Model of Propagation of VLF Beams in the Waveguide Earth-Ionosphere. Principles of Tensor Impedance Method in Multilayered Gyrotropic Waveguides.

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17 Abstract. The modeling of very low frequency electromagnetic beam propagation in the earth-ionosphere waveguide is considered. A new tensor impedance method for modeling propagation of electromagnetic beams in a multi-18 19 layered/inhomogeneous waveguide is presented. The waveguide is assumed to possess the gyrotropy and inhomogeneity 20 with a thick cover layer placed above the waveguide. The influence of geomagnetic field inclination and carrier beam 21 frequency on the characteristics of the polarization transformation in the Earth-ionosphere waveguide is determined. The 22 new method for modeling of propagation of electromagnetic beams allows us to study: (i) propagation of the very low frequency modes in the earth-ionosphere waveguide and, in perspective, their excitation by the typical Earth-ionosphere 23 24 waveguide sources, such as radio wave transmitters and lightning discharges and (ii) leakage of Earth-ionosphere waveguide waves into the upper ionosphere/magnetosphere. The proposed approach can be applied to the variety of problems related to 25 26 the analysis of propagation of electromagnetic waves in layered gyrotropic/anisotropic active media in a wide frequency 27 range, e.g. from the Earth-ionosphere waveguide to optics waveband, an artificial signal propagation such as metamaterial microwave or optical waveguides. 28

Keywords — ionosphere, atmosphere, VLF, tensor impedance, gyrotropy, layered waveguide, beam, electromagnetic waves, boundary conditions, ionospheric disturbances, vertical coupling processes

31 **1 Introduction**

- 32 The results of analytical and numerical study of very low frequency (VLF) electromagnetic (EM) wave/beam propagation in
- 33 the Lithosphere-Atmosphere-Ionosphere-Magnetosphere system (LAIM), in particular in the waveguide "Earth-
- 34 Ionosphere" (WGEI) are presented. The amplitude and phase of the VLF wave propagates in the earth-ionosphere waveguide
- 35 (WGEI) can change, and these changes may be observable using ground-based and/or satellite detectors. This reflects the



variations in the ionospheric electrodynamic characteristics (complex dielectric permittivity) and the influences on the 36 37 ionosphere, for example, "from above" by chain Sun – Solar Wind – Magnetosphere – Ionosphere (Patra et al., 2011; Koskinen, 2011; Boudjada et al., 2012; Wu et al., 2016; Yiğit et al., 2016). Then the influence on the ionosphere "from 38 39 below" comes from the most powerful meteorological, seismogenic and other sources in the lower atmosphere and 40 lithosphere/Earth, such as cyclones and hurricanes (Nina et al., 2017; Rozhnoi et al., 2014; Chou et al., 2015) as well as from 41 earthquakes (Hayakawa, 2015; Surkov and Hayakawa, 2014; Sanchez-Dulcet et al., 2015) and tsunamis. From inside the 42 ionosphere the strong thunderstorms, lightning discharges, and terrestrial gamma-ray flashes or sprite streamers (Cummer et al., 1998; Qin et al., 2012; Dwyer, 2012; Dwyer and Uman, 2014; Cummer et al., 2014; Mezentsev et al., 2018) influence the 43 44 ionospheric electrodynamic characteristics as well. Note that the VLF signals are very important for the merging of the 45 atmospheric physics and space plasma physics with astrophysics and high-energy physics. The corresponding "intersection 46 area" for these four disciplines includes cosmic rays and very popular now objects of investigation – high-altitude discharges 47 (sprites), anomalous X-ray bursts, and powerful gamma-ray bursts. The key phenomena for the occurrence of all of these 48 objects is the appearance of runaway avalanche in the presence of high energy seed electrons. In the atmosphere, there are 49 cosmic ray secondary electrons (Gurevich and Zybin, 2001). Consequently, these phenomena are intensified during the air 50 shower generating by cosmic particles (Gurevich and Zybin, 2001; Gurevich et al., 2009). The runaway breakdown and 51 lightning discharges including high-altitude ones can cause radio emission both in HF range, which could be observed 52 using the Low-Frequency Array (LOFAR) radio telescope network facility and other radio telescopes (Buitink et al., 2014; 53 Scholten et al., 2017; Hare et al., 2018), and in the VLF range (Gurevich and Zybin, 2001). The corresponding experimental 54 research includes the measurements of the VLF characteristics by the international measurement system of the pairs 55 "transmitted-receiver" separated by a distance of a couple of thousand km (Biagi et al., 2011; 2015). The World Wide Lightning Location Network is the one more international facility for VLF measurements during thunderstorms with 56 lightning discharges (Lu et al. 2019). Intensification of magnetospheric research, wave processes, particle distribution and 57 58 wave-particle interaction in the magnetosphere including radiation belts leads to the great interest to the VLF plasma waves, 59 in particular whistlers (Artemyev et al., 2013, 2015; Agapitov et al., 2014; Agapitov et al., 2018).

60 The differences of the proposed model for the simulation of VLF waves in the WGEI from others can be 61 summarized in three main points. (i) In distinction to the impedance invariant imbedding model (Shalashov and 62 Gospodchikov, 2010; Kim and Kim, 2016), our model provides an optimal balance between the analytical and numerical 63 approaches. It combines analytical-numerical approaches basing on matrix sweep method (Samarskii, 2001). As a result, this 64 model allows to obtain analytically the tensor impedance and, at the same time, provides high effectiveness and stability of the modeling. (ii) In distinction to the full-wave finite difference time domain models (Chevalier and Inan, 2006; Marshall et 65 al., 2017; Yu et al., 2012; Azadifar et al., 2017), our method provides the physically clear lower and upper boundary 66 67 conditions, in particular physically justified upper boundary conditions corresponding to the radiation of the waves propagation in the WGEI to the upper ionosphere/magnetosphere. Ltym hf, jnf. C jndtnjv htwtyptynfv b ghb 'njv enjxyz. B 68 69 ntrcn (iii) In distinction to the models considered in (Kuzichev and Shklyar, 2010; Kuzichev et al., 2018; Lehtinen and Inan,

2009; Lehtinen and Inan, 2008) based on the mode presentations and made in the frequency domain, we use the combined approach. This approach includes the radiation condition at the altitudes of the F-region, equivalent impedance conditions in the lower E-region and at the lower boundary of the WGEI, mode approach, and finally, the beam method. This combined approach, finally, creates the possibility to interpret adequately a data of both ground-based and satellite detection on the VLF EM wave/beam propagating in the WGEI and those, which experienced a leakage from the WGEI into the upper ionosphere and magnetosphere. Some other details on the distinctions from the previously published models are given below in Sect. 3.

77 The methods of effective boundary conditions such as effective impedance conditions (Tretyakov, 2003; Senior and 78 Volakis, 1995; Kurushin and Nefedov, 1983) are well-known and can be used, in particular, for the layered metal-dielectric, 79 metamaterial and gyrotropic active layered and waveguiding media of different types (Tretyakov, 2003; Senior and Volakis, 1995; Kurushin and Nefedov, 1983; Collin, 2001; Wait, 1996) including plasma-like solid state (Ruibys, and Tolutis, 1983) 80 81 and space plasma (Wait, 1996) media. The plasma wave processes in the waveguide structures metal-semiconductor-82 dielectric, placed into the external magnetic field, were widely investigated (Ruibys and Tolutis, 1983; Maier, 2007; 83 Tarkhanyan and Uzunoglu, 2006) from radio to optical frequency ranges. Corresponding waves are applied in modern plasmonics and in non-destructive testing of semiconductor interfaces. It is interesting to realize the resonant interactions of 84 volume and surface electromagnetic waves in these structures, so the simulations of the wave spectrum are important. To 85 describe such complex layered structures, it is very convenient and effective to use impedance approach (Tretyakov, 2003; 86 87 Senior and Volakis, 1995; Kurushin and Nefedov, 1983). As a rule, impedance boundary conditions are used, when the layer 88 covering waveguide is thin (Senior and Volakis, 1995; Kurushin and Nefedov, 1983). One of the known exclusions is the 89 impedance invariant imbedding model. The difference between our new method and that model is already mentioned above 90 and is explained in more details in the Subsection 3.3. Our new approach, i.e a new tensor impedance method for modeling propagation of electromagnetic beams (TIMEB), includes a set of very attractive features for practical purposes. These 91 92 features are: (i) the surface impedance characterizes cover layer of finite thickness, and this impedance is expressed 93 analytically; (ii) the method allows an effective modelling of 3D beam propagating in the gyrotropic waveguiding structure; 94 (iii) finally, if the considered waveguide can be modified by any external influence such as bias magnetic or electric fields, 95 or by any extra wave or energy beams (such as acoustic or quasistatic fields etc.), the corresponding modification of the 96 characteristics (phase and amplitude) of the VLF beam propagating in the waveguide structure can be modelled.

Our approach was targeting properly and is suitable for the further development which will allow to solve also the following problems: (i) the problem of the excitation of the waveguide by the waves incident on the considered structure from above could be solved as well with the slight modification of the presented model, with inclusion also ingoing waves; (ii) to consider a plasma-like system placed into the external magnetic field, such as the LAIM system (Grimalsky et al., 1999 a, b) or dielectric-magnetized semiconductor structure. The electromagnetic waves radiated outside the waveguiding structure, such as helicons (Ruibys and Tolutis, 1983) or whistlers (Wait, 1996), and the waveguide modes could be considered altogether. An adequate boundary radiation conditions on the upper boundary of the covering layer are derived.

104 Based on this and absence of ingoing waves, the leakage modes above the upper boundary of the structure (in other words, 105 upper boundary of covering layer), will be searched with the further development of the model delivered in the present 106 paper. Namely, it will be possible to investigate the process of the leakage of electromagnetic waves from the open waveguide. Then their transformation into magnetized plasma waves, propagating along magnetic field lines, and the 107 108 following excitation of the waveguiding modes by the waves incident on the system from external space (Walker, 1976), can 109 be modeled as a whole. Combining with the proper measurements of the phases and amplitudes of the electromagnetic 110 waves, propagating in the waveguiding structures and leakage waves, the model can be used for searching, and even 111 monitoring the external influences on the layered gyrotropic active artificial or natural media, for example, microwave or 112 optical waveguides or the system LAIM and the earth-ionosphere waveguide, respectively.

An important effect of the gyrotropy and anisotropy is the corresponding transformation of the field polarization during the propagation in the WGEI, absent in the ideal metal planar waveguide without gyrotropy and anisotropy. We will search, how such an effect depends on the carrier frequency of the beam, propagating in the WGEI, inclination of the geomagnetic field and perturbations in the electron concentration, which could vary under the influences of the powerful enough sources placed "below", "above" and/or "inside" the ionosphere.

118 In Sect. 2 formulation of the problem is presented. In Sect. 3 the algorithm is discussed including the determination 119 of the VLF waves/beams radiation conditions into the upper ionosphere/magnetosphere at the upper boundary, placed in the 120 F-region at the altitude (250-400) km. The effective tensor impedance boundary conditions at the upper boundary (~ 85 km) 121 of the effective earth-ionosphere waveguide and the 3D model TIMEB of the propagation of the VLF beam in the WGEI are 122 discussed as well. The issues regarding the VLF beam leakage regimes are considered only very briefly, since the relevant 123 details will be presented in the following articles. In Sect. 4 the results of the numerical modeling are presented. In Sect. 5 124 the discussion is presented, including an example of the qualitative comparison between the results of our theory and an 125 experiment including the future rocket experiment on the measurements of the characteristics of VLF signal radiated from 126 the artificial VLF transmitter, which is propagating in the WGEI and penetrating into the upper ionosphere.

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2 Formulation of the problem

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The VLF electromagnetic (EM) waves with frequencies f = (10 - 100) kHz can propagate along the Earth's surface for long distances >1000 km. The Earth's surface of a high conductivity z = 0 (z is vertical coordinate) and the ionosphere *F*-layer z= 300 km form the VLF waveguide, see Fig. 1. The propagation of the VLF electromagnetic radiation excited by a near-Earth antenna within the WGEI should be described by the full set of Maxwell's equations in the isotropic atmosphere 0 < z< 60 km, the approximately isotropic ionosphere *D*-layer 60 km < z < 75 km, and the anisotropic *E*- and *F*- layers of the ionosphere, due to the geomagnetic field \vec{H}_0 , added by the boundary conditions at the Earth's surface and at the *F*-layer. In Fig. 1, θ is the angle between the directions of the vertical axis z and geomagnetic field \vec{H}_0 . Note that theta θ angle is

137 complementary to the angle of inclination of the geomagnetic field. Geomagnetic field \vec{H}_0 is directed along z' axis, lies in

138 the plane xz, while the planes x'z' and xz coincide with each other.

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141 Figure 1. The geometry of the anisotropic/gyrotropic waveguide. EM waves propagate in OX direction. \vec{H}_0 is the external 142 magnetic field. The effective WGEI for EM waves occupies the region $0 \le z \le L_z$. The isotropic medium occupies the region $0 \le z \le L_z$ L_{ISO} , $L_{ISO} < L_z$. The anisotropic/gyrotropic medium occupies the region $L_{ISO} < z < L_{max}$. Covering layer occupies the region $L_z < z < z < L_{max}$. 143 L_{max} . WG includes isotropic region $0 < z < L_{ISO}$ and a part of anisotropic region $L_z < z < L_{max}$. It is supposed that the anisotropic 144 145 region is relatively small part of the WG, $(L_z - L_{ISO})/L_z \sim (0.1-0.2)$. At the upper boundary of covering layer (z = L_{max}) the radiation 146 of EM to the external region ($z > L_{max}$) is accounted for with the proper boundary conditions. Integration of the equations 147 describing the EM field propagation allows to obtain effective impedance boundary conditions at the upper boundary of effective 148 WG ($z = L_{z}$). These boundary conditions effectively includes all the effects on the wave propagation of the covering layer and the 149 radiation (at $z = L_{max}$) to the external region ($z > L_{max}$).

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151 **3. Algorithm**

The boundary conditions and calculations of impedance and beam propagation in the WGEI is considered in this Secion. The other parts of the algorithm, e.g. the reflection of the EM waves from the WGEI effective upper boundary and the leakage of EM waves from the WGEI to the upper ionosphere/magnetosphere, will be presented very briefly as they are the subjects of

the next papers.

156 **3.1 Direct and inverse tensors characterizing the ionosphere**

157 In the next subsections we derived the formulas describing the transfer of the boundary conditions at the upper boundary (z =

L_{max}), Fig. 1, resulting in the tensor impedance conditions at the upper boundary of the effective WGEI ($z = L_i$). Firstly let's

- 159 describe the tensors characterizing the ionosphere.
- 160 The algorithm's main goal is to transfer the EM boundary conditions from the upper ionosphere at the height $L_z \sim 250 400$
- 161 km to the lower ionosphere $L_z \sim 70 90$ km. All components of the monochromatic EM field is considered to be
- 162 proportional to $exp(i\omega t)$. The anisotropic medium is inhomogeneous along OZ axis only and characterized by the
- 163 permittivity tensor $\hat{\varepsilon}(\omega, z)$ or by the inverse tensor $\hat{\beta}(\omega, z) = \hat{\varepsilon}^{-1}(\omega, z)$: $\vec{E} = \hat{\beta}(\omega, z) \cdot \vec{D}$, where \vec{D} is the electric induction.

Below the absolute units are used. The expressions for the components of the effective permittivity of the ionosphere are in the coordinate frame X'YZ' where OZ' axis is aligned along the geomagnetic field \vec{H}_0 :

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$$\hat{\varepsilon}' = \begin{pmatrix} \varepsilon_{1} & \varepsilon_{h} & 0 \\ -\varepsilon_{h} & \varepsilon_{1} & 0 \\ 0 & 0 & \varepsilon_{3} \end{pmatrix}, \quad \varepsilon_{1} = 1 - \frac{\omega_{pe}^{2} \cdot (\omega - iv_{e})}{((\omega - iv_{e})^{2} - \omega_{He}^{2}) \cdot \omega} - \frac{\omega_{pi}^{2} \cdot (\omega - iv_{i})}{((\omega - iv_{i})^{2} - \omega_{Hi}^{2}) \cdot \omega}, \quad \varepsilon_{h} \equiv ig;$$

$$g = -\frac{\omega_{pe}^{2} \cdot \omega_{He}}{((\omega - iv_{e})^{2} - \omega_{He}^{2}) \cdot \omega} + \frac{\omega_{pi}^{2} \cdot \omega_{Hi}}{((\omega - iv_{i})^{2} - \omega_{Hi}^{2}) \cdot \omega}, \quad \varepsilon_{3} = 1 - \frac{\omega_{pe}^{2}}{(\omega - iv_{e}) \cdot \omega} - \frac{\omega_{pi}^{2}}{(\omega - iv_{i}) \cdot \omega}; \quad (1)$$

$$\omega_{pe}^{2} = \frac{4\pi e^{2}n}{m_{e}}, \quad \omega_{pi}^{2} = \frac{4\pi e^{2}n}{m_{i}}, \quad \omega_{He} = \frac{eH_{0}}{m_{e}c}, \quad \omega_{Hi} = \frac{eH_{0}}{m_{i}c}$$

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Here $\omega_{pe}, \omega_{pi}, \omega_{He}, \omega_{Hi}$ are the plasma and cyclotron frequencies for electrons and ions, respectively; $m_{e}, m_{i}, v_{e}, v_{i}$ are the masses and collision frequencies for electrons and ions, respectively, n is electron concentration. The approximations of the three-component plasma-like ionosphere (including electron, one effective ion and one effective neutral components) and quasi-neutrality are accepted. The expressions for the components of permittivity tensor $\hat{\varepsilon}(\omega, z)$ are obtained from (1) by means of multiplication with the standard rotation matrices (Spiegel, 1959) dependent on angle θ . For the medium with a scalar conductivity σ , e.g. lower ionosphere or atmosphere, the effective permittivity in (1) reduces to $\varepsilon =$ $1 - 4\pi i \sigma / \omega$.

176 **3.2** The equations for the EM field and upper boundary conditions

177 The case of the VLF waveguide modes k_x is slightly complex and should be calculated accounting for boundary conditions at

178 the Earth's surface and upper surface of the effective WGEI. The EM field depends on the horizontal coordinate x as

179 $\exp(-k_x x)$. Taking into account $k_x \le k_0$ ($k_0 = \omega/c$), in simulations of the VLF beam propagation, we assume $k_x = k_0$.

180 Therefore, Maxwell's equations are:

$$-\frac{\partial H_{y}}{\partial z} = ik_{0}D_{x}, \quad \frac{\partial H_{x}}{\partial z} + ik_{x}H_{z} = ik_{0}D_{y}, \quad -ik_{x}H_{y} = ik_{0}D_{z}$$

$$-\frac{\partial E_{y}}{\partial z} = -ik_{0}H_{x}, \quad \frac{\partial E_{x}}{\partial z} + ik_{x}E_{z} = -ik_{0}H_{y}, \quad -ik_{x}E_{y} = -ik_{0}H_{z}$$
(2)

Here, $E_x = \beta_{11}D_x + \beta_{12}D_y + \beta_{13}D_z$ etc. All components of the EM field can be represented through the horizontal components of the magnetic field H_x and H_y . The equations for these components are:

$$184 \qquad \qquad \frac{\partial}{\partial z} \left(\frac{\beta_{22}}{1 - \beta_{22} \frac{k_x^2}{k_0^2}} \frac{\partial H_x}{\partial z} \right) - \frac{\partial}{\partial z} \left(\frac{\beta_{21}}{1 - \beta_{22} \frac{k_x^2}{k_0^2}} \frac{\partial H_y}{\partial z} \right) - ik_x \frac{\partial}{\partial z} \left(\frac{\beta_{23}}{1 - \beta_{22} \frac{k_x^2}{k_0^2}} H_y \right) + k_0^2 H_x = 0$$
(3a)
$$\frac{\partial}{\partial z} \left((\beta_{11} + \frac{k_x^2}{k_0^2} \frac{\beta_{12} \cdot \beta_{21}}{1 - \beta_{22} \frac{k_x^2}{k_0^2}} \frac{\partial H_y}{\partial z} \right) - \frac{\partial}{\partial z} \left(\frac{\beta_{12}}{1 - \beta_{22} \frac{k_x^2}{k_0^2}} \frac{\partial H_x}{\partial z} \right) + ik_x \left(\beta_{31} + \frac{k_x^2}{k_0^2} \frac{\beta_{32} \cdot \beta_{21}}{1 - \beta_{22} \frac{k_x^2}{k_0^2}} \frac{\partial H_y}{\partial z} \right) - ik_x \frac{\partial}{\partial z} \left((\beta_{13} + \frac{k_x^2}{k_0^2} \frac{\beta_{12} \cdot \beta_{23}}{1 - \beta_{22} \frac{k_x^2}{k_0^2}} \right) H_y \right) + ik_x (\beta_{31} + \frac{k_x^2}{k_0^2} \frac{\beta_{32} \cdot \beta_{21}}{1 - \beta_{22} \frac{k_x^2}{k_0^2}} \frac{\partial H_y}{\partial z} - (3b)$$

$$- ik_x \frac{\beta_{32}}{1 - \beta_{22} \frac{k_x^2}{k_0^2}} \frac{\partial H_x}{\partial z} + k_0^2 (1 - \beta_{33} \frac{k_x^2}{k_0^2} - \frac{k_x^4}{k_0^4} \frac{\beta_{23} \cdot \beta_{22}}{1 - \beta_{22} \frac{k_x^2}{k_0^2}} H_y = 0$$

186 The expressions for the horizontal components of the electric field $E_{\infty} E_{y}$ are:

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$$E_{x} = \frac{i}{k_{0}} \left((\beta_{11} + \frac{k_{x}^{2}}{k_{0}^{2}} \frac{\beta_{12} \cdot \beta_{21}}{1 - \beta_{22} \frac{k_{x}^{2}}{k_{0}^{2}}}) \frac{\partial H_{y}}{\partial z} - \frac{\beta_{12}}{1 - \beta_{22} \frac{k_{x}^{2}}{k_{0}^{2}}} \frac{\partial H_{x}}{\partial z} \right) - \frac{k_{x}}{k_{0}} (\beta_{13} + \frac{k_{x}^{2}}{k_{0}^{2}} \frac{\beta_{12} \cdot \beta_{23}}{1 - \beta_{22} \frac{k_{x}^{2}}{k_{0}^{2}}}) H_{y}$$

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$$E_{y} = \frac{i}{k_{0}} \left[-\frac{\beta_{22}}{1 - \beta_{22}} \frac{\lambda_{x}^{2}}{k_{0}^{2}} \frac{\partial H_{x}}{\partial z} + \frac{\beta_{21}}{1 - \beta_{22}} \frac{\lambda_{x}^{2}}{k_{0}^{2}} \frac{\partial H_{y}}{\partial z} \right] - \frac{k_{x}}{k_{0}} \frac{\beta_{23}}{1 - \beta_{22}} \frac{\lambda_{x}^{2}}{k_{0}^{2}} H_{y}$$
(4)

In the region $z \ge L_{max}$ the upper ionosphere is assumed to be weakly inhomogeneous, and the geometric optics approximation is valid in the VLF range there. We should note that due to high inhomogeneity of the ionosphere in the vertical direction within *E*-layer (i.e. at the upper boundary of the effective VLF WGEI) such an approximation is not applicable. These conditions determine the choice of the upper boundary $z = L_{max} \sim (250 - 400)$ km, where the conditions of the radiation are formulated. The dispersion equation connected the wave numbers and the frequency of the outgoing waves is obtained from Eqs. (3), where $H_{x,y} \sim \exp(-ik_z \tilde{z})$, while the derivatives like $\partial \beta_{II}/\partial z$ and the inhomogeneity of the media are neglected:

$$\left(\beta_{22}k_{z}^{2} - k_{0}^{2}(1 - \beta_{22}\frac{k_{x}^{2}}{k_{0}^{2}}) \right) \cdot \left((\beta_{11}(1 - \beta_{22}\frac{k_{x}^{2}}{k_{0}^{2}}) + \frac{k_{x}^{2}}{k_{0}^{2}}\beta_{12} \cdot \beta_{21})k_{z}^{2} + ((\beta_{13} + \beta_{31})(1 - \beta_{22}\frac{k_{x}^{2}}{k_{0}^{2}}) + \frac{k_{x}^{2}}{k_{0}^{2}}(\beta_{12} \cdot \beta_{23} + \beta_{32} \cdot \beta_{21})k_{x}k_{z} - k_{0}^{2}((1 - \beta_{33}\frac{k_{x}^{2}}{k_{0}^{2}})(1 - \beta_{22}\frac{k_{x}^{2}}{k_{0}^{2}}) - \frac{k_{x}^{4}}{k_{0}^{4}}\beta_{23} \cdot \beta_{32}) \right) - \left((\beta_{21}k_{z}^{2} + \beta_{23}k_{x}k_{z}) \cdot (\beta_{12}k_{z}^{2} - \beta_{32}k_{x}k_{z}) = 0 \right)$$

$$(5)$$

196 Thus, generally Eq. (5) determined the wave numbers for the outgoing waves is of the fourth order (Wait 1996). The 197 boundary conditions at the upper boundary $z = L_{max}$ within the ionosphere *F*-layer are the absence of the ingoing waves, i.e. 198 the outgoing radiated (leakage) waves are present only. Two roots should be selected that possess the negative imaginary 199 parts $Im(k_{z_1,z_2}) < 0$, i.e. the outgoing waves dissipate upwards. However, in the case of VLF waves, some simplification can 200 be used. Namely, the expressions for the wave numbers $k_{1,2}$ are obtained from Eqs. (3), where the dependence on x is 201 neglected: $|k_{1,2}| > k_0$. This approximation is valid within F-layer where the first outgoing wave corresponds to the whistlers 202 with small dissipation, the second one is the highly dissipating slow wave. To formulate the boundary conditions for Eqs. 203 (3a, b) at $z \ge L_{max}$, the EM field components can be presented as:

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$$H_x = A_1 e^{-ik_{z1}\tilde{z}} + \alpha_2 A_2 e^{-ik_{z2}\tilde{z}}, \quad H_y = \alpha_1 A_1 e^{-ik_{z1}\tilde{z}} + A_2 e^{-ik_{z2}\tilde{z}}$$
(6)

In the relations (6) $\tilde{z} = z - L_z$. Eqs. (3) are simplified in the approximation described above:

 $\frac{\beta_{22}}{2} \pm \left(\left(\frac{\beta_{11} - \beta_{22}}{2} \right)^2 + \beta_{12} \beta_{21} \right)^{1/2}; \alpha_1 = \frac{\beta_{22} - \kappa_1^2}{\beta_{21}} = \frac{\beta_{12}}{\beta_{12}}$

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$$\beta_{22} \frac{\partial^2 H_x}{\partial z^2} - \beta_{21} \frac{\partial^2 H_y}{\partial z^2} + k_0^2 H_x = 0, \ \beta_{11} \frac{\partial^2 H_y}{\partial z^2} - \beta_{12} \frac{\partial^2 H_x}{\partial z^2} + k_0^2 H_y = 0$$
(7)

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The solution of Eqs. (7) is searched for as: $H_{x,y} \sim \exp(-ik_z \tilde{z})$. The following equation has been obtained to get the wave numbers $k_{z1,z2}$ from Eqs. (7):

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$$\kappa^{4} - (\beta_{22} + \beta_{11})\kappa^{2} + \beta_{11}\beta_{22} - \beta_{12}\beta_{21} = 0, \quad \kappa^{2} = \frac{k_{0}^{2}}{k_{z}^{2}}$$
(8)

212 Therefore, from Eq. (8) follows,

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The signs of $k_{z1, z2}$ have been chosen from the condition $Im(k_{z1, z2}) < 0$. From Eq. (5) at the upper boundary $z = L_{max}$, the following relations are valid:

$$H_x = A_1 + \alpha_2 A_2, \quad H_y = \alpha_1 A_1 + A_2$$
 (10)

 $\frac{1}{2}; \alpha_2 = \frac{\beta_{11} - \kappa_2^2}{\beta_{12}} = \frac{\beta_{21}}{\beta_{22} - \kappa_2^2}; k_{z1,z2}$

(9)

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219 From Eq. (10) one can get

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$$A_{1} = \Delta^{-1}(H_{x} - \alpha_{2}H_{y}); A_{2} = \Delta^{-1}(H_{y} - \alpha_{1}H_{x}); \Delta = 1 - \alpha_{1}\alpha_{2}$$
(11)

Thus, it is possible to exclude the amplitudes of the outgoing waves $A_{1,2}$ from Eqs. (9). As a result, at $z = L_{max}$ the boundary conditions are rewritten in terms of H_{x} , H_{y} only:

$$\frac{\partial H_x}{\partial z} = -i(k_{z1}A_1 + k_{z2}\alpha_2A_2) = -\frac{i}{\Delta} \Big((k_{1z} - \alpha_1\alpha_2k_{z2})H_x + \alpha_2(k_{z2} - k_{z1})H_y \Big) \\ \frac{\partial H_y}{\partial z} = -i(k_{z1}\alpha_1A_1 + k_{z2}A_2) = -\frac{i}{\Delta} \Big((k_{z2} - \alpha_1\alpha_2k_{z1})H_y + \alpha_1(k_{z1} - k_{z2})H_x \Big)$$
(12)

The relations (12) are the upper boundary conditions of the radiation for the boundary $z=L_{max} \sim 250 - 400$ km. These conditions will be transformed/recalculated using the analytical numerical recurrent procedure into equivalent impedance boundary conditions at $z = L_z \sim 70 - 90$ km.

227 Note that in the "whistler/VLF approximation" is valid at frequencies ~ 10 kHz for the *F*-region of the ionosphere. 228 In this approximation and $k_x \approx 0$, we receive the dispersion equation using Eqs. (5), (8), (9), in the form:

$$k_z^{\,2}k^2 = k_0^2 g^2 \tag{13}$$

where $k^2 = k_x^2 + k_z^2 = k_x'^2 + k_z'^2$; k_x' and k_z' are the transverse and longitudinal components of wave number relative to 230 geomagnetic field. For F-region of the ionosphere, where $v_e \ll \omega \ll \omega_{He}$, Eq. (13) reduces to the standard form of the 231 whistler dispersion equation $|k_z'|k = k_0 |g|; g \approx -\omega_{pe}^2 / (\omega \omega_{He}); \omega = c^2 k |k_z'| (\omega_{He} / \omega_{pe}^2)$. In a special case of the waves 232 233 propagating along geomagnetic field, $k'_x = 0$, for the propagating whistler waves, well-known dispersion dependence is $\omega = c^2 k_z^{'2} (\omega_{He} / \omega_{pe}^2)$ (Artcimovich and Sagdeev, 1979). For the formulated problem we can reasonably assume $k_x \approx 0$. 234 Therefore eq. (13) is reduced to $k_z^4 \cos^2 \theta = k_0^4 g^2$. As a result, we get $k_{z1} = \sqrt{g/\cos\theta}k_0$, $k_{z2} = -i\sqrt{g/\cos\theta}k_0$, and then, 235 236 similarly to the relations (12), the boundary conditions can be presented, in terms of the tangential components of electric 237 field as:

238

 $\frac{\partial \vec{U}}{\partial z} + \vec{B}\vec{U} = 0 ; \vec{U} = \begin{bmatrix} E_x \\ E_y \end{bmatrix}; \hat{B} = \frac{1}{2}\sqrt{\frac{g}{\cos\theta}}k_0 \begin{bmatrix} 1+i & -1-i \\ 1+i & 1+i \end{bmatrix}$ (14)

239

Conditions (12) or (14) are the conditions of radiation (absence of ingoing waves) formulated at the upper boundary $z=L_{max}$ and suitable for the determination of the energy of the wave leaking from the WGEI into the upper ionosphere/magnetosphere. Note, the equations (12), (14) expressed the boundary conditions of the radiation (more accurately speaking, an absence of incoming waves, what is the consequence to the causality principle), are obtained as a result of limitation by the small parameter $k_x/k_0 | k_x / k_z | \rightarrow 0$ in Eq. (5). In spite of the disappearance of the dependence of these boundary conditions explicitly on k_x , the dependence of the characteristics of the wave propagation process on k_x , as a

whole, is accounted for, and all results are still valid for the description of the wave beam propagation in the WGEI along the

247 horizontal axis x with finite $k_x \sim k_0$.

248 **3.3 Equivalent Tensor Impedance Boundary Conditions**

The tensor impedance at the upper boundary of the effective WGEI $z=L_z$ (see Fig. 1), is obtained by the conditions of radiation (12) or (14), recalculated from the level $z = L_z \sim 80 - 90$ km, placed in the F region of the ionosphere, at $z=L_{max} \sim 251 - 400$ km.

252 The main idea of the effective tensor impedance method is the unification of analytical and numerical approaches 253 and derivation of the proper impedance boundary conditions without "thin cover layer" approximation. This approximation 254 is usually used in the effective impedance approaches, applied either for artificial or natural layered gyrotropic structures, (see e.g. Tretvakov, 2003; Senior and Volakis, 1995; Kurushin and Nefedov, 1983; Alperovich and Fedorov, 2007). There is 255 256 one known exception, namely invariant imbedding impedance method (Shalashov and Gospodchikov, 2011; Kim and Kim, 257 2016). The comparison of our method with the invariant imbedding impedance method will be presented at the end of this 258 subsection. Eqs. (3) jointly with the boundary conditions (12), have been solved by finite differences. The derivatives in Eqs. 259 (3) are approximated as

260

261

$$\frac{\partial}{\partial z} \left(C(z) \frac{\partial H_x}{\partial z} \right) \approx \frac{1}{h} \left(C(z_{j+1/2}) \frac{(H_x)_{j+1} - (H_x)_j}{h} - C(z_{j-1/2}) \frac{(H_x)_j - (H_x)_{j-1}}{h} \right),$$

$$\frac{\partial}{\partial z} \left(F(z) H_x \right) \approx \frac{1}{2h} \left(F(z_{j+1}) (H_x)_{j+1} - F(z_{j-1}) (H_x)_{j-1} \right) \quad \text{etc.}$$
(15)

In Eq. (15) $z_{j+1/2} = h \cdot (j+0.5)$. In Eqs. (10) the approximation is $\partial H_x / \partial z \approx [(H_x)_N - (H_x)_{N-1}]/h$. Here *h* is the discretization step along *OZ* axis; *N* is the total number of nodes. At each step *j* the difference approximations of Eqs. (3) take the form:

265

$$\hat{\alpha}_{j}^{(-)} \cdot \vec{H}_{j-1} + \hat{\alpha}_{j}^{(0)} \cdot \vec{H}_{j} + \hat{\alpha}_{j}^{(+)} \cdot \vec{H}_{j+1} = 0$$
(16)

266 where $\vec{H}_j = \begin{pmatrix} H_x \\ H_y \end{pmatrix}$, j = N - 1, N - 2, ..., 1, $z_j = h \cdot j$, $L_z = h \cdot N$. Due to the complexity of expressions for the matrix

coefficients in Eq. (16) we have shown them in Appendix. The set of the matrix Eq. (16) has been solved by factorization
method also known as an elimination/matrix sweep method (see Samarskii, 2001). It can be written as:

269
$$\vec{H}_{j} = \hat{b}_{j} \cdot \vec{H}_{j-1}, \quad j = N, ..., 1$$
 (17a)

270
$$H_{xj+1} = b_{11j+1}H_1 + b_{12j+1}H_2; \ H_{yj+1} = b_{21j+1}H_1 + b_{22j+1}H_2; \ H_1 \equiv H_{xj}; \ H_2 \equiv H_{yj}$$
(17b)

This method is a variant of the Gauss elimination method for the matrix 3-diagonal set of the Eq. (16). The value of \hat{b}_N is obtained from the boundary conditions (12) as:

273
$$\hat{\alpha}_{N}^{(-)} \cdot \vec{H}_{N-1} + \hat{\alpha}_{N}^{(0)} \cdot \vec{H}_{N} = 0$$
(18)

Therefore $\hat{b}_N = -(\hat{a}_N^{(0)})^{-1} \cdot \hat{a}_N^{(-)}$. Then the matrices \hat{b}_j have been computed sequentially down to the desired value of $z = -(\hat{a}_N^{(0)})^{-1} \cdot \hat{a}_N^{(-)}$. 274 $L_z = h \cdot N_z$, where the impedance boundary conditions are assumed to be applied. At each step the expression for \hat{b}_j follow 275 276 from (16), (17) as:

(19)

277
$$(\hat{\alpha}_{i}^{(0)} + \hat{\alpha}_{i}^{(+)} \cdot \hat{b}_{i+1}) \cdot \vec{H}_{i} = -\hat{\alpha}_{i}^{(-)} \cdot \vec{H}_{i-1}$$

Therefore, for (17), we obtain $\hat{b}_j = -(\hat{\alpha}_j^{(0)} + \hat{\alpha}_j^{(+)} \cdot \hat{b}_{j+1})^{-1} \cdot \hat{\alpha}_j^{(-)}$. The derivatives in Eqs. (4) have been approximated as: 278

279
$$\left(\frac{\partial H_x}{\partial z}\right)_{N_z} \approx \frac{(H_x)_{N_z+1} - (H_x)_{N_z}}{h} = \frac{(b_{N_z+1-11} - 1) \cdot (H_x)_{N_z} + b_{N_z+1-12} \cdot (H_y)_{N_z}}{h}$$
(20)

and similar equation can be obtained for $\left(\frac{\partial H_y}{\partial z}\right)_{N_z}$. Note, that as a result of this discretization, only the values at the grid 280 level N_z are included into the numerical approximation of the derivatives $\partial H_{x,y} / \partial z$ at $z = L_z$. We determine tensor 281 impedance \hat{Z} at $z=L_z \sim 85$ km level. The tensor values are included into the following relations, all of which are 282 283 corresponded to altitude (in other words, to the grid with number N_z , corresponded to this altitude):

284
$$\vec{n} \times \vec{E} = \hat{Z}_0 \cdot \vec{H}, \ \vec{n} = (0, 0, 1) \text{ or } E_x = Z_{021}H_x + Z_{022}H_y; \ E_y = -Z_{011}H_x - Z_{012}H_y$$
(21)

The equivalent tensor impedance is obtained using a two-step procedure. (1) We obtain the matrix \hat{b}_i using Eqs. (3a, b) with 285 the boundary conditions (12) and the procedure (17) - (19) described above. (2) Placing the expressions (21) with tensor 286 287 impedance into the left parts and the derivatives $\partial H_{x,y} / \partial z$ in the form (20) into the right parts of Eqs. (4), the analytical 288 expressions for the components of the tensor impedance are:

1)

289
$$Z_{011} = -\frac{i}{k_0 h} \left| \frac{\beta_{21}}{1 - \beta_{22} \frac{k_x^2}{k_0^2}} \cdot b_{21} - \frac{\beta_{22}}{1 - \beta_{22} \frac{k_x^2}{k_0^2}} \cdot (b_{11} + b_{22} \frac{k_x^2}{k_0^2}) \right|^2$$

290
$$Z_{012} = -\frac{i}{k_0 h} \left[\frac{\beta_{21}}{1 - \beta_{22}} \frac{\partial H_y}{k_0^2} \cdot (b_{22} - 1) - \frac{\beta_{22}}{1 - \beta_{22}} \frac{k_x^2}{k_0^2} \cdot b_{12} - k_x h \cdot \frac{\partial H_y}{\partial x} \right]$$
291
$$Z_{021} = \frac{i}{k_0 h} \left[(\beta_{11} + \frac{k_x^2}{k_0^2} \frac{\beta_{12} \cdot \beta_{21}}{1 - \beta_{22}} \frac{k_x^2}{k_2^2}) \cdot b_{21} - \frac{\beta_{12}}{1 - \beta_{22}} \frac{k_x^2}{k_2^2} \cdot (b_{11} - 1) \right],$$

(22)

293 (22)

294 The proposed method of the transfer of the boundary conditions from the ionosphere F-layer $L_{max} = 250 - 400$ km into the 295 lower part of the E-layer $L_z = 80 - 90$ km is stable and easily realizable in comparison with some alternative approaches 296 based on the invariant imbedding methods (Shalashov and Gospodchikov, 2011; Kim and Kim, 2016). The stability of our 297 method is due to the stability of the Gauss elimination method when the coefficients at the matrix central diagonal are 298 dominating. The last is valid for the ionosphere with electromagnetic losses where the absolute values of the permittivity 299 tensor are large. The application of the proposed matrix sweep method in the media without losses, may require the use of 300 the Gauss method with the choice of the maximum element, to ensure the stability. However, as our simulations (not 301 presented here) demonstrated, for the electromagnetic problems in the frequency domain, the simple Gauss elimination and 302 the choice of the maximal element, gives the same results. The accumulation of errors may occur in evolutionary problems 303 in the time domain, when the Gauss method should be applied sequentially many times. The use of the independent 304 functions H_{x} , H_{y} in Eqs. (3) seems natural, as well as the transfer (17a), because the impedance conditions are the expressions 305 of the electric E_{x} E_{y} through these magnetic components H_{x} H_{y} at the upper boundary of the VLF waveguide 80 – 90 km. The naturally chosen direction of the recalculation of the upper boundary conditions from $z = L_{max}$ to $z = L_z$, i.e. from upper 306 307 layer with large impedance value to lower altitude layer with relatively small impedance value, provides, at the same time, 308 the stability of the simulation procedure. The obtained components of the tensor impedance are small, $|Z_{\alpha\beta}| \leq 0.1$. This 309 determines the choice of the upper boundary $z = L_z$ for the effective WGEI. Due to small impedance, EM waves incident 310 from below on this boundary are reflected effectively back. Therefore, the region $0 \le z \le L_z$ indeed can be presented as an 311 effective WGEI. This waveguide includes not only lower boundary at $L_{ISO} \sim 65 - 75$ km with rather small losses, but also 312 thin dissipative and anisotropic/gyrotropic layer between 75 and 85 - 90 km.

 $\frac{-\beta_{12}\cdot\beta_{21}}{1-\beta_{22}\frac{k_x^2}{2}}\cdot(b_{22}-1)-k_xh\cdot(\beta_{13}+\frac{k_x^2}{k_0^2}\frac{-\beta_{12}\cdot\beta_{23}}{1-\beta_{22}\frac{k_x^2}{2}})-$

- Finally, the main differences and advantages of the proposed tensor impedance method from other methods for impedance recalculating and in particular invariant imbedding methods (Shalashov and Gospodchikov, 2011; Kim and Kim, 2016) can be summarised as follows:
- (i) in contrast to invariant imbedding method currently proposed method can be used for direct recalculation of
 tensor impedance as it determined analytically, see Eqs. (22).
- 318 (ii) for the media without non-locality, proposed method does not require to solve integral equation(s).
- (iii) the proposed method does not require forward and reflected waves. The conditions for the radiation at the upper boundary $z = L_{max}$ (see Eqs. (12)) are determined through the total field components $H_{x,y}$, which simplify the overall calculations.

(iv) the overall calculation procedure is very effective and computationally stable. Note, that even for the very lowloss systems, the required level of stability can be achieved with modification based on the choice of the maximal element for matrix inversion.

325 **3.4 Propagation of Electromagnetic Waves in the Gyrotropic Waveguide and the TIMEB Method**

Let's use the transverse components of electric E_y , and magnetic H_y fields to derive equations for the slow varying amplitudes A(x,y,z), B(x,y,z) of the VLF beams. These components can be represented as:

$$E_{y} = \frac{1}{2}A(x, y, z) \cdot e^{i\omega t - ik_{0}x} + c.c., \quad H_{y} = \frac{1}{2}B(x, y, z) \cdot e^{i\omega t - ik_{0}x} + c.c.$$
(23)

Here we assumed $k_x = k_0$ to reflect beam propagation in the WGEI with the main part in the atmosphere and lower ionosphere (*D*-region) which are similar to free space by its electromagnetic parameters. The presence of a thin anisotropic and dissipative layer belonging to the *E*-region of the ionosphere causes, altogether with the impedance boundary condition, the proper *z* dependence of B(x,y,z). Using (21) and (22), the boundary conditions are determined at the height $z = L_z$ for the slowly varying amplitudes A(x,y,z), B(x,y,z) of the transverse components E_y , H_y . From Maxwell's equations the components E_x and H_x through E_y , H_y in the method of beams:

$$H_x \approx -\frac{i}{k_0} \frac{\partial E_y}{\partial z}, \quad E_x \approx \gamma_{12} E_y + i \frac{\tilde{\beta}_{33}}{k_0} \frac{\partial H_y}{\partial z} + \tilde{\beta}_{13} H_y$$
(24)

(26)

328

336 where $\gamma_{12} = \Delta_0^{-1} (\varepsilon_{13}\varepsilon_{32} - \varepsilon_{12}\varepsilon_{33}), \quad \tilde{\beta}_{13} = \Delta_0^{-1}\varepsilon_{13}, \quad \tilde{\beta}_{33} = \Delta_0^{-1}\varepsilon_{33}; \quad \Delta_0 = \varepsilon_{11}\varepsilon_{33} - \varepsilon_{13}\varepsilon_{31}$. From Eqs. (21) and (24), the boundary 337 conditions for *A*, *B* can be defined as:

338
$$A - \frac{i}{k_0} Z_{11} \cdot \frac{\partial A}{\partial z} + Z_{12} \cdot B \approx 0, \quad \gamma_{12} \cdot A + \frac{i}{k_0} Z_{21} \cdot \frac{\partial A}{\partial z} + (\tilde{\beta}_{13} - Z_{22}) \cdot B + \frac{i}{k_0} \tilde{\beta}_{33} \cdot \frac{\partial B}{\partial z} \approx 0$$
(25)

339

The evolution equations for the slowly varying amplitudes A(x,y,z), B(x,y,z) of the VLF beams are derived. The monochromatic beams are considered, when the frequency ω is fixed and the amplitudes do not depend on time *t*. Looking for the solutions for the EM field as $\vec{E}, \vec{H} \sim \exp(i\omega t - ik_x x - ik_y y)$. Maxwell's equations are:

$$\begin{aligned} -ik_{y}H_{z} &- \frac{\partial H_{y}}{\partial z} = ik_{0}D_{x}, \quad \frac{\partial H_{x}}{\partial z} + ik_{x}H_{z} = ik_{0}D_{y}, \quad -ik_{x}H_{y} + ik_{y}H_{x} = ik_{0}D_{z} \\ -ik_{y}E_{z} &- \frac{\partial E_{y}}{\partial z} = -ik_{0}H_{x}, \quad \frac{\partial E_{x}}{\partial z} + ik_{x}E_{z} = -ik_{0}H_{y}, \quad -ik_{x}E_{y} + ik_{y}E_{x} = -ik_{0}H_{z} \end{aligned}$$

343

Here $D_x = \varepsilon_{11}E_x + \varepsilon_{12}E_y + \varepsilon_{13}E_z$. From Eqs. (21), the equations for E_x , E_z through E_y , H_y are:

$$E_{x} = \frac{1}{\Delta_{y}} \left\{ \left[\varepsilon_{13} \cdot \varepsilon_{32} - \left(\varepsilon_{12} + \frac{k_{x}k_{y}}{k_{0}^{2}}\right) \cdot \left(\varepsilon_{33} - \frac{k_{y}^{2}}{k_{0}^{2}}\right) \right] E_{y} + \frac{i}{k_{0}} \left(\varepsilon_{33} - \frac{k_{y}^{2}}{k_{0}^{2}}\right) \frac{\partial H_{y}}{\partial z} + \frac{k_{x}}{k_{0}} \varepsilon_{13} \cdot H_{y} + \frac{ik_{y}}{k_{0}^{2}} \varepsilon_{13} \frac{\partial E_{y}}{\partial z} \right\}$$

$$E_{z} = \frac{1}{\Delta_{y}} \left\{ \left[\varepsilon_{31} \cdot \left(\varepsilon_{12} + \frac{k_{x}k_{y}}{k_{0}^{2}}\right) - \varepsilon_{32} \cdot \left(\varepsilon_{11} - \frac{k_{y}^{2}}{k_{0}^{2}}\right) \right] E_{y} - \frac{i}{k_{0}} \varepsilon_{31} \frac{\partial H_{y}}{\partial z} - \frac{k_{x}}{k_{0}} \cdot \left(\varepsilon_{11} - \frac{k_{y}^{2}}{k_{0}^{2}}\right) H_{y} - \frac{ik_{y}}{k_{0}^{2}} \cdot \left(\varepsilon_{11} - \frac{k_{y}^{2}}{k_{0}^{2}}\right) \frac{\partial E_{y}}{\partial z} \right\}$$

346 In Eq. (27),
$$\Delta_y = (\varepsilon_{11} - \frac{k_y^2}{k_0^2}) \cdot (\varepsilon_{33} - \frac{k_y^2}{k_0^2}) - \varepsilon_{31} \cdot \varepsilon_{13}$$
. The equations for E_y , H_y obtain

e equations for E_y , H_y obtained from the Maxwell equations are:

(27)

$$\left(\frac{\partial^2}{\partial z^2} - k_x^2 - k_y^2\right) E_y + ik_y \left(\frac{\partial E_z}{\partial z} - ik_x E_x - ik_y E_y\right) + k_0^2 D_y = 0; \quad -ik_0 \frac{\partial E_x}{\partial z} + k_x k_0 E_z + k_0^2 H_y = 0$$

$$(28)$$

347

After substitution of (27) for E_x , E_z into Eqs. (28), the coupled equations for E_y , H_y can be derived. The follow expansion should be used: $k_x = k_0 + \delta k_x$ $|\delta k_x| << k_0$, also $|k_y| << k_0$. Then, according to (Weiland and Wilhelmsson, 1977):

350
$$-i \cdot \delta k_x \to \frac{\partial}{\partial x}, \quad -i \cdot k_y \to \frac{\partial}{\partial y}$$
 (29)

The expansions should be until the quadratic terms of k_y and the linear terms of δk_x . As a result, parabolic equations (Levy 2000) for the slow varying amplitudes *A* and *B* are derived. In the lower ionosphere/atmosphere, where the effective permittivity reduces to a scalar $\varepsilon(\omega, z)$, they are independent:

354
$$\frac{\partial A}{\partial x} + \frac{i}{2k_0} \left(\frac{\partial^2 A}{\partial y^2} + \frac{\partial^2 A}{\partial z^2} \right) + \frac{ik_0}{2} \cdot (\varepsilon - 1)A = 0$$
$$\frac{\partial B}{\partial x} + \frac{i}{2k_0} \left(\frac{1}{\beta} \frac{\partial}{\partial z} (\beta \frac{\partial B}{\partial z}) + \frac{\partial^2 B}{\partial y^2} \right) + \frac{ik_0}{2} \cdot (\varepsilon - 1)B = 0$$
(30a)

355

Here $\beta \equiv \varepsilon^{-1}$. Accounting for the presence of gyrotropic layer and the tensor impedance boundary conditions at the upper boundary $z = L_z$ of the VLF waveguide, the equations for the slowly varying amplitudes in the general case are:

358

$$\frac{\partial A}{\partial x} + \frac{i}{2k_0} \left(\frac{\partial^2 A}{\partial y^2} + \frac{\partial^2 A}{\partial z^2} \right) + \frac{ik_0}{2} \cdot (\tilde{\varepsilon}_{22} - 1) \cdot A + \frac{\gamma_{21}}{2} \frac{\partial B}{\partial z} + \frac{ik_0}{2} \cdot \gamma_{23} B = 0$$

$$\frac{\partial B}{\partial x} + \frac{i}{2k_0} \left(\frac{1}{\tilde{\beta}_{11}} \frac{\partial}{\partial z} (\tilde{\beta}_{33} \frac{\partial B}{\partial z}) + \frac{\partial^2 B}{\partial y^2} \right) + \frac{i}{2\tilde{\beta}_{11}} \frac{\partial}{\partial z} (\gamma_{12} A) + \frac{1}{2\tilde{\beta}_{11}} \frac{\partial}{\partial z} (\tilde{\beta}_{13} B) + \frac{ik_0}{2\tilde{\beta}_{11}} \gamma_{32} A + \frac{\tilde{\beta}_{31}}{2\tilde{\beta}_{11}} \frac{\partial B}{\partial z} + \frac{ik_0}{2} \cdot (\frac{1}{\tilde{\beta}_{11}} - 1) \cdot B = 0$$
(30b)

360
$$\gamma_{12} \equiv \frac{\varepsilon_{13} \cdot \varepsilon_{32} - \varepsilon_{12} \cdot \varepsilon_{33}}{\Delta}, \gamma_{21} \equiv \frac{\varepsilon_{23} \cdot \varepsilon_{31} - \varepsilon_{21} \cdot \varepsilon_{33}}{\Delta}, \gamma_{23} \equiv \frac{\varepsilon_{21} \cdot \varepsilon_{13} - \varepsilon_{23} \cdot \varepsilon_{11}}{\Delta}, \gamma_{32} \equiv \frac{\varepsilon_{31} \cdot \varepsilon_{12} - \varepsilon_{32} \cdot \varepsilon_{11}}{\Delta}, \tilde{\beta}_{11} \equiv \frac{\varepsilon_{11}}{\Delta}, \tilde{\beta}_{13} \equiv \frac{\varepsilon_{13}}{\Delta}, \tilde{\beta}_{13} \equiv \frac{\varepsilon_{13}$$

Eqs. (30b) are reduced to Eqs. (30a) when the effective permittivity is scalar. At the Earth's surface z = 0, the impedance

362 conditions are reduced, accounting for that the medium is isotropic and the conductivity of the Earth is finite, to the form:

363
$$E_y = Z_{0E}H_x, \quad E_x = -Z_{0E}H_y, \quad Z_{0E} = \left(\frac{i\omega}{4\pi\sigma_E}\right)^{1/2}$$
 (31a)

Here $\sigma_E \sim 10^8 \text{ s}^{-1}$ is the Earth's conductivity. The boundary conditions (31a) at the Earth's surface, where $Z_{022} = Z_{021} \equiv Z_{0E}$, $Z_{12} = Z_{21} = 0$, $\beta_{33} = \varepsilon(z=0)^{-1}$, $\gamma_{12} = 0$, $\tilde{\beta}_{13} = 0$, can be rewritten as

$$E_{y} + \frac{i}{k_{0}} Z_{0E} \frac{\partial E_{y}}{\partial z} = 0, \quad \frac{i}{\varepsilon(z=0)k_{0}} \frac{\partial H_{y}}{\partial z} + \frac{i}{k_{0}} Z_{0E} H_{y} = 0$$
(31b)

368

367

Eqs. (30), combined with the boundary conditions (25) at the upper boundary of the VLF waveguide $z = L_z$, and with the boundary conditions at the Earth's surface (31b), are used to simulate the VLF wave propagation. The surface impedance of the Earth has been calculated from the Earth's conductivity, see eq. (31a). The initial conditions to solution of Eqs. (30), (25), (31b) are chosen in the form

373
$$A(x=0,y,z) = 0, B(x=0,y,z) = B_0 exp\left(-\left(\left(y-0.5L_y\right)/y_0\right)^{2n}\right)exp\left(-\left(\left(z-z_1\right)/z_0\right)^{2n}\right), n = 2$$
(32)

The size of the computing region along *OY* axis is, by the order of value, $L_y \sim 2000$ km. Because the gyrotropic layer is relatively thin and is placed at the upper part of the VLF waveguide, the beams are excited near the Earth's surface, the wave diffraction in this gyrotropic layer along *OY* axis is quite small, i.e. the terms $\partial A/dy^2$, $\partial B/dy^2$ are small there as well. Contrary to this, the wave diffraction is very important in the atmosphere in the lower part of the VLF waveguide, near the Earth's surface. To solve the problem of the beam propagation, the method of splitting with respect to physical factors has been applied (Samarskii 2001). Namely, the problem has been approximated by the finite differences:

380
$$\vec{C} \equiv \begin{pmatrix} A \\ B \end{pmatrix}, \quad \frac{\partial \vec{C}}{\partial x} + \hat{L}_y \vec{C} + \hat{L}_z \vec{C} = 0$$
 (33)

In the terms $\hat{L}_y \vec{C}$, the derivatives with respect to y are included, whereas all other terms are included into $\hat{L}_z \vec{C}$. Then the following fractional steps have been applied, the first one is along y, the second one is along z:

383
$$\frac{\vec{C}^{p+1/2} - \vec{C}^p}{h_x} + \hat{L}_y \vec{C}^{p+1/2} = 0, \quad \frac{\vec{C}^{p+1} - \vec{C}^{p+1/2}}{h_x} + \hat{L}_z \vec{C}^{p+1} = 0$$
(34)

The region of simulation is 0 < x < Lx = 1000 - 2000 km, $0 < y < L_y = (2000 - 3000)$ km, $0 < z < L_z = 80 - 90$ km. The numerical scheme (34) is absolutely stable. Here h_x is the step along *OX* axis, $x_p = p h_x$, p = 0, 1, 2, ... This step has been chosen from the conditions of the simulation results independence on the diminishing h_x .



387

Figure 2. The rotation of the local Cartesian coordinate frame at each step along the Earth's surface h_x on a small angle $\delta \varphi \approx \Delta x/R_E$, radians, while $\Delta x=h_x$. The following strong inequalities are valid $h_x << L_z << R_E$. At the Earth's surface Z=0.

On the simulation at each step along *OX* axis, the correction on the Earth's curvature has been inserted in adiabatic manner applying the rotation of the local coordinate frame *XOZ*. Because the step along *x* is small $h_x \sim 1 \text{ km} << L_i$, this correction of the \vec{C} results in the multiplier $exp(-ik_0 \cdot \delta x)$, where $\delta x = z \cdot (h_x/R_E)$, $R_E >> L_i$ is the Earth's radius (see Fig. 2 and the capture to this figure). At the distances $x \le 1000$ km, the simulation results do not depend on the insertion of this correction, whereas at higher distances some quantitative difference occurs: the VLF beam propagates more closely to the upper boundary of the waveguide.

397 **3.5 VLF Waveguide Modes and Reflection from the VLF Waveguide Upper Effective Boundary**

398 In general, our model needs the consideration of the waveguide modes excitations by a current source such as dipole-like 399 VLF radio source and lightning discharge. Then, the reflection of the waves incident on the upper boundary $(z=L_z)$ of the 400 effective WGEI can be considered. There will be possible to demonstrate that this structure has indeed good enough 401 waveguiding properties. Then, in the model described in the present paper, the VLF beam is postulated already on the input 402 of the system. To understand, how such a beam is excited by the, say, dipole antenna near the lower boundary z=0 of the 403 WGEI, the formation of the beam structure based on the mode presentation should be searched. Then the conditions of the 404 radiation (absence of ingoing waves) (12) can be used as the boundary conditions for the VLF beam radiated to the upper 405 ionosphere/magnetosphere. Due to a relatively large scale of the inhomogeneity in this region, the complex geometrical 406 optics (Rapoport et al., 2014) would be quite suitable for modeling a beam propagation, even accounting for the wave 407 dispersion in magnetized plasma. The proper effective boundary condition, similarly to (Rapoport et al. 2014) would allow 408 to make relatively accurate matching between the regions, described by the full wave electromagnetic approach with 409 Maxwell's equations and complex geometrical optics (FWEM-CGO approach). All of these materials are not included in this 410 paper, but will be delivered in the two future papers. The first paper will be dedicated to the modeling VLF waves 411 propagating in WGEI based on the field expansion as a set of eigenmodes of the waveguide (the mode presentation

412 approach). The second paper will deal with the leakage of the VLF beam from the WGEI into upper ionosphere and 413 magnetosphere and the VLF beam propagation in these media. Here we describe only one result, which concerns the mode 414 excitation in the WGEI, because this result is principally important for the justification of TIMEB method. It was shown that 415 more than five lowest modes of the WGEI are strongly localized in the atmosphere-lower ionosphere. Their longitudinal 416 wavenumbers are close to the corresponding wavenumbers of EM-waves in the atmosphere. This fact convinced that the 417 TIMEB method can be applied to the propagation of the VLF electromagnetic waves in the WGEI.

418 4. Modeling Results

The dependencies of the permittivity components ε_{l} , ε_{3} , ε_{h} in the coordinate frame associated with the geomagnetic field \vec{H}_{0} are given in Fig. 3. The typical results of simulations are presented in Fig. 4. The parameters of the ionosphere correspond to Fig. 3. The angle θ (Fig. 1) is 45°. The VLF frequency is $\omega = 10^{5} \text{ s}^{-1}$, $f = \omega/2\pi \approx 15.9$ kHz. The Earth's surface is assumed as ideally conductive at the level Z = 0. The values of EM-field are given in absolute units. The magnetic field is measured in Oersteds (Oe), or Gauss (Gs), 1 Gs = 10^{-4} T, whereas the electric field is also in Gs, 1 Gs = 300 V/cm.

Note that in the absolute (Gaussian) units the magnitudes of the magnetic field component $|H_y|$ are the same as ones of the electric field component $|E_z|$ in the atmosphere region where the permittivity is $\varepsilon \approx 1$. Below in the Fig. 4 caption, the correspondence between the absolute units and practical SI units is given.

427 It is seen that the absolute values of the permittivity components increase sharply above z = 75 km. The behavior of the permittivity components is step-like, as seen from Fig. 3a. Therefore, the results of simulations are tolerant to the choice 428 429 of the upper wall position of the Earth's surface-ionosphere waveguide. The computed components of the tensor impedance at z = 85 km are: $Z_{C11} = 0.087 + i0.097$, $Z_{021} = 0.085 + i0.063$, $Z_{012} = -0.083 - i0.094$, $Z_{022} = 0.093 + i0.98$. So, a condition 430 431 $|Z_{0a\beta}| \leq 0.15$ is satisfied there, which is necessary for the applicability of the boundary conditions (25). The maximum value of the H_v component is 0.1 Oe = 10⁻⁵ T in Fig. 3a for the initial VLF beam at x = 0. This corresponds to the value of E_z 432 component of 0.1 Gs = 30 V/cm. At the distance x = 1000 km the magnitudes of the magnetic field H_v are of about $3 \cdot 10^{-5}$ Oe 433 = 3 nT, whereas the electric field Ey is of $3 \cdot 10^{-6}$ Gs ≈ 1 mV/cm. 434





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Figure 3. (a) The vertical dependencies of the modules of components of the permittivity in the frame associated with the geomagnetic field $|\varepsilon_l|, |\varepsilon_3|, |\varepsilon_h|,$, curves 1, 2, 3 correspondingly. (b) – (g) The real and imaginary parts of the components $\varepsilon_l, \varepsilon_3, \varepsilon_h$, general and detailed views.



440 441 Figure 4. Part a) is the initial distribution of $|H_y|$ at x = 0. Parts b), c) are $|E_y|$ and $|H_y|$ at x = 600 km. Parts d), e) are $|E_y|$ and $|H_y|$ at 442 x = 1000 km. For the electric field maximum value (Fig. d) is $3 \cdot 10^{-6}$ Gs ≈ 1 mV/cm, for the magnetic field maximum value (Fig. e) is 443 $3 \cdot 10^{-5}$ Gs ≈ 3 nT ≈ 10 mV/cm. At the altitudes z < 75 km, $|E_z| \approx |H_y|$. $\omega = 1. \cdot 10^5$ s⁻¹; $\theta = 45^{\circ}$

The wave beams are localized within the WGEI, 0 < z < 75 km, mainly in the regions with the isotropic permittivity (see Fig. 4b-e). The mutual transformations of the beams of different polarizations occur near the waveguide upper boundary due to the anisotropy of the ionosphere within the thin layer 75 km < z < 85 km (Fig. 4b, d). These transformations depend on the permittivity component values of the ionosphere at the altitude z > 80 km and on the components of the tensor impedance. Therefore, the measurements of the phase and amplitude modulations of different EM components near the Earth's surface can provide information on the properties of the lower and middle ionosphere.

In accordance with boundary condition (32), we suppose that when entering the system (at X = 0), only one of the two polarization modes is excited, namely, the TM mode, i.e. at X = 0, $H_y \neq 0$; $E_y = 0$ (Fig. 4a). Upon further propagation of the beam with such boundary conditions at the entrance to the system in a homogeneous isotropic waveguide, the property of the electromagnetic field described by the relation $H_y \neq 0$; $E_y = 0$ will remain valid. The qualitative effect due to the presence of gyrotropy (a) in a thin bulk layer near the upper boundary of WGEI and (b) in the upper boundary condition with complex gyrotropic and anisotropic impedance is as follows. During beam propagation in the WGEI, the TE polarization mode with the corresponding field components, including E_y , is also excited. This effect is illustrated in Figs. 4 b, d.

The magnitude of the E_y component depends on the values of the electron concentration at the altitudes z = 75 - 100 km. In Fig. 5a, b the different dependencies of the electron concentration n(z) are shown (see solid (1), dash (2) and dot (3) lines). The corresponding dependencies of the component absolute values of the permittivity are shown in Figs. 4c and 4d.



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Figure 5. Different profiles of the electron concentration n(z) used in simulations: solid, dash, and dot lines correspond to undisturbed, decreased and increased concentrations, respectively. (a) the detailed view; (b) general view; (c) and (d) the permittivity $|\varepsilon_3|$ and $|\varepsilon_{h}|$ modules.

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The distributions of $|E_y|$, $|H_y|$ at x = 1000 km are given in Fig. 6. Results in Figs. 6a and 6b correspond to the solid (1) curve *n(z)* in Fig. 5; Figs. 6c and 6d correspond to the dash (2) curve; Figs. 6e and 6f correspond to the dot (3) curve in Fig. 5. The initial H_y beam is the same and is given in Fig. 4a. The values of the tensor impedance for these three cases are presented in Table 1.

469

470 Table 1. The values of tensor impedance components corresponded to the data shown in Fig. 5.

Component of the tensor	Z_{011}	Z_{021}	Z_{012}	Z_{022}
impedance				
Undisturbed concentration	0.088 + i0.098	0.085 + i0.063	-0.083 - i0.094	0.093 + i0.098
(curves 1 in Fig. 5)				
Decreased concentration	0.114 + i0.127	0.107 + i0.079	-0.105 - i0.127	0.125 + i0.125
(curves 2 in Fig. 5)				
Increased concentration	0.067 + i0.0715	0.061 + i0.051	-0.060 - i0.070	0.069 + i0.072



(curves 3 in Fig. 5)



472 Figure 6. Parts a), c), e) are dependencies of $|E_v|$, parts b), d), f) are dependencies of $|H_v|$ at x = 1000 km; $\omega = 1.10^5 s^{-1}$; $\theta = 45^\circ$. The initial beams are the same as in Fig. 4, a). Parts a), b) correspond to the solid (1) curves in Fig. 5; parts c), d) are for the dash 475 (2) curves; parts e), f) correspond to the dot (3) curves there.



476

477 Figure 7. The dependencies of EM components on the altitude z in the center of the waveguide, y = 1500 km, for the different 478 profiles of the electron concentration. The solid (1), dash (2), and dot (3) curves correspond to the different profiles of the electron 479 concentration in Fig. 5, a), b), the same kinds of curves; $\omega = 1.10^5 s^{-1}$; $\theta = 45^{\circ}$

480 The distributions of $|E_{y|}$, $|H_{y|}$ on z at x = 1000 km in the center of the waveguide, y = 1500 km, are given in Fig. 7. These 481 simulations show that the change in the complex tensors of both volume dielectric permittivity and impedance at the lower and upper boundaries of effective WGEI influence remarkably on the VLF losses. The modulation of the electron 482 483 concentration at the altitudes above z = 120 km affects the excitation of E_y component within the waveguide rather weakly.

484 5. Influence of the parameters of WGEI on the polarization transformation and losses of the propagating VLF waves

485 An important effect of the gyrotropy and anisotropy is the corresponding transformation of the field polarization 486 during the propagation in the WGEI, which is absent in the ideal metal planar waveguide without gyrotropy and anisotropy. 487 We will show that this effect is quite sensitive to the carrier frequency of the beam, propagating in the WGEI, inclination of 488 the geomagnetic field and perturbations in the electron concentration, which can vary under the influences of the powerful 489 sources placed "below", "above" and "inside" the ionosphere. In the real WGEI, the anisotropy and gyrotropy are connected 490 with the volume effect and effective surface tensor impedances at the lower and upper surfaces of the effective WGEI where 491 z=0 and $z=L_z$ (Fig. 1). For the corresponding transformation of the field polarization determination, we introduce the characteristic polarization relation $|E_y/H_y|(z; y = L_y/2; x = x_0)$, taken at the central plane of the beam $(y=L_y)$ at the 492 493 characteristic distance $(x=x_0)$ from the beam input/VLF transmitter. The choice of the characteristic polarization parameter 494 $(|E_y/H_y|)$ and its dependence on the vertical coordinate (z) is justified by conditions (1) – (3). (1) The WGEI is similar to the 495 ideal planar metallized waveguide because, first, the tensor $\hat{\varepsilon}$ is different from the isotropic \hat{I} only in the relatively small 496 upper part of the WGEI in the altitude range from 75-80 to 85 km (see Fig. 1). Second, both the Earth and ionosphere 497 conductivity are quite high and corresponding impedances are quite low. In particular, the elements of the effective tensor 498 impedance at the upper boundary of WGEI are small, $|Z0\alpha\beta| \le 0.1$ (see, for example, Table 1). (2) Respectively, the carrier 499 modes of the VLF beam are close to the modes of the ideal metallized planar waveguide. These modes are subdivided into 500 the sets of uncoupled (Ex,Hy,Ez) and (Hx,Ey,Hz) modes. The detailed search of the propagation of the separate eigenmodes 501 of the WGEI is not a goal of this paper, and respectively, will be the subject of the separate paper. (3) Because we have adopted for the initial beam(s) the input boundary conditions in the form (32) (with $H_y \neq 0$, $E_y = 0$), the above mentioned 502 value $|E_y/H_y|(z; y = L_y/2; x = x_0)$ characterizes the mode coupling and corresponding transformation of the polarization 503 504 at the distance x_0 from the beam input due to the presence of the volume and surface gyrotropy and anisotropy in the real 505 WGEI. The results presented below are obtained for $x_0 = 1000$ km, that is, by the order of value, a typical distance, for 506 example, between the VLF transmitter and receiver of the European VLF/LF radio network (Biagi et al. 2015). Other 507 parameter characterizing the propagation of the beam in the WGEI, the effective total loss parameter is $|H_{ymax}(x=x_0)/|$ 508 $H_{vmax}(x=0)$. Note that this parameter characterizes both dissipative and diffraction losses. The last are connected with beam 509 spreading in the transverse (y) direction during the propagation in the WGEI.



Figure 8. Characteristics of the polarization transformation parameter $|E_y/H_y|$ (a-c) and effective coefficient of the total losses at the distance $x_0=1000$ km from the beam input (d); corresponding altitude dependence of the electron concentration is shown in Fig. 5b line (1); (a, b) and (d) - dependences of the polarization parameter (a, b) and total losses (d) on the vertical coordinate for different angles θ , respectively; black (1), red (2), green (3) and blue (4) curves in Figs. a, b and d correspond to θ equal to $5^{\circ}, 30^{\circ}, 45^{\circ}$ and 60° , respectively; (a) and (b) correspond to frequencies $\omega = 0.86 \cdot 10^5 s^{-1}$ and $\omega = 1.14 \cdot 10^5 s^{-1}$, respectively; (c) – dependence of the polarization parameter on the vertical coordinate for the different frequencies; black (1), red (2) and green (3) lines correspond to the frequencies $0.86 \cdot 10^5$, $1. \cdot 10^5$ and $1.14 \cdot 10^5 s^{-1}$, respectively and $\theta = 45^{\circ}$.

In Fig. 8a-c the altitude dependence of the polarization parameter |Ey/Hy| exhibits two main maxima in the WGEI. The first one lies in the gyrotropic region above 70 km, while the second one in the isotropic region of the WGEI. As it is seen from Fig. a, b, the value of the (larger) second maximum increases, while the value of the first maximum decreases and its position shifts to the lower altitudes with increasing frequency. At the higher frequency ($\omega = 1.14 \cdot 10^5 c^{-1}$), the larger maximum of the polarization parameter corresponds to the intermediate value of the angle $\theta = 45^{\circ}$ (Fig. 8 b); for the lower frequency ($\omega = 0.86 \cdot 10^5 c^{-1}$), the largest value of the first (higher) maximum corresponds to the almost vertical direction of the geomagnetic field ($\theta = 5^{\circ}$, Fig. 8 a). For the intermediate value of the angle ($\theta = 45^{\circ}$), the largest value of the main

- 530 maximum corresponds to the higher frequency ($\omega = 1.14 \cdot 10^{5} c^{-1}$) in the considered frequency range (Fig. 8 c). The total losses 531 increase monotonically with increasing frequency and depend weakly on the value of θ (Fig. 8 d).
- 532

To model the effect of increasing and decreasing the electron concentration n_e in the lower ionosphere on the polarization parameter, we have used the following parameterization for the n_e change $\Delta n_e = n_e(z) - n_{0e}(z)$ of the electron concentration, where $n_{0e}(z)$ is the unperturbed altitude distribution of the electron concentration:

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$$\Delta n_e(z) = n_{0e}(z)\Phi(z); \ \Phi(z) = [F(z)] - \frac{(z-z_2)^2}{\Delta z_{12}^2} [F(z_1)] - \frac{(z-z_1)^2}{\Delta z_{12}^2} [F(z_2)]; \ F(z) = f \cdot ch^{-2} \{ [z - (\frac{z_1 + z_2}{2})] / \Delta z \}$$
(35)

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541 542 543

538 In Eqs. (35), $\Delta z_{12} \equiv z_2 - z_1$; Δz is the effective width of the electron concentration perturbation altitude distribution. The 539 perturbation Δn_e is concentrated in the range of altitudes $z_1 \le z \le z_2$ and is equal to zero outside this region, 540 $\Delta n_e(z_1) = \Delta n_e(z_2) = 0$, while $\Phi(z_1) = \Phi(z_2) = 0$.



Figure 9. (a) Decreased and increased electron concentration (line 2, red color) and (line 3, blue) correspond to f = -1.25 and f = 250, respectively, relative to reference concentration (line 1, black) with parametrization conditions (see Eqs. (35)): $z_1=50$ km, $z_2=90$ km, $\Delta z = 20$ km; (b) and (c) are the polarization parameter $|E_y/H_y|$ altitude distribution, for decreased and increased electron concentration, respectively. In (b) and (c) lines 1, 2 and 3 correspond to ω values $0.86. \cdot 10^5 s^{-1}$, $1. \cdot 10^5 s^{-1}$ and $1.14. \cdot 10^5 s^{-1}$, respectively. Angle θ is equal to 45° .

549 The change in the concentration in the lower ionosphere causes rather nontrivial effect on the parameter of the 550 polarization transformation $|E_{V}/H_{V}|$, Fig. 9 a-c. Note that either increase or decrease in the ionosphere plasma concentration 551 have been reported as a result of seismogenic phenomena, tsunamis, particle precipitation in the ionosphere due to wave-552 particle interaction in the radiation belts (Pulinets et al. 2005; Shinagawa et al. 2013; Arnoldy et al. 1989; Glukhov et al. 553 1992; Tolstoy et al. 1986) etc. The changes in the $|E_y/H_y|$ due to increase or decrease in electron concentration vary by 554 absolute values from dozens to thousands percent, as it is seen from the comparison between Figs. 9b, c (lines 3) and Fig. 8c 555 (line 3), which corresponds to the unperturbed distribution of the ionospheric electron concentration (see also lines 1 in Figs. 556 5b and 9a). It is even more interesting that in the case of decreasing (Fig. 9 a, curve 2) electron concentration, the main



maximum of $|E_y/H_y|$ appears in the lower atmosphere (at the altitude around 20 km, Fig. 9 b, curve 3, which corresponds to $\omega = 1.14.\cdot 10^5 c^{-1}$). In the case of increasing electron concentration (Fig. 9 a, curve 3) the main maximum of $|E_y/H_y|$ appears near the E region of the ionosphere (at the altitude around 77 km, Fig. 9 c). The secondary maximum, which is placed, in the absence of the perturbation of the electron concentration, in the lower atmosphere (Fig. 8 c, curves 2, 3), or mesosphere/ionosphere D region ((Fig. 8 c, curve 1), practically disappears or just is not seen in the present scale, in the case under consideration (Fig. 9 c, curves 1-3).



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Figure 10. (a, b) Frequency dependencies of the real (a) and imaginary (b) parts of the effective tensor impedance Z_{011} component at the upper boundary ($z=L_{z2}$ see Fig. 1) of the WGEI. Lines 1 (black), 2 (red), 3 (blue) and 4 (green) correspond to $\theta = 5^{\circ}, 30^{\circ}, 45^{\circ}$ and 60° degrees respectively; (c) polarization parameter $|E_y/H_y|$ altitude dependency at the frequency $\omega = 0.86 \cdot 10^5 \text{ s}^{-1}$ and angle $\theta = 45^{\circ}$ for the isotropic surface impedance Z_0 at the lower surface of the WGEI equal to 10^{-4} . Earth conductivity σ equal to 10^9 s^{-1} , line 1 and $Z_0 = 10^{-2}$ ($\sigma = 10^7 \text{ s}^{-1}$), line 2.

571 In Fig. 10, the real (a) and imaginary (b) parts of the surface impedance at the upper boundary of the WGEI have a 572 quasi-periodical character with the amplitude of oscillations occurring around the effective average values (not shown 573 explicitly in Figs. 10 a, b), which decreases with increasing the angle θ . The average $Re(Z_{011})$ and $Im(Z_{011})$ values in 574 general, decrease with increasing angle θ (see Fig. 10a, b). The average values of $Re(Z_{011})$ at $\theta = 5^{\circ}, 30^{\circ}, 45^{\circ}$ and 60° (lines 1-4 in Fig. 10a) and $Im(Z_{011})$ at $\theta = 45^{\circ}$ and 60° (curves 3, 4 in Fig. 10b) increase with increasing frequency in the frequency 575 range $(0.86-1.14)\cdot 10^5$ s⁻¹. The average $Im(Z_{011})$ value at $\theta = 5^\circ$ and 30° changes in the frequency range $(0.86-1.14)\cdot 10^5$ s⁻¹ 576 non-monotonically with the maximum at $(1-1,1)\cdot 10^5$ s⁻¹. The value of finite impedance at the lower Earth-atmosphere 577 boundary of the WGEI influences on the polarization transformation parameter minimum near the E region of the ionosphere 578 579 (lines 1, 2 in Fig. 10c). The decrease of surface impedance Z_0 at the lower boundary Earth-atmosphere of the WGEI by two orders of magnitude produces the 100% increase of the corresponding $|E_v/H_v|$ minimum at $Z \sim 75$ km (Fig. 10 c). 580

581 6. Discussion

582 The observations presented in (Rozhnoi et al., 2015), shows a possibility for seismogenic increasing losses of VLF

waves in the WGEI (Fig. 11; see details in (Rozhnoi et al. 2015)). We discuss the qualitative correspondence of our results to
 these experimental data.



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Figure 11. Averaged residual VLF/LF signals from ground-based observations at the wave paths: JJY-Moshiri, JJI-Kamchatka,
 JJY-Kamchatka, NWC-Kamchatka, and NPM-Kamchatka. Horizontal dotted lines show 2σ level. The color filled zones highlight
 values exceeding the -2σ level. In panel below Dst variations and earthquakes magnitude values are shown (from Rozhnoi et al.,
 2015, but not including the DEMETER data). See other details in (Rozhnoi et al., 2015).



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Figure 12. Modification of the ionosphere by electric field of seismogenic origin. (a) Geometry of the model (Rapoport et al. 2006) for the determination of the electric field excited by seismogenic current source $J_z(x, y)$ and penetrated into the ionosphere with isotropic (I) and anisotropic (II) regions of the system "atmosphere-ionosphere". (b) Electric field in the mesosphere in presence of the seismogenic current sources only in the mesosphere (1); in the lower atmosphere (2); both in the mesosphere and in the lower atmosphere (3). (c) Relative perturbations caused by seismogenic electric field, normalized on the corresponding steady-

597 state values in the absence of perturbing electric field, denoted by the index "0", of electron temperature (T_e/T_{e0}) , electron 598 concentration (N_e/N_{e0}) , and electron collision frequency (v_e/v_{e0}) .



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Figure 13. Altitude distributions of the normalized tangential (v) electric (a) and magnetic (b) VLF beam field components in the central plane of the transverse beam distribution (v=0) at the distance x=1000 km from the input of the system. Line 1 in (a, b) corresponds to the presence of the mesospheric electric current source only with relatively small value of N_e and large v_e . Line 2 corresponds to the presence of both mesospheric and near-ground seismogenic electric current sources with relatively large value of N_e and small v_e . Lines 1 and 2 in (a, b) correspond qualitatively to the lines 1 and 3, respectively, in Fig. 12b.

606 $\omega = 1.5 \cdot 10^5 s^{-1}; \ \theta = 45^\circ$.

608 The modification of the ionosphere due to electric field excited by the near-ground seismogenic current source has 609 been taken into account. In the model (Rapoport et al., 2006), the presence of the mesospheric current source, which 610 followed from the observations (Martynenko et al., 2001; Meek et al., 2004; Bragin, 1974) is also taken into account. It is assumed that the mesospheric current has only the Z-component and is positive, which means that it is directed vertically 611 612 downward, as is the fair-weather current (curve 1, Fig. 12b). Then suppose that the surface seismogenic current is directed in 613 the same way as the mesospheric current. We first consider the case when the mesospheric current is zero and only the 614 corresponding seismogenic current is present near the earth. The corresponding mesospheric electric field under the 615 condition of a given potential difference between the Earth and the ionosphere (curve 2, Fig. 12 b) is directed opposite to those excited by the corresponding mesospheric current (curve 1, Fig. 12 b). As a result, in the presence of both mesospheric 616 617 and a seismogenic surface current, the total mesospheric electric field (curve 3, Fig. 12 b) is smaller in absolute value than in 618 the presence of only a mesospheric current (curve 1, Fig. 12 b). It has been shown by Rapoport et al., (2006) that the decrement of losses |k''| for VLF waves in the WGEI is proportional to $|k''| \sim |\varepsilon''| \sim N_e / v_e$. N_e and v_e decrease and 619 620 increase, respectively, due to the appearance of a seismogenic surface electric current, in addition to the mesospheric current 621 (curve 3, Fig. 12, b). As a result, the losses increase compared with the case when the seismogenic current is absent and the 622 electric field has a larger absolute value (curve 1, Fig. 12). The increase in losses in the VLF beam, shown in Fig. 13 623 (compare curves 2 and 1 in Figs. 13 a, b) corresponds to an increase in losses with an increase in the absolute value of the 624 imaginary part of the dielectric constant, when a near-surface seismogenic current source appears (curve 3 in Fig. 12 b), in 625 addition to the existing mesospheric current source (curve 2 in Fig. 12 b). This seismogenic increase in losses corresponds 626 qualitatively to the results, presented in (Rozhnoi et al. 2015).

627 The TIMEB is a new method of modeling characteristics of the WGEI. The results of beam propagation in WGEI 628 modeling presented above include the range of altitudes inside the WGEI (see Figs. 4-7). Nevertheless, the TIMEB method 629 described by Eqs. (15)-(19), (22-24), (27), (30) and allows to determine all field components in the range of altitudes $0 \le z \le L_{max}$, where $L_{max} = 300$ km. The structure and behavior of these eigenmodes in the WGEI and leakage waves will be 630 a subject of separate papers. We present here only the final qualitative result of the simulations. In the range $L_z \le z \le L_{max}$, 631 632 where $L_z = 85$ km is the upper boundary of the effective WGEI, all field components are (1) at least one order of altitude 633 less than the corresponding maximal field value in the WGEI and (2) field components have the oscillating character along 634 z coordinate and describe the modes, leaking from the WGEI.

635 Let us make a note also on the dependences of the field components in the WGEI on the vertical coordinate (z). The 636 initial distribution of the electromagnetic field with altitude z (Fig. 4a) is determined by the boundary conditions of the beam 637 (see Eq. (32)). The field component includes higher eigenmodes of the WGEI. The higher-order modes experienced quite 638 large losses and practically disappear after beam propagation on 1000 km distance. This determines the change in altitude (z)639 and transverse (y) distributions of the beam field during propagation along the WGEI. In particular, at the distance x=600 km 640 from the beam input (Figs. 4b, c), the few lowest modes of the WGEI along z and y coordinates still persist. At distance x=1000 km (Fig. 4d, c; Fig. 6e, f; and Fig. 7a, b), only the main mode persists in the z direction. Note, the described field 641 642 structure correspond to real WGEI with losses. The gyrotropy and anisotropy causes the volume effects and surface 643 impedance, in distinction to the ideal planar metallized waveguide with isotropic filling (Collin, 2001).

The closest approach of the direct investigation of the VLF electromagnetic field profile in the Earth-Ionosphere waveguide was a series of sounding rocket campaigns at mid- and high-latitudes at Wallops Is., VA and Siple Station in Antarctica (Kintner et al., 1983; Brittain et al., 1983; Siefring and Kelly, 1991; Arnoldy and Kintner, 1989), where singleaxis E-field and three-axis B-field antennas, supplemented in some cases with in situ plasma density measurements were used to detect the far-field fixed-frequency VLF signals radiated by US Navy and Stanford ground transmitters.

649 The most comprehensive study of the WGEI will be provided by the ongoing NASA VIPER (VLF Trans-Ionospheric Propagation Experiment Rocket) project (PI J. W. Bonnell, UC Berkeley, NASA Grant 80NSSC18K0782). The VIPER 650 651 sounding rocket campaign is consist of a summer nighttime launch during quiet magnetosphere conditions from Wallops 652 Flight Facility, VA, collecting data through the D, E, and F regions of the ionosphere with a payload carrying the following 653 instrumentation: 2D E- and 3D B-field waveforms, DC-1 kHz; 3D ELF to VLF waveforms, 100 Hz to 50 kHz; 1D wideband 654 E-field measurement of plasma and upper hybrid lines, 100 kHz to 4 MHz; and Langmuir probe plasma density and ion 655 gauge neutral density measurements at a sampling rate of at least tens of Hz. The VIPER project will fly a fully 3D EM field 656 measurement, DC through VLF, and relevant plasma and neutral particle measurements at mid-latitudes through the radiation fields of (1) an existing VLF transmitter (the VLF transmitter Cutler with call sign NAA, the very low 657

frequency (VLF) shore radio station at Cutler, Maine, USA, which transmits, at a frequency of 24 kHz an input power of up to 1.8 megawatts, see Fig. 11) and (2) naturally-occurring lightning transients through and above the leaky upper boundary of the WGEI supported by a vigorous theory and modeling effort in order to explore the vertical and horizontal profile of the observed 3D electric and magnetic radiated fields of the VLF transmitter, and the profile related to the observed plasma and neutral densities. The VLF wave's reflection, absorption, and transmission processes as a function of altitude will be searched making use of the data on the vertical VLF E- and B-field profile.

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666 **Figure 14. Proposed VIPER Trajectory** 667

The aim of this experiment will be the investigation of the VLF beams launched by the near-ground source/VLF transmitter with the known parameters and propagating both in the WGEI and leaking from WGEI into the upper ionosphere. Characteristics of these beams will be compared with the theory proposed in the present paper and the theory on leakage of the VLF beams from WGEI, which we will present in the next papers.

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665

673 Conclusions

674 (1) We have developed the new and highly effective robust method of tensor impedance for the VLF electromagnetic 675 beam propagation in the inhomogeneous waveguiding media - the "tensor impedance method for modeling propagation of 676 electromagnetic beams (TIMEB)" in a multi-layered/inhomogeneous waveguide. The main differences/advantages of the 677 proposed tensor impedance method in comparison with the known method of the impedance recalculating, in particular 678 invariant imbedding methods (Shalashov and Gospodchikov, 2010; Kim and Kim, 2016) are the following: (i) our method is 679 a direct method of the recalculation of tensor impedance, and the corresponding tensor impedance is determined analytically, 680 (see Eq. (22)); (ii) our method applied for the media without non-locality does not need a solution of integral equation(s), as 681 the invariant imbedding method; (iii) the proposed tensor impedance method does not need the revealing the forward and reflected waves. Moreover, even the conditions of the radiation in Eq. (12) at the upper boundary $z=L_{max}$ is determined 682

- 683 through the total field components $H_{x,y}$ that makes the proposed procedure technically less cumbersome and practically more 684 convenient.
- 685 (2) The waveguide includes the region for the altitudes 0 < z < 80 90 km. The boundary conditions are the radiation 686 conditions at z = 300 km, which can be recalculated to the lower altitudes as the tensor relations between the tangential 687 components of the EM field. In another words, the tensor impedance conditions have been used at z = 80 - 90 km.
- (3) The application of this method jointly with the previous results of the modification of the ionosphere by seismogenic
 electric field gives the results, which qualitatively are in agreement with the experimental data on the seismogenic increasing
 losses of VLF waves/beams propagating in the WGEI.
- (4) The observable qualitative effect is mutual transformation of different polarizations of the electromagnetic field occur during the propagation. This transformation of the polarization depends on the electron concentration, i.e. the conductivity, of D- and E-layers of the ionosphere at the altitudes 75 - 120 km.
- (5) Changes in complex tensors of both volume dielectric permittivity and impedances at the lower and upperboundaries of effective WGEI influence remarkably on the VLF losses in the WGEI.
- 696 (6) An influence is demonstrated on the parameters characterizing the propagation of the VLF beam in the WGEI, in 697 particular, on the parameter of the transformation polarization $|E_y/H_y|$ and tensor impedance at the upper boundary of the 698 effective WGEI, of the carrier beam frequency, inclination of the geomagnetic field and the perturbations in the altitude 699 distribution of the electron concentration in the lower ionosphere
- (i) The altitude dependence of the polarization parameter $|E_y/H_y|$ has two main maxima in the WGEI: the higher maximum is in the gyrotropic region above 70 km, while the other is in the isotropic region of the WGEI. The value of the (larger) second maximum increases, while the value of the first maximum decreases and its position shifts to the lower altitudes with increasing frequency. In the frequency range of $\omega = (0.86 - 1.14) \cdot 10^5 s^{-1}$, At the higher frequency, the larger maximum polarization parameter corresponds to the intermediate value of the angle $\theta = 45^\circ$; for the lower frequency, the largest value of the first (higher) maximum corresponds to the nearly vertical direction of the geomagnetic field. The total losses increase monotonically with increasing frequency and depend weakly on
- 707 the value of θ (Fig. 1).
- 708 (ii) The change in the concentration in the lower ionosphere causes rather nontrivial effect on the parameter of
- polarization transformation $|E_y/H_y|$. This effect does include the increase and decrease of the maximum value of the polarization transformation parameter $|E_y/H_y|$. The corresponding change of this parameter has large values from dozens to thousands percent. In the case of decreasing electron concentration, the main maximum of |Ey/Hy|appears in the lower atmosphere at an altitude of around 20 km. In the case of increasing electron concentration, the main maximum of $|E_y/H_y|$ appears near the E region of the ionosphere (at the altitude around 77 km), while the secondary maximum practically disappears.

- 715 (iii) The real and imaginary parts of the surface impedance at the upper boundary of the WGEI have a quasi-716 periodical character with the amplitude of "oscillations" occurring around some effective average values decreases 717 with increasing the angle θ . Corresponding average values of $Re(Z_{11})$ and $Im(Z_{11})$, in general, decrease with
- 718 increasing angle θ . Average values of $Re(Z_{11})$ for θ equal to 5°, 30°, 45° and 60° and $Im(Z_{11})$ corresponding to θ
- 719 ij_{0} equal to 45° and 60°, increase with increasing frequency in the considered frequency range (0.86-1.14) $\cdot 10^5$ s⁻¹.
- The average value of $Im(Z_{11})$ corresponds to θ equal to 5° and 30°, change in the frequency range (0.86-1.14)·10⁵ s⁻¹ non-monotonically, having maximum values around frequency (1-1.1)·10⁵ s⁻¹.
- (iv) The value of finite impedance at the lower Earth-atmosphere boundary of the WGEI make quite observable influence on the polarization transformation parameter minimum near the E region of the ionosphere. The decrease of surface impedance Z at the lower boundary Earth-atmosphere of the WGEI in two orders causes the increase of the corresponding minimum value of $|E_y/H_y|$ in ~ 100%.
- 726 (7) In the range $L_z \le z \le L_{max}$, where $L_z = 85$ km is the upper boundary of the effective WGEI, all field components are 727 (a) at least one order of altitude less than the corresponding maximal value in the WGEI, and (b) field components have the 728 oscillating character (along the z coordinate) and describes the modes, leaking from the WGEI. The detail consideration of 729 the electromagnetic waves leaking from the WGEI will be presented in the separate paper. The initial distribution of the 730 electromagnetic field with z (vertical direction) is determined by the initial conditions on the beam. This field includes 731 higher eigenmodes of the WGEI. The higher-order modes, in distinction to the lower ones, have quite large losses and 732 practically disappear after a beam propagation for 1000 km distance. This circumstance determines the change in altitude (z) 733 distribution of the field of the beam during its propagation along the WGEI. In particular, at the distance x = 600 km from 734 the beam input, the few lowest modes of the WGEI along z coordinates are still survived. Further, at x=1000 km, practically, 735 only the main mode in the z direction remains. This fact reflects in a minimum number of oscillations of the beam field 736 components along z at a given value of x.
- (8) The proposed propagation of VLF electromagnetic beams in the WGEI model and results will be useful to explore the characteristics of these waves as an effective instrument for diagnostics of the influences on the ionosphere "from above" in the system of Sun-Solar Wind-Magnetosphere-Ionosphere, "from below" from the most powerful meteorological, seismogenic and other sources in the lower atmosphere and lithosphere/Earth, such as hurricanes, earthquakes, tsunamis, and from inside the ionosphere by the strong thunderstorms with lightning discharges, and even from the far space by as gammaflashes, cosmic rays events.
- 743 744

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921 Appendix: the matrix coefficients included into eq. (16)

922 Here the expressions of the matrix coefficients are presented that are used in the matrix factorization to compute the tensor

923 impedance, see eq. (16).

$$\hat{\alpha}_{N}^{(0)} = \begin{pmatrix} 1 + \frac{ih_{z}}{\Delta}(k_{1} - \alpha_{1}\alpha_{2}k_{2}); & \frac{ih_{z}}{\Delta}\alpha_{2}(k_{2} - k_{1}) \\ \frac{ih_{z}}{\Delta}\alpha_{1}(k_{1} - k_{2}); & 1 + \frac{ih_{z}}{\Delta}(k_{2} - \alpha_{1}\alpha_{2}k_{1}) \end{pmatrix}, \quad \hat{\alpha}_{N}^{(-)} = \begin{pmatrix} -1; & 0 \\ 0; & -1 \end{pmatrix}; \quad \Delta \equiv 1 - \alpha_{1}\alpha_{2};$$
924

$$\hat{\alpha}_{j}^{(-)} = \begin{pmatrix} \frac{\beta_{22}}{1 - \beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}} \end{pmatrix}_{j-1/2}; & -(\frac{\beta_{21}}{1 - \beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j-1/2} + \frac{ik_{x}h_{z}}{2} (\frac{\beta_{23}}{1 - \beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j-1} \\ -(\frac{\beta_{12}}{1 - \beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j-1/2} + \frac{ik_{x}h_{z}}{2} (\frac{\beta_{32}}{1 - \beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j-1}; & (\beta_{11} + \frac{k_{x}^{2}}{k_{0}^{2}} \frac{\beta_{12} \cdot \beta_{21}}{1 - \beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j-1/2} - \frac{ik_{x}h_{z}}{2} (\beta_{13} + \frac{k_{x}^{2}}{k_{0}^{2}} \frac{\beta_{12} \cdot \beta_{23}}{1 - \beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j-1} - \frac{ik_{x}h_{z}}{2} (\beta_{31} + \frac{k_{x}^{2}}{k_{0}^{2}} \frac{\beta_{32} \cdot \beta_{21}}{1 - \beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j} \\ - \frac{ik_{x}h_{z}}{2} (\beta_{31} + \frac{k_{x}^{2}}{k_{0}^{2}} \frac{\beta_{32} \cdot \beta_{21}}{1 - \beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j} \\ \end{pmatrix}_{j}$$

925

920

$$\hat{\alpha}_{j}^{(+)} = \begin{pmatrix} (\frac{\beta_{22}}{1 - \beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j+1/2}; & -(\frac{\beta_{21}}{1 - \beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j+1/2} - \frac{ik_{x}h_{z}}{2} (\frac{\beta_{23}}{1 - \beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j+1} \\ -(\frac{\beta_{12}}{1 - \beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j+1/2} - \frac{ik_{x}h_{z}}{2} (\frac{\beta_{32}}{1 - \beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j+1}; & (\beta_{11} + \frac{k_{x}^{2}}{k_{0}^{2}} \frac{\beta_{12} \cdot \beta_{21}}{1 - \beta_{22}} \frac{k_{x}}{k_{0}^{2}})_{j+1/2} + \frac{ik_{x}h_{z}}{2} (\beta_{13} + \frac{k_{x}^{2}}{k_{0}^{2}} \frac{\beta_{12} \cdot \beta_{23}}{1 - \beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j+1} + \frac{ik_{x}h_{z}}{2} (\beta_{31} + \frac{k_{x}^{2}}{k_{0}^{2}} \frac{\beta_{32} \cdot \beta_{21}}{1 - \beta_{22}} \frac{k_{x}}{k_{0}^{2}})_{j} \\ + \frac{ik_{x}h_{z}}{2} (\beta_{31} + \frac{k_{x}^{2}}{k_{0}^{2}} \frac{\beta_{32} \cdot \beta_{21}}{1 - \beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j} \\ \end{pmatrix}$$

926 927

$$\hat{\alpha}_{j}^{(0)} = \begin{pmatrix} -(\frac{\beta_{22}}{1-\beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j-1/2} - (\frac{\beta_{22}}{1-\beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j+1/2} + k_{0}^{2} h_{z}^{2}; & (\frac{\beta_{21}}{1-\beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j-1/2} + (\frac{\beta_{21}}{1-\beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j+1/2} \\ (\frac{\beta_{12}}{1-\beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j-1/2} + (\frac{\beta_{12}}{1-\beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j+1/2}; & -(\beta_{11} + \frac{k_{x}^{2}}{k_{0}^{2}} \frac{\beta_{12} \cdot \beta_{21}}{1-\beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j-1/2} - (\beta_{11} + \frac{k_{x}^{2}}{k_{0}^{2}} \frac{\beta_{12} \cdot \beta_{21}}{1-\beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j+1/2} + k_{0}^{2} h_{z}^{2} \cdot (1-\beta_{33} \frac{k_{x}^{2}}{k_{0}^{2}} - \frac{k_{x}^{4}}{k_{0}^{4}} \frac{\beta_{23} \cdot \beta_{32}}{1-\beta_{22}} \frac{k_{x}^{2}}{k_{0}^{2}})_{j} \end{pmatrix}$$