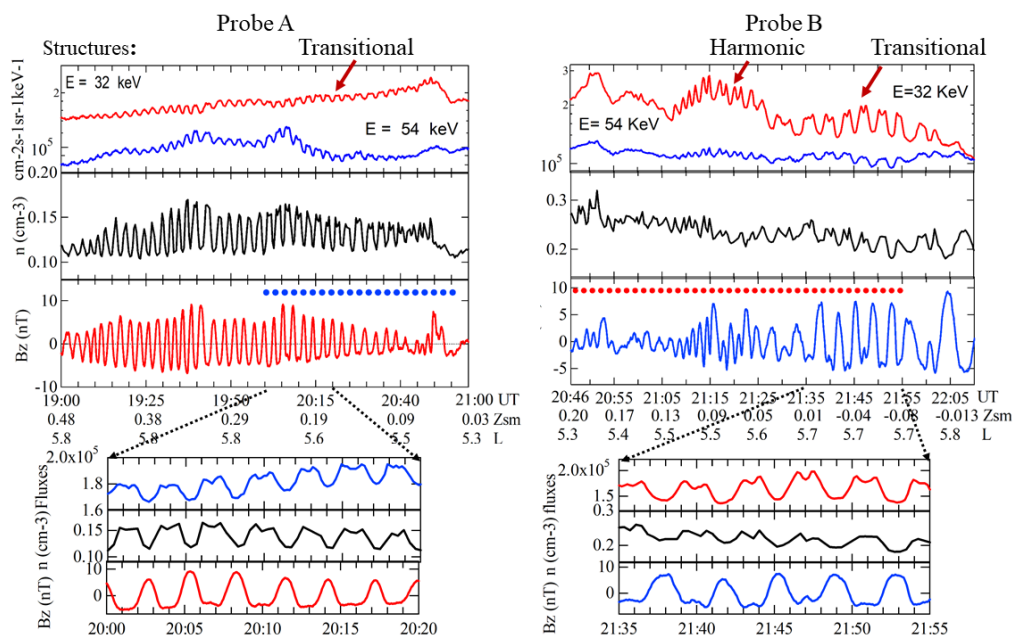


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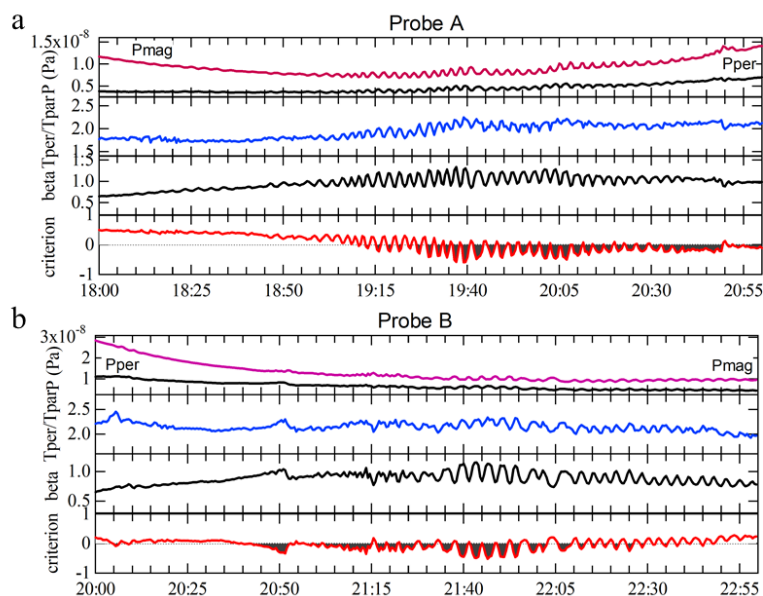
513 Figure 14. RBSP-A and -B observations of double frequency pulsations (a) from 20:00 UT to
 514 20:56 UT and from 20:48 UT to 21:55 UT, respectively, and (b) their locations in the X - Y
 515 GSM and X - Z SM planes. Red and blue dashed lines mark the intervals with harmonic
 516 structure of double-frequency pulsations.

517



518
 519

520 Figure 15. RBSP-A (left panel) and -B (right panel) presents electron fluxes for energies at
 521 31.9 keV and 54.8 keV from EMFISIS, electron densities from HOPE and the Bz component
 522 of the magnetic field in field-aligned coordinates from MagEIS from 19:00 UT to 21:00 UT and
 523 from 20:46 UT to 22:10 UT, respectively. Dashed lines mark the intervals of observations of
 524 double-frequency pulsations. The panels in the bottom of the figure present expanded views of
 525 20 min intervals with the double-frequency pulsations to better visualize their features.



526

527 Figures 16a and b. RBSP-A and -B plasma and magnetic field parameters characterizing the
528 pulsations. From top to bottom, the figure shows the magnetic pressure, perpendicular plasma
529 pressure, the ratio of the plasma temperatures perpendicular and parallel to the magnetic field,
530 beta, and the results for the mirror instability criterion on January 1, 2016. Shaded grey areas
531 indicate the times when the drift mirror instability is satisfied (< 1).

532 **Data availability.** Data used in the paper are available publicly at
533 http://cdaweb.gsfc.nasa.gov/istp_public/ (Coordinated Data Analysis Web, NASA, 2018). GOES
534 data were obtained from http://satdat.ngdc.noaa.gov/sem/goes/data/new_full/ (NOAA, 2018).
535 The electric field data were obtained from <http://www.space.umn.edu/rbspew-data> (Wygant and
536 Breneman, 2017).

537 **Author contributions.** GK drafted and wrote the paper with participation of all coauthors. DS
538 conceived ideas, ME, ST, HS, CK –consulting regarding the data analysis, RR – software
539 development, MB–consulting regarding drift mirror instability test.

540 **Competing interests.** The authors have no conflict of interest.

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545

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