# Comparing high-latitude thermospheric winds from FPI and CHAMP accelerometer measurements

Anasuya Aruliah<sup>1</sup>, Matthias Förster<sup>2,3</sup>, Rosie Hood<sup>1</sup>, Ian McWhirter<sup>1</sup>, Eelco Doornbos<sup>43,54</sup>

<sup>1</sup>Atmospheric Physics Laboratory, University College London, Gower Street, London, WC1E 6BT, UK

<sup>2</sup>Helmholtz-Zentrum Potsdam, GFZ German Research Centre for Geosciences, Telegrafenberg, 14473 Potsdam,

<sup>3</sup>Max Planck Institute for Solar System Research (MPS), Justus-von-Liebig-Weg 3, 37077 Göttingen, Germany,

Gold affiliation: Faculty of Aerospace Engineering, Delft University of Technology (TU Delft), Kluyverweg 1, 2629 HS Delft, The Netherlands

<sup>54</sup>Current affiliation: Royal Netherlands Meteorological Institute (KNMI), Utrechtseweg 297, 3731 GA De Bilt, The Netherlands

Correspondence to: Anasuya Aruliah (a.aruliah@ucl.ac.uk), and-Matthias Förster (mfo@gfz-potsdam.de) and Eelco Doornbos (eelco.doornbos@knmi.nl)

17

10

11

12

13

14 15

16

18

19

20

21

22

23 24

25

26 27

28

29

30

31

32

33

34

35

36

38 39

40

Abstract. It is generally assumed that horizontal wind velocities are independent of height above the F1-region (> 300 km) due to the large molecular viscosity of the upper thermosphere. This assumption is used to compare two completely different methods of thermospheric neutral wind observation, using two distinct locations in the high-latitude Northern Hemisphere. The measurements are from ground-based Fabry-Perot Interferometers (FPI), and from in-situ accelerometer measurements onboard the CHAMP satellite, which was in a near polar orbit. The UCL KEOPS FPI is located in the vicinity of the auroral oval at the ESRANGE site near Kiruna, Sweden (67.8°N, 20.4°E). The UCL Longyearbyen FPI is a polar cap site, located at the Kjell Henriksen Observatory on Svalbard (78.1°N, 16.0°E). The comparison is done in a statistical sense, comparing a longer time series obtained during nighttime hours in the winter months (November to January DOY 300-65); with overflights of the CHAMP satellite between 2001 and 2007 over the observational sites, within ±2° latitude ( $\pm 220 - 230$  km horizontal range). The FPI is assumed to measure the line-of-sight winds at ~240 km height, i.e. the peak emission height of the atomic oxygen 630.0 nm emission. The cross-track winds are derived from stateof-the-art precision accelerometer measurements at altitudes between  $_{2}450$  km (in 2001) to  $_{2}330$  km (in 2007); i.e. 100-200 km above the FPI wind observations. We show that CHAMP winds at high latitudes are systematically typically 1.5-2 times larger than FPI winds. In addition to testing the consistency of the different measurement approaches, the study aims to clarify the effects of viscosity on the height dependence of thermospheric winds.

37 1 Introduction

Global circulation models (GCM) of the upper atmosphere (80-600 km altitude) appear in two forms: climatologies based on empirical measurements, and theoretical models that calculate the—atmospheric conditions using the principles of physics and chemistry. These models are important for space weather studies

Style Definition: Normal: Justified, Line spacing: 1.5 lines

Formatted: English (United Kingdom)

**Formatted:** Plain Text, Adjust space between Latin and Asian text, Adjust space between Asian text and numbers

Formatted: Font: (Default) Times New Roman, 10 pt, Not

Formatted: Adjust space between Latin and Asian text, Adjust space between Asian text and numbers

and are also applied in understanding and predicting drag on low-altitude satellites, space debris, and the study of re-entry of near Earth objects. The theoretical and empirical models rely on observations from ground-based instruments around the world and global observations by satellites to provide constraints and boundary conditions. In particular, models must account for energy from sources external to the upper atmosphere (i.e., direct solar radiation, particle precipitation and heat flow from above; radiative, conductive and convective heating from below; the magnetospheric electrodynamic driver at high-latitudes), which is divided between acceleration of the gas and heating. The empirical evidence for the energy budget is—can be provided by observations of winds and temperatures.

The use of accelerometers on satellites to measure thermospheric winds had previously been reported quite rarely (Marcos and Forbes, 1985; Forbes et al., 1993). Over the last few years CHAMP and GOCE winds have been reported (e.g. Förster et al., 2008; Doornbos et al., 2010). The advantage of this technique consists in the fairly direct in-situ measurement, with relatively high spatial (temporal) resolution, of the cross-track wind component along the orbital track with only a limited number of special assumptions for the data interpretation. Adding more satellites (e.g., GRACE and GRACE-FO), should allow better full wind vector reconstructions in terms of statistical averages (Förster et al., 2008; Förster et al., 2017) as well as parameterized statistical studies of the upper thermosphere dynamics in the near future. As a result, it makes it imperative that the derived winds are correct because satellites provide global coverage of the upper atmosphere, unlike the small number of ground-based instruments currently in existence. The larger databases and global coverage of the satellites will particularly influence a semi-empirical model such as the Horizontal Wind Model (Drob et al., 2015), which is commonly used as a climatology of winds to provide initial boundary conditions and validation for physics-based global circulation models (GCMs).

In this paper we show that upper thermospheric winds measured by the CHAMP satellites are systematically typically 1.5 to 2 times larger than those measured by ground-based Fabry-Perot Interferometers (FPIs) at an auroral site and ppolar cap site. It is imperative to know whether this discrepancy is real (i.e. there is a variation of speed with respect to height), or whether we have uncovered a problem of the absolute scaling of wind measurements by comparing FPIs with CHAMP. With incorrect scaling, there arises a problem of distortion of energy budget ecalculations of the upper atmosphere as demonstrated below. A precise estimation of energy supply to the system is hindered essentially, because the partitioning of kinetic and thermal energy channels becomes obscured. The acceleration of the neutral air in 3-D space with respect to the active driver of the plasma motion is important to estimate, for instance, the Joule heating rate as one of the most important thermal energy inputs. This has a knock-on effect on the calculation of the absolute density of the gas, which is an important parameter used in, for example, satellite orbit calculations.

Consider a <u>very</u> simple <u>simplified</u> argument where the added energy per unit volume is  $\delta E$ , and the wind measured by the satellite and FPI are  $U_{sats}$  and  $U_{FPI}$ , respectively. The energy is redistributed between a change in kinetic energy and heating of the atmosphere. If the gas has density  $\rho$ , and is accelerated from being initially stationary to speed U, then the energy redistribution is given by Eq. (1)

**Formatted:** Adjust space between Latin and Asian text, Adjust space between Asian text and numbers

Formatted: Font: (Default) Times New Roman

$$\delta E = \frac{1}{2} \rho U^2 + \rho C_p \delta T \tag{1}$$

Let us assume that the satellite and FPI are measuring the same volume of gas, but are not absolutely calibrated.
 Let δT<sub>sur</sub> and δT<sub>EPI</sub> be the change in temperature due to heating as measured by the satellite and FPI,
 respectively. Thus the change in energy is given by Eq. (2), assuming a mean density ρ̄ ρ during this process.

84 
$$-\delta E = \frac{1}{2} - \frac{1}{\rho U_{sat}^2} + -\frac{1}{\rho C_p} \delta T_{sat} = \frac{1}{2} - \frac{1}{\rho U_{FFI}^2} + -\frac{1}{\rho C_p} \delta T_{FFI}$$
(2)

Consider if the measurements from the satellite and FPI are such that  $U_{sat} = 2U_{FPI}$ , i.e. either the satellite or the FPI (or both) are wrongly calibrated, then substituting for  $U_{sat}$  in Eq. (2), and rearranging both equations, leads to Eq. (3).

$$-4\delta T_{FPI} - \delta T_{sat} = \frac{3\delta E}{\rho C_p}$$
 (3)

Thus Eq. (3) demonstrates that for positive  $\delta E$  (i.e. heating), the inferred satellite temperatures are appear to be larger than the FPI temperatures (e.g. if  $\delta E \approx 0$ , then  $\delta T_{sat} \approx 4\delta T_{FPL}$ ). In other words, by applying the satellite wind measurements, the implication isy that more energy is put into heating the gas, and less into accelerating the gas, Meanwhile applying the FPI wind measurements would indicate the reverse. This would result in a mismatch between modelled and observed temperature changes. The FPI can measure temperatures to test this, as will be done in a future study. The temperature discrepancy would also have a knock on effect on the calculation of density  $\rho$  of the gas as determined by the satellites, or by ground based FPIs, since  $\delta \rho = nk_B\delta T$ , where  $k_B$  is the Boltzmann constant and n is the number density of the gas particles. Note that this argument for a point localised measurement is oversimplified. The purpose is to highlight the repercussions of overestimating for underestimating) the neutral wind on the division of energy between heating and acceleration of the neutral gas. The conservation of momentum, energy, and continuity must be satisfied, and oOwing to the high molecular viscosity and heat conductivity, the whole air column above the measurement location should be accelerated and heated.

# 2 The CHAMP accelerometer data

The challenging mini-satellite payload (CHAMP) was managed by the GFZ German Research Centre for Geosciences of the Helmholtz Centre Potsdam. This mission was designed to perform detailed studies of the Earth's gravitational and magnetic field with unprecedented accuracies and space/time resolutions as well as GPS atmosphere and ionosphere profiling. The spacecraft was launched in July 2000 into a circular near-polar orbit with 87.3° inclination at an initial altitude of ~460 km (Reigher et al., 2002). Its orbital altitude gradually decayed to ~400 km in 2003 and ~330 km in 2008, and ended in September 2010.

Formatted: Font: (Default) Times New Roman, Not Bold

One key scientific instrument onboard CHAMP was a triaxial accelerometer. It was located at the spacecraft's center of mass and effectively probed the in-situ air drag. Thermospheric mass density and cross-track neutral wind can be obtained from the drag acceleration observations. It is very difficult to determine the error estimate because it depends on several variables as discussed in Doornbos et al. (2010) and shown in Table 5 from Visser et al. (2019). This indicates that for force-derived winds, the largest sensitivity is to energy accommodation, which is of the order of several tens of ms<sup>-1</sup>.

A first analysis of the high-latitude thermospheric wind circulation in dependence on the IMF orientation was performed by Förster et al. (2008) using the preliminary methodology of cross-track wind estimations from accelerometer data as described in Liu et al. (2006). Förster et al. (2011) then presented an overview of the average transpolar thermospheric circulation in terms of the vorticity. Here, they made use of the newly calibrated and re-analysed data set that resulted from an ESA study, initiated for the Swarm satellites mission launched in November 2013 (Helleputte et al., 2009). The CHAMP neutral wind data, based on the cross-track accelerometer measurements, are available via the data repository at the GFZ Potsdam (Förster and Doornbos,

As pointed out by Doornbos et al. (2010), the along-track wind is not resolvable because it induces a similar signal in the acceleration as the density variation. This wind component is ignored, or the value from an empirical wind model is used, because the along-track wind is a relatively small magnitude in comparison with the satellite speed of 7.6 km s<sup>-1</sup>. The empirical wind model used is, for example, HWM90 (Hedin et al., 1991) or its latest edition HWM14, as published by Drob et al. (2015). In polar areas the along-track wind velocity can achieve up to 10% of the satellite speed. Consequently, the along-track mass density estimation can have an error of about 20% in the polar latitudes, because the acceleration is proportional to the wind velocity squared (e.g., Doornbos et al., 2010). But it is less easy to estimate the error in the cross-track wind in the polar region due to considerably smaller acceleration signals. There are also systematic contributions from other sources such as gas-surface interactions, surface properties, spacecraft shape, spacecraft attitude and radiation pressure accelerations, which make the satellite aerodynamic coefficients difficult to resolve (see Doornbos et al., 2010, and the error budget in Appendix A of that paper; Mehta et al., 2017 and March et al., 2018). The pre-processed data of the accelerometer were re-sampled to 10-sec averages for the further use in this study. Measurements of 10-sec cadence correspond to a spatial separation of 76 km or about 2/3° in latitude between the individual data

# 3 The Fabry-Perot Interferometer data

The advantage of using Fabry-Perot Interferometers is that they make direct measurements of thermospheric wind speeds using only a few instrumental or geophysical assumptions. They are also generally reliable instruments that can be left to run for months at a time. The FPIs operated by University College London are located at the Kiruna Esrange Optical Platform System (KEOPS) in northern Sweden; and on the island of

**Formatted:** Normal, Don't adjust space between Latin and Asian text, Don't adjust space between Asian text and numbers

Formatted: Font: (Default) Times New Roman

152 153 154 155 156	Svalbard at the Adventdalen Observatory (before November 2006), from which it was moved to the Kjell Henriksen Observatory (after November 2006). The geographic and geomagnetic coordinates of these two stations are given in Table 1 for Kiruna (KEOPS) and Table 2 for Longyearbyen (Svalbard). The Altitude-Adjusted Corrected Geomagnetic Coordinates (AACGM) are obtained from <a href="http://sdnet.thayer.dartmouth.edu/aacgm/aacgm_calc.php#AACGM">http://sdnet.thayer.dartmouth.edu/aacgm/aacgm_calc.php#AACGM</a> (Shepherd, 2014). A date of 15 December					
157	2002 was used, for an altitude of 240 km. Owing to the large field-of-view of the FPIs (see later) the locations of					
158	the volumes observed by the FPIs in the East and West look directions are also given, and the corresponding					
159	MLT.					
160						Formatted: Font: Not Italic
161						
162						
163						
164						
165	Table 1					
	FPI Site	Geographic coordinates	AACGM geomagnetic coordinates for 15 Dec 2002 at 240 km altitude	AACGM magnetic local time (MLT) midnight in UT	•	Formatted Table
	Kiruna (KEOPS)	67.87°N, 21.03°E	65.08°N, 103.32°E	1.86 <u>0 UT</u> 0 hrs		
	Kiruna (KEOPS) EAST	67.87°N, 26.6°E	64.8°N, 107.8°E	2.16 UT		
	Kiruna (KEOPS) WEST	67.87°N, 15.5°E	65.4°N, 98.9°E	1.57 UT		
166 167	Table 2					
ı	FPI Site	Geographic	AACGM geomagnetic	AACGM magnetic local		Formatted Table
	1115336	coordinates	coordinates for 15 Dec 2002 at 240 km altitude	time (MLT) midnight in UT		Tomated Table
	Longyearbyen	78.15°N, 16.04°E	75.38°N, 111.80°E	2.43 UT		Formatted Table
	(KHO after 2006)	70 15°NI 22 60E	74 4°N 122 6°E	2 21 LIT		
	Longyearbyen EAST (KHO after 2006)	78.15°N, 33.6°E	74.4°N, 123.6°E	3.21 UT		
	Longyearbyen WEST (KHO after 2006)	78.15°N, -1.5°E	76.8°N, 100.3°E	1.66 UT	-	
ı		-	-			

Longyearbyen	78.19°N, 15.92°E	75.43°N, 111.80°E	2.43 UT
(Adventdalen before 2006)			
Longyearbyen EAST	78.19°N, 33.5°E	74.4°N, 123.6°E	3.21 UT
(Adventalen before 2006)			
Longyearbyen WEST	78.19°N, -1.7°E	76.9°N, 100.3°E	1.66 UT
(Adventalen before 2006)			

A significant limitation of ground based FPIs is that optical measurements of airglow and aurora at thermospheric altitudes are only possible during the night when the sun's zenith angle is greater than 98°. This means that the high latitude FPI observing season runs only in the winter months: from September to April at KEOPS; and October to March at Longyearbyen. The FPIs have been nearly continually observing the 630 nm emission from airglow and aurora every winter night since 1981 and 1986, respectively. Complete 24 hours of observation are possible during November to January at Longyearbyen. Thermospheric winds have been monitored by calculating the Doppler shifts of the 630nm airglow radiation intensities. The FPI instrument has a mirror that rotates to look in several directions (e.g.\_north, north-east, east, south, west, north-west, zenith and a calibration lamp) to provide line-of-sight wind measurements at a fixed elevation angle. The exposure times can be as low as 10 seconds, up to 120 seconds. A typical complete scan cycle takes ~4 minutes for Kiruna and ~5 mins for Longyearbyen. After 1999, when laser calibrations were made possible, thermospheric temperatures were measured from the thermal broadening of the emission line. More details of operation may be found in Aruliah et al. (2005) and references therein.

The 630nm emission has a peak intensity at an altitude of around 240 km. So measurements of the Doppler shifts and thermal broadening of the emission line are used to determine the winds and neutral temperatures of the upper thermosphere (> 200km altitude). The elevation angle of the mirror is 45° for the Kiruna FPI and 30° for the Longyearbyen FPI. Thus the radius of the field-of-view is 240 km and 416 km, respectively, which represents roughly a 5° and 8° separation in latitude of the north and south viewing volumes at the respective sites. At these high latitudes where the magnetospheric dynamo dominates the plasma flows, ion-neutral coupling can create meso-scale structures in the upper thermosphere on horizontal scale sizes of as little as ~100 km (e.g. Aruliah et al., 2001, Emmert et al., 2006a). So average wind speeds have been determined for each of the 4 cardinal look directions in order that the meso-scale structure is not lost. The winds are strongly dependent on UT, season, solar cycle and geomagnetic activity due to the dominant forcing mechanisms of pressure gradients and ion-neutral coupling in the high latitude upper thermosphere. The maximum average wind vector magnitudes measured by an FPI at Kiruna were shown to be in the range 100-300 ms<sup>-1</sup> and the errors of measurements were around 10-20 ms<sup>-1</sup> (Aruliah et al., 1996). The main sources of error are:

  a) Poor poor signal to noise when the 630 nm intensities are low, such as at solar minimum, or geomagnetically quiet conditions.

 b) The the existence of large vertical winds. These break the assumption that the winds are predominantly horizontal. Vertical winds are generally small, but can be a few 10s of ms<sup>-1</sup> at high latitudes (Aruliah)

and Rees, 1995; Ronksley, 2016). Large vertical winds introduce an error of a few per cent into the calculation of a horizontal wind component from the line-of-sight measurement.

c) The the assumption that the neutral winds are nearly constant with respect to altitude above 200 km owing to the very low density and consequently high molecular viscosity of the upper thermosphere.

The altitude distribution of the 630 nm emission has a peak emission altitude of between 220-250 km (e.g. Link and Cogger, 1988; Vlasov et al., 2005). However, the emission profile also has a full width at half maximum intensity of around 50 70 km, i.e. sampling altitudes tens of km below and above the emission peak. The ground based FPI observes a height integration of the emission along the line of sight. The measured Doppler shift is therefore an integration of the Doppler shifts at all altitudes, weighted by the emission profile. However, there are several reasons to justify why we are confident that the FPI provides a good sample of the winds at -240 km altitude. The excited atomic oxygen state in the O (\*D-\*P) transition is a forbidden transition with a long life-time of ~110 see (Bauer, 1973), which allows the atom to thermalise before emission and be representative of the surrounding gas. Below 200 km the molecular composition increases significantly, and the long lifetime means that the 630 nm emission is quenched due to molecular collisions with  $N_{\hat{a}}$  and  $O_{\hat{a}^{\pm}}$ Consequently we can assume there is minimal contribution of Doppler shifts from below 200 km altitude, which is a region where the neutral wind magnitude has a large height dependence (note that the horizontal winds at 100 km altitude are a few tens of ms<sup>-1</sup>-while at 250 km altitude are a few hundreds of ms<sup>-1</sup>). Above the altitude of the emission peak the flux falls off rapidly with altitude and also with distance from the FPI, which minimises the contribution of winds from the region above. Overall it is suggested that the FPI measured winds may underestimate the winds at -240 km altitude by no more than about 10% due to the contribution of winds below the peak emission height.

# 4 CMAT2 model winds

The UCL Coupled Middle Atmosphere Thermosphere (CMAT2) model is a 3-dimensional, time-dependent physics-based model, that solves numerically the non-linear coupled continuity equations of mass, momentum and energy (Harris et al., 2002). The model has a latitude resolution of 2°, longitude 18°, and a one third scale height for a height range of ~15 km (top of the troposphere) to 300-600km (top of the thermosphere). Thermospheric heating, photodissociation and photoionisation are calculated for solar X-ray, EUV and UV radiation between 0.1-194 nm (Fuller-Rowell, 1992; Torr et al, 1980a and 1980b; Roble, 1987). High latitude ionospheric parameters of ion and electron densities and temperatures, plus field-aligned plasma velocities, are from the Coupled Sheffield University High-latitude Ionosphere Model (Quegan et al., 1982; Fuller-Rowell et al., 1996). The high latitude auroral precipitation is provided by the TIROS/NOAA auroral precipitation model (Fuller-Rowell and Evans, 1987) and the high latitude electric field model is from Foster et al., (1986). Other features are detailed in Harris et al. (2002). The CMAT2 winds will be presented as part of the discussion below.

# 5. Results

- 236 Data were chosen from the 3-year periods 2001-2003 and 2005-2007, when the CHAMP satellite was in orbit.
- 237 These represent periods of solar maximum and minimum, respectively. CHAMP data were collected all year
- around, but the FPI data were limited to nighttime periods only.

#### 5.1 CHAMP average winds

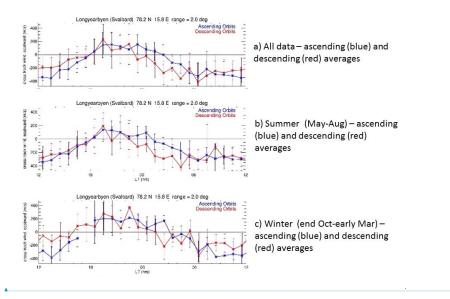
Figures 1 and 2 show plots of average CHAMP accelerometer measurements of the cross-track thermospheric wind component during the whole period of years 2001–2003, that were obtained during direct overflights above the FPI stations at Longyearbyen (Figure 1) and KEOPS (Figure 2). The cross-track wind component is defined as pointing into\_the positive y-direction of the S/C coordinate system with its x axis along the orbital trace, the z axis toward nadir, and the y-axis completing the right-hand system. The y-wind component therefore points perpendicular to the orbital plane to the right side, when looking in the direction of flight. Given the high inclination (87.3°) of the CHAMP satellite, this corresponds approximately to the geographically eastward direction for the ascending orbital track (blue lines in Figure 1) except for very high geographic latitudes (see below). The cross-track wind measurements of the descending orbital tracks (red lines) have been flipped in sign to get nearly the same eastward wind component.

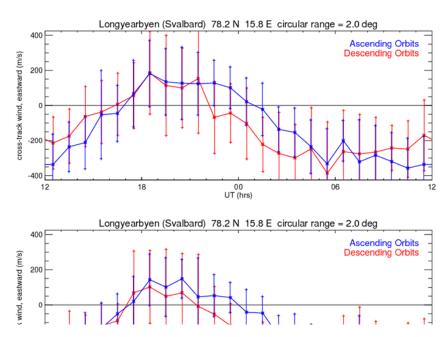
Figure 1a and 2a show average values for all data, while Figures 1b, 1c, 2b and 2c show the summer and winter averages. There are many more data points for the Longyearbyen station, at a higher geographic latitude compared with KEOPS. This confirms the fact that the relative probability of overhead crossings of high-latitude stations by low Earth orbiting (LEO) satellites with a near-polar circular orbit augments with increasing latitude. A statistical study has to make some compromise with respect to the area of accepted local coincidences of the satellite recordings above the ground-based observations and also with regard to the further data binning. Here, a circular area with-based on a 2° latitude radius and hourly bins versus local time have been used which produces a sufficiently good coverage. Further binnings have been been tested to investigate the effect on the results. A shorter radius deteriorates the statistics within the bin, while larger bins tend to smear the spatial and temporal variations. Data filtering with respect to other parameters like, e.g., season, solar wind and interplanetary magnetic field (IMF) values, solar radiation and geomagnetic activity indices should be taken into account if they appear to make a significant effect.

The variance of the cross-track neutral wind magnitude is considerably larger during the whole day above the station at higher latitudes. The average phase of the diurnal eastward wind variation differs also considerably between the data sets of the two observatories. The eastward wind maximizes during the pre-midnight hours over Longyearbyen, while a smaller maximum and a shorter interval of eastward wind is seen at lower latitudes above the KEOPS station (2–3 hours versus about 6 hours). The eastward neutral thermospheric wind is approximately sinusoidal for Longyearbyen (Fig. 1a), but reveals two maxima/minima over the KEOPS station (Fig. 2a). The westward wind maximizes there at about 19 LT and prior to midday (~11 LT). Finally, the variance of the cross-track neutral wind magnitude over the lower latitude station KEOPS is relatively large during the afternoon to early nighttime hours (~15–20 LT). This might be due to the position of this station relative to the large dusk cell which is known to be strongly dependent on, in particular, the IMF By component (cf., e.g., Rees et al., 1986; Killeen et al., 1995; Förster et al., 2008 and Förster et al., 2011). In contrast to

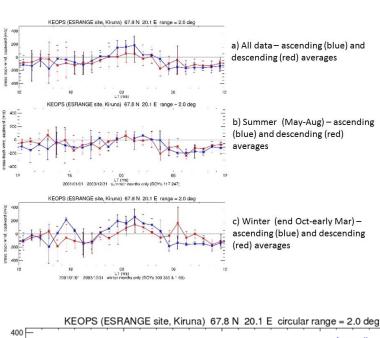
KEOPS, the higher latitude station Longyearbyen is located close to or even poleward of the dusk cell's focus, so that the cross-polar cap circulation of the neutral thermospheric air dominates.

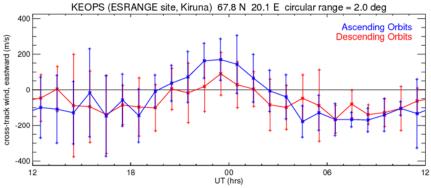
Formatted: Font: Italic

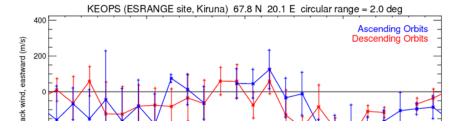




279







Figures 1b and 1c repeat the statistical plot of Fig. 1a for Longyearbyen for the years 2001-2003 with high solar activity, but confined to the winter and summer months, respectively. Similarly for Figures 2b and 2c for KEOPS. The statistical significance is therefore reduced, in particular for the KEOPS station, but seems to be still sufficient. The winter recordings (Fig. 1c and 2c) during nighttime hours can directly be compared with the FPI observations (Figs. 5-6 and 67).

The principle behaviour of the Longyearbyen and KEOPS eastward wind component is similar to that for the full year coverage, but there are also obvious seasonal differences. The wind component amplitudes, in particular the eastward maxima, are smaller during summer compared with the winter months, while the phases are almost the same. The statements about the variance of the eastward/westward wind component for both stations that have been made with respect to the full year statistics in Fig. 1 hold also for both winter and summer plots, maybe with slightly larger values for the winter months.

The ascending and descending orbits are analyzed separately in their statistical behaviour (blue and red lines and vertical bars, respectively), and show distinct differences. This points to the problem of co-alignment of the ascending and descending orbital tracks (despite the simple sign flip). The small offset of ~2.7° from a strict polar orbit of the satellite causes some deviation from the east/westward pointing of the cross-track measurements. At low to mid-latitudes, the deviation from purely geographically eastward direction corresponds in good approximation to this colatitude angle of the satellite's inclination  $\beta \approx 2.7^{\circ}$ , but at high latitudes and in particular near the poles it can deviate considerably. This non-alignment angle  $\alpha$  (deviation from purely eastward) can be estimated in dependence on the observer's colatitude  $\theta$  with spherical angle relations using a simplified spheric geometry of the Earth as in Eq. (14).

$$\alpha = \arcsin\left(\frac{\sin\beta}{\sin\theta}\right) \tag{14}$$

309 K 310 tr 311 n

KEOPS and Longyearbyen, respectively. The angular difference  $(2 \cdot a)$  between the two one-component cross-track wind measurements of the ascending and descending orbital tracks is already considerable for the most northward station at Longyearbyen and this offset can be noticed in, for example, Figure 1a as an offset between the wind averages for ascending and descending orbital tracks during certain intervals, where the wind component perpendicular to the zonal wind direction, i.e. the north-south meridional wind, is large. This is obviously the case for the nighttime hours between 23–05 LT and the daytime hours between  $\sim 10-17$  LT for

Using the geographic coordinates of the observatories in Table 1, one gets an  $\alpha$ -angle of 7.2° and 13.3° for

314 o

Longyearbyen and for a few nighttime hours between 23–03 LT for the KEOPS FPI station.

If the FPI technique, in particular the tri-static measurements for certain periods (Aruliah et al.,2005; Griffin et al., 2008), allows the determination of specified neutral wind directions, one might consider comparing the wind magnitudes for the descending and ascending orbital tracks separately for an eastward  $\pm \alpha$  orientation, respectively. Here, one should note, that at an observation point with an even higher geographic latitude (ideally at ~86.2° geographic latitude, where the two branches of one-component observations would be perpendicular

to each other) it would in principle be possible to derive the full thermospheric <u>horizontal</u> wind vector from the cross-track accelerometer measurements. This is, strictly spoken, valid in a statistical mean with characteristic times of a few days, i.e. with the repetition period of ascending and descending orbits over one and the same high-latitude location.

The meridional component is much larger than the zonal one during considerable periods of the nighttime observation. So, to minimize the error in comparing the neutral wind magnitude, it would be better to compare the full vectors. Already a small error of the measurement orientation could make a large effect on the relatively small eastward wind component, which could lead us to wrong conclusions about the characteristics of the differences between FPI and CHAMP accelerometer measurements. The offset between the geographic and geomagnetic coordinates allows the construction of the full horizontal vector plots as statistical averages taken over a period of at least 131 days of CHAMP's precession period in order to cover all local times. This statistical mapping is limited to magnetic latitudes poleward of about > 60° for both hemispheres (cf. Förster et

al., 2008).

Figure 3: Geometry illustrating the projection of FPI look direction horizontal wind components onto the CHAMP cross-track direction for the ascending and descending tracks.

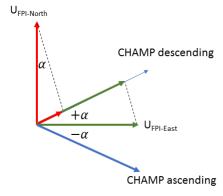
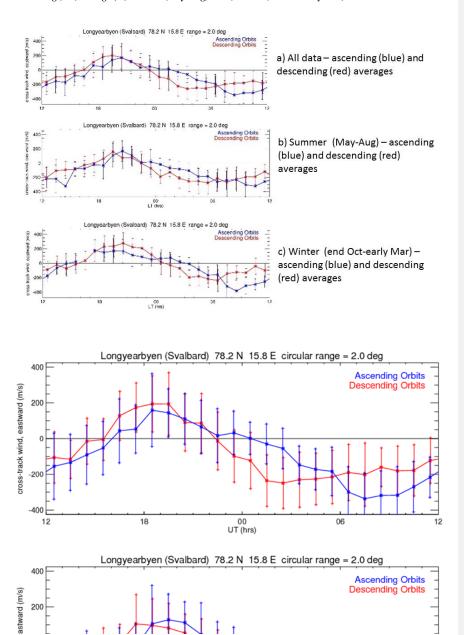
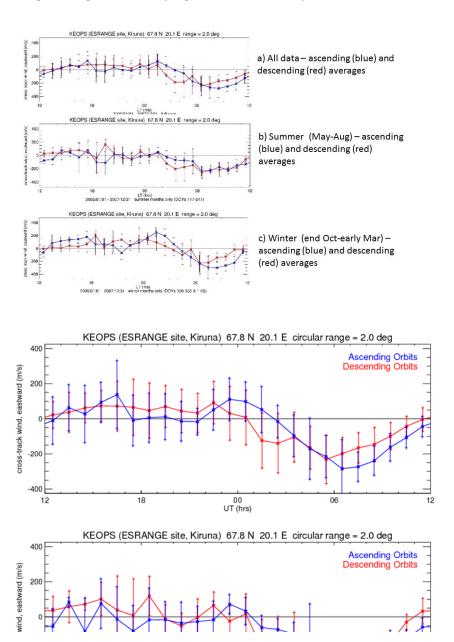


Figure 3 illustrates the projection of the horizontal wind component from the north and east FPI look directions onto the cross-track direction of the CHAMP ascending direction. It also illustrates how the average of the CHAMP ascending and descending winds gives the zonal wind component. The projections of the FPI wind vectors onto each of the ascending and descending directions are used later in determining the ratio of the CHAMP/FPI wind magnitudes (see section 5.2 Figure 10).

Formatted: Font: Not Italic





Figures 3-4 and 4-5 show the corresponding plots to Figs. 1 and 2, but now for low solar activity conditions during the years 2005-2007. They reveal some differences as, e.g., generally smaller amplitudes and different wind phases. Here, the zonal wind above KEOPS seems to point eastward during most times of the day except the morning hours 04–11 LT.

#### 5.2 FPI average winds

The average winds observed at Kiruna and Longyearbyen are presented in Figures 5-6 and 67. Local Time is 1 hour ahead of Universal Time for both sites. The format of these figures is that the plots 5a6a, 5e6c, 6a-7a and 6e-7c show the average zonal wind component, comparing observations from the volumes to the East and West of the site. The plots 5b6b, 5d6d, 6b-7b and 6d-7d show the average meridional wind component from the volumes observed to the North and South-look directions. The full set of cardinal direction measurements, are presented to provide a context for the comparison with the zonal wind measurements made by CHAMP, especially since the CHAMP y-axis is only roughly zonal. The standard error of the mean  $\varepsilon$  is added as an error bar to the FPI East and North data, where  $\varepsilon = \sigma/\sqrt{(N-1)}$ ,  $\sigma$  is the standard deviation and N is the number of data points.- The standard errors of the mean (rather than the standard deviations) demonstrate the distinctly different trends in the winds observed in the volumes to the East and West of the sites, i.e. the meso-scale structure of the high-latitude thermosphere.

The periods of data cover the winter months of 2001-2003 and 2005-2007 to match with the CHAMP datasets. The FPIs cannot measure winds during cloudy periods owing to the scatter of light by the clouds, and are only able to observe the emission during the hours of darkness. Thus the observing days cannot be identical to the dates when CHAMP passed overhead of the two sites. Longyearbyen has 24 hours of darkness during the months of November to January, so there are nearly 24 hours of observations, but the longest period of darkness at Kiruna is around 18 hours in mid-winter. CHAMP, meanwhile, is able to provide a full 24 hours of observations from drag measurements.

There are consistent differences in the winds observed to the geographic East and West, or to the North and South. This is understandable because the Kiruna site is, on average, at the equatorward edge of the auroral oval, while Longyearbyen is mostly in the polar cap, though at-towards the poleward edge of the auroral oval. The expansion and contraction of the auroral oval during an active period means that the northern half of the FPI field-of-view can be very different from the southern half. In fact, Emmert et al. (2006b) have shown that high latitude neutral winds are better ordered in geomagnetic coordinates of magnetic latitude and magnetic local time than in geographic coordinates and universal time. The AACGM geomagnetic coordinates shown in Tables 1 and 2 give an indication of how different are the magnetic latitudes for the East and West look directions.

Figure 5-6 shows average zonal and meridional winds from FPI observations at Longyearbyen. Figs  $\frac{5a-6a}{5b-6b}$  show solar maximum years (2001-2003), while  $\frac{6b-6b}{5b-6c}$  show solar minimum years (2005-2007). Figs  $\frac{5a-6a}{5b-6c}$  and  $\frac{5c-6c}{5b-6c}$  show the zonal winds to the East and West using the convention of +East, while Figure  $\frac{5b-6b}{5b}$  and  $\frac{5c-6c}{5b}$  shows the meridional winds to the North and South, using +North. The average standard deviations  $\frac{5c-6c}{5b-6c}$  and  $\frac{5c-6c}{5b-6c}$  shows the meridional winds to the North and South, using +North. The average standard deviations  $\frac{5c-6c}{5b-6c}$  and  $\frac{5c-6c}{5b-6c}$  shows the meridional winds to the North and South, using +North. The average standard deviations  $\frac{5c-6c}{5b-6c}$  and  $\frac{5c-6c}{5b-6c}$  shows the meridional winds to the North and South, using +North.

Formatted: Superscript

Formatted: Superscript

Formatted: Tab stops: 1.27 cm, List tab

393

394

395

Formatted: Don't adjust space between Latin and Asian text, Don't adjust space between Asian text and numbers

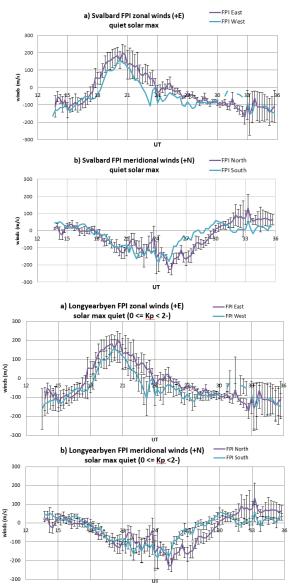


Fig 5 FPI winter average wind components at

Longyearbyen for

397

398

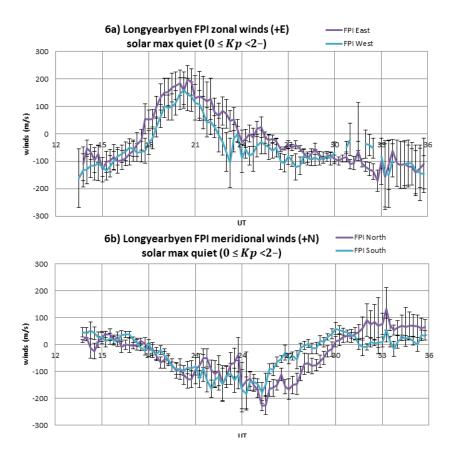
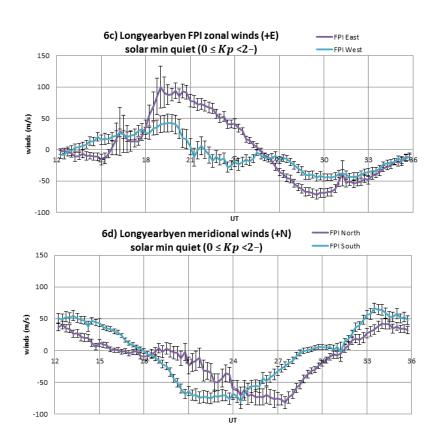


Fig. 56—c and d show solar minimum (2005-2007) FPI winter average wind components at Longvearbyen for geomagnetically quiet conditions ( $0 \le \text{Kp} < 2$ -), a) Zonal and b) meridional average winds and the standard errors of the mean are plotted. North and East are purple lines, while South and West are light blue. Fig. 5 FPI winter average wind components at Longvearbyen for geomagnetically quiet conditions ( $0 \le \text{Kp} < 2$ ). The standard error of the mean is shown for one example component, Solar maximum (2001-2003) a) zonal, b) meridional average winds. Solar



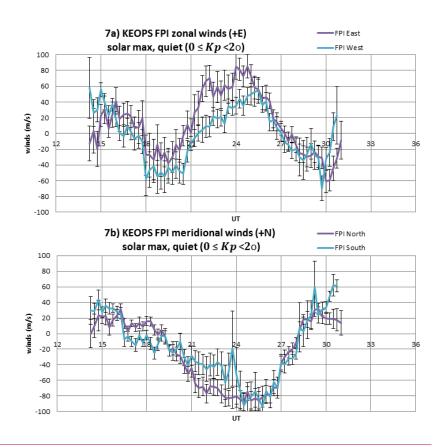
minimum (2005-2007) c) zonal, d) meridional average winds. North and East are purple lines, while South and West are light blue.

Longyearbyen is just within the polar cap. The winds are predominantly antisunward despite the geomagnetic activity level, since this is the direction for both the pressure gradient and ionospheric convection. As a result, Longyearbyen observations are a somewhat less obvious indicator of ion-neutral coupling behaviour than observations at KEOPS in the period 1800-2100 UT. The Longyearbyen solar maximum (2001-2003) winter winds (day numbers 300-65), during geomagnetically quiet conditions ( $0 \le \text{Kp} < 2 \cdot 2 \cdot 2$ ) are shown in Figures 5a 6a and 5b6b. The zonal winds (Figure 5a6a) show westward winds before 1800 UT and then eastward winds for ~6 hours which then turn westward. The maximum wind speed is about 200 ms<sup>-1</sup> eastward between 1800-2400 UT. The meridional winds (Figure 5b6b) are slightly northward before 1700 UT, then turn southward until 0600 UT, and return northward. The maximum speed is about 200 ms<sup>-1</sup> southward at about 0100 UT. The standard errors of the mean are around  $\pm 30$  ms<sup>-1</sup>, however the values vary systematically through the night. Between 1800-2100 UT the standard error is around 3 times larger than between 0300-0900 UT when it is very small.

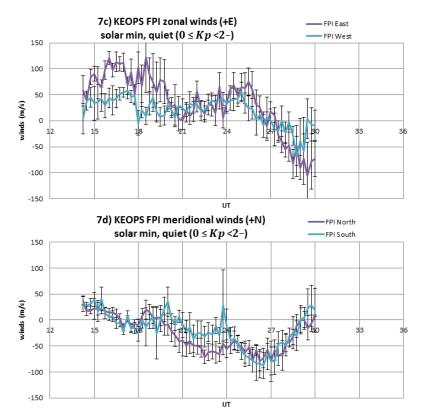
Figures  $\frac{5e-6c}{c}$  and  $\frac{5d-6d}{c}$  show the Longvearbyen FPI winds for clear nights during winter (DOY 300-65) 2005-2007, geomagnetically quiet conditions ( $0 \le Kp < \frac{2e-2}{c}$ ). There is a full 24 hours of observations in this dataset, and the extreme quiet of this solar minimum period has provided a large number of observations for this category. The antisunward flow appears clearly. There is a strong phase lag between the observations to the North and South. This is puzzling because it cannot be explained in terms of ordering high latitude winds in geomagnetic coordinates. This category is for the most geomagnetically quiet conditions possible: solar minimum during a prolonged solar minimum, and the lowest Kp values. Under these conditions the geographic coordinate system under which the solar flux heating operates, should be the most appropriate. The average standard deviations are  $\pm 55$  ms<sup>-1</sup> and  $\pm 47$  ms<sup>-1</sup> for the zonal and meridional winds, respectively. The standard errors of the mean are very small, around averaging less than  $\pm 10$  ms<sup>-1</sup>, though again there is a clear systematic UT-dependent trend. Between 2100-0300 UT the standard error of the meridional wind is about 2-3 times larger than at other times. Between 1500-2000 UT the zonal wind standard error becomes considerably larger.

Fig 76—a and b show solar maximum (2001-2003) FPI winter average wind components at KEOPS for geomagnetically quiet conditions ( $0 \le Kp < 2o$ ). a) Zonal and b) meridional average winds and the standard errors of the mean are plotted. North and East are purple lines, while South and West are light blue.

Fig 6 FPI winter average wind components at KEOPS for geomagnetically quiet conditions (0<Kp<2). The standard



error of the mean is shown for one example component. Solar maximum (2001-2003) a) zonal, b) meridional average winds. Solar minimum (2005-2007) c) zonal, d) meridional average winds. North and East are purple lines, while South and West are light blue.



one example component. Solar maximum (2001-2003) a) zonal, b) meridional average winds. Solar minimum (2005-2007) c) zonal, d) meridional average winds. North and East are purple lines, while South and West are light blue.

Figure 76 shows the KEOPS FPI winds for clear nights during winter (DOY 300-65) geomagnetically quiet moderately activeduring geomagnetically quiet conditions.  $(0 \le Kp \le 2o)$ . The general diurnal trends are similar for both solar maximum (Figs 6a7a, b) and minimum (Figs 6e7c, d). The solar minimum data range is  $0 \le Kp \le 2$ -, but iIt was necessary to increase the geomagnetic activity spread for the solar maximum data to improve the statistics, so Figures 76a, b show  $(0 \le Kp \le 2o)$ . The meridional winds show antisunward flow that

Formatted: Font: Not Italic

is predominantly driven by the pressure gradient from dayside EUV heating, resulting in fairly weak southward winds reaching a maximum value of nearly  $100 \text{ ms}^{-1}$ . The standard errors of the mean are around  $\pm 10$ -15 ms<sup>-1</sup>. The zonal winds are eastward before 1800 UT, reaching a maximum speed of a few  $10s \text{ ms}^{-1}$ . After 1800 LUT the zonal winds turn westward for a few hours and back eastwards around 2100 UT. Between 2100-0300 UT the zonal winds reach their maximum speed of up to  $80 \text{ ms}^{-1}$  before turning westward again. The zonal winds are more variable, and their standard errors of the mean are slightly larger than for the meridional winds, at around  $\pm 20$ -averaging  $1630 \text{ ms}^{-1}$ .

The few hours of westward flowing zonal winds during 1800-2100 UT are particularly interesting (Figs 6a-7a and 6e7c). The westward flow indicates that the winds are briefly under the influence of the clockwise dusk cell of ionospheric convection. Through collisions between the ions and neutral gas, momentum is transferred to the neutrals, which diverts them from the direction of the pressure gradient driven anti-sunward/eastward flow. The action of the centrifugal force balancing the Coriolis force keeps the winds entrained in the cell (Fuller-Rowell and Rees, 1984). As the KEOPS site passes under the region of the Harang Discontinuity (Harang, 1946), the FPI West zonal winds turn back to eastward about 40 mins after the FPI East zonal winds. This is because the KEOPS FPI East observing volume is a horizontal distance of 480 km away from the FPI West volume (note that the distance between the viewing volumes depends on the altitude of the 630 nm emission). However, note that at the latitude of KEOPS, the time taken for the Earth to rotate through a distance of 480 km is 46 mins. The difference between 40 min and 46 min is partly due to the difference in magnetic latitude. It is also due to the Harang Discontinuity being dependent on the IMF By orientation, resulting in a smearing out of the MLT interval.

Figures 6e-7c and 6d-7d show the KEOPS FPI winds for clear nights for the years 2005-2007 during winter (day numbers 300-65), geomagnetically quiet conditions ( $0 \le \text{Kp} < 2 \text{-} 2 \text{-} 2 \text{-} 2$ ). These years were during the unusually extended solar minimum of the last solar cycle when the solar flux levels were extremely low, and observations of aurora were rare. Consequently the plasma density was smaller, and the thermosphere was more compressed, resulting in smaller neutral densities at a given height. Under these conditions the ion drag driver is less efficient, and the pressure gradients, together with the Coriolis and centrifugal forces, play a larger role. Thus, although the trends are similar to the solar maximum winds; the zonal winds are strongly eastward throughout the evening sector. There are no westward zonal winds until after 0300 UT, and generally the wind amplitudes are smaller. The maximum meridional wind is about 80 ms<sup>-1</sup> southward around 0300 UT. The maximum zonal winds are seen to the East, and these are around 100 ms<sup>-1</sup> eastward in the evening sector and start to increase westwards towards 100 ms<sup>-1</sup> by 0600 UT. The average standard errors of the mean are around  $\pm 230$  ms<sup>-1</sup>, which are larger than for solar maximum conditions.

The general trends seen in the <u>northern winter geomagnetically quiet CHAMP</u> zonal winds (Figures 1-2, and 4-5) are also seen in the FPI winds (Figures 6-7). The phases match extremely well for both sites, h(a) Longyearbyen and (b) KEOPS. However, there is a considerable difference in magnitude. The next 2-two figures (Figures 8-9) show direct comparisons of CHAMP and FPI winds along the cross-track direction for moderately active conditions ( $2^- \le Kp < 4^+$ ). There is a lot of modelling effort into studying the active ionosphere-thermosphere, which makes this comparison useful. In particular it is relevant to the argument in section 6.4

Formatted: Adjust space between Latin and Asian text, Adjust space between Asian text and numbers

Formatted: Font: (Default) Times New Roman

Formatted: Font: (Default) Times New Roman

where CHAMP and FPI neutral winds are compared with typical ion velocities actively observed by ground-based radars at high latitudes.

492

493

494

495

496

497

498

499

500

501

502

503

504

505

506

507

508

The average ratio of the zonal wind magnitudes (CHAMP/FPI) for Longyearbyen is 1.8, and for KEOPS is 3.3.

Fig 8 Longyearbyen (Svalbard) winters 2001-20034, 2- < Kp < 4+: average zonal winds measured using CHAMP\* and FPI, including standard errors of the mean. These are compared with FPI winds observed by the University of Alaska in 1980, and the HWM93 model winds. Fig 7 Longyearbyen (Svalbard) winters 2001-2004, 2-Kp<4: average

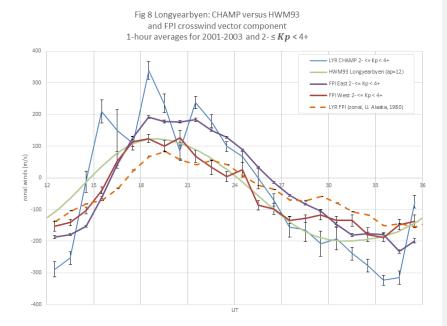
Formatted: Font: (Default) Times New Roman

Formatted: Font: (Default) Times New Roman
Formatted: Font: (Default) Times New Roman

Formatted: Font: Italic

**Formatted:** Adjust space between Latin and Asian text, Adjust space between Asian text and numbers

Formatted: Font: Not Italic



zonal winds measured using CHAMP and FPI, including standard errors of the mean. These are compared with FPI winds in 1980 and the HWM87 and HWM90 model winds from Hedin et al (1991).

Figure 87 is a direct comparison between CHAMP cross-track winds at Longyearbyen from and several different sources. The winds represent moderately active conditions ( $2^{\circ} \leq Kp < 4^{\circ}$ ) during winter months (November to January DOY 300-65) for solar maximum years. The HMW93 model is setconditions are for the 31st December at with ap = 12 ( $Kp = 3^{\circ}$ ); F10.7 =150; height 400 km (Hedin et al., 1996). At Longyearbyen there is can be 24—hour coverage by the FPI owing to continual darkness between November-January. The of Longyearbyen zonal winds direct comparison is made by projecting the components of the FPI and HMW93 (Hedin et al., 1996) wind vectors along the CHAMP ascending and descending cross-track directions using the appropriate values of  $\pm \alpha = \pm 13.3^{\circ}$  for the two sites. These represent moderately active conditions ( $2^{\circ} < Kp < 13.3^{\circ}$ ) for the two sites. These represent moderately active conditions ( $2^{\circ} < Kp < 13.3^{\circ}$ ) for the two sites. These represent moderately active conditions ( $2^{\circ} < Kp < 13.3^{\circ}$ ) for the two sites. These represent moderately active conditions ( $2^{\circ} < Kp < 13.3^{\circ}$ ) for the two sites.

Formatted: Font: Calibri, 9 pt, Do not check spelling or grammar

Formatted: Font: Not Italic

Formatted: Superscript

Formatted: Superscript

509 4±) during winter months (November to January) for solar maximum years that are 20 years apart. CHAMP

cross-track winds were collected during the years 2001-2004, while the and UCL Longyearbyen FPI observations were are averages for during 2001-2003 (a failure occurred in the rotating mirror mechanism in late December 2003 so CHAMP 2004 data were not included). The CHAMP data are then the averages of the ascending and descending orbits components to give a zonal wind.

513514515

516

517

518

519

520

521

522

523

524

525

526

527

528

529

530

531

532

533

534

535

536

537

538

539

540

541

542

the calibration of the wind speeds.

510

511

512

FPI wind v\Palues taken from Figure 9 in the paper by Hedin et al. (1991) are also plotted. These values are measurements from the University of Alaska FPI that collected Longyearbyen data in 1980, 1981 and 1983; The aim is to demonstrate that the FPI technique of measuring Doppler shifts gives consistent results, which is discussed in section 6.2. and the HWM87 and HWM90 model. The U.Alaska FPI winds are were an average of the East and West look directions, justified by the assumption of a uniform horizontal wind field over the field of view. This was a common practice at that time owing to a) the assumption of a large molecular viscosity of the thermosphere which reduces wind shear, and b) the longer exposure times of the earlier FPIs (6-12 minutes) which used photomultipliers with piezoelectrical scanning of the FPI etalon gap size in order to view the full Free Spectral Range (Deehr et al., 1980). For Figure 7, only the UCL Longyearbyen FPI East direction winds are shown (Figure 8a shows both East and West look directions). The UCL FPIs were amongst the first FPIs to use fixed gap etalons to image the full FSR onto a 2D array of pixels. This allowed shorter exposure times, and a rapid cycle of look directions, which consequently revealed mesoscale spatial and temporal structures of the order of a few 100 km horizontally and minutes (e.g. Aruliah and Griffin, 2001, Aruliah et al, 2005). During the 1980s and 1990s we used state-of-the-art UCL designed and built Imaging Photon Detectors (McWhirter et al., 49811982). Astrocam Antares cameras replaced the IPD in the Svalbard FPI infrom 1998, and in the KEOPS 630 nm, FPI in 2002. However, these cameras had the disadvantage of slow readout times which were essential for the best noise performance and so time resolution was compromised. In 2003 the first Electron Multiplying CCDs revolutionised low light level imaging. These cameras combined superior signal to noise ratio with very fast readout times. The first one was put into service at KEOPS in 2003, followed by Svalbard in 2005 and then EMCCDs (Andor iXon 887/885) were installed around 2005 (McWhirter, 2008). The revolution-huge advancement over the last 30 years in low light detectors has allowed atmospheric gravity wave observations with using exposure times as little as 10 seconds at auroral latitudes (Ford et al., 2007). Note that the upgrade

Any changes of etalon required re-calibration of the measured Doppler shift to calculate wind-sealeulation, as discussed in section 6.2. The KEOPS FPI used a 10 mm etalon gap up to January 2002, when it was replaced with an 18.5 mm gap etalon. Then in January 2003 a 14 mm etalon was put in, which has been there until the present time. For the Longyearbyen FPI there was a 14 mm etalon until April 2005, which was replaced with an 18.5 mm etalon from September 2005 until the present time.

of the detector is to improve the photon sensitivity which reduces the error of measurement. It does not change

543 544 545

546

547

548

All sources show generally similar phases, with peak eastward winds in the evening sector, between 18-24UT and westward winds in the morning sector, between 06-12UT, as expected for anti-sunward flows. Table 2 shows that the AACGM MLT for Longyearbyen is about 2.4 (± 0.8 for the East and West volumes) hours ahead, so magnetic midnight is approximately at 21.6 UT. The standard errors of the mean are plotted for all

Formatted: Superscript

Formatted: Font: Not Bold

Formatted: Font: (Default) Times New Roman

Formatted

**Formatted:** Don't add space between paragraphs of the same style, Line spacing: Multiple 1.15 li

data. The U.Alaska Longyearbyen FPI standard deviations are around ± 150 ms<sup>-1</sup>, which are similar to the UCL FPI. For the purposes of comparison, a standard error of ± 30 ms<sup>-1</sup> is plotted for the U.Alaska FPI data, similar to the average UCL FPI standard error. It was noted in the Hedin et al (1991) paper that for both hemispheres, the average high latitude winds from the FPIs at Sondrestrom, Longyearbyen and College in the northern hemisphere, and Mawson in the southern hemisphere, showed a systematically smaller diurnal variation than the DE 2 mass spectrometer data. The HWM87 model was based on satellite data from the DE 2 and AE E satellites. Consequently, the addition of the FPI and incoherent scatter radar datasets to the HWM90 database resulted in a smaller diurnal variation compared with the HWM87 winds. The more recent measurements from CHAMP and the UCL FPI are clearly systematically typically different in magnitude, but consistent with the trend noticed by Hedin et al (1991) for satellite wind measurements to be larger than from ground based FPIs. The diurnal amplitude of the UCL zonal winds is about 170 ms<sup>-1</sup>, and for the U.Alaska winds is about 125 ms<sup>-1</sup>. The CHAMP zonal winds are systematically the largest in magnitude, with a diurnal amplitude of around 300 ms<sup>-1</sup>.

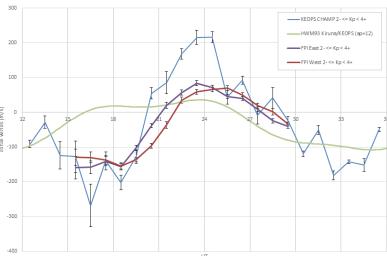
The average of the monthly F10.7 fluxes is \$\frac{193-184}{193-184}\$ for the winter periods Nov-Jan-Feb of 1980-81, 1981-82 and 1983-84, and \$\frac{170-160}{1960}\$ for the winters of 2001-2003. Yet despite the higher average solar flux in 1980, the UCL FPI zonal wind magnitudes have a significantly larger amplitude than the U.Alaska zonal winds. Closer inspection of the 3 winter periods of 2001-2003 shows a spike in the average monthly F10.7 for November 2001-January-February 2002 (i.e. <\F10.7> is \$\frac{168-214}{1960}\$ for Nov-Jan-Feb 2001-2002; \$\frac{249-145}{249-145}\$ for Nov-Jan-Feb 2002-2003; and \$\frac{152-123}{1960}\$ for Nov-Dec 2003) which may account for the 3 winter average UCL FPI winds being larger than for U.Alaska. The geomagnetic activity levels are similar, averaging Kp values in the range 3; to 3° for all three winters. These are interannual and inter-solar cycle discussions for a later paper.

Formatted: Superscript

Formatted: Superscript

Fig 9 Comparison of CHAMP and FPI measurements of KEOPS zonal average winds to the FPI East and West volumes, including standard errors of the mean, for winters 2001-20043. These are compared the HWM93 model

Fig 9 KEOPS/Kiruna: CHAMP versus HWM93 and FPI crosswind vector component 1-hour averages for 2001-2003 and 2-  $\leq$  Kp  $\leq$  4+



Formatted: Font: Not Italic

573 <u>winds.</u>

Fig. 8a Comparison of CHAMP and FPI measurements of Longyearbyen (Svalbard) zonal average winds, including standard errors of the mean, for winters 2001-2004. Plus comparison with Alaska 1980 from Hedin et al. (1991). Fig 8b Comparison of CHAMP and FPI measurements of KEOPS zonal average winds, including standard errors of the mean, for winters 2001-2004.

Figure 8a and 8b98 shows a comparison of the CHAMP zonal winds against the UCL FPI winds at Kiruna/KEOPS for the solar maximum winters of 2001-20042003. At Longyearbyen there is 24 hour coverage by the FPI owing to continual darkness between November-January (Figure 8a). The FPI and CHAMP data are selected for moderately active conditions ( $2 \le Kp < 4$ ) as in Figure 87. Here the UCL FPI West look direction is added. Also plotted are CHAMP data for all activity levels. The Alaska average of East and West look directions from 1980-1983 completes the plot. Overall there is a very close agreement in phase between the CHAMP and FPI zonal winds. However, there is a noticeable difference between the UCL FPI East and West look directions. Table 2 shows that the AACGM magnetic latitude of Longyearbyen West volume is nearly 2° further poleward than the East volume, which results in weaker emissions and larger error bars. In addition there is a small phase shift owing to the different magnetic coordinates as discussed further in the next paragraph.

At Kiruna the hours of darkness are between 15-06UT for the period November-January. The direct comparison is made by taking the component of FPI and HMW93 (Hedin et al., 1996) wind vectors in the CHAMP cross-track direction using  $\alpha=\pm 7.2^{\circ}$ . The UCL FPI average zonal winds for  $2-\le Kp < 4+$  are shown separately for the East and West look directions in Figure 8b. There is a smaller difference between these look directions than for the Longyearbyen zonal winds. The evening winds for moderately active solar maximum conditions are around -150 ms<sup>-1</sup> (westward), and reach a peak of around 70 ms<sup>-1</sup> (eastward) in the midnight sector. The AACGM MLT for Kiruna is about 1.9 ( $\pm$  0.3 for the East and West volumes) hours ahead, so magnetic midnight is approximately at 22.1 UT, which is the time separating the period of the evening eastward electrojet and the morning westward electrojet in magnetic local time coordinates. The behaviour of the zonal winds shows strong ion-neutral coupling for these moderately active conditions, so that there is a semidiurnal variation representative of the twin cell ionospheric convection pattern at auroral latitudes. This is in addition to the daynight diurnal variation of winds driven by the pressure gradient.

The phase of the CHAMP zonal winds is in good agreement, but the amplitude is considerably larger. The peak evening wind reaches -200 ms<sup>-1</sup> (westward) and 200 ms<sup>-1</sup> (eastward) by 02 UT. What is particularly interesting about this comparison is the difference between the CHAMP and FPI winds in the period 15-20 UT. The CHAMP winds are considerably less westward, and are more similar to FPI average zonal winds for geomagnetically quieter conditions at solar maximum, as shown in Figure 76a. The large standard error of the mean during the period 15-20 UT shows how sensitive the winds are to ion drag within the dusk cell.

Figure 10a shows the histogram of the frequency distribution of the ratios of CHAMP/FPI 1-hour averaged cross-track zonal wind magnitudes from Figures 7 and 8. Figure 10b shows the UT dependence. The

Formatted: Superscript

Formatted: Superscript

Formatted: Font color: Auto

Longyearbyen ratios cluster in the range 1.0 - 2.5, while the Kiruna ratios are far more widely spread. Overall there is a general trend for the satellite wind magnitudes to be larger by a factor of 1.5 - 2.0, with median values of 1.7 for Longyearbyen (24 hours coverage) and 1.3 for Kiruna (1530-0530 UT coverage). There does not seem to be any clear pattern when the UT-dependent frequencies of the ratios are plotted in Fig 10b, except for a tendency for the more extreme ratios to occur during the midnight period, when the 630 nm emission is weakest, and the FPI winds have the largest error bars. Even if the midnight measurements are excluded, there is a wide range of values generally greater than 1.0.

Fig 10a, b Frequency distribution of the ratios of CHAMP/FPI one-hour averaged zonal wind magnitudes observed in the winter period for solar max under moderately active conditions  $(2- \le Kp < 4+)$  for Longvearbyen (blue) and Kiruna KEOPS (red). Fig 10a shows the frequency distribution of the CHAMP/FPI ratios, and Fig 10b shows the UT dependence of the ratios.



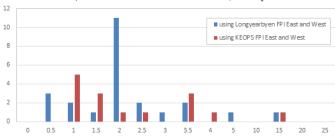
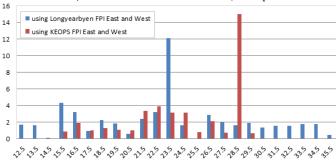


Figure 10b) UT dependence of ratio of absolute CHAMP/FPI combined East-West

and +/-  $\alpha$  cross-track winds for solar max, 2-  $\leq$  Kp < 4+



627 628

629

630

631

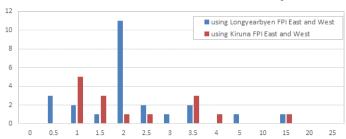
632

633

634

635

# Figure 10a) ratio of absolute CHAMP/FPI combined East-West and +/- alpha cross-track winds for solar max, $2 \le Kp < 4+$



# Fig 10b) UT dependence of ratio of average absolute CHAMP/FPI combined East-West

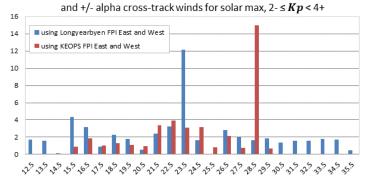


Figure 9a) UT dependence of average CHAMP/KEOPS magnitudes

Figure 9 shows a histograms of a) the UT dependence and b) the frequency distribution of the ratios of CHAMP/FPI average zonal wind magnitudes observed in the winter period Nov-Jan for 2001-2004 for under moderately active conditions (2- ≤ Kp < 4+) for Kiruna and Longyearbyen. The UCL FPI zonal winds observed to the East and West have been averaged into 1 hour bins to match the CHAMP averages of the ascending and descending zonal winds. The Longyearbyen ratios cluster in the range 1.0 - 2.5, while the Kiruna ratios are far more widely spread. Overall there is a general trend for the satellite wind magnitudes to be larger by a factor of 1.5 - 2.0, with median values of 1.8 for Kiruna and 1.4 for Longyearbyen.

Formatted: Font: 9 pt

Formatted: Don't adjust space between Latin and Asian text, Don't adjust space between Asian text and numbers

Formatted: Don't adjust space between Latin and Asian text, Don't adjust space between Asian text and numbers

# 6. Discussion

We have shown that there is a similar phase, but a considerable difference between the average zonal wind magnitudes measured by the CHAMP satellite and the ground-based FPIs for a polar cap and auroral site <u>during northern winter months</u>. Our premise is that the large <u>molecular viscosity</u> of the upper thermosphere should minimise any vertical structure in the winds above around 250 km altitude. The difference in wind magnitudes could have various explanations. It could be that A) we are mistaken about the vertical structure of winds; or B) that there is a problem with the scaling of the two methods of measurement; or C) the measurement procedures introduce differences, e.g. in-situ versus remote integration; comparison of different spatial and/or temporal resolutions. There may be other, unexpected, reasons for the mainly amplitude differences in the measurements.

With respect to hypothesis A: the CHAMP satellite zonal winds are of a similar magnitude to the original GOCE satellite winds (Liu et al., 2016 and Visser et al., 2019), and to the UCL CMAT2 model simulations. However, while the CHAMP satellite altitude was between 350-400 km, the GOCE satellite had an unusually low altitude around 250 km, which was close to the FPI 630 nm emission peak altitude. The CMAT2 winds are typical of values from other GCMs, which were largely calibrated against measurements by satellites in the 1970s and 1980s, in particular the DE-2 satellites. Killeen et al (1984) found a good agreement between the FPI at Longyearbyen (then called the University of Ulster FPI, and subsequently the University of Alaska FPI) for observations in December 1981. Though later Hedin et al. (1991) found that on average the satellite wind measurements were larger. This is because the The DE-2 satellite measurements were made using the Wind and Temperature Spectrometer (WATS), rather than derived from satellite drag measurements. The DE-2 satellite flew from August 1981 to February 1983, which means that the average monthly F10.7 flux included some of the highest solar flux values of the last 30 years. This may account for why the physical and empirical GCMs calibrated from that period have such large wind values. The two decades since 2000 have recorded the most sustained and low F10.7 flux levels since the satellite era began.

With respect to hypothesis B: the satellite drag community are <u>already</u> aware of a scaling issue. Defining the drag coefficient is the largest source of error. Bruinsma et al (2014) had to multiply GOCE densities by a factor of 1.29 to match the real-time High Accuracy Satellite Drag Model (Storz et al., 2005). HASDM uses data assimilation from the orbits of 75-85 inactive payloads and debris over 200-900 km altitude that are tracked by the Space Surveillance Network (SSN) and is considered a benchmark by that community. Recently March et al. (2019), reanalysed thermospheric densities derived from very precise satellite accelerometers and GPS acceleration using high fidelity satellite geometries. The densities for all the spacecraft surveyed were greater than those derived using surfaces defined by flat panels; and more consistent with each other. The CHAMP and

GOCE densities were found to be 11% and 9% larger. Although there is no simple link between densities and winds, this re-scaling of densities gives an indication that it may be necessary to scale winds down for the same measured acceleration (see section 6.3 and Eq. 6).

With respect to hypothesis C – the assumptions of the FPI and CHAMP measurement techniques are discussed in the following sections 6.1-6.3.

# 6.1 Considering the molecular viscosity of the upper thermosphere

Let us first consider hypothesis A, that the CHAMP and ground-based FPI average zonal measurements are both correct, and that the factor of 1.5-2.0 difference in wind magnitudes is due to the 100-150 km difference in the altitude of the measurements. Conventional fluid dynamics theory predicts that the molecular viscosity is very high in the upper thermosphere owing to the very low particle densities at these altitudes. The viscosity of a fluid determines how resistant it is to shear forces that cause adjacent layers to move at different speeds. Turbulent viscosity dominates the atmosphere below about 100 km, but molecular viscosity dominates the upper atmosphere. The molecular viscosity of the upper thermosphere is very large, and in the CMAT2 model the molecular viscosity  $\eta$ , is given by Eq. (52) (Harris, 2001: Hood, 2018) which is based on Dalgarno and Smith (1962), and Banks and Kockarts (1973).

$$\eta = 4.5e^{-5} \times \left(\frac{T}{1000}\right)^{0.71} \tag{52}$$

As a consequence of large viscosity, there is little shear between the different altitude layers above ~200 km for both winds and neutral temperatures (hence the name thermosphere representing an iso-thermal behaviour). The issue raised in this paper is that the difference between CHAMP and FPI wind magnitudes is too large to be consistent with the assumption of large viscosity over this range of altitudes.

Formatted: Font: (Default) Times New Roman

Formatted: Font: (Default) Times New Roman

695

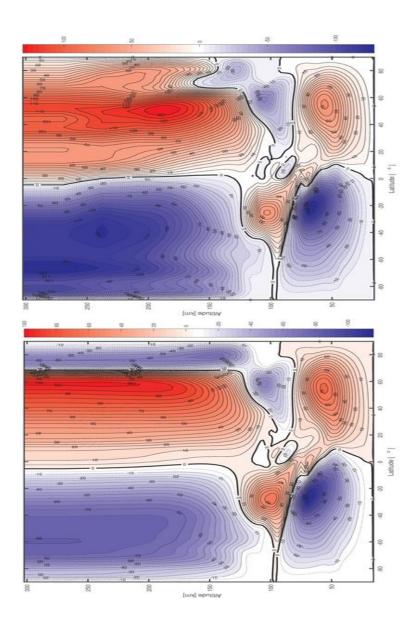


Figure 110 shows two versions of the CMAT2 zonally averaged zonal winds for 00 UT for December solstice 2008 (solar minimum conditions) from Hood (2019). These are latitude-height plots, where the height is from 15 km to 300 km. From about 250 km the contour lines become near vertical because the large molecular viscosity of the upper thermosphere minimises the shear in the winds. The left hand plot is the standard run using standard values of molecular viscosity. The right hand plot shows the contours for a simulation where the molecular viscosity has been reduced by a factor of 100. The variation of the molecular viscosity with respect to temperature (and consequently height for our purposes) has been tested theoretically and experimentally by Dalgarno and Smith (1962), and the factor of 100 is an unrealistic extreme used to test the model. The consequence is that the height at which contours become vertical is raised to closer to 280 km. This is a small difference and certainly does not account for the apparent vertical gradient indicated by the difference between the CHAMP and FPI zonal winds.

Song et al. (2009) state studied the local response of the ionosphere and thermosphere to changes of the magnetospheric convection at polar latitudes on the basis of a 1-D three fluid model approach. It includes ions, electrons, and neutral particles and their collisions within the polar cap, hence describing the coupled processes between the magnetosphere, ionosphere, and thermosphere in the vertical direction along the magnetic flux tubes. In this self-consistent 1-D solution, the neutral wind speed is obtained as a function of height. The model describes the dynamic response of the ionosphere/thermosphere after relatively rapid changes of the magnetospheric convection within about 10-20 Alfvèn wave travel times (or 15-30 minutes) within the magnetosphere between conjugated hemispheres, or between the ionosphere and the magnetopause, until the system reaches its steady state again. It is shown that the fastest acceleration of the neutrals occurs near 350 km in the F layer, where the effective neutral-ion collision frequency maximizes (see their Figs. 6 and 7-of Song et al., 2009). Considering the dynamic character of frequent changes of the IMF and the magnetospheric convection, the stronger accelerations at F2 layer heights could result in temporary vertical neutral wind gradients. However, the 1-D model approach neglects forces due to neutral pressure and effective molecular viscosity in the 3-D continuum of the upper thermosphere (Song et al., 2009). To describe correctly the longrange coupling on time scales from longer than few seconds to less than 30 min, the inductive effect (Faraday's law) as well as the dynamic effect of the neutrals, in particular (acceleration terms), need to be considered (Song and Vasyliunas, 2013). This poses a challenge for future modelling efforts of the M-I-T system.

Recently Vadas and Crowley (2017) published results from observations of 10 Travelling Ionospheric Disturbances at ~283 km altitude, observed in 2007 with the TIDDBIT ionospheric sounder near Wallops Island, USA. They used ray tracing on the TIDs and simultaneously measured a peak in the neutral wind at ~325 km altitude using a sounding rocket. They found a serious discrepancy between where the gravity waves were predicted to dump energy using conventional dissipative theory, and the observations from TIDDBIT and the rocket. Conventional theory predicted that all the gravity waves should have dispersed at a scale height below the rocket measurement. Consequently they have <a href="challenged convention and">challenged convention and</a> proposed that the molecular viscosity should not increase as rapidly with altitude above 220 km. This may account for some of the difference between the CHAMP and FPI zonal winds, and but will need to be tested in future modelling studies.

# 6.2 FPI Doppler shift to wind speed procedure

736

745

746

747

748

749

759

- Hypothesis B is that the FPI and/or CHAMP observations may need to be re-scaled. To start with the FPIs we will look at the calculation of the Doppler shift and then at the height integration procedure of a ground-based FPI.
- The calculation of the wind speed requires few assumptions, though the process of fitting the FPI fringes is more complicated (e.g. Makela et al., 2011). The wind speed u is determined from the Doppler shift of the wavelength  $\Delta\lambda$  of the moving volume of gas which emits at wavelength  $\lambda$ , where the free-space wavelength is  $\lambda_0$  and the speed of light c (Eq. 73).

$$\lambda = \lambda_o \left( 1 + \frac{u}{c} \right) = \lambda_o + \Delta \lambda \tag{73}$$

The speed of the volume of gas u is given by Eq. (48), which is proportional to the ratio of the Doppler shift in fringe peak position (in bins)  $\Delta x$ ; and the free spectral range (FSR),  $\Delta x_{FSR}$ . The FSR is the equivalent wavelength shift to shift-re-position a fringe from overlapping one order of the baseline wavelength  $\lambda_o$ , to the next order. The other terms in Eq. (84) are the refractive index  $\mu$  of the medium between the etalon plates and the separation of the plates, d (Hecht and Zajak, 1980).

$$u = \left(\frac{\Delta x}{\Delta x_{FSR}}\right) \left(\frac{c\lambda_o}{2\mu d}\right) \tag{84}$$

- 751 The etalon gap is evacuated so  $\mu$ =1, and the other parameters are known. Thus for example, for an etalon gap d 752 = 10 mm, emission  $\lambda_o$  = 630 nm, free spectral range  $\Delta x_{FSR}$  = 150 bins, a Doppler shift of 1 bin ( $\Delta x$  = 1 bin) 753 would represent a wind of 63 ms<sup>-1</sup>.
- All the parameters for the scaling of the FPI winds in this equation are known. There is the issue of determining
  the zero Doppler shift baseline because there is no laboratory source of the excited atomic oxygen. However, the
  method used to determine the baseline (i.e., using a helium-neon source with the assumption that the vertical
  component of the wind is negligible) introduces an average systematic offset error of at most 10-20 ms<sup>-1</sup>, which
  is small compared with horizontal wind magnitudes (Aruliah and Rees, 1995).

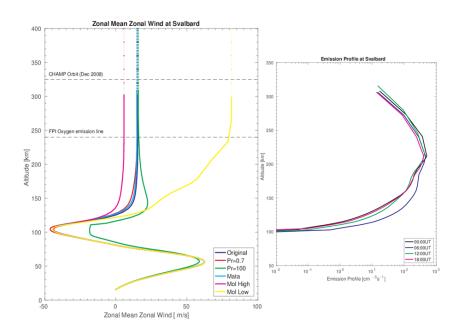


Figure 12 illustrates how ground-based FPIs make measurements of the neutral winds at 240 km altitude. The left plot shows a height profile of the CMAT2 zonal mean zonal winds at the latitude of Longyearbyen. There are 6 simulations to demonstrate the effect on the height profile of the zonal mean zonal winds when changing the viscosity. CMAT2 uses a viscosity term that is the weighted mean divided by the scale height of two coefficients of viscosity: the molecular viscosity  $\mu_m$ ; and the turbulent viscosity  $\mu_t$ . The simulations represent a comparison with the original molecular viscosity (dark blue). The other lines are for low (yellow - divided by 100) and high molecular viscosities (pink - doubled). The low and high turbulent viscosities are represented by the Prandtl numbers 0.7 (red) and 100 (green), where 2 is the default value used in CMAT2. The Prandtl number is related to the height at which gravity waves deposit momentum (Liu et al., 2013) and so may have relevance for the Vadas and Crowley experiment (2017). The light blue line labelled "Mata" is an intermediate profile. As can be seen, the molecular viscosity dominates in the thermosphere above 100 km and at the altitudes where the FPI is measuring. The dark blue and yellow lines are effectively representative of a vertical slice of Figure 11 left and right, respectively, for the latitude of Longyearbyen.

The right hand plot of Figure 12 is a CMAT2 height profile of the 630 nm (red) line emission intensity based on the Vlasov et al. (2005) model at 00UT, 06UT, 12UT and 18UT. The red line emission at night is dominated by dissociative recombination of molecular oxygen  $(O_2^+ + e^- > O^* + O)$  (e.g. Vlasov et al., 2005). The altitude distribution of the 630 nm emission has a peak emission altitude of between 220-250 km<sub>g</sub> (e.g. Link and

Formatted: Font color: Auto

Cogger, 1988; Vlasov et al., 2005). However, the emission profile also has a full width at half maximum intensity of around 50-70 km, i.e. sampling altitudes tens of km below and above the emission peak. The ground-based FPI observes a height-integration of the emission along the line-of-sight. The measured Doppler shift is therefore an integration of the Doppler shifts at all altitudes, weighted by the emission profile. However, there are several reasons to justify why we are confident that the FPI provides a good sample of the winds at ~240 km altitude. The excited atomic oxygen state in the O (1D-3P) transition is a forbidden transition with a long life-time of ~110 sec (Bauer, 1973), which allows the excited atoms to thermalise before emission and be representative of the surrounding gas. Below 200 km the molecular composition increases significantly, and the long lifetime means that the 630 nm emission is quenched due to molecular collisions with N2 and O2. Consequently we can assume there is minimal contribution of Doppler shifts from below 200 km altitude, which is a region where the neutral wind magnitude has a large height dependence (note that the emission intensity xaxis is a log scale; and that the horizontal winds at 100 km altitude are a few tens of ms-1 while at 250 km altitude are a few hundreds of ms-1). Above the altitude of the emission peak the flux falls off rapidly with altitude, and also with distance from the FPI, which minimises the contribution of winds from the region above. In addition, above 250 km the wind magnitudes begin to reach an asymptote. It therefore would be expected that the satellites and ground-based FPIs should see very similar speeds and phases. Overall it is suggested that With respect to the FPI measured winds, the contribution of winds below the peak emission height may result in a small underestimate of the winds at ~240 km altitude by no more than about 10% due to the contribution of winds below the peak emission height, which zis investigated later in this section by modelling.

798

799

800

801

802

803

804

805

806

807

808

809

810

811

812

813

814

815

816

817

779

780

781

782

783

784

785

786

787

788

789

790

791

792

793

794

795

796

797

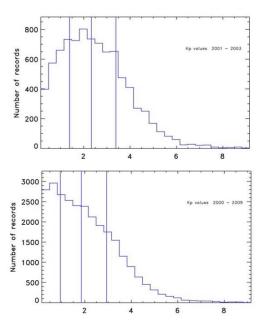
Figure 11 illustrates how ground based FPIs make measurements of the neutral winds at 240 km altitude. The left plot shows a height profile of the CMAT2 zonal winds at Longyearbyen. On the right is a height profile of the 630 nm (red) line emission intensity based on the Vlasov et al. (2005) model. The red line emission at night recombination of molecular oxygen (O2\*+e > O\*+O) (e.g. Vlasov et al., 2005). The ground-based FPI measures the Doppler shift of the gas, height integrated through a volume along the lineof-sight. This means that all winds at all altitudes contribute to the measurement, but are weighted by the red line emission intensity. The emission height profile shows a sharp velocity gradient below 200 km, but owing to quenching of the emission through collisions, there is little emission below 200 km (note the emission intensity x-axis is a log scale), and therefore a minimal contribution to the height-integrated line-of-sight wind measurement. Above 200 km the wind magnitudes begin to reach an asymptote. It therefore would be expected that the satellites and ground-based FPIs should see very similar speeds and phases. The tristatic FPI experiments by Aruliah et al (2005) and bistatic experiments by Anderson et al (2012) indicated that the winds, neutral temperatures and 630 nm intensities were closely matched if the geometry assumed an emission altitude of around 240 km. However, during auroral activity, when there is E-region precipitation, the red line emission altitude can be lower, perhaps as low as 200 km. This means that the FPI samples lower altitudes. Recently Gillies et al. (2017) used all-sky imagers to triangulate the peak emission height of the 630 nm emission. They found that discrete auroral arcs showed a characteristic height of 200km. The effect of particle precipitation in lowering the emission height was earlier noted by Sica et al. (1986). They illustrated how decreased thermospheric temperatures measured by a Fabry-Perot spectrometer at College, Alaska, were consistent with

Formatted: Font color: Auto
Formatted: Font color: Auto

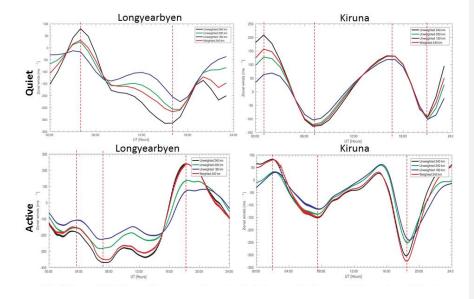
Formatted: Font color: Auto

lower MSIS temperatures (Hedin et al., 1977) when weighted by a modelled emission height profile. However, aurorae are limited to high latitudes and occur infrequently as illustrated by Figure 132, which shows the frequency distributions of Kp values for the years (top) 2001-2003 representing solar maximum; and (bottom) 2000-2009, i.e., for most of the period of the CHAMP lifetime. Aurora generally occur during active periods when Kp > 4-5. Thus emission heights of 200 km are the exception rather than the rule, and affect the average only minimally.

Fig  $1\underline{32}$  Frequency distribution of Kp values. Top: 2001-2003 representing solar maximum. Bottom: 2000-2009 covering most of the period of the CHAMP lifetime.



**Formatted:** Adjust space between Latin and Asian text, Adjust space between Asian text and numbers



In order to assess by how much the FPI height integration method is underestimating winds, CMAT2 winds at 240 km are compared to a column integration average of CMAT2 winds weighted by the emission intensity profile. Here the Vlasov et al (2005) model is applied with constants provided by Yiu (2014), and with CMAT2 winds interpolated to 10 km intervals for the integration. Figure 13-14 compares the CMAT2 zonally averaged zonal winds at three heights: 180 (blue), 200 (green) and 240 km (black) with height integrated winds (red) for a quiet day run on the 1st December 2007 (top panels) and an active day run on the 20th March 2015 (bottom panels) for both Longyearbyen (left column) and Kiruna (right column). Figure 154 outlines the CMAT2 model global view of the unweighted and weighted winds at 240km for 00, 06, 12 and 18UT.

Fig 4415: CMAT2 global zonal winds for a quiet day on 1st December 2007 for the winds at 240km and the height integrated winds weighted using an emission profile from Vlasov et al (2005) model. From top left: 0UT, 6UT. From bottom left: 12UT, 18UT.

843

844

845

846

847

848

849

850

851

852

853

854

855

856 857

858

859

860

861

862

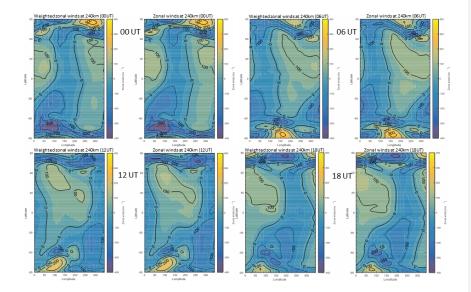


Figure 13-14 indicates that there are some significant differences between zonally averaged zonal winds with or without height integration. The lower the altitude, the smaller the wind magnitude. There is also a slight change in phase (to aid the eye these are indicated by vertical dashed lines placed at turning points for the weighted winds). This is due to the increased collision frequency at lower altitudes due to greater density, and the consequent shift in balance between pressure gradient and ion drag. When we look at the averaged diurnal variation of the CHAMP and FPI winds, their phases are almost exactly the same. This would not be the case if the FPI was observing winds dominated by Doppler shifts at 190\_km altitude, where the phase would be significantly different because the pressure gradient increases its dominance at lower altitudes. Comparing the CMAT2 December 2007 model zonally averaged zonal winds at 240 km with the height integrated winds, the most significant difference is for Longyearbyen during quiet conditions, which on calculating overall mean values produces a 19% difference, that during active conditions reduces to a difference of 12%. The reverse seems the case for Kiruna as the mean percentage difference increases from 3% to 14% between the quiet and active days respectively. Note that the wind speed scales are different for each panel. For each time-series there is no simple systematic trend. Figure 14-15 demonstrates these dissimilarities on a global scale; here the zonal winds appear to be slightly more westward, as the  $\underline{e}\mathbf{E}$ astward winds are diminished and the  $\underline{w}\mathbf{W}$ estward winds enhanced. However, this is not the case for all times of day shown here, and is-does not visibly affecting the wind distributions to any large extent.

### 6.3 CHAMP cross track wind procedure

Satellites have provided global coverage of accelerometer measurements since 2001, in particular, the CHAMP satellite (e.g. Schlegel et al., 2005) and GRACE satellites (e.g. Tapley et al., 2004). These measurements of satellite drag have been converted to measurements of thermospheric mass density (e.g. Liu et al., 2005) and cross-track thermospheric wind measurements (e.g. Sutton et al, 2007, Liu et al., 2006, Förster et al., 2008). Thermospheric mass density was primarily estimated using Eq. 5 where using Eq. 6, where a is the satellite acceleration,  $\rho$  the neutral mass density of the air,  $C_d$  refers to a dimensionless drag coefficient, using a constant frontal area  $A_{ref}$  of the satellite with mass a, and total velocity a0 relative to the atmosphere in the ram direction given by the unit vector a0. This equation has been used for a first simple cross-track wind estimation, where the area and wind component were replaced by the horizontal side view and neutral wind direction perpendicular to the bulk flow, respectively.

$$a = -\frac{1}{2}\rho \frac{c_d}{m} A_{ref} V^2 \hat{v} \tag{65}$$

For the first analysis, Liu et al. (2005) explained that they used a fixed drag coefficient value of  $C_d = 2.2$ . This is a de-facto standard value used for compact satellite orbit computations since the 1960s (e.g. Cook, 1965). This value was adopted by Jacchia when constructing his thermosphere density model, based on physical drag modelling of spherical satellites (Jacchia and Slowey, 1972). The drag coefficient is acknowledged to be very difficult to quantify, as is discussed extensively by, for example, Moe et al. (1995). The importance of the value of  $C_d$  is acknowledged by Liu et al (2006) and others who use the data, since it affects the scaling of the density and wind calculations. However, their interest was in the relative density and wind structures, rather than absolute values.

Since then the analysis has been refined considerably by taking into account lift, sideways, as well as drag forces on the satellite, resulting in smaller wind magnitudes as described by Doornbos et al. (2010). The GOCE satellite winds are closer in magnitude to ground-based FPI measurements (Dhadly et al., 2017), though still systematically larger in magnitude, where the difference has been found to increase with latitude. The systematic residual line-of-sight GOCE wind varied between 20 ms<sup>-1</sup> at 50° MLAT to a maximum 150 ms<sup>-1</sup> at 85° MLAT (see Figure 2 from Dhadly et al., 2018).

Another consideration is that CHAMP measures the cross-track wind component (Figures 1 - an-2 and 3-4d-2) which deviates from the pure zonal direction as measured by the FPIs (Figures 3-6 and 47). The geometry can be critical, in particular for the high-latitude Longyearbyen FPI, because the cross-track deviates from the zonal direction by about 13.35° in each direction respectively for the ascending and descending orbits. The meridional wind component at these high latitudes is much larger than the zonal one, so that the larger CHAMP measurements at this FPI could also (at least partially) be due to an "admixture" of the meridional wind component and the zonal wind. This has been discussed in section 5.1 to account for the difference between the average zonal winds measured during the ascending and descending orbits. To deal with this the UCL FPI zonal winds observed to the East and West are projected onto the CHAMP ascending and descending cross-track directions, and then averaged into 1-hour bins, thus replicating the CHAMP zonal wind averages (see Figures 7

Formatted: Font: 12 pt
Formatted: Font: 12 pt

and 8). Despite this re-calculation there is a wide range of values of the CHAMP/FPI ratios (see Figure 10a, b), which reinforces the message that the satellite aerodynamic coefficients are difficult to determine absolutely, on top-which is in addition to some of a systematic factor between the CHAMP and FPI measurements.

Formatted: Font color: Auto

### 6.4 Comparison with EISCAT radar ion velocities

Finally, a very important consideration is how the average winds compare with ion velocities. At high latitudes the ion velocities are generally larger than the neutral winds owing to the *E<sub>x</sub>* x *B* drift driven by the magnetospheric electric field. Davies et al (1995) provided a statistical analysis of E- and F- region ion velocities observed on 20 March 1996 in order to compare measurements by the EISCAT incoherent scatter radars and the CUTLASS coherent scatter radar. The scatter plot of ion velocities from this study (their Figure 5) indicated a cluster of values in the range of a few hundred ms<sup>-1</sup>, with only a small fraction of measurements greater than 500 ms<sup>-1</sup>.

Fiori et al (2016) compared ion velocities measured by the Electric Field Instrument on Swarm with the CS10 statistical ionospheric convection model by Cousins and Shepherd (2010) which is based on 8 years of data (1998-2005) collected by 16 SuperDARN coherent scatter radars. The climatology represented by the CS10 model in Fiori et al's Figure 3a indicates speeds in the few hundreds of ms<sup>-1</sup>, while the instantaneous values along the Swarm satellite pass in-(their Figure 3d) show much stronger drift peak values on the resolution level of seconds or shorter. Even after allowing for offsets, their 1-sec resolution corrected cross-track ion drifts achieve horizontal velocities well over a-1,000 ms<sup>-1</sup>, which probably indicates the highly dynamic behaviour in the auroral regions compared with quasi-stable conditions used for empirical models. However, recently Koustov et al. (2019) compared the Swarm cross-track ion drifts with the SuperDARN radar network and found that the Swarm ion velocities are a factor of 1.5 larger. They suggest reasons for the disparity, including refining the calibration of Swarm and the differences in spatial/temporal resolution.

Aruliah et al. (1996) presented the seasonal and solar cycle variation of hourly averaged ion velocities from 300 days of EISCAT Tromsø UHF radar measurements between 1984-1990. The tristatic EISCAT radar observations for an altitude of 275 km were collected from Common Programmes 1, 2 and 3, at time resolutions of 2-3 mins, with full 24 hours coverage. The hourly averaged ion velocities for December solstice periods were up to 100-200 ms<sup>-1</sup>, and the largest average ion velocities were around 300 ms<sup>-1</sup> during the March equinox period at solar maximum. Aruliah et al. (2005) later reported observations of a common volume using a configuration of tristatic FPI observations of the thermospheric winds and temperatures co-located with tristatic EISCAT radar measurements of ionospheric parameters at 250 km altitude. The observations showed that the neutral winds were on average around 50% of the magnitude of the 15 min average—ion velocities when averaged over 15 min.

Formatted: Font: Italic
Formatted: No underline

Formatted: Font: Italic

Griffin et al. (2004) determined seasonal and solar cycle climatologies of meridional winds at Kiruna using FPI Doppler shifts, and derived from field-aligned ion velocities (Salah and Holt, 1974), which were compared with physical (CTIM, Fuller-Rowell et al., 1988) and empirical models (HWM, Hedin et al, 1988; MWM, Miller et al., 1997). The climatologies all showed meridional winds up to ~250 ms<sup>-1</sup>. Although this method does not give the zonal wind magnitude, it gives some indication of typical magnitudes owing to the diurnal variation of winds seen by a single site as the Earth rotates.

Förster et al. (2008) presented a statistical comparison of observed averaged neutral wind velocities within the polar cap (magnetic latitudes > 80°) for the year 2003 showing the dependence on the IMF orientation based on statistical analyses of CHAMP accelerometer data with average ion drift estimates for the same time interval and IMF conditions based on EDI Cluster measurements. These comparisons were done for both the Northern and the Southern Hemisphere separately in their Tables 1 and 2, respectively. Depending on the IMF clock angle orientation, the ratio between average neutral wind magnitudes and average ion drift speeds varies between about 60% and around 100%. Interestingly, there is a characteristic interhemispheric difference with respect to the IMF orientation and slightly larger ion drift velocities on average in the Northern Hemisphere (cf. Förster and Cnossen, 2013; Förster et al., 2017), but the overall average amounts to a ratio of about 0.90 to 0.95 for, note well, within the polar cap region > 80° magnetic only. The FPI in Longyearbyen at 75.4° N (see Table 2) comes closest to this region. Ion drag is the dominating forcing term here for the neutral gas, while near the auroral ringoval, where the KEOPS FPI station in Kiruna at 65.1° N is located, the balance between the different forces, in particular pressure gradient terms, Coriolis and centrifugal forces, and ion drag\_play a role. There the ratio between the average neutral wind and ion drag magnitudes is certainly smaller, corresponding to the EISCAT observations cited above.

# 7 Conclusions

A comparison is presented here of thermospheric zonal winds <u>during winter months</u> between 2001-2007 measured by the CHAMP satellite in the altitude region 350-400 km, and by ground-based FPIs, at Kiruna and Longyearbyen, measured at about 240 km altitude. The satellite accelerometer measurements <u>of drag</u> are used to derive cross-track winds, while the FPIs use the Doppler shift of the 630 nm emission. The satellite measurements are collected for a region within 2° <u>latitude</u> of the FPI sites, which is within the field of view of the FPI East and West look directions. The phases of the winds agree very well, but the CHAMP average zonal winds are a factor 1.5-2.0 larger than the FPI average zonal winds. The factor is not simple. In particular there is a difference in the factor for the auroral site and the polar cap site, so it appears that the factor is dependent on location, possibly latitude. The factor also appears to have an irregular time dependence.

The UCL Longyearbyen FPI winds are consistent with FPI measurements made 20 years previously by the University of Alaska using a different FPI and detector (photometer in 1980, EMCCD in 2001). Earlier studies of average ion velocities from the EISCAT Tromsø UHF radar compared with the UCL FPI at KEOPS indicate that in the auroral zone the average ion velocities are about twice the average neutral wind speeds (Aruliah et al., 1996 and 2005). However, the CHAMP average KEOPS—zonal winds at KEOPS presented here have magnitudes similar to the average ion velocities of the December solstice values presented by Aruliah et al

(1996). This is probably the key argument indicating that the CHAMP magnitudes are too large. It is important to determine the absolute wind values correctly since the difference between the ion and neutral winds determine the amount of Joule heating of the thermosphere.

Satellites play a crucial role in upper atmosphere research by filling in the extensive gaps between ground-based observations. Satellites provide 3-dimensional coverage at high spatial resolution, in addition to high temporal resolution. Meanwhile, ground-based instruments are sparse, land-based, and not always operational on a 24/7 basis owing to operational costs (e.g. incoherent scatter radars) or observing constraints (e.g. only night-time and clear sky observations for optical instruments). Having uncovered this discrepancy between ground-based FPI optical measurements and satellite drag measurements of winter winds, it is imperative to determine if it is a real altitude dependence, or if some re-scaling of winds, is necessary for winds determined from either, or both, of FPI height-integrated Doppler shifts or satellite drag measurements. Both possibilities will affect our current modelling of the upper atmosphere  $\underline{\cdot}$ ; or whether  $\underline{W}$  we  $\underline{may also}$  need to rethink the procedure of comparing different spatial and temporal and temporal-resolutions of in-situ satellite versus remote ground-based FPI measurements and in terms of the geometry of cross-track winds at high latitudes.

Author contributions. This paper is the result of many years of collaboration between AA and MF after noticing

988 989

987

974

975

976

977

978

979

980

981

982

983

984

985 986

990

991

992 the significant difference between FPI and CHAMP winds. AA provided the FPI data, MF provided the 993 CHAMP data. RH provided the model simulations, IM provided technical support for the FPIs, and ED 994 provided expertise on converting accelerometer data to winds.

995

996 997

998 999 000

001 1002

1003

1004

1005

1006

1007

1008

1009

1010

Data availability. The CHAMP accelerometer neutral wind observations used in this study are available at http://doi.org/10.5880/GFZ.1.1.2019.001 (Förster and Doornbos, 2019),

Competing interests. The authors declare that they have no conflict of interest.

Acknowledgements. We thank the staff at the Kjell Henriksen Observatory and ESRANGE for hosting the FPIs and their generous on-site assistance. Support for the FPI operations have come from the European Office of Aerospace Research and Development (grant FA9550-17-1-0019). There has been NERC support of ALA (grants NE/P001556/1 and NE/N004051/1). The CHAMP mission is sponsored by the Space Agency of the German Aerospace Center (DLR) through funds of the Federal Ministry of Economics and Technology, following a decision of the German Federal Parliament (grant code 50EE0944). The data retrieval and operation of the CHAMP satellite by the German Space Operations Center (GSOC) of DLR is acknowledged. EISCAT is an international association supported by research organisations in China (CRIRP), Finland (SA), France (CNRS, till end 2006), Germany (DFG), Japan (NIPR and STEL), Norway (NFR), Sweden (VR), and the

Formatted: Font: Italic

Formatted: Font: (Default) Times New Roman, Italic

Formatted: Font: (Default) Times New Roman

Formatted: Font: (Default) Times New Roman

Formatted: Normal, Don't adjust space between Latin and Asian text, Don't adjust space between Asian text and numbers

Formatted: Font: (Default) Times New Roman

United Kingdom (STFC). We also acknowledge support from the International Space Science Institute for sponsoring meetings of the international team #308 on 'M-I-T Coupling: Differences and similarities between the two hemispheres', which helped this collaboration (http://www.issibern.ch/teams/twohemispheres/).

**Formatted:** Don't adjust space between Latin and Asian text, Don't adjust space between Asian text and numbers

#### References:

1011

1012

1013

014

015

016

017

1018

- 1019 Anderson, C., Conde, M., and McHarg, M. G.: Neutral thermospheric dynamics observed with two scanning
- Doppler imagers: 1. Monostatic and bistatic winds, J. Geophys. Res.,117, A03304,
- 1021 doi:10.1029/2011JA017041, 2012.
- 1022 Aruliah A.L., Rees, D.: The Trouble with Thermospheric Vertical Winds: Geomagnetic, Seasonal and Solar
- 1023 Cycle Dependence at High Latitudes, J. Atmos. Terr. Phys., 57, 597-609, 1995
- 1024 Aruliah A.L., Farmer, A. D., Rees, D., Brändström, U.: The Seasonal Behaviour of High-Latitude
- Thermospheric Winds and Ion Velocities Observed Over One Solar Cycle, J. Geophys. Res., 101, 15701-
- 1026 15711, 1996
- 1027 Aruliah, A. L. and Griffin, E. M.: Evidence of meso-scale structure in the high-latitude thermosphere, Ann.
- 1028 Geophys., 37-46, 2001.
- 1029 Aruliah, A. L., Griffin, E. M., Aylward, A. D., Ford, E. A. K., Kosch, M. J., Davis, C. J., Howells, V. S. C.,
- 1030 Pryce, E., Middleton, H., Jussila, J.: First direct evidence of meso-scale variability on ion-neutral dynamics
- using co-located tristatic FPIs and EISCAT radar in Northern Scandinavia, EISCAT workshop special issue
- 1032 Annales Geophys., 23, 147-162, 2005
- Bauer, S.J.: Physics and chemistry in space, Vol 6 of Physics of planetary ionospheres, Springer-Verlag, 1973
- 1034 Banks, P.M. and Kockarts, G.: Aeronomy: Parts A and B. Academic Press: New York, 1973
- 1035 Bruinsma, S. L., Doornbos, E., Bowman, B.R.: Validation of GOCE densities and evaluation of thermosphere
- 1036 models Advances in Space Research, Volume 54, Issue 4, 576-585.10.1016/j.asr.2014.04.008, 2014.
- 1037 Cook, G.E.: Satellite drag coefficients, Planet. Space Sci., 13, 929, 1965
- O38 Cousins, E. D. P., and S. G. Shepherd (2010), A dynamical model of high latitude convection derived from
- O39 SuperDARN plasma drift measurements, J. Geophys. Res., 115, A12329, doi:10.1029/2010JA016017.
- Dalgarno, A., and Smith, F. J.: The thermal conductivity and viscosity of atomic oxygen, Planet.Space.Sci., 9, 1-
- 1041 2, 1962.
- Davies, J.A., Lester, M., -Milan, S.E., Yeoman, T. K.: A comparison of velocity measurements from the
- 1043 CUTLASS Finland radar and the EISCAT UHF system, Ann.Geophys., 17, 892-902, 1999.
- 1044 Deehr, C. S., Sivjee, G. G., Egeland, A., Henriksen, K., Sandholt, P. E., Smith, R., Sweeney, P., Duncan, C.,
- Gilmer, J.: Ground-based observations of F region aurora associated with the magnetospheric cusp, J.
- 1046 GEOPHYS. RES., 85, 2185-2192, 10.1029/JA085iA05p02185, 1980.
- 1047 Dhadly, M., Emmert, J., Drob, D., Conde, M., Doornbos, E., Shepherd, G., Makela, J., Wu, Q., Niciejewski, R.,
- and Ridley, A.: Seasonal dependence of northern high-latitude upper thermospheric winds: A quiet time

Formatted: Font: Times New Roman, 10 pt

Formatted: Font: 10 pt

Formatted: Font: Times New Roman, 10 pt

- 1049 climatological study based on ground-based and space-based measurements, Journal of Geophysical
- 1050 Research (Space Physics), 122, 2619-2644, 10.1002/2016JA023688, 2017
- 1051 Dhadly, M., Emmert, J., Drob, D., Conde, M., Shepherd, G., Makela, J., Wu, Q., Niciejewski, R., and Ridley,
- 1052 A.: Seasonal Dependence of geomagnetic active-time northern high-latitude upper thermospheric winds, J.
- 1053 GEOPHYS. RES., 123, 739-754, https://doi.org/10.1002/2017JA024715, 2018
- 1054 Doornbos, E, Ijssel, J., Lühr, H., Förster, M., and Koppenwallner, G:. Neutral density and crosswind
- determination from arbitrarily oriented multiaxis accelerometers on satellites. Journal of Spacecraft and
- 1056 Rockets, 47(4): 580–589, 2010. doi:10.2514/1.48114, 2010
- 1057 Drob, D. P., Emmert, J. T., Meriwether, J. W., Makela, J. J., Doornbos, E, Conde, M., Hernandez, G., Noto, J.,
- 1058 Zawdie, K. A., McDonald, S. E., Huba, J. D., and Klenzing, J. H.: An update to the Horizontal Wind Model
- 1059 (HWM): The quiet time thermosphere, Earth and Space Science, 2, 301-319, 10.1002/2014EA000089,
- 1060 https://doi.org/10.1002/2014EA000089\_2015
- 061 Emmert, J. T., Faivre, M. L., Hernandez, G., Jarvis, M. J., Meriwether, J. W., Niciejewski, R. J., Sipler, D. P.,
- 062 and Tepley, C. A.: Climatologies of nighttime upper thermospheric winds measured by ground-based Fabry-
- Perot interferometers during geomagnetically quiet conditions: 1. Local time, latitudinal, seasonal, and solar
- 1064 cycle dependence, J. Geophys. Res., 111, A12302, doi:10.1029/2006JA011948, 2006a
- 1065 Emmert, J.T., Hernandez, G., Jarvis, M. J., Niciejewski, R. J., Sipler, D. P., and Vennerstrom, S.: Climatologies
  - of nighttime upper thermospheric winds measured by ground-based Fabry-Perot interferometers during
- 1067 geomagnetically quiet conditions: 2. High-latitude circulation and interplanetary magnetic field dependence,
- 1068 J. Geophys. Res., 111, A12303, doi:10.1029/2006JA011949, 2006b.
- 1069 Fiori, R. A. D., Koustov, A. V., Boteler, D. H., Knudsen, D. J., & Burchill, J. K.: Calibration and assessment of
- Swarm ion drift measurements using a comparison with a statistical convection model. Earth, Planets and
- 1071 Space, 68(1), 100. http://doi.org/10.1186/s40623-016-0472-7, 2016.
- 1072 Forbes, J. M., Roble, R. G., and Marcos, F. A.: Magnetic activity dependence of high-latitude thermospheric
- 1073 winds and densities below 200 km, J. Geophys. Res., 98, 13,693–13,702, 1993.
- 1074 Ford, E.A.K., Aruliah, A.L., Griffin, E.M., McWhirter, I.: High time resolution measurements of the
- 1075 thermosphere from Fabry-Perot Interferometer measurements of atomic oxygen, Annales Geophys., 25,
- 1076 1269 1278, 2007.

- 1077 Förster, M., Rentz, S., Köhler, W., Liu, H., and Haaland, S. E.: IMF dependence of high-latitude thermospheric
- wind pattern derived from CHAMP cross-track measurements, Ann. Geophys., 26, 1581–1595, 2008.
- 1079 Förster, M., Haaland, S.E., and Doornbos, E., Thermospheric vorticity at high geomagnetic latitudes from
- 1080 CHAMP data and its IMF dependence, Ann. Geophys., 29, 181-186, doi:10.5194/angeo-29-181-2011, 2011.
- 1081 Förster, M., and Cnossen, I.: Upper atmosphere differences between northern and southern high latitudes: The
- 1082 role of magnetic field asymmetry, J. Geophys. Res. Space Physics, 118, 5951-5966,
- 1083 doi:10.1002/J.Geophys.Res.a.50554, 2013.
- Förster, M., Doornbos, E., and Haaland S.: The role of the upper atmosphere for dawn-dusk differences in the
- 1085 coupled magnetosphere-ionosphere-thermosphere system", in: Dawn-Dusk Asymmetries in Planetary
- 1086 Plasma Environments, eds. Stein Haaland, Andrei Runov and Colin Forsyth, John Wiley Publications, AGU
- 087 Geophysical Monograph, Vol. 230, 125-142, ISBN: 978-1-119-21632-2, 2017.

- Pörster, Matthias; Doornbos, Eelco (2019): Upper thermosphere neutral wind cross-track component deduced
- 089 <u>from CHAMP accelerometer data. GFZ German Research Centre for Geosciences.</u>
- 090 <u>http://doi.org/10.5880/GFZ.1.1.2019.001</u>
- Foster, J. C., Holt, J. M., Musgrove, R. G., and Evans, D. S.: Ionospheric convection associated with discrete
- levels of particle precipitation, Geophys. Res. Lett., 13, 656, 1986.
- 1093 Fuller-Rowell, T. J.: Modelling the solar cycle change in nitric oxide in the thermosphere and upper
- 1094 mesosphere, J. Geophys. Res., 98, 1571, 1992.
- 1095 Fuller-Rowell, T.J., Rees, D.: Interpretation of an Anticipated Long-Lived Vortex in the Lower Thermosphere
- 1096 Following Simulation of an Isolated Substorm, Planet. Space Sci., 32, 69-85, 1984
- 1097 Fuller-Rowell T.J., Evans, D.S.: Height Integrated Pedersen and Hall Conductivity Patterns Inferred from the
- 1098 TIROS-NOAA Satellite Data, J. GEOPHYS. RES., 92, 7606-7618, 1987
- 1099 Fuller-Rowell, T. J., Rees, D., Quegan, S., Moffett, R. J., Bailey, G.J.: Simulations of the seasonal and UT
- variations of the thermosphere and ionosphere using a coupled, three-dimensional, global model, Pur. A.
- 1101 Geoph., 127, 189-217, 1988.
- 1102 Fuller-Rowell, T. J., Rees, D., Quegan, S., Moffett, R. J., Codrescu, M. V., and Millward, G. H.: A coupled
- thermosphere ionosphere model, Solar terrestrial energy program (STEP), handbook of ionospheric models,
- 1104 (Ed) Schunk, R. W., 1996.
- 1105 Gillies, M. D., Knudsen, D., Donovan, E., Jackel, B., Gillies, R., Spanswick, E.: Identifying the 630 nm auroral
- arc emission height: A comparison of the triangulation, FAC profile, and electron density methods, J.
- 1107 Geophys. Res. Space Physics, 122, doi:10.1002/2016JA023758, 2017.
- 1108 Griffin, E.M., Aruliah, A. L., Müller-Wodarg, I. C. F., Aylward, A. D.: Comparison of High-Latitude
- 1109 Thermospheric Meridional Winds II: Combined FPI, Radar and Model Climatologies, Ann. Geophys, 22,
- 1110 863-876, 2004.
- 1111 Griffin, E. M., Müller-Wodarg, I. C. F., Aruliah, A., and Aylward, A.: Upper thermospheric neutral wind and
- temperature measurements from an extended spatial field, Ann. Geophys., 26, 2649–2655, 2008.
- 1113 Harang, L.: The mean field of disturbance of polar geomagnetic storms, Terr. Mag. Atmos. Elec., 51, 353-380,
- 1114 1946.
- 1115 Harris, M.: A New Coupled Middle Atmosphere and Thermosphere General Circulation Model: Studies of
- Dynamic, Energetic and Photochemical Coupling in the Middle and Upper Atmosphere. PhD Thesis,
- 1117 <u>University of London, 2001</u>47.
- Harris, M. J., Arnold, N. F., and Aylward, A. D.: A study into the effect of the diurnal tide on the structure of
- 1119 the background mesosphere and thermosphere using the new coupled middle atmosphere and thermosphere
- 1120 (CMAT) general circulation model, Ann. Geophys., 20, 225–235, doi:10.5194/angeo-20-225-2002, 2002.
- 1121 Hecht, E., Zajac, A.: Optics, Addison-Wesley Publishing Company, 1980.
- 1122 Hedin A. E., Salah, J. E., Evans, J. V., Reber, C. A., Newton, G. P., Spencer, N. W., Kayser, D. C., Alcayde, D.,
- Bauer, P., Cogger, L., McClure, J. P.: A Global Thermospheric Model Based on Mass Spectrometer and
- Incoherent Scatter Data MSIS 1. N<sub>2</sub> Density and Temperature, J.Geophys.Res., 82, 2139-2147, 1977.
- 1125 Hedin A.E., Biondi, M. A., Burnside, R. G., Hernandez, G., Johnson, R. M., Killeen, T. L., Mazaudier, C.,
- 1126 Meriwether, J. W., Salah, J. E., Sica, R. J., Smith, R. W., Spencer, N. W., Wickwar, V. B., Virdi, T. S.:

1127 Revised Global Model of Thermospheric Winds Using Satellite and Ground-Based Observations, J.

128 Geophys. Res. Space Physics, 96, 7657-7688, 1991.

129 Hedin, A. E., et al. (1996), Empirical wind model for the upper, middle and lower atmosphere, J. Atmos. Terr. 130

Phys., 58, 1421-1447, doi:10.1016/0021-9169(95)00122-0.

1131 Helleputte, T. V., Doornbos, E., and Visser, P.: CHAMP and GRACE accelerometer calibration by GPS-based 1132 orbit determination. Advances in Space Research. 43(12).

133 http://doi.org/10.1016/j.asr.2009.02.017, 2009.

134 Hood, R. K. E., Effects of field-aligned currents in the ionosphere-thermosphere system, PhD Thesis, University

135 College London, UK, 2018

1136 Jacchia, L. G., and Slowey, J. W.: A supplemental catalog of atmospheric densities from satellite-drag analysis

1137 (No. 348). SAO Special Report, 1972.

1138 Killeen, T. L., Smith, R. W., Hays, P. B., Spencer, N. W., Wharton, L. E., McCormac, F. G.: Neutral winds in

1139 the high latitude winter F-region: Coordinated observations from ground and space, Geophys. Res. Lett., 11,

1140

144

146

148

1153

1156

1141 Killeen T.L., Won, Y.-I., Niciejewski, R.J., Burns, A.G.: Upper Thermosphere Winds and Temperatures in the

Geomagnetic Polar Cap: Solar Cycle, Geomagnetic Activity and IMF Dependencies, J. Geophys. Res., 100, 1142

143 21327-21342, 1995.

Koustov, A. V., Lavoie, D. B., Kouznetsov, A. F., Burchill, J. K., Knudsen, D. J., & Fiori, R. A. D., A

145 comparison of cross-track ion drift measured by the Swarm satellites and plasma convection velocity

measured by SuperDARN. Journal of Geophysical Research: Space Physics, 124, 4710-4724.

https://doi.org/10.1029/2018JA026245, 2019. 147

149 Link, R., Cogger, L.L.: A re-examination of the O I 6300A nightglow, J. Geophys. Res., 93, 9883-9892, 1988.

1150 Liu, H., Lühr, H., Henize, V., and Köhler, W.: Global distribution of the thermospheric total mass density

derived from CHAMP, J. Geophys. Res., 110, A04301, doi:10.1029/2004JA010741, 2005. 1151

Liu, H., Lühr, H., Watanabe, S., Köhler, W., Henize, V., and Visser, P.: Zonal winds in the equatorial upper 1152

thermosphere: Decomposing the solar flux, geomagnetic activity, and seasonal dependencies, J. Geophys.

1154 Res., 111, A07307, doi:10.1029/2005JA011415, 2006.

1155 Liu, H., Doornbos, E., & Nakashima, J.: Thermospheric wind observed by GOCE: Wind jets and seasonal

variations. of Geophysical Research: Physics, 121(7), 6901-6913. Journal Space

1157 http://doi.org/10.1002/2016JA022938, 2016.

158 Liu, X., Xu, J., Yue, J. & Vadas, S. L., Numerical modeling study of the momentum deposition of small

159 amplitude gravity waves in the thermosphere, Annales Geophysicae, 31(1), 1-14, 2013

1160 Makela, J. J., Meriwether, J. W., Huang, Y., Sherwood, P. J.: Simulation and analysis of a multi-order imaging

1161 Fabry-Perot interferometer for the study of thermospheric winds and temperatures, Applied Optics, 50,

1162 4403-4416, 2011.

1163 March, G., Doornbos, E. N. and Visser, P. N. A. M.: High-fidelity geometry models for improving the

1164 consistency of CHAMP, GRACE, GOCE and Swarm thermospheric density data sets, Advances in Space

1165 Research, 63, 213-238, 10.1016/j.asr.2018.07.009, 2018. Formatted: Font: Times New Roman, 10 pt

Formatted: Font: Times New Roman, 9 pt

Formatted: No Spacing, Justified, Indent: Left: 0 cm, Hanging: 0.5 cm, Line spacing: 1.5 lines

Formatted: Font: 10 pt

Formatted: Font: 10 pt Formatted: Font: 10 pt

Formatted: Font: 10 pt

Formatted: Font: 10 pt

Formatted: Font: 10 pt Formatted: Font: 10 pt

Formatted: Font: 10 pt

Formatted: Font: 10 pt

Formatted: Default Paragraph Font, Font: Calibri, 10 pt

Formatted: Font: 10 pt

Formatted: Font: 8 pt

Formatted: Font: (Default) Times New Roman, 10 pt

Formatted: Font: 9 pt

Formatted: Font: (Default) Times New Roman, 10 pt

Formatted: Font: 9 pt

Formatted: Font: Times New Roman, 10 pt

- March, G., E. N. Doornbos, and P. N. A. M. Visser, High-fidelity geometry models for improving the
- consistency of CHAMP, GRACE, GOCE and Swarm thermospheric density data sets, Advances in Space
- 168 Research, 63, 213-238, 10.1016/j.asr.2018.07.009, 2019
- 1169 Marcos, F. A. and Forbes, J. M.: Thermospheric wind from the satellite electrostatic triaxial accelerometer
- 1170 system, J. Geophys. Res., 90, 6543–6552, 1985.
- 1171 McWhirter I., Rees, D., Greenaway, A. H.: Miniature Imaging Photon Detectors III.~An Assessment of the
- 1172 Performance of the Resistive Anode IPD, J. Phys. E.: Sci. Instrum., 15, 145-150, 1982.
- 1173 McWhirter, I., Electron Multiplying CCDs New Technology for Low Light Level Imaging, Proceedings of
- 1174 33rd Annual European Meeting on Atmospheric Studies by Optical Methods, IRF Sci. Rep., 292, 61-66,
- 1175 2008.
- 1176 Mehta, P. M., Walker, A. C., Sutton, E., & Godinez, H. C.: New density estimates derived using accelerometers
- 1177 on-board the CHAMP and GRACE satellites. Space Weather, 15(4), 558-576
- 1178 http://doi.org/10.1002/2016SW001562, 2017.
- 1179 Moe, Mildred. M., Wallace, Steven D., Moe, Kenneth: The upper mesosphere and lower thermosphere, A
- 1180 review of experiment and theory, Geophysical Monograph 87, 1995
- 1181 Quegan, S., Bailey, G. J., Moffett, R. J., Heelis, R. A., Fuller-Rowell, T. J., Rees, D., and Spiro, A. W.: A
- theoretical study of the distribution of ionisation in the high-latitude ionosphere and the plasmasphere: First
- results of the mid-latitude trough and the light ion trough, J. Atm. Terr. Phys., 44, 619, 1982.
- 1184 Rees, D., Fuller-Rowell, T. J., Gordon, R., Smith, M. F., Maynard, N. C., Heppner, J. P., Spencer, N. W.,
- 1185 Wharton, L., Hays, P. B., and Killeen, T. L.: A theoretical and empirical study of the response of the high
- latitude thermosphere to the sense of the "Y" component of the interplanetary magnetic field, Planet. Space
- 1187 Sci., 34, 1–40, 1986.
- 1188 Reigber, C., Lühr, H., and Schwintzer, P.: CHAMP mission status, Adv. Space Res., 30, 129-134, 2002.
- 1189 Roble, R. G., Ridley E. C., and Dickenson, R. E.: On the global mean structure of the thermosphere, J. Geophys.
- 1190 Res., 92, A8, 8745–8758, 1987.
- Ronksley, Amy, Optical remote sensing of mesoscale thermospheric dynamics above Svalbard and Kiruna, PhD
- thesis, UCL, London, UK., 2016.
- 1193 Salah, J. E. and Holt, J. M.: Midlatitude thermospheric winds from incoherent scatter radar and theory, Radio
- 1194 Sci., 9, 301-313, 1974.
- 1195 Schlegel, K., Lühr, H., St.-Maurice, J.-P., Crowley, G., and Hackert, C.: Thermospheric density structures over
- the polar regions observed with CHAMP, Annales Geophysicae, 23, 1659–1672, 2005.
- 1197 Shepherd, S. G.: Altitude-adjusted corrected geomagnetic coordinates: Definition and functional
- 1198 approximations, J. Geophys. Res. Space Physics, 119, doi:10.1002/2014JA020264, 2014.
- 1199 Sica R. J., Rees, M. H., Roble, R. G., Hernandez, G., Romick, G. J.: The Altitude Region Sampled by Ground-
- 1200 Based Doppler Temperature Measurements of the OI 15867K Emission Line in Aurorae, Planet. Space Sci.,
- 1201 34, 483-488, 1986.
- 202 Smith, R. W., Sweeney, P. J.: Winds in the thermosphere of the northern polar cap, Nature 284, 437 438,
- 203 doi:10.1038/284437a0, 1980.

- 1204 Song, P., Vasyliūnas, V. M., and Zhou, X.-Z.: Magnetosphere-ionosphere/thermosphere coupling: Self-
- 1205 consistent solutions for a one-dimensional stratified ionosphere in three-fluid theory, J. Geophys. Res., 114,
- 1206 A08213, doi:10.1029/2008JA013629, 2009.
- 1207 Song, P., and Vasyliūnas, V. M.: "Inductive-dynamic coupling of the ionosphere with the thermosphere and the
- 1208 magnetosphere", in: 'Modeling the Ionosphere-Thermosphere System', edited by J. Huba, R. Schunk, and G.
- 1209 Khazanov, American Geophysical Union, Washington DC,10.1029/2012GM001308, Geophysical
- 1210 Monograph Series 201, 201-215, 2013.
- 1211 Sutton, E. K., Nerem, R. S., & Forbes, J. M.: Density and Winds in the Thermosphere Deduced from
- 1212 Accelerometer Data. Journal of Spacecraft and Rockets, 44(6), 1210–1219. http://doi.org/10.2514/1.28641,
- 1213 2007.
- 1214 Tapley, B.D., Bettadpur, S., Watkins, M., and Reigber, C.: The gravity recovery and climate experiment:
- 1215 Mission overview and early results, Geophys. Res. Lett., 31, L09607, DOI: 10.1029/2004GL019920, 2004.
- 1216 Torr, M. R., Richards, P. G., and Torr, D. G.: A new determination of ultraviolet heating efficiency in the
- 1217 thermosphere, J. Geophys.Res., 85, 6819, 1980a.
- 1218 Torr, M. R., Richards, P. G., and Torr, D. G.: The solar ultraviolet heating efficiency in the mid-latitude
- thermosphere, Geophys.Res. Lett., 6, 673, 1980b.
- 1220 Vadas, S. L. and Crowley, G.: Neutral wind and density perturbations in the thermosphere created by gravity
- waves observed by the TIDDBIT sounder, J. Geophys. Res. Space Physics, 122, 6652–6678,
- 1222 doi:10.1002/2016JA023828, 2017.
- 1223 Visser, T., March, G., Doornbos, E., de Visser, C., & Visser, P.: Horizontal and vertical thermospheric cross-
- 1224 wind from GOCE linear and angular accelerations. Advances in Space Research.
- 1225 http://doi.org/10.1016/j.asr.2019.01.030, 2019.
- 1226 Vlasov, M. N., Nicolls, M. J., Kelley, M. C., Smith, S. M., Aponte, N., and Gonzalez, S. A: Modeling of
- 1227 airglow and ionospheric parameters at Arecibo during quiet and disturbed periods in October 2002, J.
- 1228 Geophys. Res., 110, A07303, doi:10.1029/2005JA011074, 2005.
- 1229 Yiu, H.C.I: High latitude thermosphere meso-scale studies and long-term database investigations with the new
- 1230 Scanning Doppler Imager and Fabry-Perot Interferometers, Ph.D. Thesis, Univ. of London, London, UK,
- 1231 2014.

## 21st August 2019

1233

1234

1235

1236

We would like to thank the reviewers for their time taken to read and feedback very helpful advice and comments on our paper. Our responses are below.

We have added an extra figure (Figure 3) to illustrate the geometry of the CHAMP ascending and

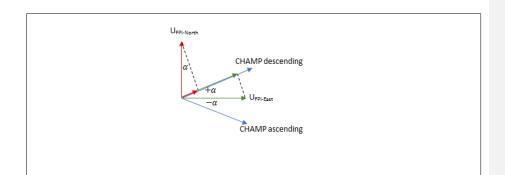


Figure 3: Geometry illustrating the projection of FPI look direction wind components onto the CHAMP cross-track direction for the ascending and descending tracks.

descending orbits, and the projection of the FPI wind vectors onto the CHAMP cross-track direction.

1238

1239 1240

1237

This is in response to both referees requesting that we do this projection for a fairer comparison with the CHAMP cross-track winds. This has required a renumbering of the figures as shown in the table below:

Original Figure Number	New Figure Number
1 CHAMP solar max 2001-2003 Longyearbyen	1 CHAMP solar max 2001-2003 Longyearbyen
2 CHAMP solar max 2001-2003 Kiruna	2 CHAMP solar max 2001-2003 Kiruna
3 CHAMP solar max 2005-2007 Longyearbyen	3 geometry for projecting FPI winds onto CHAMP cross-track direction
4 CHAMP solar max 2005-2007 Kiruna	4 CHAMP solar max 2005-2007 Longyearbyen
5 FPI solar max and min 2001-2003 Longyearbyen	5 CHAMP solar max 2005-2007 Kiruna
6 FPI solar max and min 2001-2003 Kiruna	6 FPI solar max and min 2001-2003 Longyearbyen
7 CHAMP vs HWM87 and HWM90 and FPIs	7 FPI solar max and min 2001-2003 Kiruna

measurements made by UCL + Alaska (1980)	
8 CHAMP vs FPI for 2- <= Kp < 4+ for	8 CHAMP vs HWM93 and FPIs measurements made
Longyearbyen and Kiruna	by UCL + Alaska (1980)
9 frequency distribution of CHAMP/FPI for solar	9 CHAMP vs FPI for 2- <= Kp < 4+ for
max and both Longyearbyen and Kiruna	Longyearbyen and Kiruna
3	
10 CMAT2 model demonstration of effects of	10 frequency distribution of CHAMP/FPI for solar
changing viscosity	max and both Longyearbyen and Kiruna
11 height profiles of CMAT2 model zonal winds and	11 CMAT2 model demonstration of effects of
comparison with the red line emission intensity	changing viscosity
profile.	
12 frequency distribution of Kp	12 height profiles of CMAT2 model zonal winds and
	comparison with the red line emission intensity
	profile.
	F-5
13 CMAT2 zonally averaged winds at	13 frequency distribution of Kp
Longyearbyen and Kiruna	
33 7	
14 global maps of CMAT2 zonal winds comparing	14 CMAT2 zonally averaged winds at
winds at 240 km with height integrated winds	Longyearbyen and Kiruna
15	15 global maps of CMAT2 zonal winds comparing
	winds at 240 km with height integrated winds

Responding to Ref 1 comment 2 has also meant a re-numbering of the remaining equations:

Original Equation Number	New Equation Number
1	deleted
2	deleted
3	deleted
4	1
5	2
6	5 (corrected original number which was out of order)
7	3

1243 Some additional points we noted:

> We noted that Figure 12 required some more explanation of the simulations of the height profile of the zonal winds (lines 633-645):

> "Figure 12 illustrates how ground-based FPIs make measurements of the neutral winds at 240 km altitude. The left plot shows a height profile of the CMAT2 zonal mean zonal winds at the latitude of Longyearbyen. There are 6 simulations to demonstrate the effect on the height profile of the zonal mean zonal winds when changing the viscosity. CMAT2 uses a viscosity term that is the weighted mean divided by the scale height of two coefficients of viscosity: the molecular viscosity  $\mu_m$ ; and the turbulent viscosity  $\mu_t$ . The simulations represent a comparison with the original molecular viscosity (dark blue). The other lines are for low (yellow - divided by 100) and high molecular viscosities (pink - doubled). The low and high turbulent viscosities are represented by the Prandtl numbers 0.7 (red) and 100 (green), where 2 is the default value used in CMAT2; which is relevant for the height at which gravity waves deposit momentum (Liu et al., 2013). The light blue line labelled "Mata" is an intermediate profile. As can be seen, the molecular viscosity dominates in the thermosphere above 100 km and at the altitudes where the FPI is measuring. The dark blue and yellow lines are representative of a vertical slice of Figure 11 left and right, respectively, for the latitude of Longyearbyen."

1258

1244

1245

1246

1247

1248 1249

1250

1251

1252

1253

1254

1255

1256

1257

Replaced Nov-Jan with DOY 300-65 in abstract and line 424. This is the correct range of DOY used in the FPI selection criteria, as well as the CHAMP data.

1259 1260 1261

1264

- Added the following:
- 1262 an extra affiliation for MF 1263 i)
  - ii) data availability
  - iii) Co-author Rosie Hood's recently awarded PhD thesis as a reference

Moved and consolidated description of red line emission profile and winds with respect to height to follow Figure 12.

1269 1270

1272 Anonymous Referee #1: Interactive comment on Ann. Geophys. Discuss., https://doi.org/10.5194/angeo-1273 2019-57, 2019. (Received and published: 31 May 2019)

1274 1275

Dear Editor, I have reviewed the paper by Aruliah et al. titled "Comparing high-latitude thermospheric winds from FPI and CHAMP accelerometer measurements." The paper

describes polar observations from FPIs and the CHAMP satellite. Observations from

1278 different instruments are compared and discrepancies discussed. It is important to the

1279 community to understand the differences between the FPI and CHAMP observed thermospheric

1280 winds. CHAMP winds are known to be larger than the FPI measurements.

1281 While the cause for such discrepancy may be unknown at the moment, at least, we

1282 should know how the two sets data are different. Hence, the paper is very important

and should be considered for publication. It can be a good reference for future users of

the two data sets. The paper in the current form, however, has some significant issues need to be addressed.

1288

1289

1290

1291

1292

1294

1283

1276

1277

1. The paper somehow lost focus. It got into too many sub-topics: FPI (old) -FPI (new) differences, HWM87-HWM90 differences. I think for the purpose of understanding the CHAMP and FPI difference, we should avoid using very old data. If the topic were the long-term trend, then we should examine long data string. The value of this paper is on the CHAMP and FPI comparison.

1293 We beg to differ on this comment. It is important to provide a context and full argument for this important

- finding, i.e. that there is a serious discrepancy between the two methods of measuring thermospheric winds;
- 1295 but that the UCL FPI results are consistent with the U.Alaska FPI. Then the FPI procedure is discussed in section
- 1296 6.2. However, we have added a sentence (lines 480-481): "These are interannual and inter-solar cycle
- 1297 discussions for a later paper."
  - The HWM87 and HWM90 plots have been replaced with a single plot using the later version HWM93.

1302

1303

The calibration of FPIs is an important section attempting to understand the discrepancy in the CHAMP-FPI winds. The University of Alaska FPI measurements at Longyearbyen, made in the early 1980s, are used to show that their FPI measurements are consistent in phase with the UCL FPI measurements 20 years later. They are also of a magnitude closer to the UCL FPI than the CHAMP winds. Even then Hedin et al. (1991) noted that the U.Alaska ground-based FPI zonal wind magnitudes in 1980 showed smaller magnitudes than satellites.

1308

2. The section L67-89 has some major issues. The thermospheric dynamics is governed by the momentum, energy, and continuity equations. It cannot be expressed in the formulas listed in the section. CHAMP's larger winds do not necessarily lead to larger temperatures. Smaller FPI winds are not always connected to the lower temperatures. We cannot use temperature to verify wind values.

Agreed that this is a very simplified argument, but the purpose is to highlight the repercussions of overestimating the neutral wind when dividing energy between heating and acceleration of the neutral gas. But considering the referee's concerns, we have decided to remove lines 67-92 and replace with a general comment on the partitioning of energy (lines 65-71):

1315 1316 1317

1318

1319

1320

1321

1322

1314

"With incorrect scaling, there arises a problem of distortion of energy budget calculations of the upper atmosphere. A precise estimation of energy supply to the system is hindered essentially, because the partitioning of kinetic and thermal energy channels becomes obscured. The acceleration of the neutral air in 3-D space with respect to the active driver of the plasma motion is important to estimate, for instance, the Joule heating rate as one of the most important thermal energy inputs. This has a knock-on effect on the calculation of the absolute density of the gas, which is an important parameter used in, for example, satellite orbit calculations."

Removal of these paragraphs and 3 equations has required re-numbering of the remaining equations as outlined in the table above.

3. I am lost in the section L279 – L316. I understand that the CHAMP cross-track winds are not aligned with the eastward direction on the ground. The angle is different for the ascending and descending nodes. The angles are +/- 7.2 deg and +/-13.3 deg for KEOPS and Longyearbyen (ascending and descending). So the obvious thing to do is to compare separately the ascending and descending nodes. Use the ground based meridional and zonal components to compute the wind value along the direction of CHAMP cross-track measurement (mostly to add the contribution from the meridional winds). I don't understand why that was not done. I do not see an argument to let me believe that I can ignore the viewing angle difference between the ground based zonal wind and CHAMP cross track winds. So I suggest the authors use the ground based FPI data to form the wind values along the CHAMP cross-track direction to do a direct comparison. Or alternatively, using the CHAMP ascending and descending node measurements to remove the contribution from the meridional wind and compute the zonal wind. Hopefully, that would give you better comparison between the FPI and

The FPI vector winds have been projected on the cross-track direction for the ascending (East - alpha) and descending (East + alpha) as suggested. The discrepancy in magnitude is still large. An extra Figure (new Fig 3) has been added to illustrate the geometry.

- 4. Figure 7 should be the focus of the paper. I really don't see much value having two HWM model runs results shown here. You only need one. The FPI (Alaska) probably does not add much and more likes a distraction. The old and new FPI comparison should be discussed in a different paper, you can have interannual variations here.
- The two HWM models have been replaced with the later HWM93 model. The FPI (Alaska) data remains because it shows that the UCL FPI data are consistent with the Alaska FPI under fairly similar f10.7 and mean Kp. Indeed Hedin et al (1991) had noticed a systematic discrepancy between satellite and ground-based FPI winds even then.
  - 5. The solar minimum data are not very useful since there are no CHAMP observations.
  - The original Figs 3 and 4 (now called Figs 4 and 5) show CHAMP data from solar minimum.
  - 6. I think the conclusion should be clearer that the CHAMP winds are overestimated. As the paper points out that the CHAMP winds are almost the same magnitude as ion drift at Kiruna, which is incorrect.

Agreed. This point is discussed in Section 6 for various aspects such as the role of viscous action in the upper thermosphere (Sect. 6.1) or the ion-neutral comparisons (Sect. 6.4). To accentuate this set of problems, we emphasized this with a expanded paragraph referring to the Fiori et al (2016) Swarm measurements of ion velocities (lines 767-774), and two additional sentences at the end of the Conclusions (lines 834-837). This is a key point for further discussions and also for more modelling/simulation studies (lines 837-839).

"Fiori et al (2016) compared ion velocities measured by the Electric Field Instrument on Swarm with the CS10 statistical ionospheric convection model by Cousins and Shepherd (2010) which is based on 8 years of data

(1998-2005) collected by 16 SuperDARN coherent scatter radars. The climatology represented by the CS10 model in Fiori et al's Figure 3a indicates speeds in the few hundreds of ms<sup>-1</sup>, while the instantaneous values along the Swarm satellite pass (their Figure 3d) show much stronger drift peak values on the resolution level of seconds or shorter. Even after allowing for offsets, their 1-sec resolution corrected cross-track ion drifts achieve horizontal velocities well over 1,000 ms<sup>-1</sup>, which probably indicates the highly dynamic behaviour in the auroral regions compared with quasi-stable conditions used for empirical models. However, recently Koustov et al. (2019) compared the Swarm cross-track ion drifts with the SuperDARN radar network and found that the Swarm ion velocities are a factor of 1.5 larger. They suggest reasons for the disparity, including refining the calibration of Swarm and the differences in spatial/temporal resolution."

"We may also need to rethink the procedure of comparing different spatial and temporal resolutions of in-situ satellite versus remote ground-based FPI measurements in terms of the geometry of cross-track winds at high latitudes"

7. While Aruhiah et al. 2005 reference is listed for the instrument information. It will be a great help to give a short paragraph on the two FPIs (gaps, aperture size, detector, from which year to which years) given that instrument upgraded over the years.

Added to section 5.2.

Minor

1. In the comparison section, the FPI (Alaska) was used, but there is no mention of it in the abstract and earlier instrument description. It should be added to the abstract, if it is to be used. Personally, I think the data should be dropped.

The U.Alaska FPI measurements at Longyearbyen illustrate that the UCL FPI measurements 20 years later are consistent. They are a reference measurement, so do not need to appear in the abstract.

2. Many the figures have very low resolution and are difficult to read.

Figures 5-8 have been increased in size on the page. In particular, Figs 5 and 6 have been split so that a,b and c,d are on 2 separate pages. (These are now re-labelled Figs 6-9)

# 3. Figure 5, the FPI from one direction has error bars and the other does not. Why?

Only one set of error bars were included for the sake of clarity of the plots. However, we have now included the error bars for all the FPI look directions.

4. L 444 to LL448. 'During the 1980s and 1990s we used state-of-the-art UCL designed and built Imaging Photon Detectors (McWhirter et al., 1981) and then EMCCDs (Andor iXon 887/885) were installed around 2005 (McWhirter, 2008). The revolution over the last 30 years in : : ' Was the UCL FPI running with the imaging photon detector from 2001 to 2003, it is not clear in the paper. That is why I ask for a more detailed instrument description to be added.

1423 We have added some more explanation in section 5.2, in particular the dates of changes of etalon. The etalon 1424 gap is important in the calibration of the measured Doppler shift to wind calculation, which is described in 1425 section 6.2. The effect of the detector is to improve the sensitivity to the photon counting by electon 1426 multiplying. This reduces the error of measurement. It does not change the calibration of the wind speeds 1427 (lines 445-459). 1428 1429 "During the 1980s and 1990s we used state-of-the-art UCL designed and built Imaging Photon Detectors 1430 (McWhirter et al., 1982). Astrocam Antares cameras replaced the IPD in the Svalbard FPI from 1998, and in the 1431 KEOPS 630 nm FPI in 2002. However, these cameras had the disadvantage of slow readout times which were 1432 essential for the best noise performance and so time resolution was compromised. In 2003 the first Electron 1433 Multiplying CCDs revolutionised low light level imaging. These cameras combined superior signal to noise ratio with very fast readout times. The first one was put into service at KEOPS in 2003, followed by Svalbard in 1434 1435 2005 (McWhirter, 2008). The huge advancement over the last 30 years in low light detectors has allowed atmospheric gravity wave observations using exposure times as little as 10 seconds at auroral latitudes (Ford et 1436 1437 al., 2007). Note that the upgrade of the detector is to improve the photon sensitivity which reduces the error of 1438 measurement. It does not change the calibration of the wind speeds. 1439 Any changes of etalon required re-calibration of the measured Doppler shift to calculate winds, as discussed in 1440 section 6.2. The KEOPS FPI used a 10 mm etalon gap up to January 2002, when it was replaced with an 18.5 1441 mm gap etalon. Then in January 2003 a 14 mm etalon was put in, which has been there until the present time. 1442 For the Longyearbyen FPI there was a 14 mm etalon until April 2005, which was replaced with an 18.5 mm 1443 etalon from September 2005 until the present time." 1444 1445 1446 5. I do not see Harris 2001 paper (L554) in the reference. Or the reference date is 1447 wrong? It is not 2017, should it be 2001? 1448 1449 Yes, my typo mistake. The reference is to the Harris PhD thesis in 2001. 1450 1452 6. Equ. 5 is wrong. It does not match the two references. The coefficient of viscosity is based on Dalgarno and Smith (1962), where it is given as 1453 1454 viscosity = 3.34xT 0.71 micropoise 1455 Equation 5 is the conversion to SI units. The Banks and Kockarts reference is removed. 1456 7. There should be some discussion on hypothesis C (L520). 1457 1458 Hypothesis C - the assumptions of the FPI and CHAMP measurement techniques are discussed in sections 6.1-1459 6.3. A sentence has been added to make this explicit.

1451

1460

8. Why is the HWM model run not included in Figure 8 comparison for Kiruna?

1461	The HWM93 model has been run for both Longyearbyen and Kiruna and appears in the renumbered Figs
1462	8 and 9.
1463	We have removed old Fig 8a (Longyearbyen 15 min averages) and instead the renumbered Fig 9 shows
1464	only the Kiruna zonal winds as 1 hour averages, to match the Longyearbyen 1 hour averages shown in the
1465	renumbered Fig 8.
1466	
1467	
1468	
1469	

1	470	

1471 Anonymous Referee #2 Interactive comment on Ann. Geophys. Discuss., <a href="https://doi.org/10.5194/angeo-2019-1472">https://doi.org/10.5194/angeo-2019-1472</a>
57, 2019. (Received and published: 31 May 2019)

This paper statistically compares upper thermospheric F-region winds measured by two high-latitude ground-based Fabry-Perot Interferometers (one located near Kiruna and other at Longyearbyen) and derived from in-situ accelerometer measurements onboard the CHAMP satellite. One of the ground-based stations is located in the auroral zone whereas the other one is in the polar cap. Results show that CHAMP winds are systematically 1.5-2 times larger than FPI winds. Further, the authors utilize the existing modeling tools for exploring the various possible reasons responsible for these

modeling tools for exploring the various possible reasons responsible for these
 systematic discrepancies in winds obtained from in-situ and optical techniques. Overall,
 this study can serve as an important reference for data users of these instruments.

In my view, the manuscript is loosely written. There is some repetitiveness of some of the text and the manuscript could be streamlined quite a bit. I would strongly recommend the authors to make clear, elaborate, and explain the following parts:

1. Please explain the purpose of having fist four figures (Figures 1-4). I think they are irrelevant and can be dropped without impacting the focus of the paper. Instead, it would help focusing this study on the core topic - FPI and CHAMP wind comparison.

The CHAMP figures 1-4 and FPI Figs 5-6 will be valuable to modellers to show phase and amplitude of the seasonal variation of thermospheric winds in the polar cap and auroral region for the European sector. Note these have been renumbered as in the table at the top. The FPI can only measure night-time winter winds. This is the reason why satellite measurements are so important.

## 2. Line 17: should be kinematic viscosity instead of viscosity?

 $\label{lem:model} \mbox{Molecular viscosity dominates in the thermosphere, so this has been made explicit throughout.}$ 

 3. Line 25: +-2 degrees in latitude, longitude, or both? Please explain.

 The radial distance is the horizontal equivalent of +/- 2 deg in latitude (i.e.  $\sim$ 220km horizontal radius) at 240 km altitude.

4. Line 148: In Table 1 (column 4 and row 2), you mean 1.860 UT?

Yes, thank you. Corrected

Ok, thanks, point taken. Emmert ref removed

6. Lines 299-317: The simplest and most direct way to compare CHAMP and ground station winds would be to project ground station winds along the CHAMP cross track winds; it is doable because both the zonal and meridional winds exist for ground station FPIs.

This has been done for Figures 7 and 8 (renumbered 8 and 9) and to determine the ratios of CHAMP/FPI along the cross-track direction shown in the histogram in Fig 9 (renumbered 10).

1523 7. Figure 5 and 6: Please keep the figure titles consistent. Subfigures a/b titles are not consistent with c/d titles: one shows Kp index in title and others not. In addition,

1526	sometimes the manuscript uses Kp<2 and the other times Kp<2- [[or Kp<2o (line 367,
1527	413, etc.) which may be a typo]]. Kp<2o is also present in Figures 6a and b. Moreover,
1528	I would suggest using an actual math symbol (i´C `c) instead of <=.
1529	
1530	The <= has been replaced and the titles made consistent.
1531	
1532	8. Lines 424-426 are referred to which figure/figures?
1533	o. Elics 424 426 die l'elefica to Willell ligure/ligures.
1534	This is clarified in the text: ("The general trends seen in the northern winter CHAMP zonal winds (Figures 1-4,
1334	rins is claimed in the text. (The general trends seen in the northern whiter Chamir zonal whites (Figures 1-4,
1535	renumbered 1,2,4,5) are also seen in the FPI winds (Figures 5-6, renumbered 6-7). The phases match extremely
1536	$well\ for\ both\ sites,\ however,\ there\ is\ a\ considerable\ difference\ in\ magnitude.\ The\ next\ 2\ figures\ 7-8\ (renumbered$
1537	8-9) show direct comparisons of CHAMP and FPI winds along the cross-track direction.")
1538	
1539	9. Figure 7:
1540	- This comparison is done for Kp 2-4, whereas earlier figures and discussion was focused
1541	on Kp 0-2. Same is true for Figure 8. Please explain the reason for this gear shift.
1542	
1543	There is a lot of modelling effort into studying the active ionosphere-thermosphere, so we wanted to show this
1544	too. In particular it is relevant to the comparison with high latitude ion velocities discussed in section 6.4.
1545	
1546	- Please explain why HWM87 and HWM90 were used instead of HWM14? HWM14 is
1547	the latest version of this empirical wind model.
1548	·
1549	HWM93 is used to replace HWM87 and HWM90
1550	
1551	
1552	10. Figure 9: In addition to this figure, a plot showing CHAMP/FPI ratio as a function
1553	of UT or LT would be really helpful.
1554	of of of Er would be really neighbor.
1555	This is a very good idea, and has been added to Fig 9 (renumbered 10)
	riis is a very good idea, and has been added to Fig 5 (Tendinbered 10)
1556 1557	
	11 Lines F10 F22. The major serves of discussion sould be the commutions used
	11. Lines 518-522: The major source of discrepancies could be the assumptions used
1558	11. Lines 518-522: The major source of discrepancies could be the assumptions used when applying different wind extraction schemes as they can fail under different conditions.
1558 1559	when applying different wind extraction schemes as they can fail under different conditions.
1558 1559 1560	when applying different wind extraction schemes as they can fail under different conditions.  Indeed, this is the point of sections 6.1-6.3. Sentence added to make this explicit just before section 6.1 (lines
1558 1559 1560 1561	when applying different wind extraction schemes as they can fail under different conditions.  Indeed, this is the point of sections 6.1-6.3. Sentence added to make this explicit just before section 6.1 (lines 554-555):
1558 1559 1560	when applying different wind extraction schemes as they can fail under different conditions.  Indeed, this is the point of sections 6.1-6.3. Sentence added to make this explicit just before section 6.1 (lines
1558 1559 1560 1561	when applying different wind extraction schemes as they can fail under different conditions.  Indeed, this is the point of sections 6.1-6.3. Sentence added to make this explicit just before section 6.1 (lines 554-555):
1558 1559 1560 1561 1562	when applying different wind extraction schemes as they can fail under different conditions.  Indeed, this is the point of sections 6.1-6.3. Sentence added to make this explicit just before section 6.1 (lines 554-555):  "With respect to hypothesis C – the assumptions of the FPI and CHAMP measurement techniques are discussed
1558 1559 1560 1561 1562 1563	when applying different wind extraction schemes as they can fail under different conditions. Indeed, this is the point of sections $6.1$ - $6.3$ . Sentence added to make this explicit just before section $6.1$ (lines $554$ - $555$ ):  "With respect to hypothesis $C$ – the assumptions of the FPI and CHAMP measurement techniques are discussed in the following sections $6.1$ - $6.3$ ."
1558 1559 1560 1561 1562 1563 1564 1565	when applying different wind extraction schemes as they can fail under different conditions.  Indeed, this is the point of sections 6.1-6.3. Sentence added to make this explicit just before section 6.1 (lines 554-555):  "With respect to hypothesis C – the assumptions of the FPI and CHAMP measurement techniques are discussed
1558 1559 1560 1561 1562 1563 1564 1565 1566	when applying different wind extraction schemes as they can fail under different conditions.  Indeed, this is the point of sections 6.1-6.3. Sentence added to make this explicit just before section 6.1 (lines 554-555):  "With respect to hypothesis C – the assumptions of the FPI and CHAMP measurement techniques are discussed in the following sections 6.1-6.3."  12. Line 556: Please verify the viscosity expression.
1558 1559 1560 1561 1562 1563 1564 1565	when applying different wind extraction schemes as they can fail under different conditions. Indeed, this is the point of sections $6.1$ - $6.3$ . Sentence added to make this explicit just before section $6.1$ (lines $554$ - $555$ ):  "With respect to hypothesis $C$ – the assumptions of the FPI and CHAMP measurement techniques are discussed in the following sections $6.1$ - $6.3$ ."
1558 1559 1560 1561 1562 1563 1564 1565 1566	when applying different wind extraction schemes as they can fail under different conditions.  Indeed, this is the point of sections 6.1-6.3. Sentence added to make this explicit just before section 6.1 (lines 554-555):  "With respect to hypothesis C – the assumptions of the FPI and CHAMP measurement techniques are discussed in the following sections 6.1-6.3."  12. Line 556: Please verify the viscosity expression.
1558 1559 1560 1561 1562 1563 1564 1565 1566 1567	when applying different wind extraction schemes as they can fail under different conditions.  Indeed, this is the point of sections 6.1-6.3. Sentence added to make this explicit just before section 6.1 (lines 554-555):  "With respect to hypothesis C – the assumptions of the FPI and CHAMP measurement techniques are discussed in the following sections 6.1-6.3."  12. Line 556: Please verify the viscosity expression.  The coefficient of viscosity is taken from Dalgarno and Smith (1962). Here it is given as
1558 1559 1560 1561 1562 1563 1564 1565 1566 1567	when applying different wind extraction schemes as they can fail under different conditions.  Indeed, this is the point of sections 6.1-6.3. Sentence added to make this explicit just before section 6.1 (lines 554-555):  "With respect to hypothesis C – the assumptions of the FPI and CHAMP measurement techniques are discussed in the following sections 6.1-6.3."  12. Line 556: Please verify the viscosity expression.  The coefficient of viscosity is taken from Dalgarno and Smith (1962). Here it is given as viscosity = 3.34xT <sup>0.71</sup> micropoise

please keep consistency when using plus or minus symbols in Kp values. For example,

Done, including an additional illustration of the geometry (figure 3). Words added, including (lines 752-754): ".  To deal with this the UCL FPI zonal winds observed to the East and West are projected onto the CHAMP ascending and descending cross-track directions, and then averaged into 1-hour bins, thus replicating the CHAMP zonal wind averages (see Figures 7 and 8)."
14. Section 6.4: I did not get the motive of adding this section. So, please state explicitly the contribution of this section in this investigation.
This is a very important argument. At high latitudes the average neutral wind at high latitudes is expected to be smaller than the ion velocities, since the latter are driven by the magnetospheric dynamo. This is stated in the $2^{nd}$ sentence of this section.