

6 **May 12, 2019**
7

8 **Authors' response to anonymous referee #1 of manuscript titled**

9
10 **Interactive comment on “Ozone and temperature**
11 **decadal solar-cycle responses, and their relation**
12 **to diurnal variations in the stratosphere,**
13 **mesosphere, and lower thermosphere, based on**
14 **measurements from SABER on TIMED” by Frank**
15 **T. Huang and Hans Mayr**
16

17
18 **Anonymous Referee #1**

19 Received and published: 19 April 2019
20

21 **1a) Referee #1:** Overall this paper has some intriguing information but it is presented in a
22 confusing way and does not go far enough in showing the reader the changes in diurnal ozone
23 & temperature values on a global scale. This reviewer recommends that the changes
24 measured between solar max and minimum be plotted as a function of latitude. We
25 believe that the diurnal changes are different at different latitudes (fig 6 of Diurnal
26 ozone variations in the stratosphere revealed in observations from the Superconducting
27 Submillimeter-Wave Limb-Emission Sounder (SMILES) on board the International
28 Space Station (ISS) by Sakazaki et al) and that the maximum diurnal cycle occurs at
29 60 degrees latitude in the summer months so the question that needs to be addressed
30 is: does the solar cycle affect ozone and temperature differently at different latitudes?
31

32 **Response 1a):** Before responding to specifics, we wish to note the intended length and scope
33 of the manuscript.

34 As it stands, at different latitudes, the variation of the responses to the decadal solar cycle
35 can be seen in Figure 3(4°lat), Figure 5 (32°, 16°), Figure 6 (16°), and Figure 7 (Equator).

36 **In response to the reviewer for more figures, we added an Appendix with 4 plots/2**
37 **figures, corresponding to Figure 7 of the manuscript, but at 32°N and 44°N latitude.**

38 **Also in response to the reviewer, we have added errors bars to Figures 6, 7, 8, and to the**
39 **added Figures A1 and A2 in the Appendix. However, we did not add error bars to other**
40 **figures, as they seem to only make the plots busier, and sometimes can make the details**
41 **more difficult to discern. Besides, the errors are quite consistent from figure to figure**
42 **because the SABER data are extremely stable, with few dropouts.**
43

44 **The revised and new figures are included below at the end of this response.**
45

46 As for adding even more figures, the manuscript is already long, more than 20 pages, and
47 adding more of what the reviewer suggests would be well outside the scope.

48 To explain why the manuscript is already long, we note the following:

49 1) Unlike previous results, there is the added variable of local time in addition to latitude and
50 altitude.

51 2) In addition to the extra variable of local time, there have been essentially no previous
52 studies on the effects of diurnal variations, over the 24 hrs of local time, on the responses of
53 ozone and temperature to the decadal solar cycle (~11 years),. Because nearly all relevant results
54 are new, and we need to spend space to substantiate the validation and reality of the results.

55 3) We derive responses to the solar cycle for

56 a) both ozone and temperature

57 b) in the stratosphere, mesosphere, and lower thermosphere,

58

59 Usually, previous results by others in this area (even without regard to diurnal variations), cover
60 the stratosphere and mesosphere in separate papers, and often ozone and temperature in separate
61 papers.

62 For example, we compare various results with results based on HALOE data with Beig et al.,
63 [2012] and Fadnavis and Beig [2006], who separated their studies into two papers.

64

65 In addition to latitude, our higher priorities are also the variations of the responses to the solar
66 cycle as a function of altitude, because the diurnal variations of ozone and temperature
67 themselves are relative small in the stratosphere, and can dominate in the upper mesosphere and
68 lower thermosphere. As expected, the effects due to diurnal variations on the responses can be
69 large at high altitudes. What was unexpected, at least to us, was that the diurnal effects were not
70 negligible even at low altitudes in the stratosphere.

71 The point here is that much of the results and discussion can only be basic, limited by space
72 and scope.

73 Concerning the diurnal variations themselves, we agree that the diurnal variations themselves
74 are a function of latitude, as shown by our previous papers (e.g., Huang et al, 2010b), in addition
75 to the results by Sakazaki et al.,[2013]. We have added the Sakazaki et al., [2013] reference to
76 the manuscript.

77

78 In item 11) below, Referee#1 states "... a more comprehensive paper showing different
79 latitudes in 10, 20 or 30 degree bands would be useful and enlightening.

80 We agree.

81 This is our point as well, and we could readily write a more comprehensive paper,
82 concentrating on details and variations with latitude. However, that should be for another day.

83

84 **1b) Referee #1:** If there is no difference in the changes vs latitude, then this needs to be
85 explicitly stated early in this paper. If there is, then plots for zonal averages (10, 20 or even 30
86 degrees) is necessary. This could be very useful information for the satellite retrieval
87 community as well as fodder for the modelers to compare to. Also, a short discussion
88 of instrument/measurement error bars would be extremely helpful.

89

90 **Response1b):**

91 As stated earlier, the variation with latitude can be seen in Figures 3(4°lat), 5 (32°, 16°),
92 6(16°), and 7 (Equator).

93 Also as stated earlier, what we have done in response to the reviewer is to add an Appendix
94 with 4 plots/2 figures corresponding to Figure 7 of the manuscript, for 32°N and 44°N latitude.

95 Also in response to the reviewer, we have added errors bars to Figures 6, 7, 8, and to the new
96 Figures A1 and A2 in the Appendix of the manuscript. However, we did not add error bars to
97 other figures, as they seem to only make the plots busier, and sometimes can make the details
98 more difficult to discern. The errors are quite consistent from figure to figure because the
99 SABER data are extremely stable, with few dropouts.

100 |
101 **We have added a Section 2.2.2 (Statistical and error considerations) to the manuscript**
102 **to describe our treatment of uncertainties, as follows:**

103 **“2.2.2 Statistical and error considerations**

104
105 The analysis of uncertainties is the same for the current study as the previous study of the mean
106 variations just described. It is only the input data that are different. Previously, the input
107 consisted of zonal means that are averaged over both longitude and local time, as in 3D models.
108 Here the zonal mean reflect measurements made at specific local times. Details of the statistical
109 analysis are given in Huang et al.,[2106a, 2016b].

110 The studies use a least squares fit of the multiple regression of Equation (1). Uncertainties in
111 the responses are found from the sample variance (Bevington and Robinson, 1992, Huang et al.,
112 2016a) of the fit. The curvature matrix and its inversion are quite stable due to the excellent
113 sampling of SABER, as there are essentially no significant data dropouts to speak of. So the
114 standard errors are quite stable and reasonable, as can be seen in the error bars in Figures 6, 7, 8,
115 and A1 and A2, in the Appendix. Although very stable in our case, the inversion of the curvature
116 matrix does not explicitly or definitively address potential aliasing among the various terms of
117 the multiple regression, unless the matrix is diagonal.

118 In Section 6 (Data length and aliasing) below, we show that the derived responses are
119 essentially the same whether we use all the terms in Equation (1) or only the term containing the
120 solar flux. So aliasing is not an issue here.”

121 122 **Specific comments:**

123
124
125 **2) Referee #1:** Line 30: based on Line 39: The understanding of the response: : :.
126 Line 154: responses due to the solar: : :..

127
128 **Response 2):** Done for lines 39, 154. We do not understand ref to line 30.

129
130
131 **3) Referee #1:** Figure 1 is extremely jumbled- please remove all trailing zeros (unless you know
132 your altitude registration to 1 meter: : :..”) what does “data 2005001 2005365” mean on the plot
133 when the caption says 2005085?

134
135 **Response 3):** We have revised the figure according to the reviewer.

136 The extra information was for ‘bookkeeping’ purposes only, and has been removed.

137
138
139 **4) Referee #1:**Figure 2: Please explain “znimn” in the figure caption or remove.

140

141 **Response 4):** “znlmn” denotes zonal mean

142

143 **5) Referee #1:**Line 250,258: change 20006 to 2006

144 **Response 5):** Done. We thank the referee for noticing.

145

146 **6) Referee#1:**Line 253-4. “The comparisons will indicate the quality of our results: : :” Does
147 it? Either remove or expand.

148

149 **Response 6):** In relevant parts of the manuscript, we have given our opinion about the quality of
150 results in comparisons with results by Beig et al., [2012] and Fadnavis and Beig [20006], based
151 on HALOE data. Although we believe that the comparisons are good, they are by necessity
152 subjective, because the HALOE results are given in 30° latitude composites. As discussed in the
153 manuscript, according to the authors, the sampling of the HALOE data is routinely sparse, and
154 responses are estimated using data over a 30° latitude bin. They do not describe exactly how the
155 data are composited, but in any case, we cannot duplicate it. We get results at 4° degree latitude
156 intervals, so quantitative comparisons should not be made.

157

158 **7) Referee #1:** Line264-5: As stated in the beginning of this review, if there are latitudinal
159 changes in the diurnal cycle between solar min and max, please show us! This is very useful
160 information. Or are you saying the responses change due to increased noise and
161 shouldn't/can't be shown?? Either way, this reviewer feels that showing two latitude
162 bands on the globe are not enough to make the point.

163

164 **Response 7):** We are perplexed. Nowhere (lines 264-265 or otherwise) do we even mention
165 ‘increased noise and shouldn't/can't be shown’ concerning our data. Perhaps the reviewer is
166 reading into what we state about the HALOE data, as opposed to our results.

167 | As mentioned in response 6),above, for comparison with HALOE, we state that according to
168 the authors, uncertainties in the HALOE data need to be considered, the main problem being
169 routine sparse data. Consequently, HALOE responses are presented in composite 30° latitude
170 bins. The authors do not describe exactly how they treat the data in order to derive responses, but
171 they would not be averages over individual latitudes.

172 We get results at 4° latitude-intervals, and from everything that we have seen, there are no
173 problems. In comparing with HALOE we would not be comparing exactly the same things, even
174 if we averaged. So we are not sure what the reviewer means about ‘noise and shouldn't be
175 shown.’

176 Again, our comparisons with HALOE are necessary qualitative, but we believe are at least
177 good.

178 We agree that showing our results at only two latitudes does not describe global variations as a
179 function of latitude adequately.

180 But the fact that they are different at the two latitudes does show that there are variations with
181 latitude.

182 In any case, we have added in the Appendix, 4 plots/Figures A1 and A2, depicting results at
183 32° and 44°. We have also added error bars to these plots, as well as to Figures, 6,7, and 8.

184 Again, in 11) below, Referee#1 states “... a more comprehensive paper showing different
185 latitudes in 10, 20 or 30 degree bands would be useful and enlightening.

186 This is our point as well, and we could readily write a more comprehensive paper,
187 concentrating on details and latitude. However, that should be for another day.

188
189 **8) Referee#1:** Line 274; should that be figure 3 (not 4)?

190
191 **Response 8):** We did mean Figure 4, and we realize that the sentence is confusing at that
192 point. We have removed the sentence because Figure 4 is discussed in more details in the
193 paragraph after the next.

194 |
195
196 **9) Referee#1:** Line 306: where are the uncertainties discussed? Line 307: please discuss your
197 error bars [and/or reference]

198 **Response 9):** As stated in our response 1b), above, we have added errors bars to Figures 6,7, 8,
199 A1, A2 of the manuscript. However, we do not think it useful to add error bars to other figures,
200 as they seem to only make the plots busier. The errors are quite consistent from figure to figure
201 because the SABER data are extremely stable, with few dropouts.

202 As stated earlier, we have added Section 2.2.2 (Statistical and error considerations) to the
203 manuscript to describe our treatment of uncertainties.

204 It is given in quotes in the response to 1b). Also, aliasing among various terms in the
205 regression are minimal. These are all supported by the discussion in Section 6 (Time span of
206 measurements) of the manuscript, where it is found that the derived responses are essentially the
207 same whether we use the all the terms in Equation (1) or only the term containing the solar flux.

208
209
210 **10) Referee#1:**Figures 3-8: explain LSTNRM in caption or remove.

211
212 **Response 10):** As noted in the manuscript, the ozone responses are presented in percent.
213 The normalization depends on the situation. When comparing with HALOE, the normalization
214 would be ozone values at sunrise/sunset. When comparing with zonal means that are averaged
215 over local time, as in Figures 6 and 7, the normalization would also be average over local time.

216
217 **11) Referee#1:** Figures 6,7 and 8 contain the interesting results of this paper. Again, a more
218 comprehensive paper showing different latitudes in 10, 20 or 30 degree bands would be useful
219 and enlightening.

220
221 **Response 11):** As stated earlier, we have added in the Appendix Figures A1 and A2, depicting
222 results at 32° and 44°. As noted in responses 1a), 1b), we are already covering the stratosphere,
223 mesosphere, and lower thermosphere, for both ozone and temperature. We are not aware of any
224 other study that has covered this much. We agree with the reviewer that a more comprehensive
225 paper would be helpful.

226
227 **12) Referee#1:** Section 5.2 This reviewer can't help but feel that some numbers games are being
228 played here. You compare SABER from 24s to 24n to Bieg 0-30 north and south
229 separately. All the others are 25n to 25s (I believe- what latitudes are the red plusses??)
230 so I recommend just removing the Beig data.

231

232 **Response 12):** We take exception to the reviewer’s remarks about ‘numbers games’. As a
233 | matter of principle, we avoid such games.

234 We included Figure 9 in the manuscript because readers might ask why, besides HALOE, we
235 did not compare results with other previous studies. Figure 9 was taken intact from a previous
236 paper by us [Huang et al. 2016b], to described previous results by others, based on a variety of
237 data. As noted in the manuscript, these previous results did not describe how they address diurnal
238 variations. The effects of diurnal variations on the responses were not a consideration for them.
239 So comparisons would not be fruitful.

240 To answer the reviewer’s question, in the current manuscript, in discussing Figure 9, we noted
241 that “The red line (plusses) in Figure 9(a) show ozone responses from Soukharev and Hood [2006]
242 (AUDTA, data from 1979-2003), as reported by Austin et al. [2008], and from models (AUMDL,
243 magenta lines and triangles), also reported by Austin et al. [2008], representing composite results
244 from 25°S to 25°N latitude. The Soukharev and Hood [2006] results (red plusses) are a composite
245 based on SBUV, HALOE, and SAGE data, ...”

246 Note that the red plusses represent results in the latitude interval 25°S to 25°N.
247 That’s why our results are averaged over 24°S to 24°N (4-degree intervals).

248 Also note that their analysis used combined SBUV, SAGE, and HALOE data, which mixed
249 measurements at different local times.

250 Austin et al., [2012] discussed the differences among the results, and we would agree that they
251 need to be explained. Because of the differences in the other results, we added Beig’s results
252 separately, to provide more information conveniently (so long as we made clear that the results
253 were for 30°, we do not believe that it was confusing).

254 We also did not endeavor to explain the differences, as there are other data-related issues, as
255 noted in the abstract and Summary and discussion section of the manuscript, where we state
256 “We do not believe that diurnal variations are the major reason for the discrepancies, as there are
257 likely other data-related issues. Other reasons for differences may be the conditions and
258 constraints under which the various measurements were made (see Austin et al., 2008, Crooks
259 and Gray [2005], Gray et al. [2005], Huang et al. [2016b]).”

260

261 **We have added a paragraph to the beginning of Section 5.2, as follows:**

262

263 “Unlike the above comparisons with results by Beig et al., [2012] based on HALOE data,
264 other studies, such as those based on operational satellites, generally did not describe how the
265 approached the issue of diurnal variations in detail. We will not then attempt to make
266 comparisons, but only present some previous findings. In addition to issues related to local times,
267 there are been reports based on data-related issues in general. Details can be found in Austin et
268 al., [2008], Crooks and Gray [2005], Gray et al. [2005], and Huang et al. [2016b].”

269

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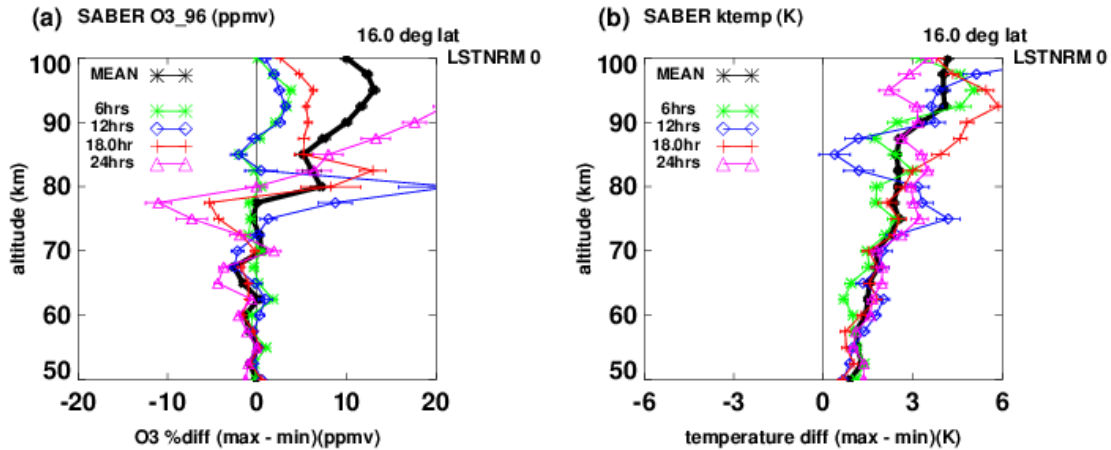
271 **13) Referee#1:** Line 518 Previous studies based on: : .

272 **Response 13):** We thank the reviewer for noticing.

273

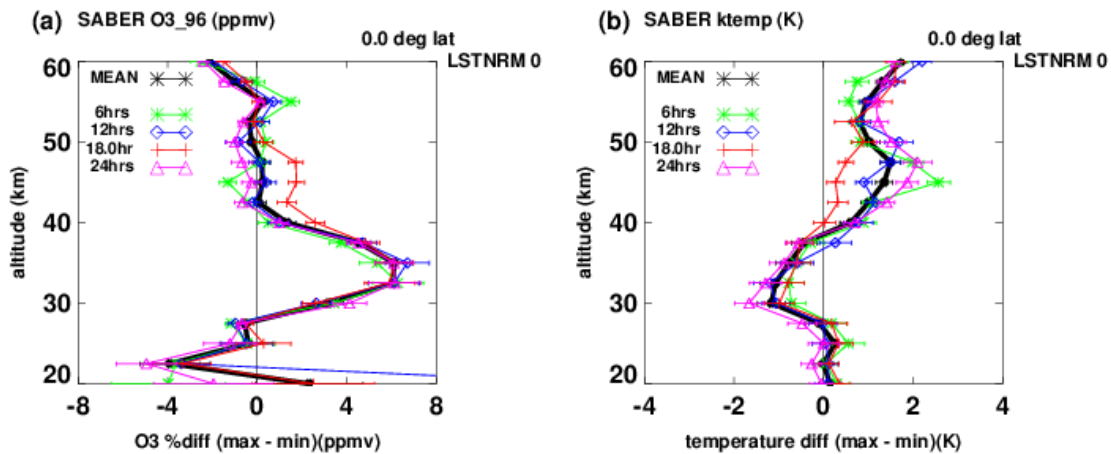
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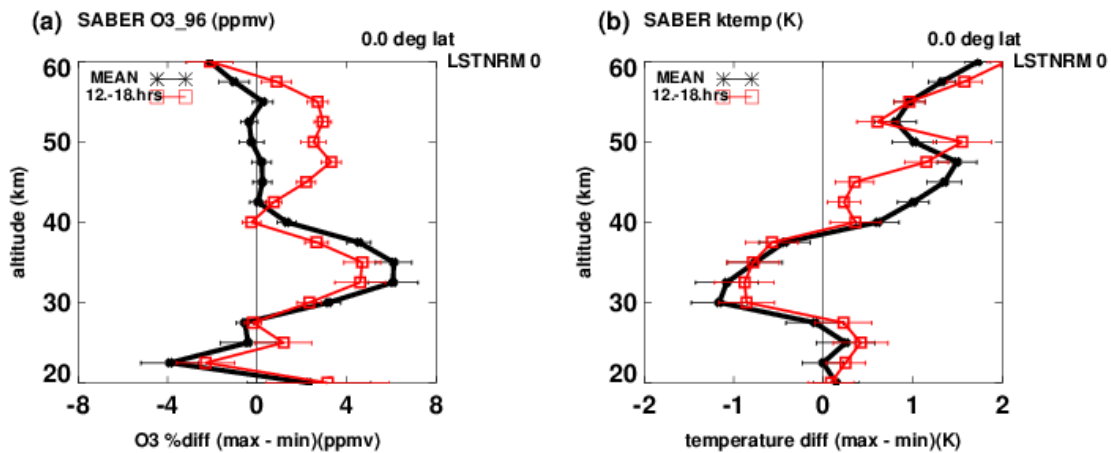
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Figure 6. Ozone (left panel) and temperature (right) responses from 50 to 100 km at 16°N. Values are responses at solar max minus responses at solar min (% /100sfu) for ozone and °K/100sfu for temperature. Black asterisks denote responses based on zonal means that are averages over both longitude and local time. Green asterisks denote our responses based on zonal means fixed at 6hrs, blue diamonds fixed at 12hrs, red plusses at 18 hrs, and magenta triangles at 24hr, based on SABER data.

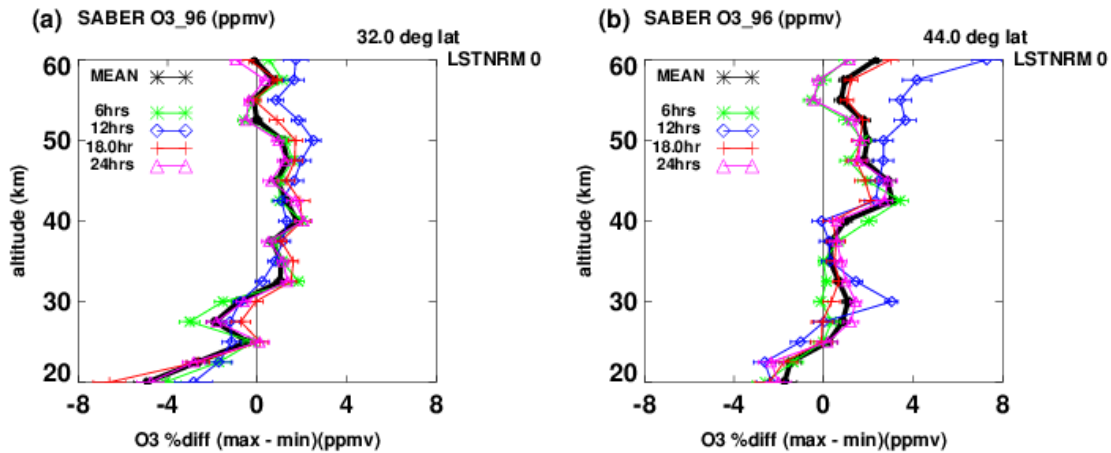


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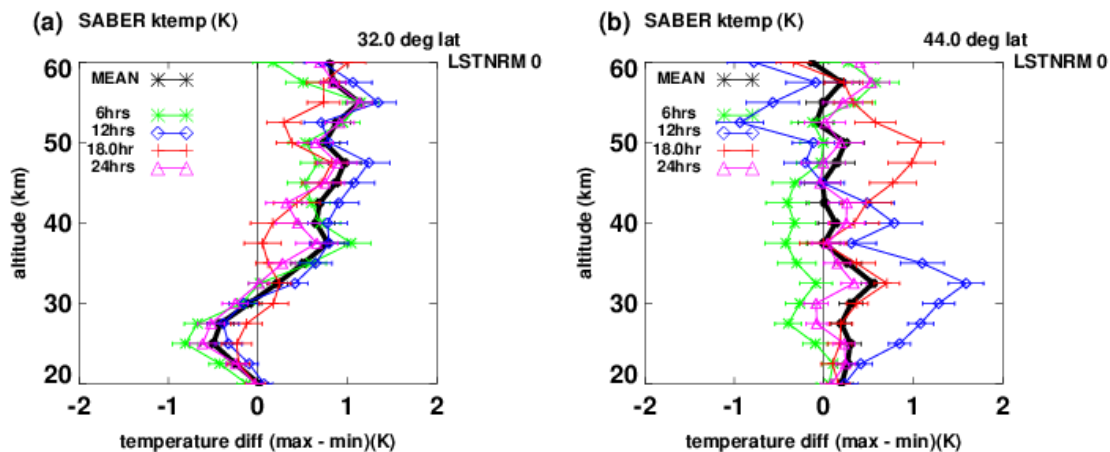
Figure 7. As in Figure 6, but from 20 to 60 km. Ozone (left panel) and temperature (right) responses at 0°. Values are responses at solar max minus responses at solar min (% /100sfu) for ozone and °K/100sfu for temperature. Black asterisks denote our responses based on zonal means that are averages over both longitude and local time. Green asterisks denote our responses of zonal means at 6hrs, blue diamonds at 12hrs, red plusses at 18 hrs, and magenta triangles at 24hrs, based on SABER data.



294
 295 **Figure 8.** Ozone (left panel) and temperature (right panel) responses to solar activity versus altitude, at the Equator,
 296 from 20 to 60 km. Values are responses at solar max minus responses at solar min in % per 100 sfu for ozone, and
 297 K/100 sfu for temperature. Black asterisks denote responses based on zonal means that are averages over both
 298 longitude and local time. Red squares denote corresponding results, but with local times increasing linearly from 12
 299 to 18 hrs from 2002 to 2014.
 300
 301



302
 303 **Figure A1.** As in Figure 7, Ozone responses at 32° (left panel) and 44° from 20 to 60 km. Values are responses at
 304 solar max minus responses at solar min (% /100sfu) . Black asterisks denote our responses based on zonal means
 305 that are averages over both longitude and local time. Green asterisks denote our responses of zonal means at 6hrs,
 306 blue diamonds at 12hrs, red plusses at 18 hrs, and magenta triangles at 24hrs, based on SABER data.
 307
 308



309
 310
 311 **Figure A2.** As in Figure A1. temperature responses at 32° (left panel) and 44°, from 20 to 60 km. Values are
 312 responses at solar max minus responses at solar min (°K/100sfu). Black asterisks denote our responses based on
 313 zonal means that are averages over both longitude and local time. Green asterisks denote our responses of zonal
 314 means at 6hrs, blue diamonds at 12hrs, red plusses at 18 hrs, and magenta triangles at 24hrs, based on SABER data.

315
 316 **References:**

317
 318 Bevington, P. R. and Robinson, D. K.,: Data reduction and error analysis for the physical
 319 sciences, McGraw-Hill, New York, USA, 1992.
 320
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 323 measurements from SABER on TIMED, *Ann. Geophys.*, 34, 29–40, doi:10.5194/angeo-34-29-
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 325
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 327 decadal responses to solar variability in the stratosphere and lower mesosphere, based on
 328 measurements from SABER on TIMED, *Ann. Geophys.*, 34, 801–813, doi:10.5194/angeo-34-
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 331 Soukharev, B. E., and L. L. Hood (2006), The solar cycle variation of stratospheric ozone:
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 333 *Geophys. Res.*, 111, D20314, doi:10.1029/2006JD007107.

334
 335 Interactive comment on *Ann. Geophys. Discuss.*, <https://doi.org/10.5194/angeo-2019-38>,
 336 2019.
 337

6 **May 12, 2019**
7

8 **Authors' response to anonymous referee #2 of manuscript titled**
9

10 **Interactive comment on “Ozone and temperature**
11 **decadal solar-cycle responses, and their relation**
12 **to diurnal variations in the stratosphere,**
13 **mesosphere, and lower thermosphere, based on**
14 **measurements from SABER on TIMED” by Frank**
15 **T. Huang and Hans Mayr**

16
17 **Anonymous Referee #2**

18 Received and published: 1 May 2019
19

20 **I) Reviewer#2:** The manuscript presents an attempt to estimate interference between the
21 decadal solar cycle and diurnal cycle in temperature and ozone profiles using SABER
22 measurements. This type of study would be useful for the satellite community to reconcile
23 observed differences in the response to the decadal solar cycle associated with the
24 differences in measurement times. However, the manuscript needs a major revision,
25 and in its current state does not provide clear conclusions and evidences. My general
26 comments are provided below.
27

28 **Response I):** Before responding to specifics, we wish to note the length and scope of this
29 manuscript, regarding additional figures.

30 **In response to the reviewer for more figures, we added an Appendix with 4 plots/2**
31 **figures corresponding to Figure 7 of the manuscript, but at 32°N and 44°N latitude.**

32 **Also in response to the reviewer, we have added errors bars to Figures 6, 7, 8, and to the**
33 **added Figures A1 and A2 in the Appendix. However, we do not add error bars to other**
34 **figures, as they seem to only make the plots busier, and sometimes can make the details**
35 **more difficult to discern. In addition, the errors are quite consistent from figure to figure**
36 **because the SABER data are extremely stable, with few dropouts.**
37

38 **The revised and new figures are included below at the end of this response.**
39

40 As for adding more figures, the manuscript is already long, more than 20 pages, and adding
41 more of what the reviewer suggests would be well outside the scope.

42 To explain why the manuscript is already long, and adding figure would expand the
43 manuscript too much, note the following:

44 1) Unlike previous results, there is the added variable of local time in addition to latitude and
45 altitude.

46 2) In addition to the extra variable of local time, there have been essentially no previous
47 studies on the effects of diurnal variations, over the 24 hrs of local time, on the responses of

48 ozone and temperature to the decadal solar cycle (~11 years),. So nearly all relevant results are
49 essentially new, and we need to spend space to substantiate the validation and reality of the
50 results.

51 3) We derive responses to the solar cycle for

52 a) both ozone and temperature

53 b) in the stratosphere, mesosphere, and lower thermosphere,

54 Because of the wide ranges that are covered, our results can only be basic in nature.

55 Usually, previous results by others in this area (even without regard to diurnal variations),
56 cover the stratosphere and mesosphere in separate papers, and often ozone and temperature
57 in separate papers.

58 For example, we compare various results with results based on HALOE data with Beig et al.,
59 [2012] and Fadnavis and Beig [2006], who separated their studies into two papers.

60 The point here is that much of the results and discussion can only be basic, limited by space
61 and scope.

62

63 **A) Reviewer#2: General comments:**

64

65 **A0) Reviewer#2:** -There is essentially no description of the SABER dataset used in this study
66 and preliminary steps taking to create zonal means that are analyzed in this study. There is a
67 brief mentioning of interpolation, but it is not clear whether this interpolation is required and how
68 it can alter the final dataset.

69

70 **Response A0): We have updated the heading to Section 2.0 and added the following:**

71

72 **“2.0 SABER data characteristics and analysis.**

73 The SABER/TIMED instrument [Russell et al., 1999] was launched in December 2001 with
74 an orbital inclination of ~74°. SABER views the Earth’s limb to the side of the orbital plane, and
75 vertical profiles, corresponding to the line-of-sight tangent point, are retrieved from
76 measurements of the CO₂ 15 and 4.3 μm emissions for kinetic temperature, and from the 9.6μm
77 channel for ozone. About every 60 days, TIMED is yawed by 180°, so that the SABER
78 measurement footprint of SABER is ~83°N-52°S or 83°S to 52°N on alternate yaw periods. Over
79 a given day and for a given latitude circle, measurements are made as the satellite travels
80 northward (ascending mode) and again as the satellite travels south-ward (descending mode).
81 Data at different longitudes are sampled over 1 day as the Earth rotates relative to the orbit plane.
82 SABER scans altitude (~10-105 km for temperature, 15-100 km for ozone) every 58s with an
83 altitude resolution of ~2km, with ~96 scans per orbit, and ~14 longitudes per day.

84 The orbital characteristics of the satellite are such that, over a given day, a given latitude
85 circle, and a given orbital mode (ascending or descending), the local time at which the data are
86 measured is essentially the same, independent of longitude and time of day. For a given day,
87 latitude, and altitude, we work with data averaged over longitude: one for the ascending orbital
88 mode and one for the descending mode, each corresponding to a different local solar time,
89 resulting in two data points for each day. Each can be biased by the local time variations and is
90 therefore not a true zonal mean. True zonal means are averages made at a specific time over
91 longitude around a latitude circle, with the local solar time varying by 24 h over 360° in
92 longitude. The local times of the SABER measurements decrease by about 12 min from day to
93 day, and it takes 60 days to sample over the 24 hrs of local time.”

94 |

95 Regarding interpolation, as with most data sets, measurements are not made at regular latitude
96 or altitude grids. Common methods for gridding include interpolation or binning. We interpolate
97 to 4° latitudes and 2.5 km altitude based on the sampling of SABER.

98 We have also tested binning for previous papers (diurnal variations) and found that the results
99 are virtually the same. In Figure 10 of the manuscript, we compare our results with those of Nath
100 and Sridharan (2014), who analyzed the same SABER data as did we, and who (presumably)
101 binned the data in the 10-15° latitude band. As can be seen our results, from data interpolated to
102 12°, are very similar for altitudes below 45km, where diurnal variations for both ozone and
103 temperature are relatively small. As noted in the manuscript, it does not appear than Nath and
104 Sridharan (2014) considered effects of local time variations, which would explain the more
105 obvious differences above 45 km.

106 Regardless, the agreement below 45 km shows that binning and interpolation provides very
107 similar results, considering the difference in the treatment of diurnal variations.

108
109 **A1) Reviewer#2:** Authors show that the response on solar cycle can be different at different
110 local times, but it's not clear if these differences are statistically significant and
111 not aliasing from differences in sampling across local times or regression model etc.
112 -The analysis is based on multi-regression model, where some terms could be crosscorrelated.

113
114 **Response A1):** In previous papers, we had discussed uncertainties in the results (responses
115 not involving diurnal variations) using the same algorithm (see our answer to A2) below,
116 including possible aliasing in the multiple regression. We should not assume that referencing
117 them alone would be adequate.

118
119 **Therefore, to the manuscript, we have added a section (2.2.2 Statistical and error**
120 **considerations), as follows:**

121 122 **“2.2.2 Statistical and error considerations**

123 The analysis of uncertainties is the same for the current study as the previous study of the mean
124 variations just described. It is only the input data that are different. Previously, the input
125 consisted of zonal means that are averaged over both longitude and local time, as in 3D models.
126 Here the zonal mean reflect measurements made at specific local times. Details of the statistical
127 analysis are given in Huang et al.,[2106a, 2016b].

128 The studies use a least squares fit of the multiple regression of Equation (1). Uncertainties in
129 the responses are found from the sample variance (Bevington and Robinson, 1992, Huang et al.,
130 2016a) of the fit. The curvature matrix and its inversion are quite stable due to the excellent
131 sampling of SABER, as there are essentially no significant data dropouts to speak of. So the
132 standard errors are quite stable and reasonable, as can be seen in the error bars in Figures 6, 7, 8,
133 and A1 and A2, in the Appendix. Although very stable in our case, the inversion of the curvature
134 matrix does not explicitly or definitively address potential aliasing among the various terms of
135 the multiple regression, unless the matrix is diagonal.

136 In Section 6 (Data length and aliasing) below, we show that the derived responses are
137 essentially the same whether we use all the terms in Equation (1) or only the term containing the
138 solar flux. So aliasing is not an issue here.”

139
140 We have added error bars to Figures 6, 7, 8, and to new Figures A1 and A2, in the Appendix.

141

142 For more on aliasing and cross correlation in the multiple regression, we refer the reviewer to
143 Section 6 and Figure 11 of the manuscript. We recognize the reviewer has explicit questions
144 about this as well in (B20), below.
145

146 **We have updated the heading of Section 6.0 to ‘Data length and aliasing’, and added to**
147 **the discussion of Figure 11 to increase clarity, as follows:**

148 “ In Section 2.2.2, we noted that in the application of Equation (1), possible aliasing among
149 the different terms are not definitively addressed. In addition, it has been argued that more than
150 one solar cycle is needed. Following our analysis given in Huang et al.,[2016b], we address these
151 issues in this section. “

152
153 **A2) Reviewer#2:** There is no discussion whether this model is appropriate for the study, what
154 are the uncertainties of this model, and how these uncertainties can affect the derived
155 results.
156

157 **Response A2):** We assume that by ‘model’, the reviewer refers to the multiple regression,
158 Equation (1). In Section 2.2, in discussing the multiple regression, we state “The estimates of
159 responses to the solar cycle are made using Equation (1), in a similar manner as previously done
160 by others, and by us, using a multiple regression analysis (e.g., Keckut et al. [2005], Soukharev
161 and Hood [2006],...” The multiple regression had been previously used by numerous authors,
162 although we explicitly referenced only two. We add that almost all papers in this area use the
163 same basic multiple regression as we do, and as we have in Huang et al.,[2016a, 2016b].

164 Since it has been used so often in the past, we guess that the reviewer is asking about how this
165 fits in with diurnal variations, which previous studies have not considered.

166 The connection is in the input $M(t)$ in Equation (1). For diurnal variations, we generate the
167 ozone or temperature zonal means at the desired local time for input to Equation (1). We repeat
168 for other local times as needed. It is similar to previous studies using data from HALOE or from
169 sun-synchronous satellites, which measures at one or two local times only.

170 Since we can generate $M(t)$ at any day and local time for input, we can then generate responses
171 to the solar cycle for any given local time.

172 This is how we can compare with HALOE explicitly, at 6 and 18 hrs.

173 The regression equation is
174

$$175 \quad M(t) = a + b * t + d * F107(t) + c * S(t) + l * lst(t) + g * QBO(t) \quad (1)$$

176 where t is time (months), a is a constant, b is the trend, d the coefficient for solar activity (10.7
177 cm flux), c is the coefficient for the seasonal ($S(t)$) variations, l the coefficient for local time (lst)
178 variations, and g the coefficient for the QBO. As is often done, the seasonal and local time
179 variations are removed first, but we include them in Equation (1) for completeness. The F107
180 stands for the solar 10.7 cm flux, which is commonly used as a measure of solar activity, and the
181 values used here are monthly means provided by NOAA.

182 $M(t)$ stands for the input ozone or temperature zonal means, either at specific local times
183 (current application), or averaged over local times (previous studies).
184

185 **Uncertainties:** The derivation of uncertainties are addressed in our response to A1) above.
186 As stated in response to (A1) we have added error bars to Figures 6, 7, 8, and to new Figures A1
187 and A2, in the Appendix.

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A3) Reviewer#2: -In this paper authors mostly focuses on the equatorial region, but they never provided a motivation for doing this. Are responses on the solar cycle larger in the equatorial band? It would be helpful if author can summarize their results and provide a global map identifying altitudes and latitudes where the differences in responses are stronger due to differences in measurement time.

Response A3): There is no physical reason that we start with equatorial regions. We began with the equatorial region to compare with previous results, both with and without effects of diurnal variations. Examples are Austin et al.,[2008], and Beig et al.,[2012], who presented results in the equatorial region, as in Figures 3, 4, and 9 of the manuscript. Our higher priorities are also the variations of the responses to the solar cycle as a function of altitude, because the diurnal variations themselves of ozone and temperature themselves are relatively small in the stratosphere, and can dominate in the upper mesosphere and lower thermosphere. As expected, the effects due to diurnal variations on the responses can be large at high altitudes. What was unexpected, at least to us, was that the diurnal effects were not negligible even at low altitudes in the stratosphere.

In addition, we tried to substantiate our results (and those of HALOE as well) in comparison with Beig et al., [2012], and Fadnavis and Beig [2006], at sunrise and sunset.

We did this for both ozone and temperature.

The point here is the constraint of space and length of the manuscript.

As stated in the manuscript, we have results from 20 to 100km and 48°S to 48°N latitude. We also have results for both ozone and temperature. Because there have been essentially no previous comparable results, we needed to also consider the reality of our results, and we compared results with that based on HALOE data at some length.

We have not considered more latitudes because we just have too many results and need to be selective.

We have added Figures A1 and A2 in the Appendix, corresponding to Figure 7 of the manuscript, showing responses of ozone and temperature at 32° and 44°.

In our paper Huang et al.,[2016b], where we looked at responses, but averaged over diurnal effects, we did provide in Figure 5 of that paper global contours. To provide similar contours over 24 hrs of local time would take up much more space.

Our main goals are to examine if diurnal variations do affect the responses to solar cycles, and if so, to examine to their basic extent. We do this for both ozone and temperature, in the stratosphere, mesosphere, and lower thermosphere.

Further details are beyond the scope of the manuscript.

The other anonymous reviewer also wanted more results at more latitudes. However, he/she did volunteer that would be for another manuscript.

We would agree. We could readily generate a separate manuscript with the added information, but that is for another day,

The manuscript is already well over 20 pages.

A4) Reviewer#2: -The main motivation of this paper is to demonstrate that the response on the solar decadal cycle could be different depending on solar local time. Authors claim that this

234 effect can explain a large fraction of differences in the solar responses reported in previous
235 studies.

236

237 **Response A4):** We agree with the reviewer that “The main motivation of this paper is to
238 demonstrate that the response on the solar decadal cycle could be different depending
239 on solar local time.” However, we do not think that we have claimed that diurnal effects can
240 “explain a large fraction of differences reported in... previous studies.”

241 In the introduction (lines 85-88) and Summary and discussion (lines 562-565), we state
242 “We do not believe that diurnal variations are the major reason for the discrepancies, as there
243 are likely other data-related issues. Other reasons for differences may be the conditions and
244 constraints under which the various measurements were made (see Austin et al., 2008, Crooks
245 and Gray [2005], Gray et al. [2005], Huang et al. [2016b]).”

246 However, diurnal variations should be included as part of the analysis of the differences
247 among various results.”

248 We also state “The effects due to satellite orbital drift (discussion in reference to Figure 8)
249 may explain some unexpected variations in the responses, especially above 40 km.”

250

251 **A5) Reviewer#2:** I hoped that Section 5 can shed light on this issue and offer some explanation
252 based on results of this study. Instead authors show responses on the solar cycle in O3 and
253 temperature from many different instruments leaving readers to wonder why the results are
254 different and could it be due to differences in measurement time.

255

256 **Response A5):** We understand the reviewer’s disappointment, and wish that the agreements
257 among other were better. We felt that we had to mention other results besides those from
258 HALOE since readers may ask about them. As noted above, in the introduction (lines 85-88) and
259 Summary and discussion (lines 562-565), we state

260 “We do not believe that diurnal variations are the major reason for the discrepancies, as there
261 are likely other data-related issues. Other reasons for differences may be the conditions and
262 constraints under which the various measurements were made (see Austin et al., 2008, Crooks
263 and Gray [2005], Gray et al. [2005], Huang et al. [2016b]).”

264 Although we give references, perhaps we should have emphasized “other data-related
265 issues” more.

266 In our paper Huang et al., [2016b] we stated “As noted by Crooks and Gray (2005),
267 “In summary, [. . .] results support the growing body of evidence that variability associated with
268 the 11-year solar cycle has a significant influence on stratospheric temperatures. However, there
269 is still no consensus on the exact magnitude and spatial structure; longer and more consistent
270 satellite observations are needed to resolve this issue.””

271 We also stated that “In comments about the inconsistencies of the various studies, Crooks
272 and Gray (2005) also state:” “We note here that tests have shown that none of the discrepancies
273 between the current work and that of S2000 and H2004 can be explained simply in terms of the
274 slightly different lengths of the various datasets employed, nor the fact that H2004 used the Mg
275 II index to represent solar variability rather than the 10.7-cm radio flux as was used in the current
276 study and in S2000. We suggest that differences between the datasets employed is the primary
277 reason for the large disagreement between the results of H2004 and those shown in the current
278 analysis and in S2000.””

279 Austin et al., [2008] describe some details of discrepancies among the various results and
280 3D models.

281 In Section 5.0 of the manuscript, we noted that, unlike Beig et al.,[2012], the various studies
282 generally did not address the issue of diurnal variations in detail. Consequently, it is not possible
283 to try and separate effects of diurnal variations from ‘other data related issues’ in these various
284 studies.

285
286 But we remind the reviewer that we have accomplished the following:

287 a) that diurnal variations do have significant and systematic effects on the response of ozone
288 and temperature to the solar cycle.

289 b) that the effects in the upper mesosphere and lower thermosphere are large, as perhaps can
290 be expected, since the diurnal variations of ozone and temperature themselves can be dominant
291 in the higher altitudes.

292 c) that even in the stratosphere, the effects of diurnal variations on the responses can still be
293 significant, even though the diurnal variations of ozone and temperature themselves are
294 relatively small in the lower altitudes.

295 d) changes in the local times due to orbital drift over years can have systematic effects on the
296 derived responses, especially above 40 km.

297 We had ‘known’, even before this study, that there were probable issues with much of the
298 data used previously.

299

300 **B) Specific comments:**

301

302 **B1) Reviewer#2:** Line 21: Suggest to replace “Our results of responses” by “Responses derived
303 in this study”;

304 **Response B1):** Done.

305

306 **B2) Reviewer#2:** Line 43-44: this statement requires a reference. Also, it might be better to say
307 “the magnitude of responses”;

308 **Response B2):** Done.

309

310 **B3) Reviewer#2:** Line 47-49: Currently this statement reads like there were no detailed
311 studies on the diurnal cycle, while there are numerous studies on this topic. I assume
312 you meant that previously nobody considered connections between the diurnal cycle
313 and solar decadal cycle.

314 **Response B3):** We have made the sentence clearer

315

316 **B4) Reviewer#2:** Line 51: does “global empirical results” refer to responses on
317 the 11-year solar cycle? Then replace it with “...previously global responses on the 11-
318 year Solar cycle from empirical measurements : : :”

319 **Response B4):** Done, except ‘empirical measurements’ is redundant.

320

321 **B5) Reviewer#2:** Lines 78-83: this exact paragraph is repeated again (lines 400-405). Is there
322 any specific reason for doing this?

323 **Response B5):** We wanted to reiterate this relevant issue in the manuscript.

324 We have reworded and deleted some phrases, so they are not exact.

325

326 **B6) Reviewer#2:** Line 84: On the first two pages authors many times mentioned “previous
327 results” and that they don’t agree with each other. It would be helpful to be more specific and say
328 something like: “In study A the ozone response on the solar cycle at altitude X km was Y DU,
329 while study B claimed only Z DU”. Otherwise, these statements look very vague.

330

331 **Response B6):** The whole paragraph stated, “Previous results have not generally agreed so
332 well with one another in their details. A major reason for these differences may be the conditions
333 and constraints under which the various measurements were made (Austin et al., 2008, Crooks
334 and Gray [2005], Gray et al. [2005], Huang et al. [2016b]).” We have pointed the reader
335 specifically to the references, especially Austin et., [2012], who describe the differences in some
336 detail. Also in the Summary and discussion.

337

338 **B7) Reviewer#2:** Line 107-108: Section 4 shows results for a few local times, not for all 24-
339 hours.

340

341 **Response B7):** We have added the following paragraph to the beginning of Section 4:
342 “Although the figures show responses only at 6, 12, 18, and 24 hrs, we have generated hourly
343 responses, and can do so at any local time. We do not believe that plots at additional local times
344 would add important information for purposes here, and would make other details less
345 discernible.”

346

347 **B8) Reviewer#2:** Section 2.0.

348 Some basic information regarding to SABER measurements should be provided here:
349 altitude range, vertical resolution, space and temporal sampling.

350

351 **Response B8):** See our response to (A0), above. We have added to Section 2.0 of the
352 manuscript.

353

354 **B9) Reviewer#2:** Figure 1 and the corresponding legend: On all plots it says that results are
355 shown in Line 188: What does it mean “consistent with 3D models”?

356

357 **Response B9):** The zonal means of 3D models are averages over both longitude and local
358 time. The zonal means based on data that are measured only at one fixed local time reflect
359 averages only over longitude. The local time is fixed at the value where the measurement is
360 taken. This point was also made by Austin et al.,[2008].

361

362 **B10) Reviewer#2:** Line 189-190: This statement is confusing. Do
363 you mean “: :our earlier results”?

364

365 **Response B10):** Yes. We have added ‘earlier’.

366

367 **B11) Reviewer#2:** Figure 2: what is the purpose of Figure 2? Since this
368 paper is about responses on the solar cycle at different local times, I have difficulty to
369 understand why the ozone time series are shown here considering its 0.06 correlation
370 with the solar cycle.

371

372 **Response B11):** As explained in the text, the green lines show how the data would behave if
373 the local times of the measurements changed due to orbital drift. It merely gives the reader a
374 better qualitative view of what can be expected. Although this description is in the text, we
375 neglected to describe the green line in the figure caption. It has been added.

376

377 **B12) Reviewer#2:** Line235-238: Please, state how did you define solar maximum
378 and minimum. Is that a month where the F107 flux has it's minimum/maximum, or an
379 average over a few months around that time?

380

381 **Response B12):** Solar max is the month where the 10.7 cm flux is max, solar min is the
382 month where the f10.7 is min.

383 Shown in Figure 2.

384

385 **B13) Reviewer#2:** Line 253: replace “8” with “18”;

386 **Response B13):** Done. We thank the reviewer for noticing.

387

388 **B14) Reviewer#2:** Line 255-256 and Sec. 3.1: Is there better way to show HALOE results rather
389 than “manually transferred values”. Can you reach out to authors of the study and ask for the
390 dataset? Also, this section list so many reasons why HALOE and SABER results might differ
391 that by the end of this section I fill that there is no value in comparing them.

392 **Response B14):** We have not asked the authors for their numbers. Their papers are many
393 years old, and we feel confident that our transcription is accurate. We have been careful to print
394 their figures and used rulers to measure the numbers. Most importantly, in comparing the plots
395 visually, we could not discern differences.

396 We mentioned this only to be professional and transparent.

397

398 **B15) Reviewer#2:** Figure 3, caption: replace “solar activity” with “solar decadal cycle”.

399 **Response B15):** Done

400

401 **B16) Reviewer#2:** Figure 4, caption, line 316: It should be first explained that these are results
402 based on HALOE analysis and then the reference should be given. Section 4: it would be useful
403 to show the response on the solar 11-year cycle as a function of solar local time for several
404 altitude levels (similar to fig. 1).

405

406 **Response B16):** We have inserted the reference to HALOE.

407 Although we appreciate the reviewer's interest, we think that this would open up a new line of
408 inquiry and should be part of another manuscript.

409 We have explained in the beginning of this response why the manuscript is already long
410 Some of the information that the reviewer wants can be seen in Figures 6 and 7, although only at
411 4 local times. We have added 2 more figures in the Appendix similar to Figure 7, but at 32° and
412 44° latitude.

413

414

415 **B17) Reviewer#2:** Line 391-394: it is not clear from the context what “global results”
416 are refer to. Is it global response on the solar decadal cycle?

417 **Response B17):** We have added ‘solar decadal cycle’.

418

419 **B18) Reviewer#2:** Section 5: I am not sure what is the purpose of this section. Authors heavily
420 criticized previously published studies because the diurnal effect wasn’t taking into account. In
421 this section, results from previous studies are collected, but authors do not offer any explanation
422 for the observed spread in the results. Does diurnal effect explain the differences?

423

424 **Response B18):** We do not believe that we criticized, much less heavily criticized, previously
425 published studies. At least that was not our intention. We mentioned it because we could not
426 compare without information on how they handled diurnal variations. If they did, we might have
427 been able to adapt, as we did with HALOE.

428 We refer the reviewer to our response to response A5) above for discussion and explanation of
429 differences.

430

431 **B19) Reviewer#2:** Line 476: should be “at the Equator”

432 **Response B19):** Done, although we think that ‘at’ also works.

433

434 **B20) Reviewer#2:** Section 6 and Figure 11: The figure has two a) panels and
435 two b) panels, and I was not able to understand what is shown on those plots. Reading
436 section 6 didn’t help me to understand that either. This section and figure should be
437 revised.

438

439 **Response B20):** Section 6 and Figure 11 address directly the reviewer’s comments in A1)
440 and A2) above, concerning crosscorreltion (aliasing as used by us) and also comments about the
441 length of the data.

442 **We have changed the heading to Section 6.0 and added the following:**

443

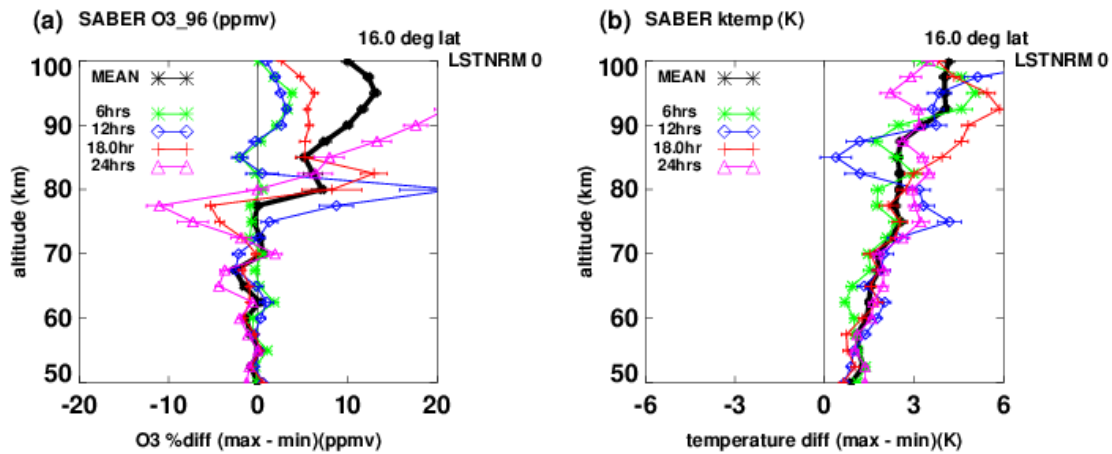
444 “ In Section 2.2.2, we noted that in the application of Equation (1), possible aliasing among the
445 different terms are not definitively addressed. In addition, it has been argued that more than one
446 solar cycle is needed. Following our analysis given in Huang et al.,[2016b], we address these
447 issues in this section. “

448 We refer the reviewer to our response A1) and A2), above.

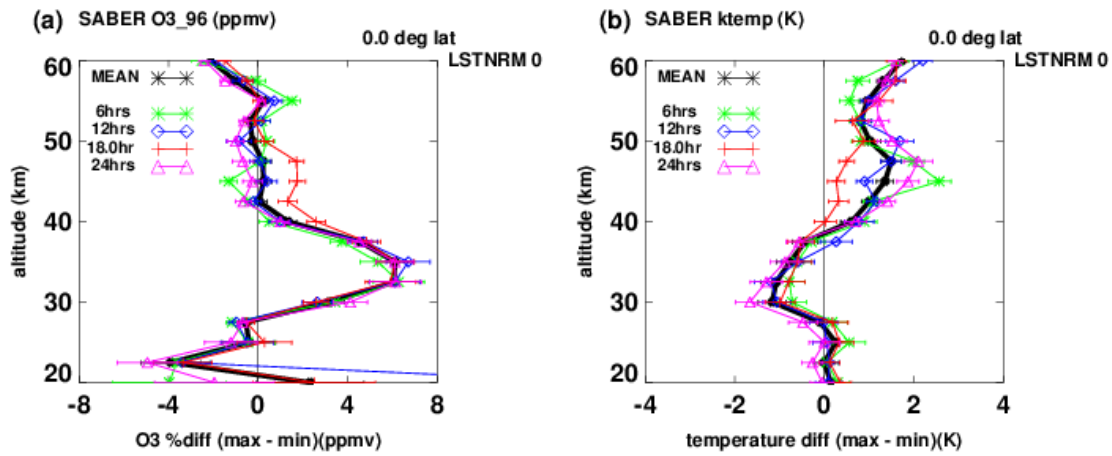
449

450 Figures

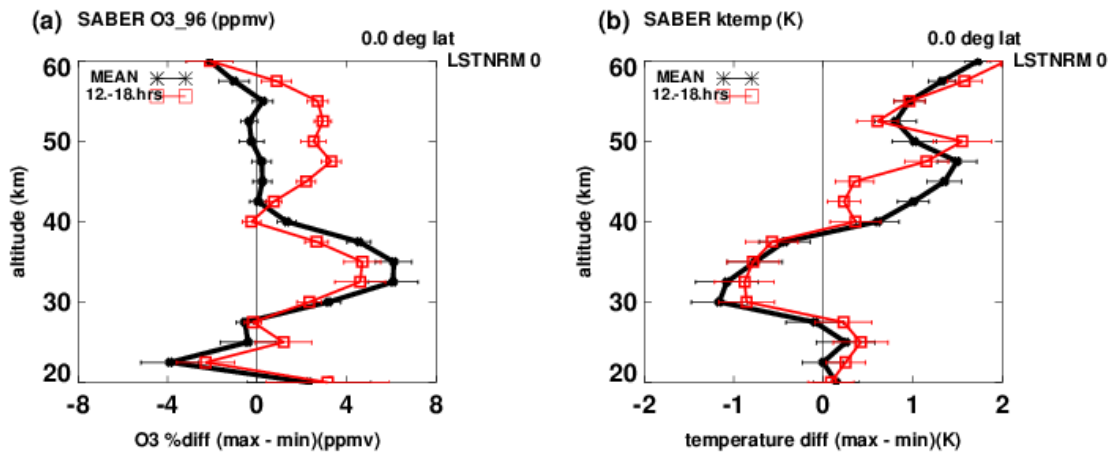
451



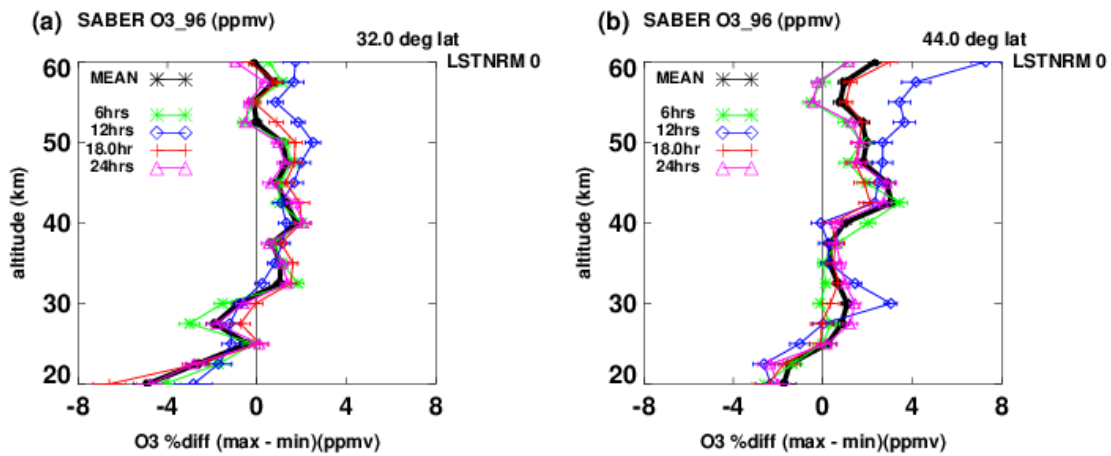
452
 453 **Figure 6.** Ozone (left panel) and temperature (right) responses from 50 to 100 km at 16°N. Values are responses at
 454 solar max minus responses at solar min (% /100sfu) for ozone and °K/100sfu for temperature. Black asterisks denote
 455 responses based on zonal means that are averages over both longitude and local time. Green asterisks denote our
 456 responses based on zonal means fixed at 6hrs, blue diamonds fixed at 12hrs, red plusses at 18 hrs, and magenta
 457 triangles at 24hr, based on SABER data.
 458
 459



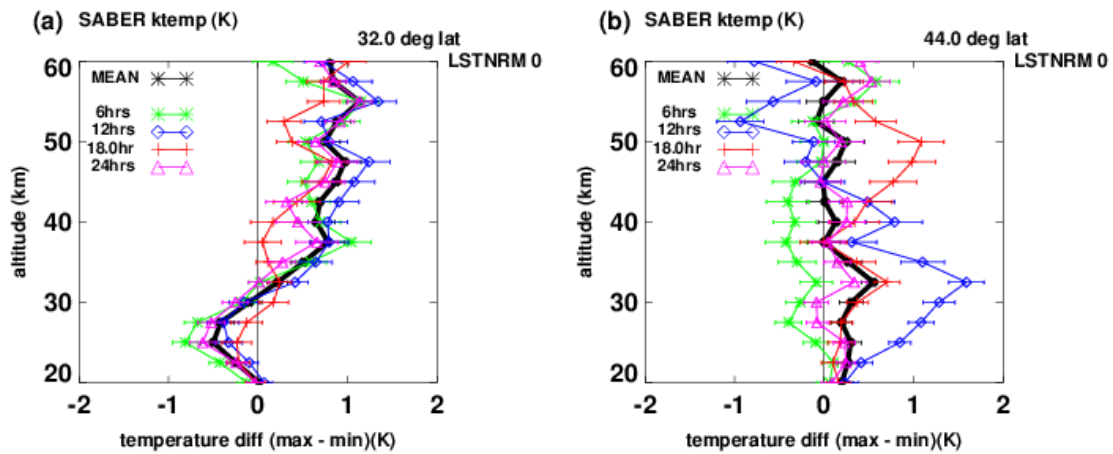
460
 461 **Figure 7.** As in Figure 6, but from 20 to 60 km. Ozone (left panel) and temperature (right) responses at 0°. Values
 462 are responses at solar max minus responses at solar min (% /100sfu) for ozone and °K/100sfu for temperature. Black
 463 asterisks denote our responses based on zonal means that are averages over both longitude and local time. Green
 464 asterisks denote our responses of zonal means at 6hrs, blue diamonds at 12hrs, red plusses at 18 hrs, and magenta
 465 triangles at 24hrs, based on SABER data.
 466
 467
 468



469
 470 **Figure 8.** Ozone (left panel) and temperature (right panel) responses to solar activity versus altitude, at the Equator,
 471 from 20 to 60 km. Values are responses at solar max minus responses at solar min in % per 100 sfu for ozone, and
 472 K/100 sfu for temperature. Black asterisks denote responses based on zonal means that are averages over both
 473 longitude and local time. Red squares denote corresponding results, but with local times increasing linearly from 12
 474 to 18 hrs from 2002 to 2014.
 475
 476



477
 478 **Figure A1.** As in Figure 7, Ozone responses at 32° (left panel) and 44° from 20 to 60 km. Values are responses at
 479 solar max minus responses at solar min (% /100sfu) . Black asterisks denote our responses based on zonal means
 480 that are averages over both longitude and local time. Green asterisks denote our responses of zonal means at 6hrs,
 481 blue diamonds at 12hrs, red plusses at 18 hrs, and magenta triangles at 24hrs, based on SABER data.
 482
 483
 484



485
 486 **Figure A2.** As in Figure A1. temperature responses at 32° (left panel) and 44°, from 20 to 60 km. Values are
 487 responses at solar max minus responses at solar min ($^{\circ}\text{K}/100\text{sfu}$). Black asterisks denote our responses based on
 488 zonal means that are averages over both longitude and local time. Green asterisks denote our responses of zonal
 489 means at 6hrs, blue diamonds at 12hrs, red plusses at 18 hrs, and magenta triangles at 24hrs, based on SABER data.
 490
 491
 492 Interactive comment on Ann. Geophys. Discuss., <https://doi.org/10.5194/angeo-2019-38>,
 493 2019.
 494

1 | [May 14](#), 2019

2
3 **Ozone and temperature decadal solar-cycle responses, and their relation to diurnal**
4 **variations in the stratosphere, mesosphere, and lower thermosphere, based on**
5 **measurements from SABER on TIMED.**

6
7 **Frank T. Huang^{1*}, Hans G. Mayr^{2*}**

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10 *retired

11
12 **Abstract.** There is evidence that the ozone and temperature responses to the solar cycle of ~11
13 years depend on the local times of measurements. Here we present relevant results based on
14 SABER data over a full diurnal cycle, not available previously. In this area, almost all satellite
15 data used are made at only one or two fixed local times, which can be different among various
16 satellites. Consequently, estimates of responses can be different depending on the specific data
17 set. Also, over years, due to orbital drift, the local times of measurements of some satellites have
18 also drifted. In contrast, SABER makes measurements at various local times, providing the
19 opportunity to estimate diurnal variations over 24 hrs. We can then also estimate responses to the
20 solar cycle over both a diurnal cycle and at the fixed local times of specific satellite data for
21 comparison. [Responses derived in this study](#), based on zonal means of SABER measurements,
22 agree favorably with previous studies based on data from the HALOE instrument, which
23 measured data only at sunrise and sunset, thereby supporting the analysis of both studies. We
24 find that for ozone above ~ 40km, zonal means reflecting specific local times (e.g., 6, 12, 18, 24
25 hrs) lead to different values of responses, and to different responses based on zonal means that
26 are also averages over the 24 hours of local time, as in 3D models. For temperature, effects of
27 diurnal variations on the responses are not negligible even at ~30 km and above. We also have
28 considered the consequences of local-time variations due to orbital drifts of certain operational
29 satellites, and for both ozone and temperature, their effects can be significant above ~30 km.
30 Previous studies based other satellite data do not describe their treatment, if any, of local times.
31 Some studies also analyzed data merged from different sources, with measurements made at
32 different local times. Generally, the results of these studies do not agree so well among
33 themselves. Although responses are a function of diurnal variations, this is not to say that they
34 are the major reason for the differences, as there are likely other data-related issues. The effects
35 due to satellite orbital drift may explain some unexpected variations in the responses, especially
36 above 40 km.

37
38 **1.0 Introduction**

39 | The [understanding of the](#) response of atmospheric ozone and temperature to the solar cycle of
40 ~11 years is important for both scientific and practical reasons. Global responses in the
41 stratosphere, mesosphere, and lower thermosphere have been investigated over decades based on
42 a variety of satellite data.

43 | There is evidence that the [magnitude of](#) responses to decadal solar cycles depend on the local
44 times at which the measurements are made. [For example, Beig et al.,\[2012\] in analyzing data](#)
45 [from the Halogen Occultation Experiment \(HALOE\), found that derived responses are different](#)
46 [at sunrise \(6hrs\) and sunset \(18hrs\).](#)

47 However, with few exceptions, the instruments on satellites measure at only one or two local
48 times, which are fixed for the entire mission.

49 Generally, previous studies do not address in detail the issue of diurnal variations of the
50 responses, and there have been no studies describing their variations of the responses over the 24
51 hrs of local time. In the following, we provide estimates of the diurnal variations of the responses
52 over a 24 hrs, which has not been available previously.

53 As noted in Huang et al. [2016b], previous global responses to the 11-year solar cycle based
54 on measurements~~empirical results~~ have been largely based on data from the NOAA operational
55 satellites (which include the Stratosphere Sounding Unit (SSU), the Microwave Sounding Unit
56 (MSU), and the Solar Backscatter Ultraviolet (SBUV) instruments), from the Stratospheric
57 Aerosol and Gas Experiment (SAGE I, II), on the Explorer and Earth Radiation Budget (ERB)
58 satellites, from the Halogen Occultation Experiment (HALOE) on the Upper Atmosphere
59 Research Satellite (UARS), and from the Sounding of the Atmosphere using Broadband
60 Emission Radiometry (SABER) instrument on the Thermosphere-Ionosphere-Mesosphere-
61 Energetics and Dynamics (TIMED) satellite, among others. The advantage of the operational
62 satellites is that they can provide global measurements covering decades, being replaced as
63 needed. However, issues of instrument offsets, stability, and continuity over many years and
64 decades can be problematic.

65 Except for SABER (and UARS), instruments on these satellites make measurements at only
66 one or two local times, which are fixed for the mission duration. The NOAA operational
67 satellites are sun-synchronous, in which case the measurements are made at two fixed local
68 times, one for the ascending orbital mode, and one for the descending mode. HALOE and SAGE
69 make solar occultation measurements, only at instrument sunrise and sunset. Consequently, used
70 as is, responses based on zonal means of the above measurements reflect long term variations at
71 the fixed local times, and could be a source of differences among the various studies.

72 They could also be a source of differences with 3D models, whose ozone amounts and
73 temperature vary with local time around a latitude circle, and whose zonal means are averages
74 over both longitude and 24 hrs of local time. When comparing results of responses based on
75 zonal means from measurements with models, Austin et al. [2008] point out that “The model
76 results are strictly zonal average values, which is an average over local time, whereas the
77 observations are typically made at fixed local times. Therefore, in the mesosphere, where the
78 diurnal variation of ozone is large, some of the differences between model results and
79 observations may have arisen from a diurnal variation in the actual solar response”. See also
80 Beig et al. [2012].

81 In addition, the orbits of some operational satellites have drifted, so that the local times at
82 which the measurements are made have also drifted over several hours or more (see McPeters et
83 al. [2013], Frith et al. [2014], Remsberg [2008], Randel et al. [2009], Tummon et al. [2015],
84 Hood et al. [2015]). Tumman et al. [2015] summarizes some of the data processing methods
85 taken by various groups. Generally, they report that diurnal variations are either neglected, or are
86 assumed to be negligible below ~ 45-50 km. See also Davis et al. (2015).

87 Previous results have not generally agreed so well with one another in their details. A major
88 reason for these differences may be the conditions and constraints under which the various
89 measurements were made. For details, see Austin et al., [2008], Crooks and Gray [2005], Gray et
90 al. [2005], Huang et al. [2016b].

91 In addition, previous studies generally have not described how they treat diurnal variations, so
92 that comparisons related to responses as a function of local times are problematical. We are also
93 not aware of studies based on orbital drift.

94 In contrast to most other measurements, SABER provide additional information which allows
95 us to estimate daily ozone and temperature diurnal variations, and then also the dependence of
96 their responses to the decadal solar cycle on local time. In the following, we focus on zonal
97 means of ozone and temperature, either at various specific local times, or averaged over local
98 times (as in 3D model), and the effects of their diurnal variations on their responses to solar
99 variability over a solar cycle of ~11 years (2002-2014), from 20 to 100 km.

100 In this study, we find that not only do the values of the responses depend on the local times at
101 which the measurements are made, but they can be significant even at altitudes as low as 30 km.

102 In Section 2, we review our previous analysis and derivation of diurnal variations and zonal
103 means that are averages of both longitude and local time around a latitude circle, based on
104 SABER measurements. We also describe how we can estimate new results of zonal means
105 corresponding to specific local times, and new results in estimating effects of orbital drift on
106 diurnal variations.

107 In Section 3 we describe our new results of responses to the solar cycle at the specific local
108 times of sunrise (6hrs) and sunset (18hrs), and compare with results from HALOE. This gives an
109 indication of the quality and reality of our and HALOE's results.

110 In Section 4 we describe our new results of responses to the solar cycle over a diurnal cycle of
111 24 hrs.

112 In Section 5 we describe our estimates of responses in situations where the local times have
113 'drifted' due to satellite orbital drifts. We also describe some previous studies.

114 In Section 6 we discuss the issue of data length.

115 116 **2.0 SABER data characteristics and analysis.**

117 The SABER/TIMED instrument [Russell et al., 1999] was launched in December 2001 with
118 an orbital inclination of ~74°. SABER views the Earth's limb to the side of the orbital plane, and
119 vertical profiles, corresponding to the line-of-sight tangent point, are retrieved from
120 measurements of the CO₂ 15 and 4.3 μm emissions for kinetic temperature, and from the 9.6μm
121 channel for ozone. About every 60 days, TIMED is yawed by 180°, so that the SABER
122 measurement footprint of SABER spans latitudes ~83°N to 52°S or ~83°S to 52°N on alternate
123 yaw periods. Over a given day and for a given latitude circle, measurements are made as the
124 satellite travels northward (ascending mode) and again as the satellite travels south-ward
125 (descending mode). Data at different longitudes are sampled over 1 day as the Earth rotates
126 relative to the orbit plane.

127 SABER scans altitude (~10-105 km for temperature, 15-100 km for ozone) every 58s with an
128 altitude resolution of ~2km, with ~96 scans per orbit, and ~14 longitudes per day.

129 The orbital characteristics of the satellite are such that, over a given day, a given latitude
130 circle, and a given orbital mode (ascending or descending), the local time at which the data are
131 measured is essentially the same, independent of longitude and time of day. For a given day,
132 latitude, and altitude, we work with data averaged over longitude: one for the ascending orbital
133 mode and one for the descending mode, each corresponding to a different local solar time,
134 resulting in two data points for each day. Each can be biased by the local time variations and is
135 therefore not a true zonal mean. True zonal means are averages made at a specific time over
136 longitude around a latitude circle, with the local solar time varying by 24 h over 360° in

137 | longitude. The local times of the SABER measurements decrease by about 12 min from day to
138 | day, and it takes ~60 days to sample over the 24 hrs of local time.

139 140 **2.1 Previous analysis**

141 The data are provided by the SABER project (version 2.0, level2A). They are interpolated to 4-
142 degree latitude and 2.5 km altitude grids, after which zonal averages are taken for analysis.

143 In contrast to other satellite measurements, those from SABER (Russell et al., 1999) contain
144 information to estimate the diurnal variations of ozone and temperature, and the results are
145 described in Huang et al. [2010a, 2010b].

146 As noted in Huang et al. [2016b], SABER ozone and temperature measurements have been
147 analyzed with success for more than a decade. We have derived variations with periods from one
148 day or less (diurnal variations) up to multiple years (semiannual oscillations (SAO) and quasi-
149 biennial oscillations (QBO)), and one decade or more (trends, responses to solar cycle). See
150 Huang et al. [2008a,b, 2010a,b, 2014, 2016a,b]. Zhang et al. [2006] and Mukhtarov et al. [2009]
151 have derived temperature diurnal tides using SABER data, and Nath and Sridharan [2014] have
152 also derived responses to solar variability using SABER data.

153 For both ozone and temperature, these studies show that, for variations that are deviations from
154 a mean state (e.g., diurnal variations, tides, semiannual and quasi-biennial oscillations, responses
155 to solar variability, trends), SABER measurements are robust and precise. For example, zonal
156 mean tidal temperatures can agree with other measurements to within ~ 1°K (Huang et al.,
157 2010a), and our zonal mean ozone diurnal variations can agree with other diurnal measurements
158 to less than a few percent (Huang et al., 2010b).

159 These previous results contain

- 160 1) diurnal variations of ozone and temperature for each day of the year, and
- 161 2) zonal means that are averages over both longitude and local time in a consistent manner,
162 which can then be compared directly with 3D models.

163
164 Using these, we can then estimate the goals of this study, which is to

- 165 3) reconstruct the zonal means to reflect specific local times.
- 166 4) calculate responses to solar variability over a solar cycle at specific local times
- 167 5) estimate local time variations of responses as a result of orbital drifts of NOAA satellites,
168 as noted above.

169 We can therefore find the variation of responses to the solar cycle over the 24hrs of local time,
170 including at 6 and 18hrs for comparison with responses based on HALOE data at sunrise and
171 sunset for comparison (see Beig et al. [2012], Fadnavis and Beig [2006]).

172 Compared to the stratosphere, diurnal variations of ozone and temperature themselves are
173 more prominent in the mesosphere and lower thermosphere. Even in the stratosphere, they may
174 not be negligible (Huang et al. 2010a, 2010b). Between ~30 and 80 km, ozone diurnal variations
175 are due mainly to photochemistry (Brasseur and Solomon, 2005), while temperature diurnal
176 variations are mainly a result of thermal tides (Chapman and Lindzen, 1970). For diurnal
177 variations, our results for both ozone and temperature (Huang et al. 2010a, 2010b) show that they
178 can be systematic from the lower thermosphere down to 25 km. This is consistent with results by
179 Sakazaki et al. [2015] for ozone, and Oberheide et al.[2000] and Gille et al. [1991] for
180 temperature.

181 | As discussed below, for responses [due](#) to the solar cycle, our results show that the effects of
182 | local time variations can be non-negligible for altitudes even below 40 km, especially for
183 | temperature.

184

185 | **2.1.1 Diurnal variations**

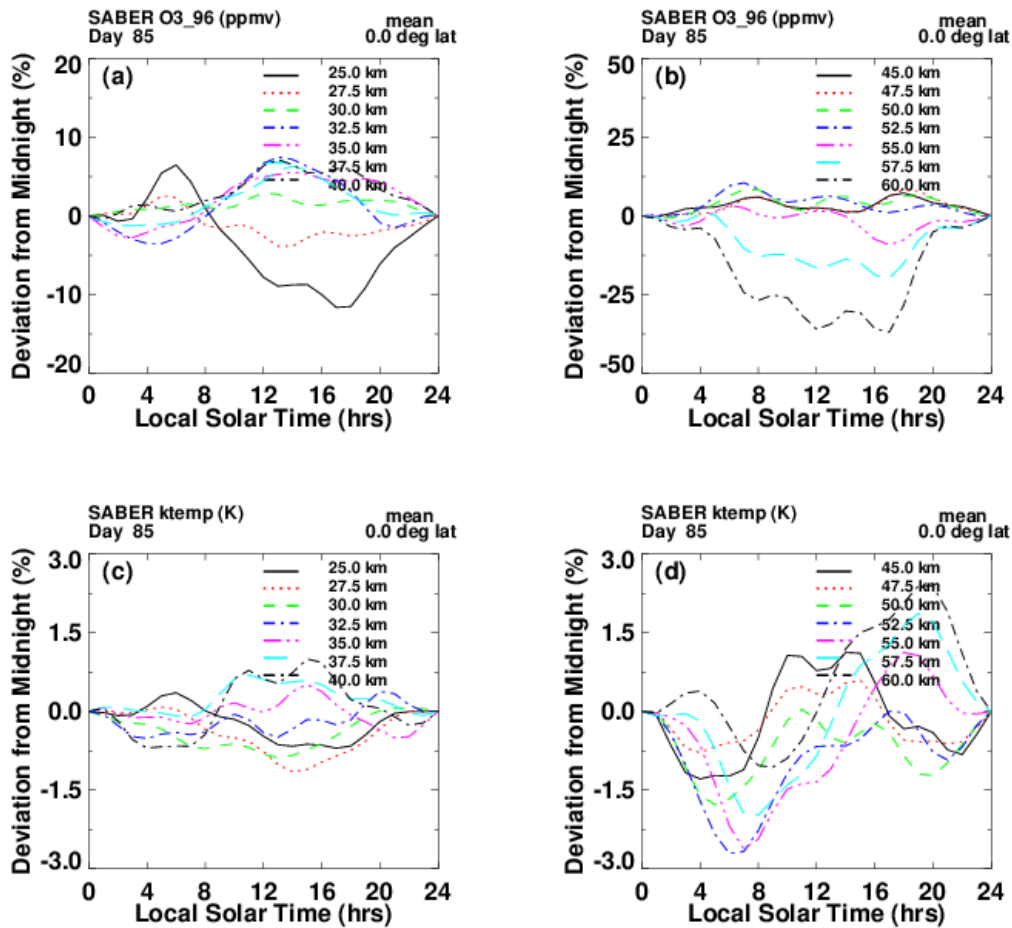
186 | As noted [above, and](#) in Huang et al. [2016b], unlike other satellites mentioned above (except
187 | UARS), the orbital characteristics of TIMED are such that SABER samples over the 24 hrs of
188 | local time, which can be used to estimate diurnal variations of ozone and temperature. A
189 | complication is that it takes SABER 60 days to sample over the 24 hrs of local time. Over 60
190 | days, the variations with local time are embedded with the seasonal variations, and need to be
191 | separated from them. The method we use estimates both the diurnal and mean variations (e.g.,
192 | seasonal, semiannual, annual) together, by performing a least squares fit of a two-dimensional
193 | Fourier series, where the independent variables are local time and day of year. The algorithm is
194 | discussed further in Huang et al. [2010a,b].

195 | The top row of Figure 1 shows zonal mean ozone diurnal variations (percent deviation from
196 | midnight) for day 85 of 2005, at the equator, from 25 to 40 km (left panel), 45 to 60 km (right
197 | panel), based on SABER data. See Huang et al. [2010b] for details, [and references](#). It can be seen
198 | that diurnal variations can be significant even at 25 km. [Since the study of Huang et al.,\[2010b\],
199 | Sakazaki et al., have derived comprehensive ozone diurnal variations based on observations from
200 | the Superconducting Submillimeter-Wave Limb-Emission Sounder \(SMILES\) on board the
201 | International Space Station \(ISS\).](#)

202 | The bottom row of Figure 1 corresponds to the top row, but for temperature. See Huang et al.
203 | [2010a] for details. Even at altitudes near 30 km, the diurnal variations are systematic and, as
204 | seen below, can affect results in estimating decadal responses. Although small, at 30 km, the
205 | diurnal variations of temperature compare well with Zeng et al. [2008], Oberheide et al.[2000],
206 | Gille et al.[1991], based on different types of measurements.

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Figure 1. Top row: ozone zonal mean mixing ratios (ppmv) versus local time for day 05085 at the Equator. Left panel (a): 25 to 40 km (percent deviation from midnight), right panel (b): 45 to 60 km. Bottom row: as in top row, but for temperature (K).

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2.1.2 Mean variations.

Once the diurnal variations are known for each day, the zonal mean variations, which are averages over longitude and local time, consistent with 3D models, can be obtained.

Based on these zonal means, our [earlier](#) results of decadal responses to solar activity, as represented by the 10.7 cm solar flux, had been presented in Huang et al. [2016a, 2016b].

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2.2 Current analysis

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2.2.1 Multiple regression

For the current study, [as for the previous analysis](#), we generate [diurnal variations and mean variations as well, from which we generate the following](#):

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a) monthly zonal means that are averaged over longitude, but at specific local times. These correspond to those satellite measurements which sample at specific local times

b) zonal means with local times that vary from month to month, to simulate the situation caused by satellite orbital drifts, as described earlier.

230 c) estimates of responses to solar the cycle, based on a) and b), and compare with responses
 231 based on zonal means that are also averaged over local time.

232 As an example, in Figure 2, the left panel (a) shows our ozone monthly mean mixing ratios
 233 (red line, parts per million by volume, ppmv) at 47.5 km and the Equator, from mid 2002 to mid
 234 | 2014, with seasonal and local time variations removed. The green lines represents how the data
 235 would vary if we simulated the variations with local time due to orbital drifts of the NOAA
 236 operational satellites. We have varied the local times such that from 2002 to 2014, they progress
 237 from 12 to 18 hrs. Also shown is the corresponding 10.7 cm flux (black lines, right axis, units in
 238 sfu). As can be seen, year 2002 was near solar maximum; the middle of solar cycle 23, and 2014
 239 is some years into cycle 24, which began ~2008. The right panel (b) corresponds to the left
 240 panel, but for temperature (K) at 45 km. The labels ‘CRC’ denote the correlation coefficients
 241 between the respective ozone and temperature zonal means and the 10.7 cm flux.

242 The estimates of responses to the solar cycle are made using Equation (1), in a similar manner
 243 as previously done by others, and by us, using a multiple regression analysis (e.g., Keckut et al.
 244 [2005], Soukharev and Hood [2006], Huang et al. [2016b]) that includes solar activity, trends,
 245 seasonal, quasi biennial oscillations (QBO), and local time terms, among others, on monthly
 246 values. Specifically, the estimates are found from the equation

$$247$$

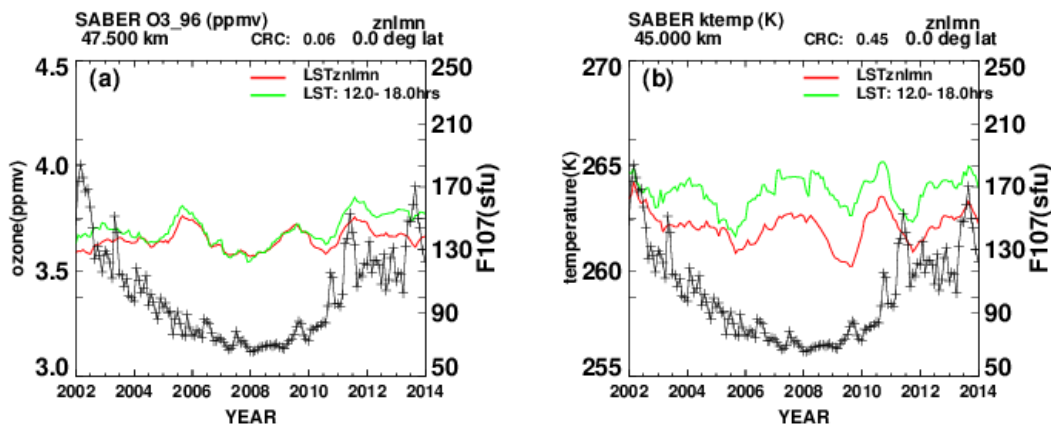
$$248 \quad M(t) = a + b * t + d * F107(t) + c * S(t) + l * lst(t) + g * QBO(t) \quad (1)$$

$$249$$

250 where t is time (months), a is a constant, b is the trend, d the coefficient for solar activity (10.7
 251 cm flux), c is the coefficient for the seasonal (S(t)) variations, l the coefficient for local time (lst)
 252 variations, and g the coefficient for the QBO. As is often done, the seasonal and local time
 253 variations are removed first, but we include them in Equation (1) for completeness. The F107
 254 stands for the solar 10.7 cm flux, which is commonly used as a measure of solar activity, and the
 255 values used here are monthly means provided by NOAA.

256 M(t) stands for the input ozone or temperature zonal means described in a) and b), above.

257 The algorithm is applied to the monthly zonal-mean values from June 2002 through June 2014
 258 (as in Figure 2), from 48°S to 48°N latitude, and from 20 to 100 km.
 259



260
 261 **Figure 2.** Ozone zonal mean mixing ratios (left panel, red line, ppmv) from mid 2002 to mid 2014, 47.5 km, 0° lat;
 262 | right panel, as in left panel, but for temperature (K) at 45km. The green lines represent how the data would vary if
 263 we simulated the variations with local time due to simulated orbital drifts of the NOAA operational satellites. Black
 264 lines (+, right scale) show the corresponding monthly 10.7 cm flux (sfu) provided by NOAA.

2.2.2 Statistical and error considerations

The analysis of uncertainties is the same for the current study as for the previous study of the mean variations just described. It is only the input data that are different. Previously, the input consisted of zonal means that are averaged over both longitude and local time, as in 3D models. Here the zonal mean reflect measurements made at specific local times. Details of the statistical analysis are given in Huang et al.,[2106a, 2016b].

The studies use a least squares fit of the multiple regression of Equation (1). Uncertainties in the responses are found from the sample variance (Bevington and Robinson, 1992, Huang et al., 2016a) of the fit. The curvature matrix and its inversion are quite stable due to the excellent sampling of SABER, as there are essentially no significant data dropouts to speak of. So the standard errors are quite stable and reasonable, as can be seen in the error bars in Figures 6, 7, 8, and A1 and A2, in the Appendix. Although very stable in our case, the inversion of the curvature matrix does not explicitly or definitively address potential aliasing among the various terms of the multiple regression, unless the matrix is diagonal.

In Section 6 (Data length and aliasing) below, we show that the derived responses are essentially the same whether we use all the terms in Equation (1) or only the term containing the solar flux to obtain the responses. So aliasing is not an issue here.

3.0 Results: Ozone and temperature responses to solar cycle at 6, 18hrs (sunrise and sunset)

Specifically, we use the term ‘response to solar activity (solar cycle)’ generally to refer to the term d^*F_{107} in Equation (1), and in particular to ozone or temperature responses at solar maximum minus those at solar minimum, per 100 solar flux units (sfu). For ozone, it is also in terms of percentage differences. A positive response means that the response at solar maximum is larger than that at solar minimum (Huang et al.,2016b).

For the new results of this study, we focus on the following:

- 1) Responses to the solar cycle at 6 and 18 hrs (sunrise, sunset). Comparisons with responses based on HALOE data (Beig et al. [2012], Fadnavis and Beig [2006]), which measure only at sunrise and sunset.
- 2) Responses based on zonal means at specific local times.
- 3) Responses with local times changing due to satellite orbital drifts.
- 4) Comparison with results based on zonal means that are averages over both longitude and local time simultaneously, as in 3D models.

3.1 Ozone responses at 6, 18hrs (sunrise and sunset)

We consider first sunrise and sunset (6, 18hrs) because there are direct empirical results with which to compare, by Beig et al., [2012] and Fadnavis and Beig [2006], based on HALOE data from January 1992 to November 2005. Importantly, unlike other studies, they describe how they treat variations with local times, although they have results only at 6 and 18hrs.

The comparisons will indicate the quality of our results at 6 and 18hrs, and also over the 24 hrs of local time.

In Figure 3 and applicable other figures, we have manually transferred values of plots from other studies for comparison, so they are not exact, but should be adequate for our purposes.

In comparisons with results based on HALOE data, uncertainties should be considered. According to Beig et al., [2012] and Fadnavis and Beig [2006], due to the sparse sampling inherent in solar occultation measurements, there are only 8 to 12 data points (sometimes less)

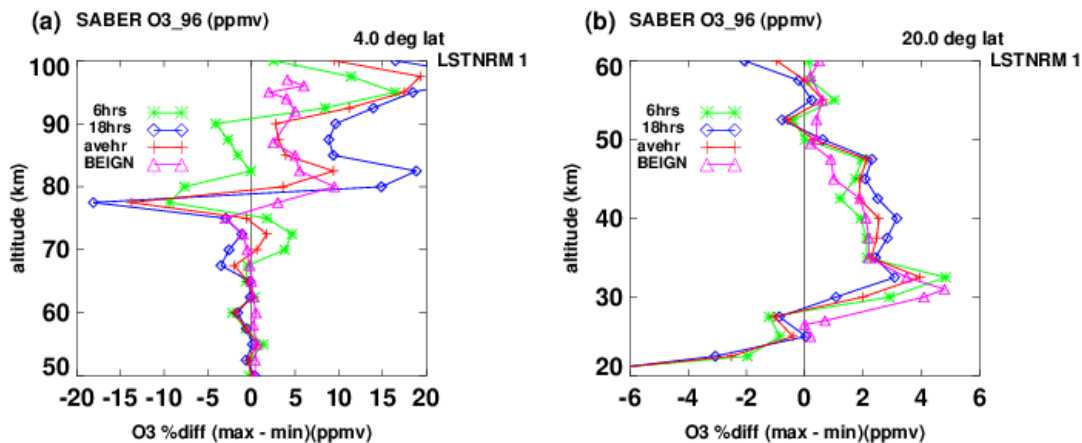
311 per month for each latitude. So they generally present responses that are based on data
 312 composited over 30-degree latitude bins (e.g., 0-30°S, N) and averages of responses at sunrise
 313 and sunset. We get results at 4-degree intervals. Even if we composite the SABER data into 30°
 314 bins, the distribution within the bins would be uniform, but quite different than that of HALOE
 315 data, so we will present our results at specific latitudes. Our responses can vary significantly as a
 316 function of latitude, so that is another consideration in the comparisons.

317 In addition, here and in the literature, ozone responses are normally given in terms of percent
 318 changes, and the value of the ozone itself is needed to get percent values. Because absolute
 319 values among various instruments can sometimes be offset, it is an added source of uncertainty.

320 Figure 3 (left panel) shows our and that of Beig et al.,[2012] ozone responses from 50 to 100
 321 km, at 4°N. The magenta triangles show responses based on HALOE data for ozone (composite,
 322 0-30°N, BEIGN), which are averages of sunrise and sunset responses, and should be compared
 323 with the red plusses, which denote the average of our results at 6hrs and 18hrs. It can be seen that
 324 the agreement of our averages (magenta triangles and red plusses) are very favorable, except for
 325 our large negative value at 77.5 km, and above 90km. ~~As shown in Figure 4 (left panel), the~~
 326 ~~results of Beig et al., 2012] for 6hrs and 0° also show a large negative value near 75 km. It is~~
 327 ~~their values at 18 hrs (right panel) that seem anomalous (aside from what is shown in Figure 4,~~
 328 ~~Beig et al.,[2012] do not provide results separately for 6 and 18hrs).~~ The green asterisks denote
 329 our results for 6hrs and the blue diamonds denote our responses at 18 hrs. The right panel
 330 corresponds to the left panel, but for 20°N and 20 to 60km, and the HALOE results are from
 331 Fadnavis and Beig [2006], 0-30°N composite. As in the left panel, the agreements of our
 332 averages (magenta triangles and red plusses) are very favorable. It can be seen that even in the
 333 stratosphere, the responses at 6hr are different from those at 18hrs.

334 Considering our discussion of uncertainties above, we believe that the results of Beig et al.
 335 [2012] and Fadnavis and Beig [2006] (magenta triangles), agree very well with our estimates
 336 (red plusses) in both altitude ranges (both panels of Figure 3). Note in particular the rapid change
 337 from negative to positive values near 75-80 km. In Figure 3, the left panel at 4°N was chosen in
 338 part to compare further with Figure 4, and the right panel at 20°N was chosen to compare with
 339 Beig et al.,[2012] results based on composite data in the 0-30° latitude band. We note that our
 340 results show that there can be significant differences of responses at various latitudes.

341



342
 343 | **Figure 3.** Ozone responses to solar ~~decadal cycle activity~~ versus altitude, at 4°N, from 50 to 100 km (left panel), and
 344 20°N, from 20 to 60km (right). Values are responses at solar max minus responses at solar min (% /100sfu).
 345 Magenta triangles denote results by Beig et al. [2012], average of responses at 6 and 18 hrs local time, and 0-30°N.

346 Red plusses denote our estimate (average at 6 and 18 hrs). Green asterisks denote our estimate at 6hrs, and blue
 347 diamonds, estimate at 18hrs.
 348

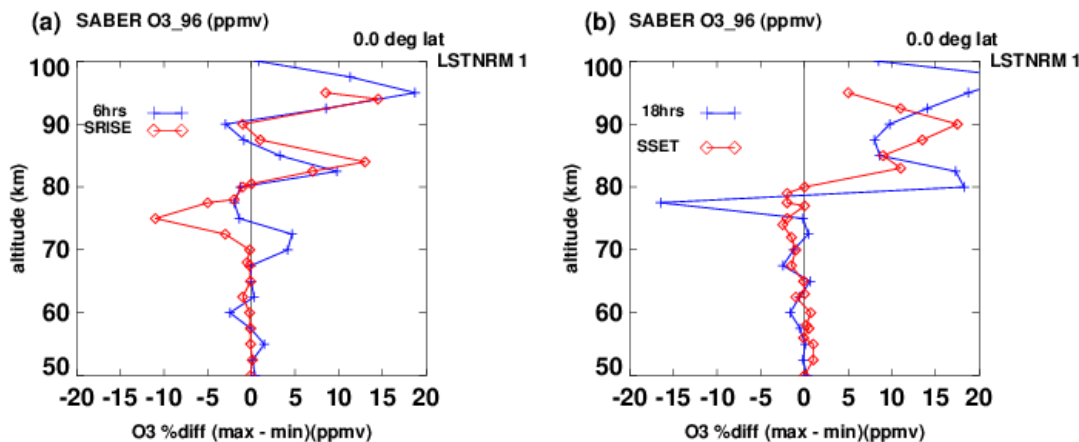
349 Figure 4 shows ozone responses to solar activity versus altitude, from 50 to 100 km, at the
 350 equator for sunrise (left) and sunset (right). Values are responses at solar max minus those at
 351 solar min (% /100sfu). Red diamonds denote responses found by Beig et al. [2012] at 6 hrs (left
 352 panel) and 18 hrs (right), composite from 0-4°N. Blue plusses denote our corresponding results
 353 based on SABER data.

354 It is the only instance where Beig et al.,[2012] show responses separately for 6 and 18hrs.

355 Except for the large negative values (red diamonds) from Beig et al [2012] in the left panel
 356 near 74 km, and the large negative value (blue plusses) by us at 77.5 km in the right panel, we
 357 believe that the comparisons are mostly favorable, in view of uncertainties discussed earlier.
 358 Although not shown, the half width of the error bars provided by Beig et al.,[2012] between 80
 359 to 90 km are $\sim \pm 10$ ((% /100sfu)

360 This can be compared with our results in the left panel of Figure 3 at 4°N. It is seen that
 361 although there are sharp variations above 70km, the agreements are at least qualitatively good,
 362 considering the caveats noted above.

363 The large excursions near 75 km are not isolated, but are systematic for both Beig et al.,
 364 [2012] and us, as can be seen further in Figure 6 for 16°N.



365 **Figure 4.** Ozone responses to solar activity versus altitude, from 50 to 100 km, at the equator. Values are responses
 366 at solar max minus responses at solar min (% /100sfu). Left panel: Red diamonds denote results [based on HALOE](#)
 367 [data](#) by Beig et al. [2012] at 6 hrs (left panel) and 18 hrs (right) local time, composite from 0-4°N. Blue plusses
 368 denote our results based on SABER data at 6hrs and 0 deg (left panel) and 18hrs (right).
 369

371 3.2 Results: Temperature responses at 6, 18hrs (sunrise and sunset)

372 Figure 5 corresponds to Figure 3, but for temperature. Values are responses at solar max minus
 373 responses at solar min (°K /100sfu).

374 The left panel shows our and Beig et al.,[2012] temperature responses from 50 to 100 km, at
 375 32°N. The magenta triangles show responses based on HALOE data, by Beig et al. [2012] for
 376 temperature (composite, 0-30°N, BEIGN), which are averages of sunrise and sunset responses,
 377 and should be compared with the red plusses which denote the average of our results at 6hrs and
 378 18hrs. It can be seen that the agreement of our averages (magenta triangles and red plusses) are
 379 very favorable, except at 75km. Beig et al.,[2012] do not provide temperature responses above

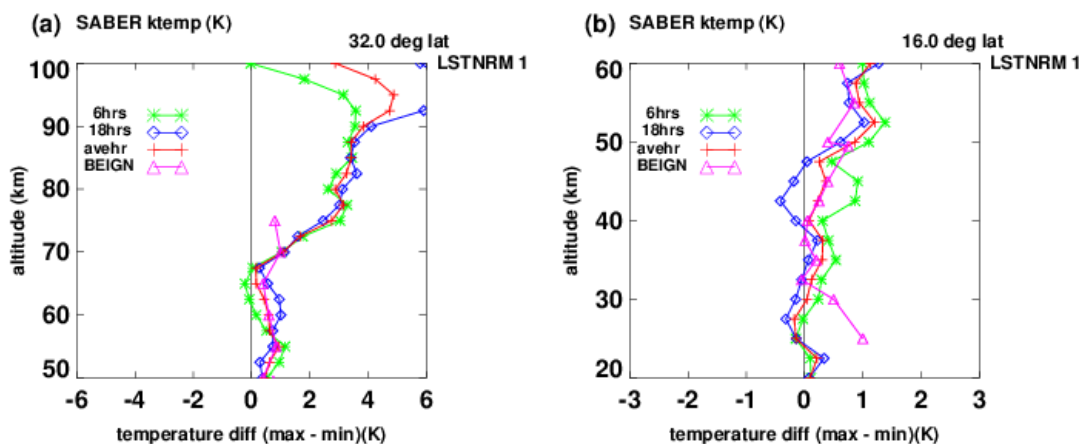
380 75 km. The green asterisks denote our results for 6hrs and the blue diamonds denote our
 381 responses at 18 hrs. Beig et al.,[2012] do not provide results separately for 6 and 18hrs.

382 The right panel corresponds to the left panel, but at 16°N and 20 to 60km, and the HALOE
 383 results are from Fadnavis and Beig [2006], 0-30°N composite. Above 30km, the agreements of
 384 our averages (magenta triangles and red plusses) are very favorable. We note that according to
 385 Fadnavis and Beig [2006] and Remsberg et al. [2002], that at altitudes below ~35km (~5hPa),
 386 HALOE uses temperatures from the National Center for Environmental Prediction (NCEP).

387 This could be the reason for the differences between the magenta triangles and our red plusses
 388 below 35 km.

389 It can be seen that even in the stratosphere, the responses at 6hr are different from those at
 390 18hrs. We note that the left panel represents results at 32°N, instead of 16°N, as the agreement
 391 with results by Beig et al. [2012] is somewhat better.

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 395
 396 **Figure 5.** Corresponds to Figure 3, but for temperature responses to solar activity versus altitude, from 50 to 100 km
 397 (left panel), and 20 to 60 km (right). Values are responses at solar max minus responses at solar min °K /100sfu.
 398 Magenta triangles denote results by Beig et al. [2012], averaged of 6 and 18 hrs local time (composite 0-30°N). Red
 399 plusses denote our estimate (average of 6 and 18 hrs, at 32°N (left panel)) and 16°N, right panel), based on SABER
 400 data. Green asterisks denote our estimates at 6hrs, and blue diamonds are estimates at 18hrs.

401
 402 **4.0 Ozone and temperature responses over a diurnal cycle.**

403 In this section, we extend our results to other local times. Although the figures show responses
 404 only at 6, 12, 18, and 24 hrs, we have generated hourly responses, and can do so at any local
 405 time. We do not believe that plots at additional local times would add important information for
 406 purposes here, and would make other details less discernible.

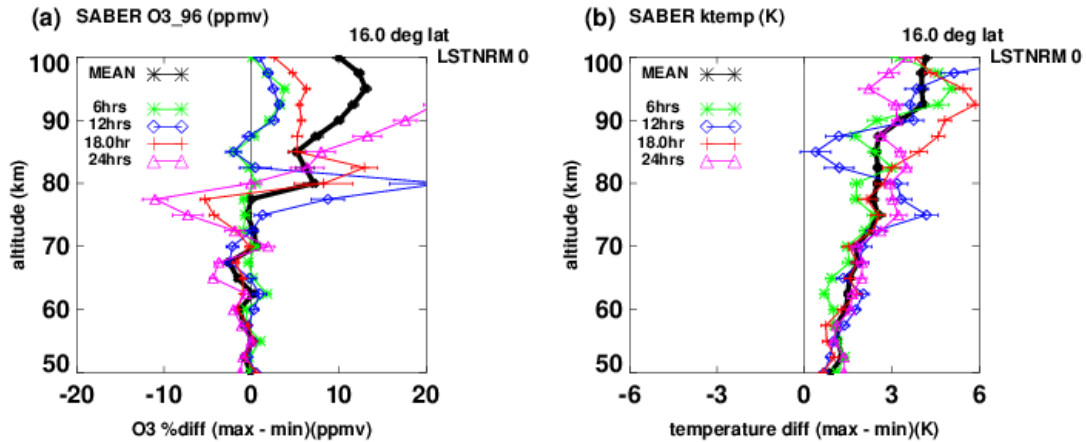
407 Generally, previous studies based on other satellite measurements do not describe how they
 408 treat data with respect to local times, and we cannot make comparisons as with HALOE.
 409 Some studies use different data from various instruments, which mix data measured at different
 410 local times. See Section 5.2 and the discussion in reference to Figure 9, for details.

411 Figure 6 shows our ozone (left panel) and temperature (right panel) responses from 50 to 100
 412 km, at 16°N over a diurnal cycle (6, 12, 18, 24hrs). The black line denotes our responses based
 413 on SABER data where the zonal means are averages over both longitude and 24 hrs of local

414 time. The green asterisks denote responses for 6hrs, blue diamonds (12hrs), red plusses (18hrs),
415 and magenta triangles (24 hrs).

416 Up to this point, ozone values are responses at solar max minus responses at solar min
417 (percent/100sfu). In the following, note that unlike the situation above at 6 and 18hrs for ozone at
418 specific local times, the normalizing values used to obtain responses in percent are now averaged
419 over local time, to be consistent with responses based on zonal means that are averages over both
420 longitude and local time (black line in Figure 6).

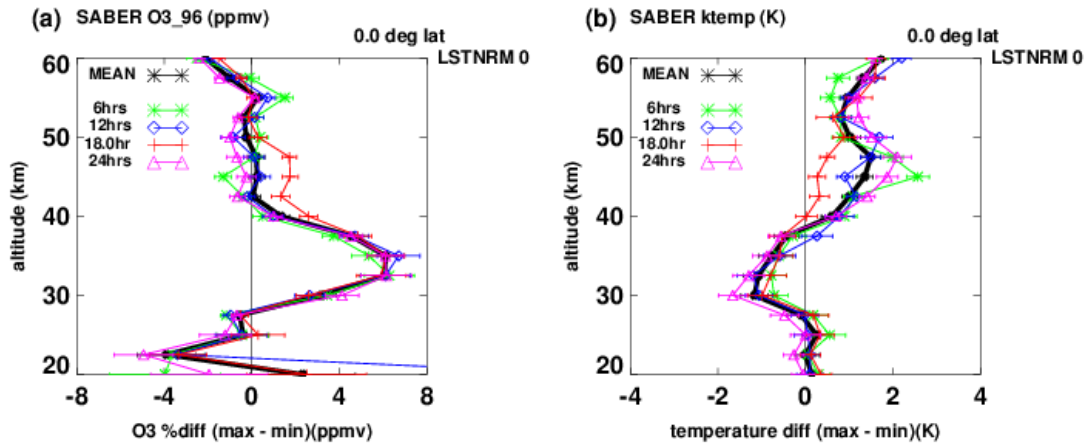
421
422



423
424 **Figure 6.** Ozone (left panel) and temperature (right panel) responses from 50 to 100 km at 16°N. Values are responses
425 at solar max minus responses at solar min (% /100sfu) for ozone and °K/100sfu for temperature. Black asterisks
426 denote responses based on zonal means that are averages over both longitude and local time. Green asterisks denote
427 our responses based on zonal means fixed at 6hrs, blue diamonds fixed at 12hrs, red plusses at 18 hrs, and magenta
428 triangles at 24hr, based on SABER data.

429
430 Figure 7 shows the ozone (left panel) and temperature (right panel) responses to solar activity
431 versus altitude, at the Equator, from 20 to 60 km, at 6hrs (green asterisks), 12hrs (blue
432 diamonds), 18hrs (red plusses), 24 hrs (magenta triangles), and based on zonal means that are
433 averages over local times (black asterisks). For ozone, below about 40 km, diurnal variations
434 have relatively little effect on responses. For temperature, the effects can be larger, even at
435 altitudes as low as 30 km.

436
437



438
 439 **Figure 7.** As in Figure 6, but from 20 to 60 km. Ozone (left panel) and temperature (right) responses at 0°. Values are
 440 responses at solar max minus responses at solar min (% /100sfu) for ozone and °K/100sfu for temperature. Black
 441 asterisks denote our responses based on zonal means that are averages over both longitude and local time. Green
 442 asterisks denote our responses of zonal means at 6hrs, blue diamonds at 12hrs, red plusses at 18 hrs, and magenta
 443 triangles at 24hrs, based on SABER data.

444
 445 [Figures A1 and A2 of the Appendix present corresponding plots to Figure 7, but at 32° and 44°.](#)

446
 447 **5.0 Comparisons with responses based on operational satellite measurements (fixed or**
 448 **drifting local times).**

449 In the stratosphere and lower mesosphere, previous global results [of responses to the decadal](#)
 450 [solar cycle](#) have been largely based on data from the NOAA operational satellites (including the
 451 Stratosphere Sounding Unit (SSU), the Microwave Sounding Unit (MSU), and the Solar
 452 Backscatter Ultraviolet (SBUV) instruments). An advantage of the operational satellites is that
 453 they can provide global measurements covering decades, being replaced as the instruments
 454 degrade. However, issues of calibration, instrument offsets, stability, and continuity, can be
 455 problematical. The satellites are generally polar orbiters and sun-synchronous, and make
 456 measurements at two fixed local times, one for the satellite ascending mode, and one for the
 457 descending mode.

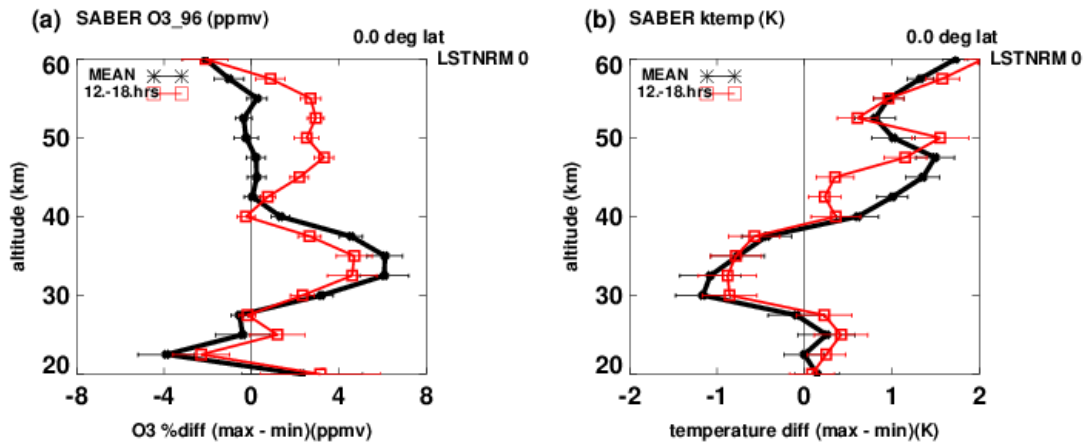
458 As noted above, in merging data from different satellites, consistency in local times needs to
 459 be considered. [Tumman et al. \[2015\], in reviewing some of the data processing methods taken by](#)
 460 [various groups, report that generally, diurnal variations are either neglected, or are assumed to be](#)
 461 [negligible below ~ 45-50 km. See also Davis et al. \(2015\).](#)

462
 463 **5.1 Effects of local time variations due to satellite orbital drift**

464 [As noted earlier, over years, the orbits of some satellites have drifted, so that the local times at](#)
 465 [which measurements are made have also drifted by several hours, as described by McPeters et](#)
 466 [al.,\[2013\].](#)

467 To study the effects of local time changes due to orbital drift, from our estimates of diurnal
 468 variations, we can simulate their effects on responses to solar variability. As a simple example,
 469 Figure 8 shows our results for ozone (left panel) and temperature (right panel) responses to solar
 470 activity versus altitude, at the Equator, from 20 to 60 km. Values are responses at solar max
 471 minus responses at solar min in percent/100 sfu for ozone, and K/100 sfu for temperature. The
 472 red squares denote results where local times increased linearly from 12 to 18 hrs from 2002 to

473 2014, to simulate orbital drift. Black asterisks denote responses based on zonal means that are
 474 averages over both longitude and local time. It can be seen that there are significant differences
 475 between them, especially above 40 km. We have also run tests with the local time varying at
 476 different hours and durations, and the differences can be smaller or more pronounced than that
 477 shown in Figure 8.
 478



479 **Figure 8.** Ozone (left panel) and temperature (right panel) responses to solar activity versus altitude, at the Equator,
 480 from 20 to 60 km. Values are responses at solar max minus responses at solar min in % per 100 sfu for ozone, and
 481 K/100 sfu for temperature. Black asterisks denote responses based on zonal means that are averages over both
 482 longitude and local time. Red squares denote corresponding results, but with local times increasing linearly from 12
 483 to 18 hrs from 2002 to 2014.
 484
 485

486 5.2 Comparisons with operational satellite data

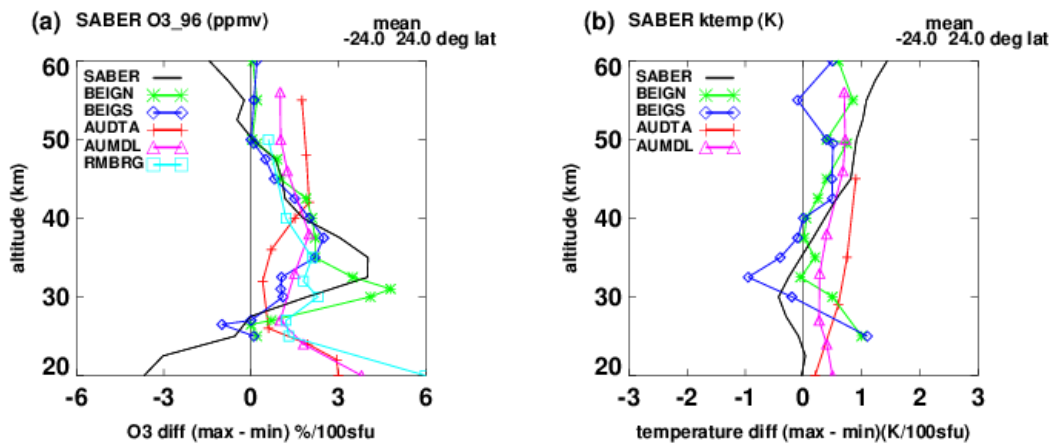
487 Unlike the above comparisons with results by Beig et al., [2012], based on HALOE data, other
 488 studies, such as those based on operational satellites, generally did not describe how they
 489 approached the issue of diurnal variations in detail. So we will not then attempt to make
 490 comparisons, but only present some previous findings. In addition to issues related to local times,
 491 there are been reports based on data-related issues in general. Details can be found in Austin et
 492 al., [2008], Crooks and Gray [2005], Gray et al. [2005], and Huang et al. [2016b].

493 Figure 9 is taken from our previous analysis (Huang et al. [2016b], Figure 3). It compares
 494 results from previous studies done by others, which were manually transferred by us, so they are
 495 not exact. Our ozone responses (black line, SABER) are shown in the left plot (a), versus altitude
 496 from 20 to 60 km, averaged from 24°S to 24°N, to better conform to results by others. The light
 497 blue squares represent results of Remsberg (2008, RMSBRG), the green asterisks are from
 498 Fadnavis and Beig (2006, BEIGN, 0-30°N), and the blue diamonds are from Beig et al., (2012,
 499 BEIGS, 0-30°S), all based on HALOE data.

500 The red line (plusses) in Figure 9(a) show ozone responses from Soukharev and Hood [2006]
 501 (AUDTA, data from 1979-2003), as reported by Austin et al. [2008], and from models (AUMDL,
 502 magenta lines and triangles), also reported by Austin et al. [2008], representing composite results
 503 from 25°S to 25°N latitude. The Soukharev and Hood [2006] results (red plusses) are a
 504 composite based on SBUV, HALOE, and SAGE data, that show a minimum near 30 km, and a
 505 maximum above 40 km.

506 The right plot in Figure 9(b) corresponds to the left plot, but for temperature. The temperature
 507 responses (AUDTA, data from 1979-1997) were taken by Austin et al. [2008] from Scaife et al.
 508 [2000]. In Figure 9(b), the black line denotes our responses based on SABER data, averaged
 509 from 24°S to 24°N, to conform to previous results by others.

510 The issue of local time effects is not discussed in detail in these studies. As noted above,
 511 Austin et al.,[2008] note that zonal means of models are averages over local time in contrast to
 512 those based on satellite measurements, which are typically at fixed local times.



513 **Figure 9.** Left panel (a): ozone responses versus altitude from 20 to 60 km; black line: SABER results averaged
 514 from 24°S to 24°N; light blue squares: Remsberg (2008, RMSBRG); green asterisks: Fadnavis and Beig, [2006],
 515 BEIGN, 0-30°N; blue diamonds :BEIGS, 0-30°S, HALOE data; red plusses: Austin et al. [2008] data AUDTA;
 516 magenta triangles, Austin et al., [2008] model, AUMDL, 25°S to 25°N latitude composite. Right panel (b):
 517 temperature responses corresponding to left panel.
 518
 519

520 Nath and Sridharan [2014] have also analyzed the same SABER data as we did and derived
 521 responses at 10–15° latitude. Plots comparing with our results are given in Figure 10 (taken from
 522 Figure 5 of Huang et al. [2016a]). Black lines denote our results and red asterisks denote that by
 523 Nath and Sridharan [2014]. For both ozone and temperature, their responses agree better with
 524 ours up to ~45km, but not so well at higher altitudes. We believe that the differences of the
 525 responses at higher altitudes are due to the local time variations in the SABER data, as discussed
 526 in Section 2. Nath and Sridharan (2014) do not appear to have considered diurnal variations.
 527 Note that in Figure 10 the ozone responses are not in percent differences, as in other plots, so that
 528 differences between 45 and 80 km are not readily discernible, due to their small values.
 529
 530

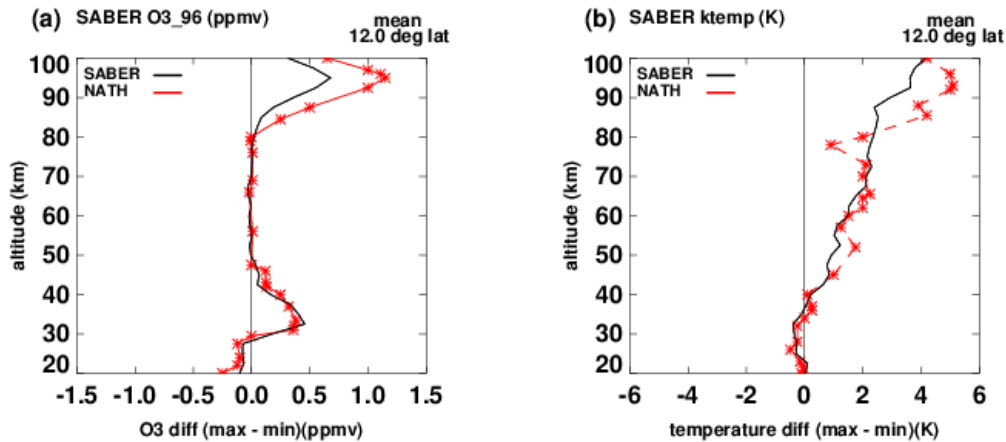


Figure 10. Ozone (left) and temperature (right) responses to solar activity vs. altitude, from 20 to 100 km. Values are responses at solar max minus responses at solar min in ppmv /100 sfu for ozone and K/100 sfu for temperature. Black lines denote SABER responses at 12° lat; red color denotes results of Nath and Sridharan (2014), for 10–15° lat, also based on SABER data.

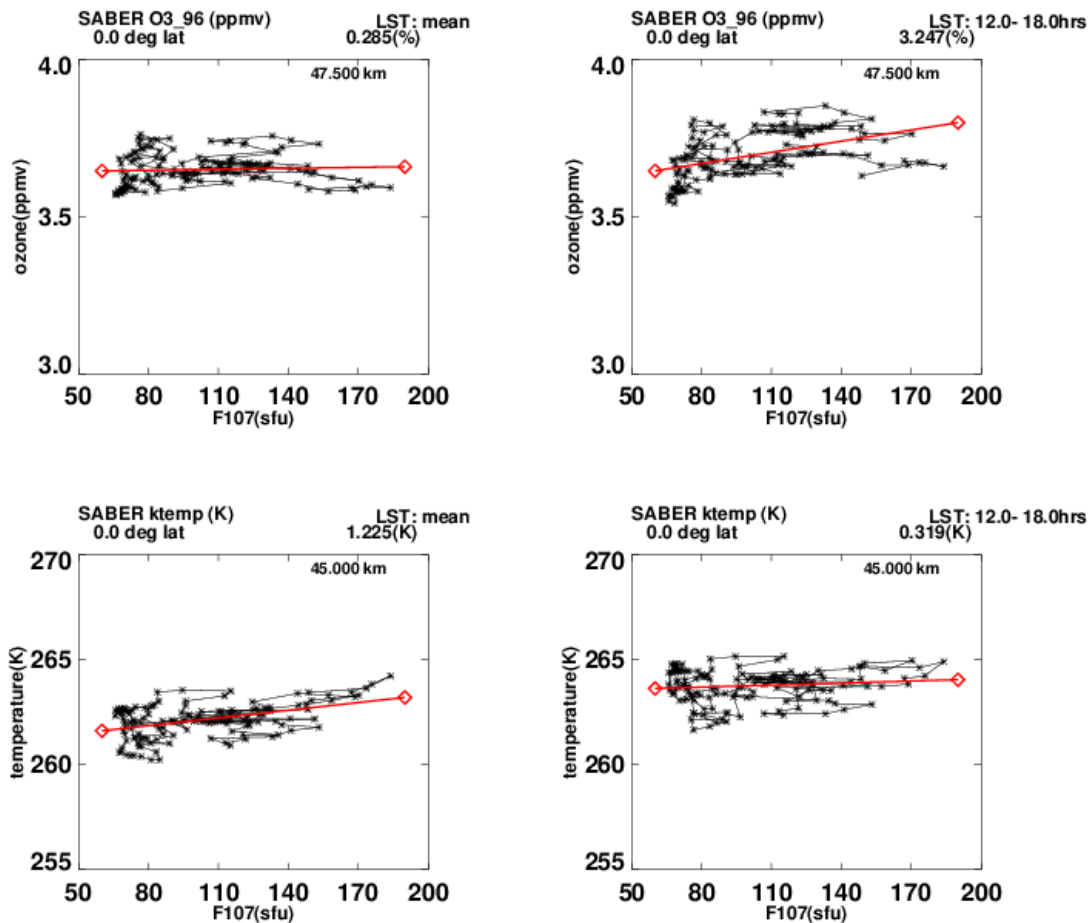
6.0 Data length and aliasing

In Section 2.2.2, we noted that in the application of Equation (1), possible aliasing among the different terms are not definitively addressed. In addition, it has been argued that more than one solar cycle of data is more advantages. Following our analysis given in Huang et al.,[2016b], we address these issues in this section.

Figure 11 is a scatter diagram plot of monthly values versus the 10.7 cm flux. The top row shows ozone at 47.5 km ~~at~~and the Equator, the bottom row shows temperature at 45 km and the Equator. The left panels represent the monthly zonal means that are averaged over both longitude and local time, and the right panels use zonal means where the local times simulate orbital drift as discussed in reference to Figure 8. The red lines in Figure 11 represent linear fits between the monthly values and the 10.7 cm flux, which corresponds to using only the solar term (F_{107}) of the multiple regression (Eq. 1). For ozone (top row), the values 0.28 percent/100sfu (left header label, left panel) and 3.24 percent/100sfu at 47.5 km (right panel) compare well with the regression results which uses all terms of Eq. (1), seen in Figure 8 (left panel). For temperature (bottom row), the values 1.23K/100sfu and 0.325K/100sfu at 45 km also compare well with the right panel of Figure 8. Consequently, aliasing from other terms in Equation (1) is not significant.

As for issues of data length, unlike time series data, where time increases monotonically with data length, the 10.7 cm flux values remain within a fixed interval between solar minimum and solar maximum (~70 and 200 sfu). In Fig. 11, the values span about one solar cycle. But even over more solar cycles, the 10.7 cm flux values would only repeat and backfill in with values in the same general area in Figure 11, effectively providing a more average result but not necessarily reducing the uncertainty much otherwise.

It can be argued that even with more than one solar cycle of data available, analysis over individual cycles should be made to analyze differences among solar cycles.



564
 565 **Figure 11.** Top row: scatter plot of ozone monthly values versus 10.7 cm flux (sfu) at 47.5 km and the Equator.
 566 Left: monthly values are zonal means, including average over local time. Right: as in left panel, but zonal means
 567 include simulated local time variations of orbital drift. Bottom row: as in upper row, but for temperature monthly
 568 values. Red lines: linear fit between monthly values and 10.7 cm flux. Compare with Figure 8.
 569

570 **7.0 Summary and discussion.**

571 Using SABER data, we have investigated the effects of ozone and temperature diurnal
 572 variations on their responses to the solar cycle, from 2002 to 2014, and 20 to 100 km.

573 We find that for ozone, above ~ 40km, zonal means reflecting specific local times (e.g., 6, 12,
 574 18, 24 hrs) lead to different values of responses compared to each other, and compared to
 575 responses based on zonal means that are averaged over the 24 hours of local time (Figures 6,7).
 576 For temperature, effects of diurnal variations are not negligible at ~30 km and above.

577 We also have considered the variations of local times themselves due to orbital drifts of
 578 certain operational satellites, and their effects on responses to the solar cycle (Figure 8). The
 579 differences can be significant above ~35 km.

580 The quality and validity of our analysis are shown in comparisons with responses found by
 581 Beig et al., [2012], and Fadnavis and Beig, [2006], based on HALOE data, which made
 582 measurements only at sunrise and sunset. Comparisons with our corresponding results, based on
 583 SABER measurements, are favorable, both at sunrise and sunset separately, and combined. Our
 584 analysis is robust in that the average of responses at specific local times over a diurnal period of

585 24 hrs is the same as responses based on zonal means that are averages over longitude and local
586 time together.

587 Previous studies based on other satellite data generally do not describe their treatment, if any,
588 of local times, so we cannot compare as for HALOE. Some studies also analyzed data merged
589 from different sources, with measurements made at different local times. As discussed in Section
590 5.2 in reference to Figure 9, the results of these studies do not generally agree very well among
591 themselves.

592 We do not believe that diurnal variations are the major reason for the discrepancies, as there
593 are likely other data-related issues. Other reasons for differences may be the conditions and
594 constraints under which the various measurements were made. Details can be found in Austin et
595 al., [2008], Crooks and Gray [2005], Gray et al. [2005], and Huang et al. [2016b].

596 However, diurnal variations should be included as part of the analysis of the differences
597 among various results.

598 The effects due to satellite orbital drift (discussion in reference to Figure 8) may explain some
599 unexpected variations in the responses, especially above 40 km.

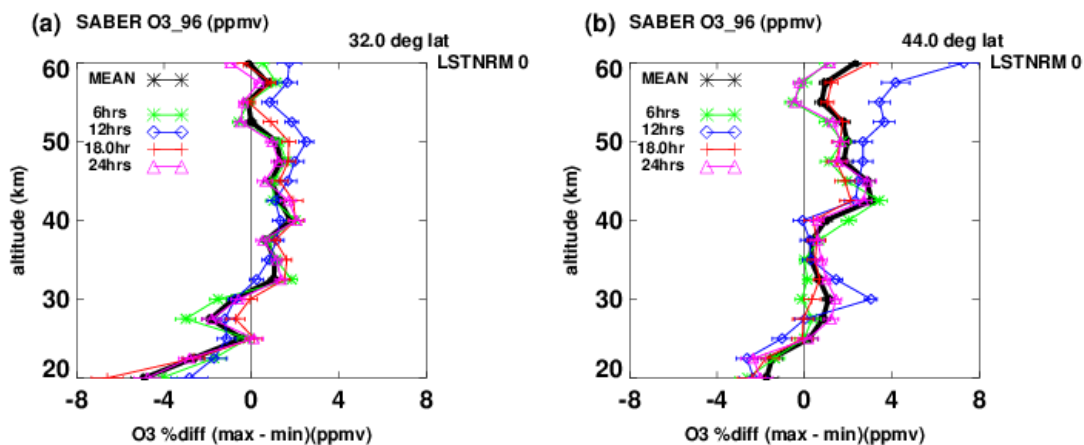
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601

602 Appendix

603

604



605

606 **Figure A1.** As in Figure 7, Ozone responses at 32° (left panel) and 44° from 20 to 60 km. Values are responses at solar
607 max minus responses at solar min (% /100sfu). Black asterisks denote our responses based on zonal means that are
608 averages over both longitude and local time. Green asterisks denote our responses of zonal means at 6hrs, blue
609 diamonds at 12hrs, red pluses at 18 hrs, and magenta triangles at 24hrs, based on SABER data.

610

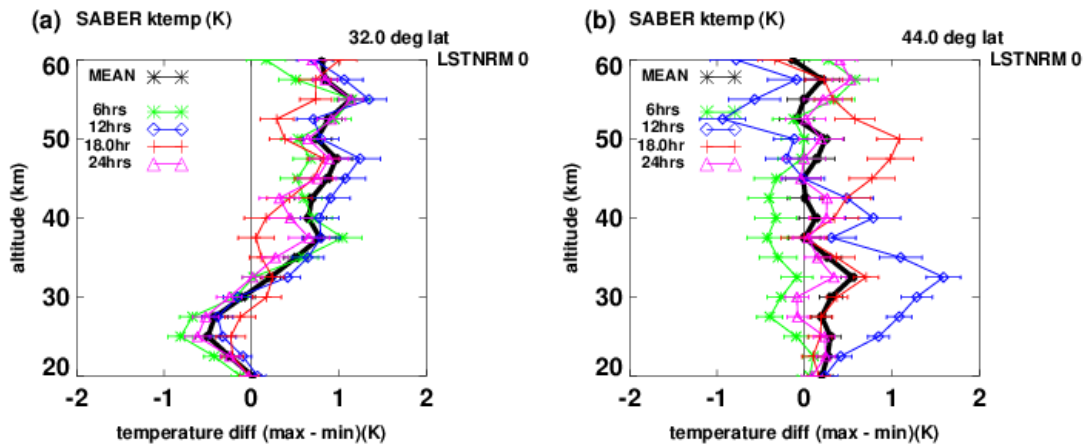


Figure A2. As in Figure A1, but for temperature responses at 32° (left panel) and 44°, from 20 to 60 km. Values are responses at solar max minus responses at solar min ($^{\circ}\text{K}/100\text{sfu}$). Black asterisks denote our responses based on zonal means that are averages over both longitude and local time. Green asterisks denote our responses of zonal means at 6hrs, blue diamonds at 12hrs, red plusses at 18 hrs, and magenta triangles at 24hrs, based on SABER data.

Data availability

The SABER data are freely available from the SABER project at <http://saber.gats-inc.com/>.

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