



# Traits of sub-kilometer F-region irregularities as seen with the Swarm satellites

Sharon Aol<sup>1</sup>, Stephan Buchert<sup>2</sup>, and Edward Jurua<sup>1</sup>

<sup>1</sup>Mbarara University of Science and Technology, Mbarara, Uganda

<sup>2</sup>Swedish Institute of Space Physics, Uppsala, Sweden

**Correspondence:** Sharon Aol ([sharonaol@gmail.com](mailto:sharonaol@gmail.com))

**Abstract.** During the night, in the F-region, equatorial ionospheric irregularities manifest as plasma depletions observed by satellites and may cause radio signals to fluctuate. In this study, the distribution characteristics of ionospheric F-region irregularities in the low latitudes were investigated using 16 Hz electron density observations made by the faceplate on board Swarm satellites of the European Space Agency (ESA). The study covers the period from October 2014 to October 2018 when the 16 Hz electron density data were available. For comparison, both the absolute ( $\Delta N_e$ ) and relative ( $\Delta N_e/N_e$ ) density perturbations were used to quantify the level of ionospheric irregularities. The two methods generally reproduced the local time, seasonal and longitudinal distribution of equatorial ionospheric irregularities as shown in earlier studies, demonstrating the ability of Swarm 16 Hz electron density data. A difference between the two methods was observed based on the latitudinal distribution of ionospheric irregularities where  $\Delta N_e$  showed a symmetrical distribution about the magnetic equator, whereas  $\Delta N_e/N_e$  showed a magnetic equator centered Gaussian distribution. High values of  $\Delta N_e$  and  $\Delta N_e/N_e$  were observed in spatial bins with steep gradients of electron density from a longitudinal and seasonal perspective. The response of ionospheric irregularities to geomagnetic and solar activities was also investigated using Kp index and solar radio flux index (F10.7), respectively. A weak positive correlation was obtained between the occurrence of ionospheric irregularities and Kp index, irrespective of the method adopted to quantify the irregularities. In general, both  $\Delta N_e$  and  $\Delta N_e/N_e$  showed a weak positive correlation with F10.7. However, a higher positive correlation was obtained between  $\Delta N_e$  and F10.7 compared to  $\Delta N_e/N_e$ . Using the high-resolution faceplate data, we were able to identify ionospheric irregularities of scales of only a few hundreds of meters.

**Keywords.** Low latitude ionosphere, Ionospheric irregularities, seasonal and longitudinal climatology

## 1 Introduction

Noticeable features in the low-latitude ionosphere are plasma density irregularities which occur after sunset in the F-region (Kil and Heelis, 1998). They may be identified as density decrease along satellite passes referred to as Equatorial Plasma Bubbles (EPBs) or range and frequency spread signatures on ionograms commonly called Equatorial Spread F (ESF) (Woodman and La Hoz, 1976; Burke et al., 2004). The generalized Rayleigh Taylor Instability (RTI) is the suggested mechanism which can explain how ionospheric irregularities occur in the low latitudes (Woodman and La Hoz, 1976; Gentile et al., 2006; Portillo et al., 2008; Nishioka et al., 2008; Kelley, 2009; Schunk and Nagy, 2009). They may cause disruptions in trans-ionospheric



radio signals of frequencies ranging from a few hundred kilohertz to several gigahertz, which in turn degrade the performance of communication and navigation systems (Kil and Heelis, 1998; Kintner et al., 2007).

There are many studies on the distribution characteristics of equatorial ionospheric irregularities (e.g., Kil and Heelis, 1998; Huang et al., 2002; Burke et al., 2004; Makela et al., 2004; Park et al., 2005; Su et al., 2006; Stolle et al., 2006; Kil et al., 2009; 5 Dao et al., 2011; Xiong et al., 2012; Carter et al., 2013; Huang et al., 2014, etc). Long-term observations of equatorial ionospheric irregularities have shown that their occurrence depends on various geophysical parameters including longitude, latitude, local time, season, solar activity and geomagnetic conditions (Kil et al., 2009). The dependence of ionospheric irregularities on the geophysical parameters, however, remains a problem in modeling their variation for predictive purposes (Yizengaw and Groves, 2018). Therefore, further global-scale studies on the distribution characteristics of ionospheric irregularities and their 10 dependence on various factors are still necessary. An interesting feature of these plasma irregularities is their scale sizes. They typically cover a variety of scale sizes, from a few centimeters to thousands of kilometers (Zargham and Seyler, 1989; Hysell and Seyler, 1998). Stolle et al. (2006) used magnetic observations made by CHALLENGING Minisatellite Payload (CHAMP) satellite for the years 2001 – 2004 to study irregularities. Multi-peak electron density fluctuations were not observed in the results presented by Stolle et al. (2006) due to the low time-resolution of the Planar Langmuir Probe (PLP) measurements. 15 However, the CHAMP satellite's magnetic field data recorded at a frequency of 50 Hz showed irregularity structures as small as 50 m in scale size (Stolle et al., 2006). Multiple studies have also used high-resolution data sets when available to check the distribution characteristics of ionospheric irregularities and have been able to resolve plasma density structures to smaller scales along satellite tracks (e.g. Lühr et al., 2014; Huang et al., 2014, etc).

The Launch of the first Earth observation constellation mission of the European Space Agency (ESA), i.e., Swarm, in 20 November 22, 2013, generated new interests in the study of ionospheric irregularities. A number of studies have demonstrated the use of Swarm satellites for observations of ionospheric irregularities (e.g. Buchert et al., 2015; Zakharenkova et al., 2016; Xiong et al., 2016; Xiong et al., 2016b; Wan et al., 2018; Yizengaw and Groves, 2018; Jin et al., 2019; Kil et al., 2019, etc). Most of these studies have used electron density measurements at a frequency of 2 Hz or 1 Hz made by the Langmuir Probes (LPs) on-board Swarm. Xiong et al. (2016) used Swarm 2-Hz electron density measurements to check the scale sizes 25 of irregularity structures. They suggested that the structures have scale sizes in the zonal direction less than 44 km. The Swarm satellites have the capability of measuring electron density at an even higher frequency of 16 Hz using a faceplate. Swarm can record ionospheric irregularities of scale lengths up to 500 m along their tracks using the 16 Hz electron density measurements. High-resolution data enables smaller scale structures to be identified in electron density (Nishioka et al., 2011). The 16 Hz electron density data from Swarm satellite has not yet been used to study traits of ionospheric irregularities. In the present 30 study, we looked at the distribution characteristics of equatorial ionospheric irregularities using 16 Hz faceplate measurements of electron density. The study covers the period from October 2014 to October 2018 corresponding to the descending phase of solar cycle 24, when the 16 Hz electron density data was available. We show that the electron density measurements of Swarm faceplate can be applied to examine the characteristics of ionospheric equatorial irregularities at sub - kilometers scale lengths.

The rest of the paper is organized in the following order: The data and methods are presented in Sect. 2. The results are 35 presented and discussed in Sect. 3. The summary and conclusions are presented in Sect. 4.



## 2 Data and Methods

The Swarm mission is made up of three same satellites (Swarm A, B, and C) with an orbital speed of around  $7.5 \text{ km s}^{-1}$  in polar orbits. Each satellite is equipped with an Electric Field Instrument (EFI) in addition to other payloads. The EFI consists of LPs, and Thermal Ion Imagers (TII) (Knudsen et al., 2017). The LPs measure simultaneously the electron density ( $N_e$ ), electron temperature ( $T_e$ ), and spacecraft potential at a frequency of 2 Hz along the satellites' track. The Swarm satellites also measure  $N_e$  at a frequency of 16 Hz with a faceplate only when the TII is inactive. The Plasma density is derived from the faceplate current assuming that it is carried by ions hitting the faceplate due to the orbital motion of the spacecraft (Buchert, 2016). By October 2018, Swarm A and C were orbiting next to each other in polar orbits (inclination angle of  $87.35^\circ$ ) at about  $1.5^\circ$  longitudinal spacing (Kil et al., 2019) and 442 km altitude above sea level over the low latitude region, and Swarm B was orbiting at an altitude of about 506 km (inclination angle of  $87.75^\circ$ ). In a day, the swarm satellites complete about 16 orbits with an average orbital period of about 91.5 min. Swarm satellites regress in longitude around  $22.5^\circ$  between orbital ascending nodes. Swarm A and C need about 133 days to complete all 24 hours of local time and Swarm B needs about 141 days (Xiong et al., 2016b). Data sets measured by Swarm can be downloaded from <http://earth.esa.int/swarm>. The investigations done in this study are based on the 16 Hz  $N_e$  faceplate data collected for the period of October 2014 to October 2018.

The identification criteria adopted for quantifying ionospheric irregularities have been a matter of concern. Some earlier studies (e.g. Kil and Heelis, 1998; McClure et al., 1998; Burke et al., 2003; Su et al., 2006; Kil et al., 2009; Dao et al., 2011, etc) used relative plasma density disturbance to identify ionospheric irregularities while others (e.g. Lühr et al., 2014; Buchert et al., 2015; Xiong et al., 2016b, etc) took absolute density disturbance. However, Huang et al. (2014) used the 512 Hz Communication / Navigation Outage Forecasting System (C / NOFS) satellite's measurements of ion density and found that when the relative and absolute density disturbances are used independently, the likelihood of irregularities occurring and their variation with local time differ. The C / NOFS satellite was in a low tilt orbit, so the bubbles were sampled zonally. Important differences basing on latitudinal distribution of ionospheric irregularities using different criteria could not be addressed by Huang et al. (2014). The polar-orbiting Swarm satellites sample bubbles in a meridional direction and give an opportunity to check the difference in their latitudinal distribution using different identification criteria.

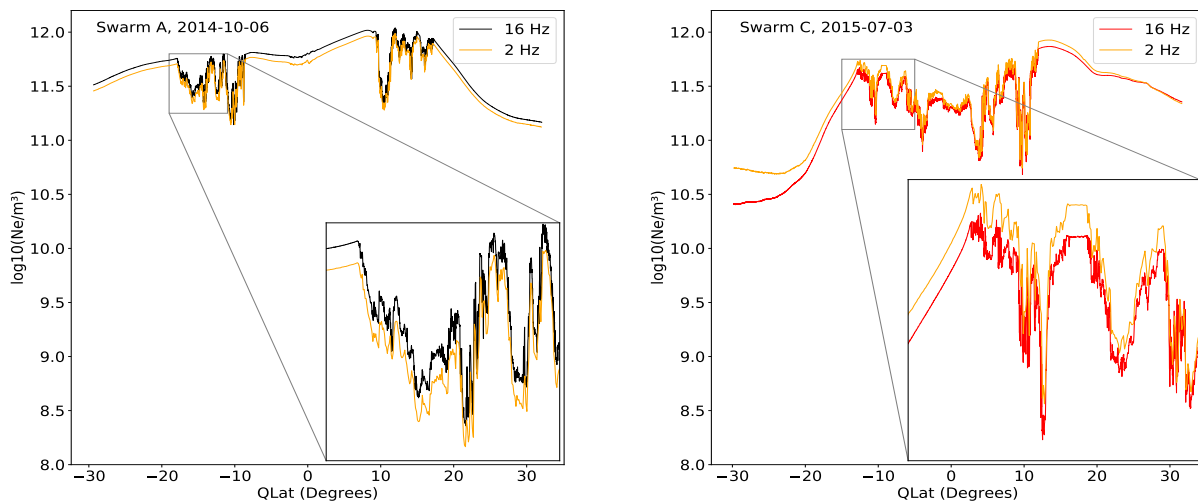
For comparison purposes, two methods were adopted for the polar-orbiting Swarm satellites to quantify the level of electron density irregularities. In the first method, the 16 Hz  $N_e$  measurements were passed through a 2-s (32 data points) running mean filter corresponding to a wavelength of about 15 km. From the original observations, the filtered data were subtracted to obtain the residual  $dN_e = N_e - \overline{N_e}$ ; where  $\overline{N_e}$  is the mean of  $N_e$  at a 2-s interval. The standard deviation of the residuals which represents the density perturbation,  $\Delta N_e$  was then calculated for every 32 data points. Basu et al. (1976) found that, on a global scale,  $\Delta N_e = 1 \times 10^{-10} \text{ m}^{-3}$  represents the percentage occurrence of 140 MHz scintillations. Xiong et al. (2010) used absolute density disturbance thresholds of  $5 \times 10^{10} \text{ m}^{-3}$  and  $3 \times 10^{10} \text{ m}^{-3}$  respectively to identify density irregularity structures on CHAMP and GRACE observations. Wan et al. (2018) adopted absolute density perturbation  $> 5 \times 10^{10} \text{ m}^{-3}$  to identify ionospheric irregularities from Swarm. Basing on the method used in the current study, only batches with  $\Delta N_e$



greater than  $0.25 \times 10^{10} \text{ m}^{-3}$  were considered to be significantly irregular and selected for extra processing and analysis. In the second method,  $\Delta N_e$  was divided by  $\bar{N}_e$  to obtain the relative perturbation,  $\Delta N_e / N_e$ . There is no specific threshold definition to be used when  $\Delta N_e / N_e$  identifies irregularities (Huang et al., 2014; Wan et al., 2018). Kil and Heelis (1998) determined the likelihood of occurrence of relative disturbance  $> 1\%$  (0.01) and  $5\%$  (0.05) from Atmospheric Explorer-E (AE - E) satellite data. AE - E data was also used by McClure et al. (1998), but relative disturbances  $> 0.5\%$  (0.005) were used to identify irregularities. To identify the occurrence of ROCSAT-1 irregularities, Su et al. (2006) and Kil et al. (2009) used a threshold of  $0.3\%$  (0.003) for the relative disturbance. Huang et al. (2014) used high-resolution ion density measurements from C / NOFS satellite and took relative perturbation  $> 1\%$  (0.01). Wan et al. (2018) considered  $\Delta N_e / N_e$  values larger than 20%. In the current study, only batches with  $\Delta N_e / N_e > 0.01$  were considered to be significantly irregular and used for further analysis basing on the methods adopted. The results are presented and discussed in the following section.

### 3 Results and Discussions

The high-resolution Swarm faceplate  $N_e$  data were used to characterize ionospheric irregularities using procedures described in Sect. 2. Figure 1 shows examples of  $N_e$  results for arbitrary passes of Swarm A and C on 2014-10-06 and 2015-07-03,



**Figure 1.** Comparison of 2 Hz LP data and 16 Hz faceplate data for Swarm A and C on 2014-10-06 and 2015-07-03, respectively.

respectively from the LP and faceplate to highlight the capability of the 16 Hz  $N_e$  data for observations of irregularity density structures. In Fig. 1, the orange curve is the time series of the 2 Hz LP data, while the black and red curves are the time series



of the 16 Hz faceplate data for Swarm A and C, respectively. Both the 16 Hz and 2 Hz  $N_e$  data show large density depletion along the satellite tracks concentrated at about  $\pm 15^\circ$  quasi-dipole latitude (QLat). The 16 Hz  $N_e$  data were able to capture fluctuations in  $N_e$  just as the 2 Hz data. However, multi-peak variations in  $N_e$  cannot be verified with the low resolution 2 Hz data as shown in the zoomed-in sections in Fig. 1. One of the drawbacks associated with the 16 Hz  $N_e$  data, as mentioned earlier, is that it is only recorded when the TII is inactive. Therefore, to check data availability, Table 1 summarizes the number of satellite passes per year for which 16 Hz  $N_e$  data were recorded. We used all the passes available as summarized in Table 1

**Table 1.** Summary of yearly total Swarm satellite passes over the low latitude region for which 16 Hz data was recorded.

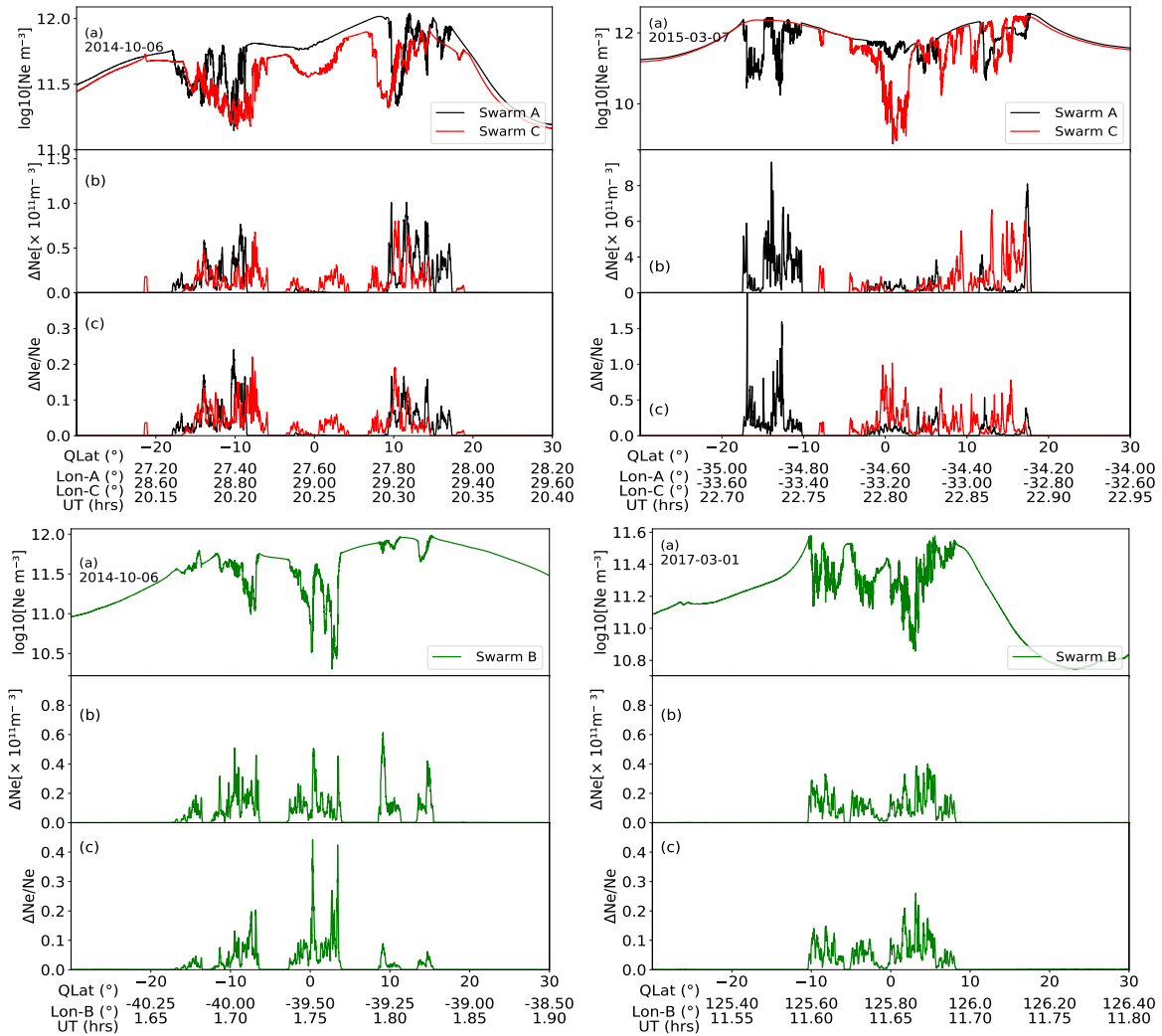
Satellite	Total Swarm satellite passes per year					Total passes per satellite
Year	2014	2015	2016	2017	2018	
Swarm A	711	7,158	6,670	6,520	4,242	25,301
Swarm C	891	1,390	8,208	7,101	5,748	23,068
Swarm B	1,127	7,836	7,522	7,017	2,895	26,397

and later realized that data accumulation for the period of study was enough for a climatology study.

Examples of Swarm's encounters with ionospheric irregularities are presented in Fig. 2. Figure 2 panel (a) shows multiple  $N_e$  depletions occurring between about  $\pm 10^\circ - \pm 20^\circ$  QLat. Large values of both  $\Delta N_e$  and  $\Delta N_e/N_e$  often occur in locations of large depletions in  $N_e$ . Based on the thresholds defined in Sect. 2 ( $\Delta N_e > 0.25 \times 10^{10} \text{ m}^{-3}$  and  $\Delta N_e/N_e > 0.01$ ) to identify plasma density structures, these depletions are ionospheric irregularities. The RTI is the most known mechanism that causes irregularities in low latitudes (Kelley, 2009; Kintner et al., 2007). The lower ionospheric layer declines rapidly during the night compared to the top layer. This creates a sharp vertical gradient of plasma density directed upwards, contrary to the gravitational force's direction of action. For such unstable arrangement, irregularities in the F - region at the bottom intensify and drift up, creating more complex plasma structures that extend to higher altitudes along magnetic field lines (Woodman and La Hoz, 1976; Abdu, 2005; Kelley, 2009). In general, ionospheric irregularities are more intense at the Equatorial Ionization Anomaly (EIA) belts ( $\pm 15^\circ$  QLat) than at the geomagnetic equator as observed in Fig. 2. However, from Fig. 2, the event presented for Swarm A and C on 2015-03-07 shows high values of  $\Delta N_e/N_e$  even at the magnetic equator. Huang et al. (2014) also observed that the relative and absolute perturbations were both able to capture fluctuations in ion density measurements made along C / NOFS tracks during 2008 – 2012 in the zonal direction. However, it was not possible to see a more detailed latitude distribution using C / NOFS satellite because it covered a small latitude range of about  $\pm 13^\circ$  due to its low inclination angle of about  $13^\circ$ . The local time distribution characteristics of ionospheric irregularities were also determined and the results are presented and discussed in the following subsection.

### 3.1 Local Time Distribution of Ionospheric Irregularities

It is known from many studies (e.g, Kil and Heelis, 1998; Burke et al., 2004; Su et al., 2006; Stolle et al., 2006; Dao et al., 2011; Huang et al., 2014; Xiong et al., 2016b; Wan et al., 2018, etc) that ionospheric irregularities in the low latitudes occur



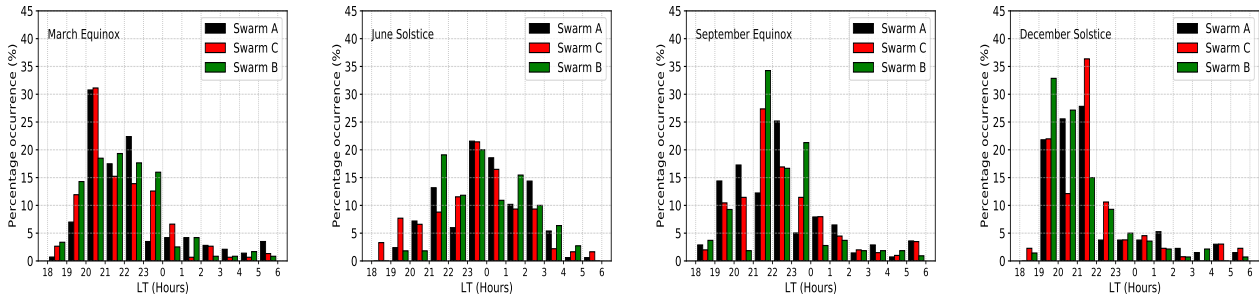
**Figure 2.** Irregularity structures observed by Swarm A, C, and B. Panels (a) to (c) represent electron density ( $N_e$ ) variation at 16 Hz in logarithmic scale, absolute ( $\Delta N_e$ ) and relative ( $\Delta N_e/N_e$ ) electron density perturbations, respectively as functions of QLat, Geographic longitude (Lon), and Universal Time (UT).

after sunset. Here, we also check the local time dependence of the ionospheric irregularities identified on the  $N_e$  data from the Swarm faceplate to compare with previous results. Figure 3 presents the percentage occurrence of equatorial ionospheric irregularities as a function of local time based on (a)  $\Delta N_e$  and (b)  $\Delta N_e/N_e$ . Using 16 Hz  $N_e$  data accumulated during the period of study, the seasonal dependence of local time distribution of ionospheric irregularities was also examined by grouping all the data into different seasons corresponding to March Equinox (Feb-Mar-Apr), June Solstice (May-Jun-Jul), September

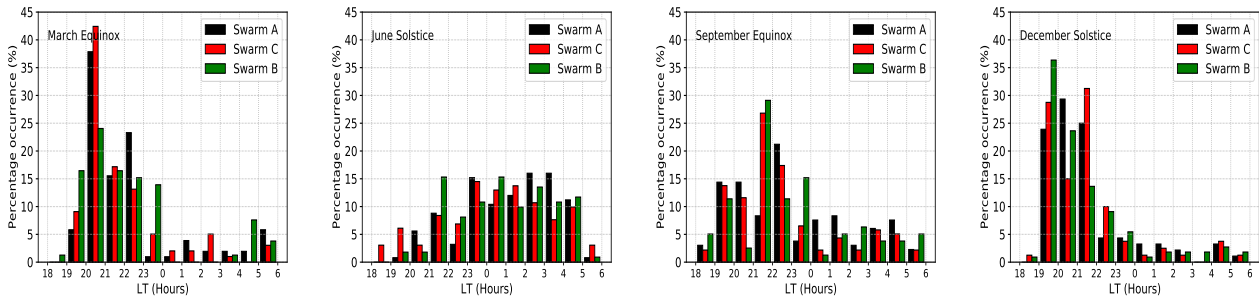




(a)  $\Delta N_e$



(b)  $\Delta N_e/N_e$



**Figure 3.** Histograms showing the percentage occurrence of ionospheric irregularities as a function of local time (LT) for the period from October 2014 - October 2018 for Swarm A, B, and C. Each panel presents a season.

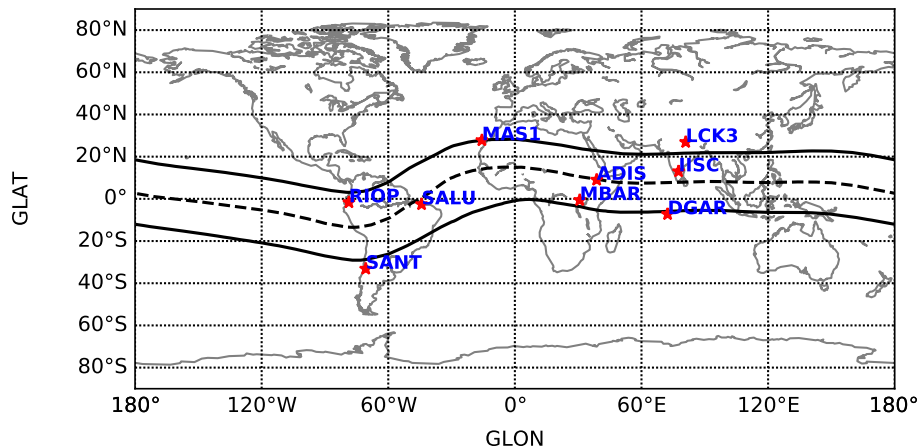
Equinox (Aug-Sep-Oct), and December Solstice (Nov-Dec-Jan). The number of irregularity structures was determined per one hour local time bin by counting the number of irregularity structures in a bin divided by the total number of observations.

In Fig. 3, it is seen that irregularities occur from 1800 LT to 0600 LT irrespective of the method used. The highest percentage occurrence is observed in the equinoxes and December solstice, where the percentage increases faster between 1800 LT and 2000 LT, attaining a maximum at about 2100 LT and then decreases gradually till the morning hours. The increase in percentage occurrence from 1800 LT to 2100 LT can be attributed to increased eastward electric fields produced by the eastward thermospheric wind's electrodynamic interaction at the day-night terminator around the dip equator with the geomagnetic field (Rishbeth, 1971; Su et al., 2009). The increase in the electric field to the east causes the night-side ionosphere to rise to higher altitudes where RTI is favored and this increases the occurrence of ionospheric irregularities (Fejer et al., 1999; Abdu, 2005; Su et al., 2009). The percentage of ionospheric irregularities is low in the June solstice and the percentage increase is slower with a wide plateau extending past midnight. According to Su et al. (2009), a late reversal of zonal drift associated with a small upward vertical post-sunset drift occurring at positive magnetic declination lengths in June solstice significantly inhibits irregularities. For the case of  $\Delta N_e$ , the percentage occurrence reduces towards morning hours, while  $\Delta N_e/N_e$  maintains a high percentage occurrence past midnight in June Solstice. The percentage occurrence trend of  $\Delta N_e$ -based irregularities is like that of Kil and Heelis (1998), Palmroth et al. (2000), Burke et al. (2004), Su et al. (2006), Stolle et al. (2006), Su et al.



(2009), Xiong et al. (2016b), Wan et al. (2018), etc.  $\Delta N_e/N_e$  shows a nearly similar trend in percentage occurrence as for  $\Delta N_e$  but with high occurrence post-midnight in June solstice. Increase in post-midnight irregularities quantified by relative perturbations have also been observed by Huang et al. (2011), Huang et al. (2012), Huang et al. (2014) and Dao et al. (2011) who used ion density measurements made by C / NOFS.

- 5 The Global Positioning System - SCINTillation Network and Decision Aid (GPS - SCINDA) has often been used as one of the tools for measuring variations in radio signals' amplitude and phase. In the absence of GPS - SCINDA, many studies (e.g, Basu et al., 1999; Yang and Liu, 2015; Yizengaw and Groves, 2018) have shown that the rate of change of TEC index (*ROTI*) derived from Global Navigation Satellite System (GNSS) Total Electron Content (TEC) can be used as a proxy for quantifying scintillations. *ROTI* is defined as the standard deviation of Rate of Change of TEC (*ROT*) (Pi et al., 1997). Numerous studies have widely discussed these indices (e.g, Pi et al., 1997; Basu et al., 1999; Zou and Wang, 2009; Zakharenkova et al., 2016; Kumar, 2017; Yizengaw and Groves, 2018). We adopted *ROTI* to compare the ground-based local time variations of irregularities/scintillations over different International GNSS Service (IGS) stations installed along the low latitude region with the variations presented in Fig. 3. The IGS stations considered are shown in Fig. 4 as red stars. The details of the IGS stations used are summarized in Table 2. To find *ROTI*, only signals from GPS satellites with elevation angle higher
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**Figure 4.** Map showing the location of IGS stations (red stars) considered in this study. The black dotted line represents the magnetic equator, while at about  $\pm 15^\circ$  magnetic latitude the black solid lines represent the EIA belts.

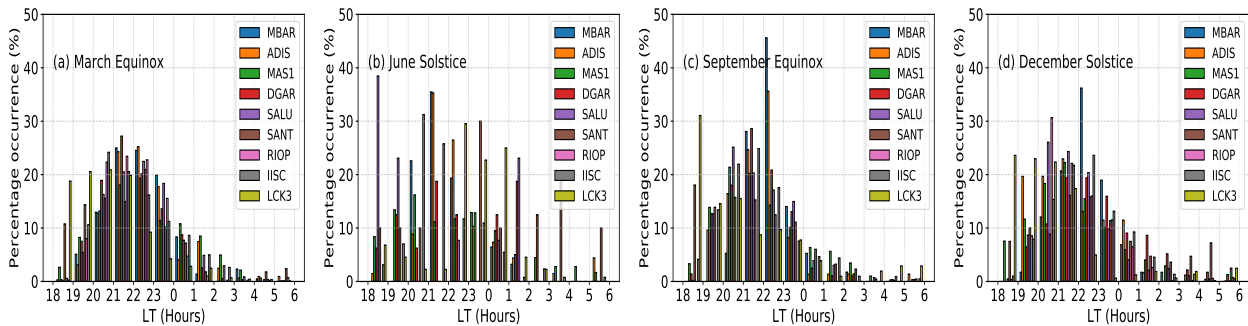
- 15 than  $25^\circ$  over each independent station were considered to reduce the multipath effects. *ROTI* values  $> 0.5$  TECU/min ( $1 \text{ TECU} = 10^{16} \text{ el/m}^2$ ) were classified as irregularities/scintillations (Ma and Maruyama, 2006). Figure 5 presents the percentage occurrence of *ROTI*  $> 0.5$  TECU/Min in 1-hour local time bins for the different IGS stations and seasons. The trend followed by local time distribution of *ROTI* seems to closely agree with that of  $\Delta N_e$  and  $\Delta N_e/N_e$  in the equinoxes and December Solstice. As expected the percentage occurrence of ionospheric irregularities is higher mainly for the IGS stations in the African longitude even in June Solstice (Yizengaw et al., 2014). However, the enhanced post-midnight irregularities seen
- 20





**Table 2.** Coordinates of IGS stations used in this study.

Station(Code)	Coordinates		
	Geog. lat (°)	Geog. lon (°)	Mag. lat (°)
Mbarara (MBAR)	-0.60	30.74	-10.2
Addis Ababa (ADIS)	9.04	38.77	0.18
Maspalomas (MAS1)	27.76	-15.63	15.63
Riobamba (RIOP)	-1.65	-78.65	10.56
Santiago (SANT)	-33.15	-70.67	-19.52
São Luis (SALU)	-2.59	-44.21	-0.25
Diego Garcia Island (DGAR)	-7.27	72.37	-16.89
Bangalore (IISC)	13.02	77.57	5.34
Lucknow (LCK3)	26.91	80.96	20.59



**Figure 5.** Percentage occurrence of  $ROTI > 0.5$  TECU/Min in 1 hour LT bins for different stations (see legend).

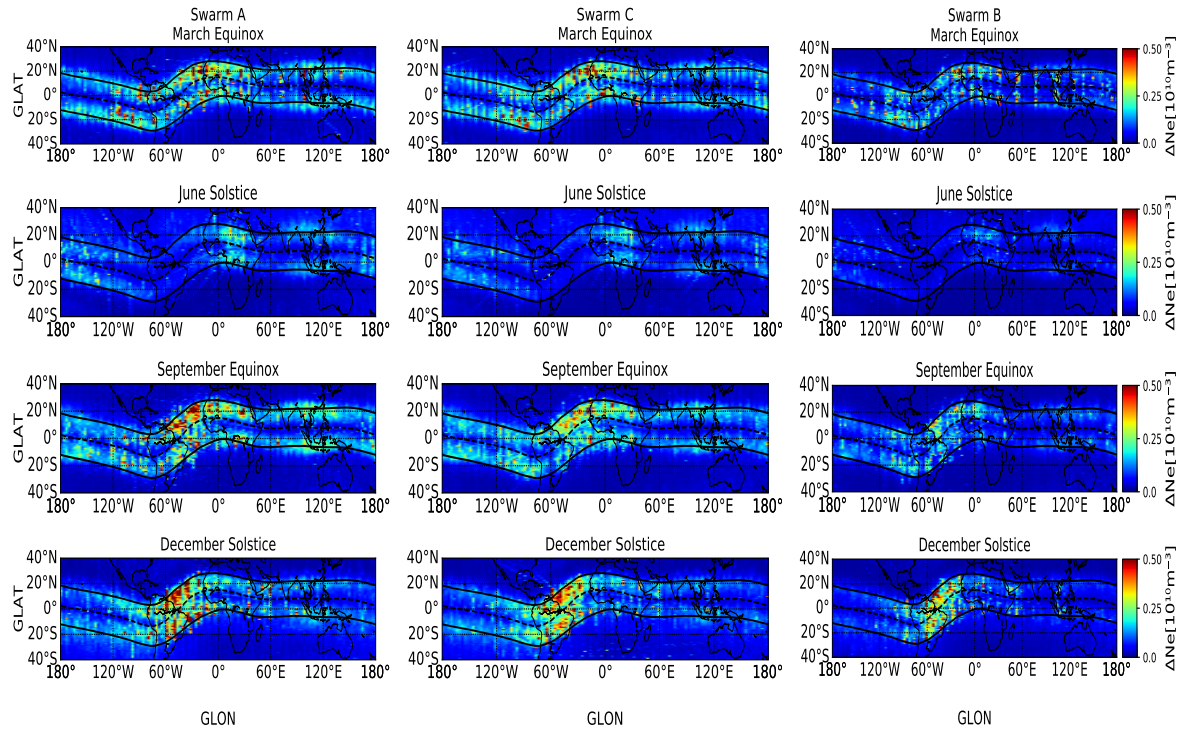
in Fig. 2(b) for June Solstice are not observed in the LT trend of ROTI. Equatorial ionospheric irregularities develop just after sunset under favorable conditions and then decay as time progresses (Kil et al., 2009).

### 3.2 Seasonal and Longitudinal Distribution of Ionospheric Irregularities

The Swarm mission's 16 Hz  $N_e$  data collected over the 5-year period (2014 – 2018) has a credible global spatial and temporal coverage that is sufficiently good for examining the seasonal and longitudinal distribution of ionospheric irregularities in low latitudes. To check the seasonal and longitudinal variation of ionospheric irregularities, we concentrate on satellite passes within the local time range, 1800 – 0600 LT. The  $N_e$  data for the period of study was divided into four seasons as described in Sect. 3.1. Swarm equator crossings spanning the range of  $-40^\circ - +40^\circ$  were considered since the study narrows down to the low latitude region. The  $\Delta N_e$  and  $\Delta N_e/N_e$  were calculated in bins of  $3^\circ \times 4^\circ$  resolution in geographic latitude and



longitude. The occurrence rate of ionospheric irregularities does not always correspond to the highest amplitude of irregularity structures from the results presented by Wan et al. (2018). Therefore, here we concentrate on the magnitude of ionospheric irregularities other than the rate of occurrence. Zakharenkova et al. (2016) compared Swarm A and B 1-s  $N_e$  data and revealed satellite-to-satellite differences related to altitude, longitude, and local time. Here, we also show the results for all the three satellites separately. Figure 6 shows the seasonal and longitudinal distribution of  $\Delta N_e$  during the period of study in geographic

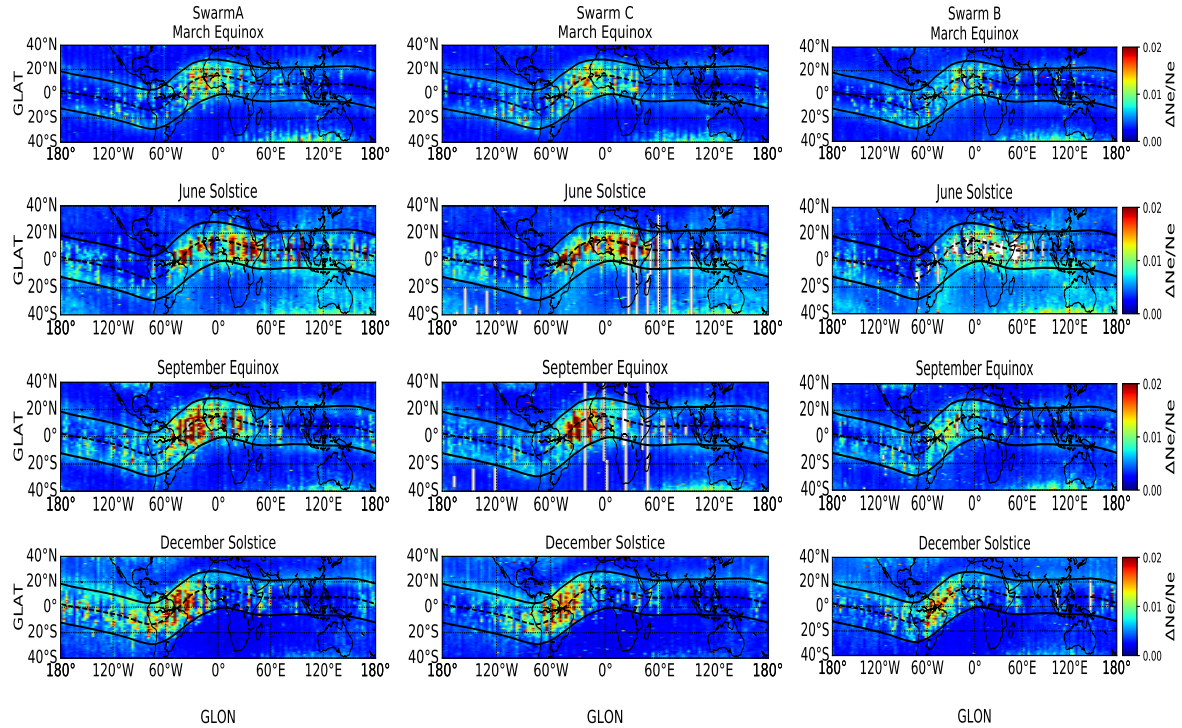


**Figure 6.** Absolute electron density perturbation ( $\Delta N_e$ ) separated into four seasons (March/September equinox and June/December solstice) from October 2014 to October 2018 for Swarm A, C, and B. The black dotted line represents the magnetic equator, while the black solid lines represent the EIA Belts at about  $\pm 15^\circ$  magnetic latitude. For each panel of a season, the color scales represent  $\Delta N_e / N_e$ .

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coordinates, while Fig. 7 presents that of  $\Delta N_e / N_e$  for Swarm A, C, and B independently in the first, second, and third columns, respectively. The different seasons are shown in the four panels from top to bottom.

The first noticeable feature in Fig. 6 and Fig. 7 is that almost all the irregularities occur within the EIA belts between about  $\pm 15^\circ - \pm 20^\circ$  magnetic latitudes. However, Fig. 6 shows that absolute variations of  $\Delta N_e$  are observed with a gap of low values at the magnetic equator, while in Fig. 7 maximum values of  $\Delta N_e / N_e$  extend from the northern crest to the southern crest, including the magnetic equator. A clear picture of the density variations across the magnetic equator is seen in a scatter plot of the irregularities as a function of latitude as shown in Fig. 8. Some earlier studies (e.g, Burke et al., 2004; Su et al., 2006, etc) observed a normal-like distribution that peaks at the quasi - dipole equator and gradually decreases towards higher latitudes,

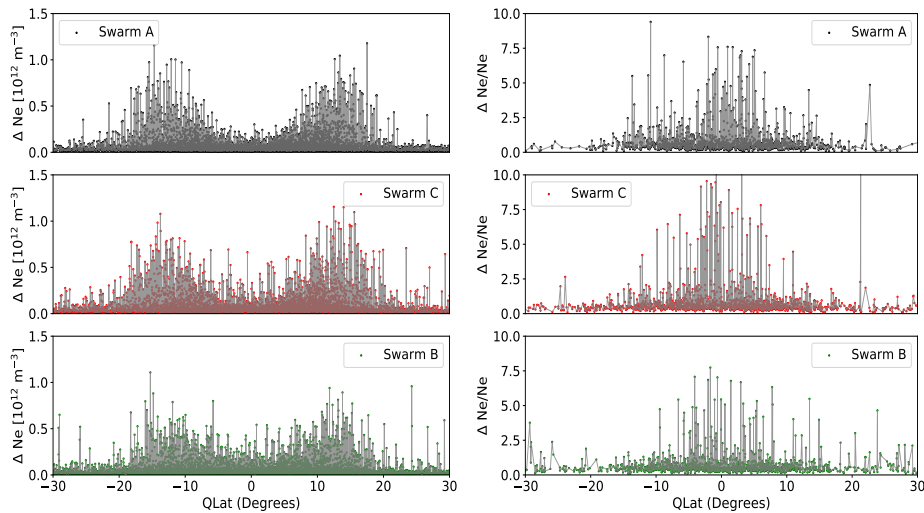


**Figure 7.** Relative electron density perturbation ( $\Delta N_e/N_e$ ) separated into four seasons (March/September equinox and June/December solstice) from October 2014 to October 2018 for Swarm A, C, and B. The black dotted line represents the magnetic equator, while the black solid lines represent the EIA Belts at about  $\pm 15^\circ$  magnetic latitude. For each panel of a season, the color scales represent  $\Delta N_e$ .

reaching a minimum at around  $\pm 30^\circ$  QLat, while others observed ionospheric irregularities concentrated around the northern and southern EIA belts (e.g. Liu et al., 2005; Stolle et al., 2006; Carter et al., 2013, etc). Only a few losses of the GPS tracks have been seen on the quasi-dipole equator (e.g. Buchert et al., 2015; Xiong et al., 2016a; Wan et al., 2018). Consequently, the variations in electron density at the quasi - dipole equator are relatively harmless to the GPS, the high-risk region being the high-density bands, north and south (Buchert et al., 2015).

Furthermore, there are differences between Swarm A / C and B in seasonal and longitudinal irregularity distribution. Swarm B shows the lowest values of both  $\Delta N_e$  and  $\Delta N_e/N_e$  compared to A and C. A similar observation was made by Zakharenkova et al. (2016) who compared the seasonal and longitudinal variation of ionospheric irregularities for only Swarm A and B during the years 2014 – 2015 using the 1-s  $N_e$  LP data. The differences observed between Swarm A/C and Swarm B can be explained by the altitude and local time separation between the satellites as Swarm B flies at a higher altitude and always crosses the post-sunset sector later than A and C (Zakharenkova et al., 2016).

In terms of seasons, high values of  $\Delta N_e$  and  $\Delta N_e/N_e$  are observed during the equinoxes at all longitudes especially in the African-Atlantic-South American regions. During June solstice, moderate values occur mostly in the African sector and



**Figure 8.** Scatter plots of latitude distribution of ionospheric irregularities observed for the period from October 2014 to October 2018. The left panel shows the distribution when irregularities are quantified using  $\Delta N_e$ , while the right panel shows the distribution when  $\Delta N_e/N_e$  is used.

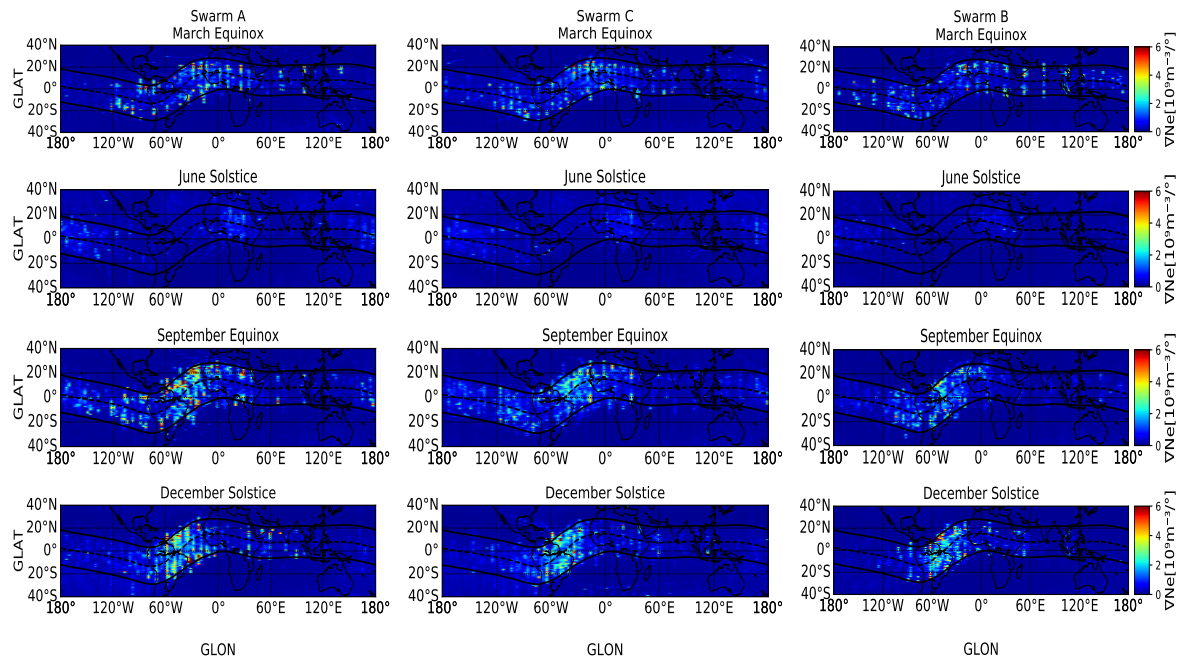
the lowest values occur in the Atlantic-South American sector. During December solstice, high values are observed in the Atlantic-American sector. From the Indian Ocean to central Pacific sectors where the magnetic field declination is low, no satellite detected many intense ionospheric irregularities in solstice seasons and in September equinox. Overall, the seasonal and longitudinal irregularity distribution shown in Fig. 6 and Fig. 7 is consistent with earlier studies irrespective of the criteria adopted (e.g., Su et al., 2006; Huang et al., 2001; Burke et al., 2004; Park et al., 2005; Huang et al., 2014; Zakharenkova et al., 2016; Wan et al., 2018). RTI is known to intensify after sunset, causing severe irregularities when the day-night terminator is aligned with the plane of the magnetic field that occurs in the equinox (Tsunoda, 1985; Burke et al., 2004; Gentile et al., 2006; Yizengaw and Groves, 2018).

One of the challenges has been explaining the mechanism governing the longitudinal distribution of irregularities. Tsunoda (1985) proposed a model based on the magnetic declination to explain the distribution of ionospheric irregularities. However, this model could not explain the high occurrence of irregularities in June solstice over the African longitude. The longitudinal distribution of irregularities has also been attributed to gravity waves originating from the thermosphere (Yizengaw and Groves, 2018, and references therein). Yizengaw and Groves (2018) also added that the intertropical convergence zone (ITCZ) position, which are sources of gravity waves, may explain the longitudinal irregularity dependence observed. Kil et al. (2004) suggested that the longitudinal distribution at EIA latitudes of absolute electron density affects the occurrence of irregularities. Using DMSP data, Huang et al. (2001), Huang et al. (2002), and Burke et al. (2004) showed that the pattern of precipitation of the inner radiation belt's energetic particles explains the pattern of irregularities.





Among other parameters, the growth rate of equatorial ionospheric irregularities is controlled by the electron density gradient. Ionospheric irregularities in the equatorial and low latitudes can cascade upwards and along the magnetic field lines to the EIA belts characterized by high background  $N_e$  and steep gradients in density (Muella et al., 2010). From both local time and longitudinal perspectives, Wan et al. (2018) confirmed that the depletion amplitudes of irregularities are closely linked to the background electron density intensity. Xiong et al. (2016a) concluded that GPS signal reception may be interfered by small-scale plasma density structures with large-density gradients in zonal and meridional directions. Here, we attempt to compare the seasonal and longitudinal distribution of electron density gradient in the meridional direction along the tracks of the Swarm satellites with the magnitudes presented in Fig. 6 and Fig. 7. To determine the  $N_e$  gradient along the satellite tracks,  $N_e$



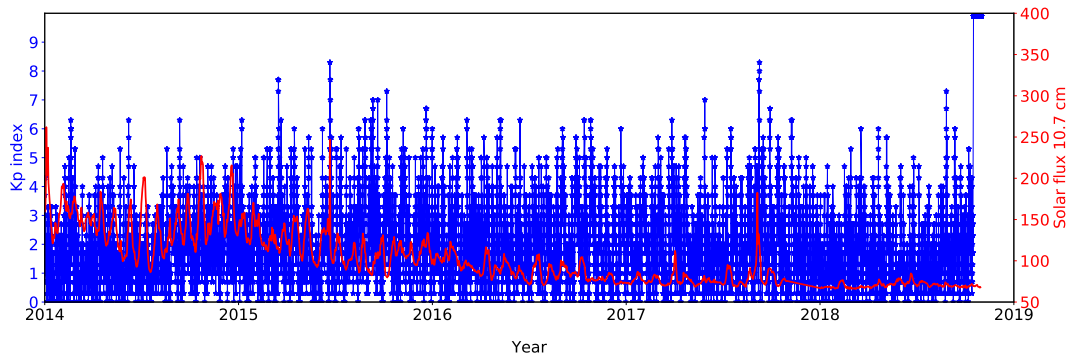
**Figure 9.** Along-track electron density gradient  $\nabla N_e$  as derived from the Swarm satellites separated into four seasons (March/September equinox and June/December solstice) from October 2014 to October 2018 for Swarm A, C, and B. The black dotted line represents the magnetic equator, while the black solid lines represent the EIA belts at about  $\pm 15^\circ$  magnetic latitude.

depletion was divided by the corresponding latitudinal distance in degrees. Figure 9 presents the  $N_e$  gradient,  $\nabla N_e$  classified in different seasons for Swarm A, C, and B independently. Seasonal and longitudinal distribution of  $\nabla N_e$  generally shows the same pattern as that of  $\Delta N_e$  and  $\Delta N_e/N_e$ . In regions with steep gradients in  $N_e$ , the highest values of  $\Delta N_e$  and  $\Delta N_e/N_e$  are often found. Therefore, the amplitudes of ionospheric irregularities closely depend on background electron density (Wan et al., 2018) and steep  $N_e$  gradient globally, as expected.



### 3.3 Magnetic and Solar Activity Dependence of Ionospheric Irregularities

The Swarm faceplate observations began near solar maximum in October 2014 and approached solar minimum of solar cycle 24 towards the end of 2018. Figure 10 shows the Kp index and 10.7 cm solar radio flux (F10.7) index in units of  $10^{-22} \text{ Wm}^{-2}\text{Hz}^{-1}$  to summarize the magnetic and solar activity for the period 2014 – 2018. In general, solar cycle 24 was

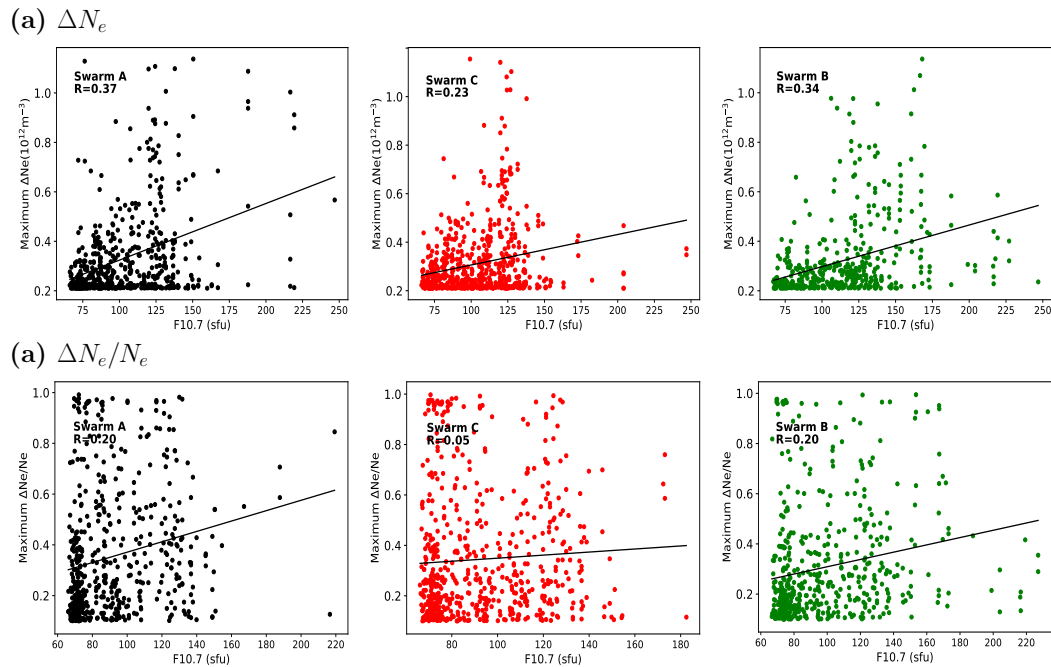


**Figure 10.** The 10.7 cm solar radio flux in solar flux units and the Kp index during 2014 – 2018.

5 characterized by very low solar activity compared to cycles that preceded it (Basu, 2013). The F10.7 varied often between about 50 sfu and 200 sfu for period 2014 – 2018. This period was also characterized by geomagnetic storms with  $K_p > 3$ . The effects of geomagnetic disturbances and changes in solar activity on ionospheric irregularity characteristics are of scientific interest and have been investigated in multiple studies (e.g, Sobral et al., 2002; Huang et al., 2002; Gentile et al., 2006; Stolle et al., 2006; Nishioka et al., 2008; Li et al., 2009; Basu et al., 2010; Sun et al., 2012; Carter et al., 2013; Huang et al., 2014). By using  
 10 different criteria, Huang et al. (2014) determined the solar activity dependence of the occurrence of irregularities. In addition to solar activity, we also used different criteria to check the effects of magnetic variability on the distribution characteristics of irregularities in low latitudes.

Scatter plots of (a)  $\Delta N_e$  and (b)  $\Delta N_e/N_e$  as functions of F10.7 are shown in Fig. 11 for Swarm A, B, and C, independently. Each panel of Fig. 11 contains a linear fit and the correlation coefficient  $R$ . In general, both  $\Delta N_e$  and  $\Delta N_e/N_e$  show weak  
 15 positive correlation with F10.7. However, a higher correlation was obtained between  $\Delta N_e$  and F10.7 (maximum of 0.37 for Swarm A) compared to  $\Delta N_e/N_e$  and F10.7. For Swarm A alone, Fig. 12 shows the solar variation effect on seasonal and longitudinal distribution of ionospheric irregularities. The results are divided into two major columns (distribution with respect to  $\Delta N_e$  to the left and  $\Delta N_e/N_e$  to the right). In each major column, there are two sub-columns, one for low solar activity ( $F10.7 < 140$ ) and the other for moderate solar activity ( $140 \leq F10.7 < 180$ ). It is important to point out that a reduced number  
 20 of days were used to generate the climatology maps when  $140 \leq F10.7 < 180$  compared to when  $F10.7 < 140$ . In Fig. 12, high  $\Delta N_e$  values are often observed when  $140 \leq F10.7 < 180$ . On the contrary, high values of  $\Delta N_e/N_e$  are mostly observed when  $F10.7 < 140$ . The F10.7 dependence obtained using  $\Delta N_e$  is similar to the results presented by Huang et al. (2001), Su et al. (2006), Stolle et al. (2006). Using simulations from the Magnetosphere - Thermosphere - Ionosphere - Electrodynamics General

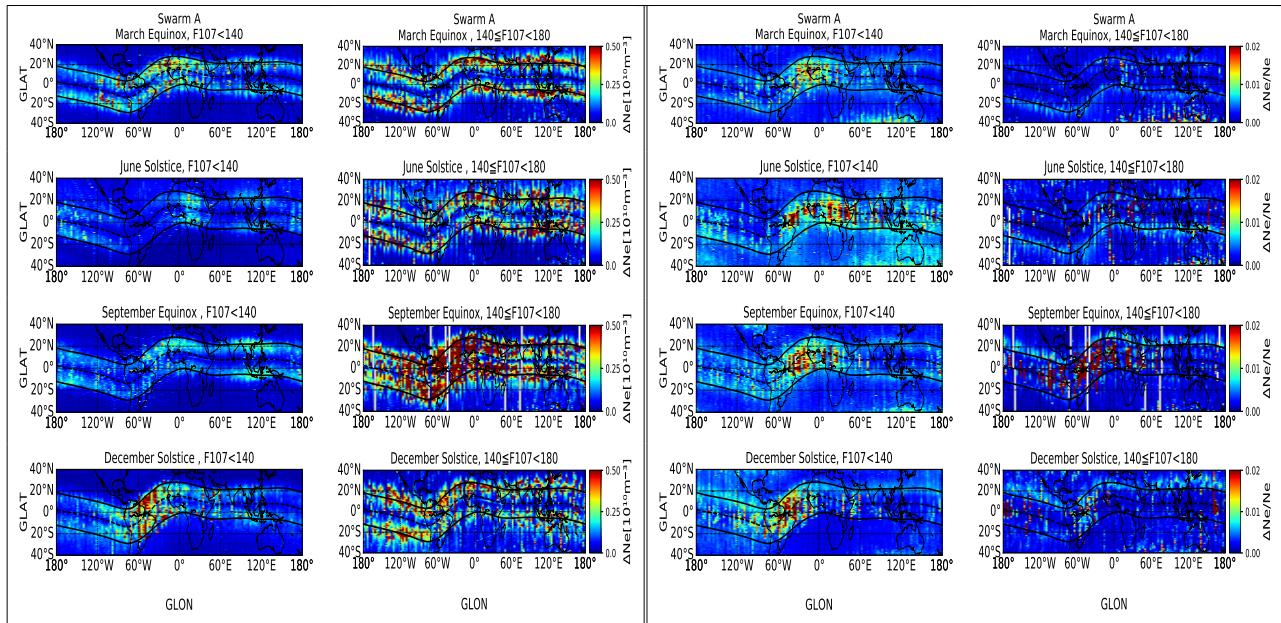




**Figure 11.** The distribution characteristics of (a)  $\Delta N_e$  and (b)  $\Delta N_e/N_e$  with respect to 10.7 cm solar radio flux in solar flux units for the period from October 2014 to October 2018. The black lines in each panel represent a linear fit to the data.

Circulation Model (MTIEGCM), Vichare and Richmond (2005) showed that upward evening drift increases at a similar rate in all longitude sectors with solar activity. Therefore, the high occurrence of irregularities during moderate or high solar activity period may be because of the atmospheric driver for the zonal electric field which intensifies during moderate/high solar activity, causing an increase in the RTI growth rate.

- 5 Figure 13 presents scatter plots of (a)  $\Delta N_e$  and (b)  $\Delta N_e/N_e$  as functions of  $K_p$ . In general, the results show a weak positive correlation with  $K_p$  irrespective of the method used to quantify the level of equatorial ionospheric irregularities. Using DMSP pre-midnight plasma data, Huang et al. (2001) found that the rate of occurrence of irregularity and geomagnetic activity were negatively correlated. We also examined the geomagnetic effect on the seasonal and longitudinal distribution of irregularities as presented in Fig. 14 for Swarm A. The results are divided into two major columns (distribution with respect to  $\Delta N_e$  to the left and  $\Delta N_e/N_e$  to the right). In each major column, there are two sub-segments, one for calm geomagnetic occasions ( $K_p < 3$ ) and the other for geomagnetically disturbed periods ( $K_p \geq 3$ ). From Fig. 14, high values of both  $\Delta N_e$  and  $\Delta N_e/N_e$  are frequently observed when  $K_p < 3$ . Geomagnetic activity affects irregularity occurrence in the low latitudes in two noteworthy ways i.e., by the brief entrance of auroral electric fields (Fejer, 1991; Kikuchi et al., 1996) and by the unsettling influence of dynamo effects (Blanc and Richmond, 1980). The second mechanism produces disturbance electric fields which last for a long
- 10
- 15 time. The disturbance electric fields are westward after sunset (Blanc and Richmond, 1980; Huang et al., 2005; Abdu, 2012).

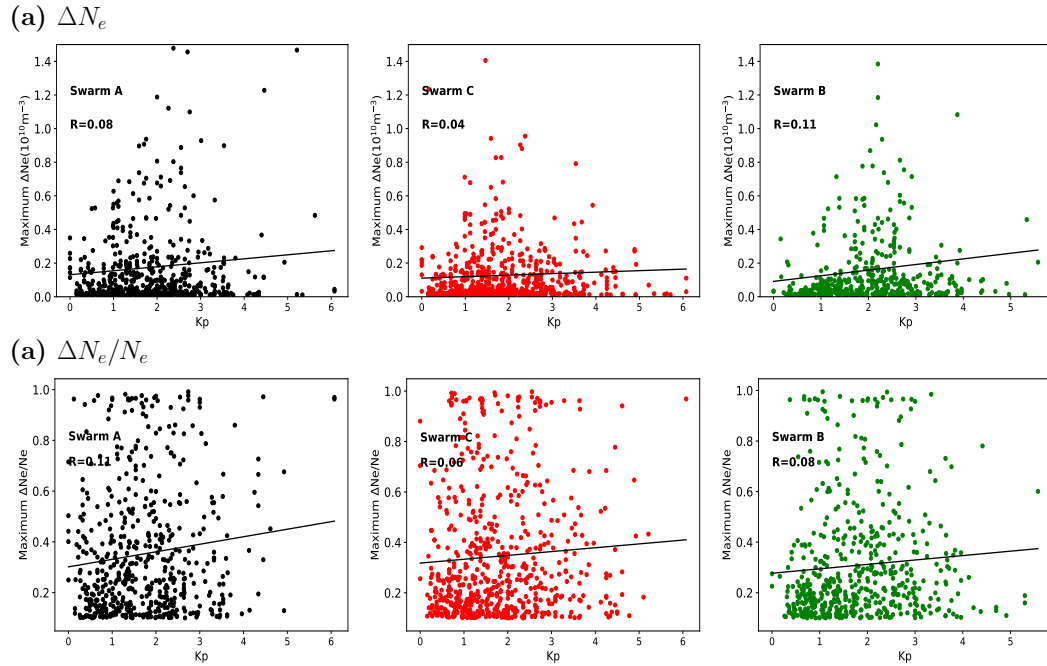


**Figure 12.** Solar activity effect on seasonal and longitudinal density irregularity distribution for the period from October 2014 to October 2018: A case for Swarm A.

It is important to note that the well-known trend in the longitudinal distribution of ionospheric irregularities for some seasons may not be clearly observed in Fig. 12 and Fig. 14 because of limited data after categorizing with respect to Kp or  $F_{10.7}$ .

#### 4 Conclusions

In this study, we have used Swarm  $N_e$  data measured by the faceplate at a frequency of 16 Hz to examine the distribution characteristics of ionospheric irregularities in the equatorial and low latitude ionosphere from 2014–2018 when the 16 Hz data was available. Two methods (absolute and relative perturbation) were used to quantify the level of ionospheric irregularities. Both methods were able to capture fluctuations in electron density along single satellite passes. Basing on the large number of Swarm low latitude crossings for the years 2014–2018, the local time, seasonal and longitudinal distribution of ionospheric irregularities in the low latitudes were examined. We demonstrated the importance of steep density gradients for the generation and distribution of ionospheric irregularities in the low latitudes. We also checked the effects of geomagnetic and solar activity on the distribution characteristics of ionospheric irregularities. The findings are summarized below:

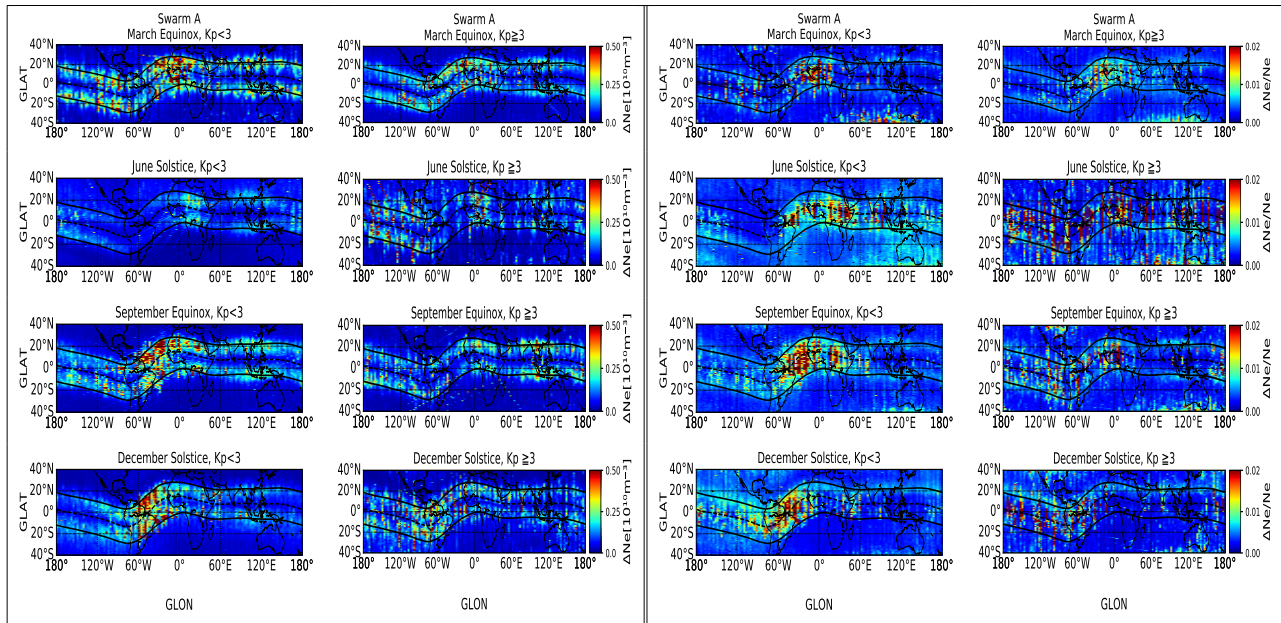


**Figure 13.** The distribution characteristics of (a)  $\Delta N_e$  and (a)  $\Delta N_e/N_e$  with respect to Kp index for the period from October 2014 to October 2018. The black lines in each panel represent a linear fit to the data.

(i) The local time distribution of irregularities quantified by the two methods showed that they are mainly nighttime phenomena as expected. Both  $\Delta N_e$  and  $\Delta N_e/N_e$  showed similar trends in percentage occurrence during the equinoxes and December solstice with a peak occurrence between 2000 LT and 2200 LT. The percentage occurrence was lowest in June solstice. Generally, the local time dependence of ionospheric irregularities is not much different when either  $\Delta N_e$  or  $\Delta N_e/N_e$  is used. However, the local time distribution according to  $\Delta N_e$  is closely related to that of *ROTI* derived from ground-based stations.

(ii) In general, the seasonal and longitudinal distribution of ionospheric irregularities as quantified by the Swarm 16 Hz  $N_e$  data is in agreement with past observations using other satellite missions irrespective of the method used. However, close inspection of the magnetic latitudes reveals that  $\Delta N_e$  and  $\Delta N_e/N_e$  show different latitudinal distribution of ionospheric irregularities about the magnetic equator.  $\Delta N_e$  shows a symmetric distribution about the magnetic equator with high magnitudes at latitudes of about  $\pm 10^\circ - \pm 15^\circ$ . There is a continuous spread of irregularities from the southern EIA crest to the northern crest using  $\Delta N_e/N_e$ .

(iii) The seasonal and longitudinal distribution of electron density gradient is closely related to that of  $\Delta N_e$  and  $\Delta N_e/N_e$ . Also, symmetry about the magnetic equator is observed with  $\nabla N_e$ . Therefore, in addition to the background electron



**Figure 14.** Geomagnetic effect on seasonal and longitudinal distribution of ionospheric irregularities for the period from October 2014 to October 2018: A case for Swarm A.

density presented by Wan et al. (2018), the longitudinal distribution of ionospheric irregularities also depends on steep electron density gradients as expected.

- (iv) The occurrence of ionospheric irregularities quantified using  $\Delta N_e$  shows a weak positive correlation with  $F10.7$  and the correlation is even lower with  $\Delta N_e/N_e$ . The seasonal and longitudinal distribution of the ionospheric irregularities shows slightly different trends between  $\Delta N_e$  and  $\Delta N_e/N_e$ . On the other hand, the distribution of ionospheric irregularities is still lower during the geomagnetically disturbed period than in quiet times.

Despite the obvious limitations of using polar-orbiting satellites to monitor equatorial electrodynamics, Swarm has provided credible distribution characteristics of ionospheric irregularities in the low latitude region with data accumulated in five years (2014 – 2018). In general, the initial observations of the distribution characteristics of ionospheric irregularities using the 16 Hz  $N_e$  data are in good agreement with earlier works that have addressed similar concepts. This has demonstrated the ability of Swarm faceplate  $N_e$  data for ionospheric studies. Therefore, the 16 Hz faceplate data is a useful measurement that can be adopted in order to understand ionospheric irregularities.



*Data availability.* The official website of Swarm is <http://earth.esa.int/swarm> and <ftp://swarm-diss.eo.esa.int> is the server for the distribution of Swarm data. IGS/GPS data for the equatorial and low latitude stations were downloaded from <http://garner.ucsd.edu/pub/rinex/>. The Kp index and F10.7 solar radio flux data used in this study were obtained from the website <http://omniweb.gsfc.nasa.gov/>.

- 5 *Author contributions.* Aol Sharon, Stephan Buchert, and Edward Jurua designed the concepts and implemented them. Aol Sharon prepared the manuscript with contributions from all co-authors.

*Competing interests.* No competing interests are present.

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- 10 <http://earth.esa.int/swarm>, and the server for Swarm data distribution is <ftp://swarm-diss.eo.esa.int>. IGS/GPS data for the equatorial and low latitude stations were downloaded from <http://garner.ucsd.edu/pub/rinex/>. The Kp index and F10.7 solar radio flux data used in this study were obtained from the website <http://omniweb.gsfc.nasa.gov/>.





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