Electron heating by HF-pumping of high-latitude ionospheric F-region plasma near magnetic zenith

Thomas B. Leyser¹, Björn Gustavsson², Theresa Rexer², and Michael T. Rietveld³

¹Swedish Institute of Space Physics, Uppsala, Sweden.

²The Arctic University of Norway, Tromsø, Norway.

³EISCAT Scientific Association, Ramfjordmoen, Norway.

Correspondence: Thomas Leyser (thomas.leyser@irfu.se)

Abstract. High frequency electromagnetic pumping of ionospheric F-region plasma at high and mid-latitudes gives the strongest plasma response in magnetic zenith, antiparallel to the geomagnetic field in the northern hemisphere. This has been observed in optical emissions from the pumped plasma turbulence, electron temperature enhancements, filamentary magnetic field-aligned plasma density irregularities, and in self-focusing of the pump beam in magnetic zenith. We present results of EISCAT (Eu-

- 5 ropean Incoherent SCATter association) Heating-induced magnetic-zenith effects observed with the EISCAT UHF incoherent scatter radar. With Heating transmitting a left-handed circularly polarised pump beam towards magnetic zenith, the UHF radar was scanned in elevation in steps of 1.0° and 1.5° around magnetic zenith. The electron energy equation was integrated to model the electron temperature and associated electron heating rate and optimized to fit the plasma parameter values measured with the radar. The experimental and modeling results are consistent with pump wave propagation in the L mode in magnetic
- 10 zenith, rather than in the O mode.

1 Introduction

A powerful high frequency (HF) electromagnetic wave transmitted from the ground into the ionospheric F-region stimulates the strongest plasma response on long time scales in the direction antiparallel to the geomagnetic field in the northern hemisphere as seen from the HF transmitter. This magnetic zenith effect has been observed in several ways for a range of pump frequencies 15 in experiments at high and mid latitudes.

In experiments with the EISCAT (European Incoherent SCATter association) high power HF facility Heating in Norway in 1999, pump-induced optical emissions were imaged unambigously for the first time [\(Brändström et al., 1999\)](#page-14-0). [Gustavsson et al.](#page-14-1) [\(2001\)](#page-14-1) presented tomography-like estimates of the volume distribution of the 630.0-nm emissions from these experiments and found that the emissions intensified and self-focused towards magnetic zenith during the 4-min pumping. [Kosch et al.](#page-14-2) [\(2000\)](#page-14-2) 20 observed that while the HF beam was directed vertically the region of maximum optical emissions was displaced towards magnetic zenith as seen from EISCAT Heating. The authors also noted that published data of coherent HF radar scatter off geomagnetic field-aligned density irregularities tend to maximise in the magnetic field-aligned direction.

Radio tomography and scintillations using amplitude and phase measurements on the ground of VHF signals from orbiting satellites were used to study HF pump-induced electron density modifications in experiments with the mid-latitude Sura HF 25 facility in Russia. Small-scale filamentary magnetic field-aligned plasma density irregularities were found to be strongest in [m](#page-15-0)agnetic zenith, both when the Sura beam was vertical or at an angle in between the vertical and magnetic zenith [\(Tereshchenko](#page-15-0) [et al., 2004\)](#page-15-0). Further, initial experiments with the Sura HF beam directed either 12◦ south of vertical or 16◦ both showed the strongest optical emissions at 630.0 nm near magnetic zenith at 18–19° south [\(Grach et al., 2007\)](#page-14-3).

[Rietveld et al.](#page-15-1) [\(2003\)](#page-15-1) scanned the EISCAT Heating beam between three elevations from vertical to near magnetic zenith and 30 found that electron temperature enhancements were almost always strongest in the magnetic zenith position. When the EISCAT UHF radar was scanned between the same positions the strongest electron heating was always observed near magnetic zenith. In addition, optical emission at 630.0 nm were localized near magnetic zenith and HF coherent radar scatter off geomagnetic field-aligned density striations maximized when the Heating beam was in magnetic zenith. [Blagoveshchenskaya et al.](#page-14-4) [\(2006\)](#page-14-4) too observed the strongest field-aligned density striations when the Heating beam was in magnetic zenith.

- 35 [Honary et al.](#page-14-5) [\(2011\)](#page-14-5) examined the temporal evolution of the magnetic zenith effect as observed in the electron temperature measured by the EISCAT UHF radar. The beams from the Heating facility and the UHF radar were alternatively directed vertically and in magnetic zenith. Maximum temperature enhancements were observed when both the Heating and radar beams were in magnetic zenith. Further, these electron temperature enhancements reached a stationary state already within 10 s after pump-on in the 60 s on/90 s off pump cycle.
- 40 The magnetic zenith effect in optical emissions has also been observed in experiments with the HAARP (High frequency Active Auroral Research Program) facility in Alaska, USA [\(Pedersen and Carlson, 2001;](#page-15-2) [Pedersen et al., 2003\)](#page-15-3). Further, [Pedersen et al.](#page-15-4) [\(2008\)](#page-15-4) determined the optical emission production efficiency as a function of angle by HF-beam swinging experiments. The maximum emission efficiency occurred exactly in the geomagnetic field-aligned position.

[Kosch et al.](#page-14-6) [\(2007\)](#page-14-6) observed self-focusing of the pump beam in magnetic zenith in experiments at HAARP. The pump-45 induced optical emissions at 557.7 nm collapsed from a cone of approximately 22° to 9° within tens of seconds after pump-on, while cycling the pump 60 s on/60 s off.

In the present treatment we report experimental results on the magnetic zenith effect obtained with the EISCAT Heating facility [\(Rietveld et al., 2016\)](#page-15-5). The F-region plasma response to the HF pumping was observed with the EISCAT UHF incoherent scatter radar that was scanned in steps of either 1.0° or 1.5° around magnetic zenith to measure the electron temperature and

50 other plasma parameter values. Nonlinear least squares analysis was used to fit electron temperature profiles obtained from integrating the electron energy equation with a parametrized heat source to measured plasma parameters, taking into account heat conduction, electron heating and cooling. The analysis gave the electron heating rate as a function of altitude and elevation angle. The results are consistent with the pump wave propagating in the L mode in magnetic zenith and in the O mode at angles deviating from zenith.

55 2 Experiment setup

The EISCAT Heating experiments were performed during daytime in November 2014 and October 2017. The Heating facility transmitted a left-handed circularly polarised wave (LHCP, often referred to as O mode) in a beam directed towards magnetic

zenith (\sim 78° elevation south) and cycling 150 s on/85 s off. The Heating beam width at -3 dB was \sim 6°. The term "lefthanded" is defined with reference to the geomagnetic field direction: the electric field rotates in the opposite sense to the

60 gyromotion of electrons.

Plasma parameter values in the F region were obtained with the EISCAT UHF incoherent scatter radar. The radar measurements utilized the Beata modulation scheme which includes a 32-bit binary alternating code with a baud length of 20 μ s. The UHF radar beam was scanned in steps of 1.0° in the experiment in November 2014 and in steps of 1.5° in October 2017, between eight elevations around magnetic zenith in the plane containing the vertical and with a duration of 5 s in each

65 position. The radar beam width was $\sim 0.5^{\circ}$. The pump cycle of 150 s on/85 s off enabled appropriate coverage of the radar measurements throughout the pump-on time, so that after several pump cycles under stable ionospheric conditions the temporal evolution during the pumping could be obtained at all elevations. The radar data analysis provided 5 s temporal resolution and 15–20 km range resolution, depending on range.

3 Experimental results

- 70 Figure [1](#page-3-0) displays measured height profiles for the electron concentration (\widetilde{N}_e) , electron temperature (\widetilde{T}_e) and ion temperature (T_i) for the experiment on 25 November 2014 (the tilde denotes measured parameters as opposed to modelled). For this case the pump frequency $f_0 = 6.30$ MHz, which is approximately half way between the fourth and fifth electron gyroharmonic in the F region. The transmitted power was 818 kW. For some unknown technical reason, Heating did not transmit a circularly polarised wave during this experiment: the effective radiated power (ERP) was 242 MW in LHCP and 157 MW in right-
- 75 handed circular polarisation (RHCP), assuming perfectly conducting ground. However, electron heating effects from pumping with LHCP dominate over those with RHCP. [Bryers et al.](#page-14-7) [\(2013\)](#page-14-7) estimated the height-integrated heating source for O-mode pumping to be approximately a factor of three larger than for X-mode pumping, for a pump duty cycle of 50% and the O-mode pump frequency not near an electron gyroharmonic, however, with the X-mode frequency near a gyroharmonic (their Figure 5). In addition, the ERP in our experiments for LHCP was larger than for RHCP. We therefore consider the measured heating 80 effects to be representative of pure LHCP pumping.

The N_e profile in the top panel of Fig. [1](#page-3-0) is stable throughout the displayed time interval and does not show modulations due to the pumping. However, the \tilde{T}_e profile in the middle panel exhibits clear pump-induced modulations. The HF pumping is marked by white boxes and the red zigzag line indicates the radar elevation scan. The \tilde{T}_i shown in the bottom panel does only exhibit weak pump-induced modulations. The ionospheric conditions and response to the HF pumping in the experiments on

85 24 October 2017 were similar to those shown in Fig. [1.](#page-3-0) However, whereas for 2014 the ionospheric critical frequency $f \circ F2$ was near 8 MHz, well above f_0 , $f \circ F2$ was near f_0 in 2017.

The used pump cycle in combination with the radar scan cycle enabled measurement of the temporal evolution of the ionospheric parameters at all radar elevation angles throughout the pumping. Figure [2](#page-4-0) shows the temporal evolution of height profiles of \tilde{T}_e for the different elevation angles of the UHF radar, starting at $t = 5$ s after pump-on for the experiments on 25

90 November 2014. Such measurements require reasonably stable ionospheric conditions during several pump cycles (see Fig. [1\)](#page-3-0),

Figure 1. Height profiles as a function of time of \widetilde{N}_{e} (top panel), \widetilde{T}_{e} (middle panel) and \widetilde{T}_{i} (bottom panel) during pump cycling on 25 November 2014. The white boxes in the middle panel show pump-on and the red zigzag line indicates the elevation of the UHF radar which was scanned between 75.2° and 82.2° in 1.0°-steps.

as the radar, scanning eight elevations between 75.2° and 82.2°, samples the interaction region at a given elevation at different times after pump-on in different pump pulses. With measurements at sufficiently many pump pulses the temporal evolution can then be traced throughout the duration of pump-on $(t = 0-150 \text{ s})$ at all elevations.

As seen in Fig. [2,](#page-4-0) \tilde{T}_e enhancements occurred already within the first few seconds of pump-on. The high \tilde{T}_e around 300 km in the first 5-s data dump is likely not real, but due to HF enhanced ion acoustic lines on the topside ionosphere. During the following few tens of seconds \tilde{T}_{e} was further enhanced at all elevations and in a wider altitude range. Notice also the slow conduction of electron heat toward increasing altitudes with time, up to 300–400 km altitude, as can be seen in Fig. [1](#page-3-0) too. The strongest \tilde{T}_e enhancements occurred at the elevations 77.2° to 79.2°, around magnetic zenith (\sim 78°). This is also where the \tilde{T}_e enhancements extended toward the highest altitudes. Differences in the enhanced \tilde{T}_e profiles can be discerned even though the radar elevation changes by only 1.0° .

Figure [3](#page-5-0) displays \widetilde{T}_e height profiles versus time for the experiment on 24 October 2017. As for Fig. [2,](#page-4-0) the high \widetilde{T}_e around 300 km in the first 5-s data dump is likely not real. In this experiment $f_0 = 6.2$ MHz, which again is approximately half way between the fourth and fifth electron gyroharmonic in the F region. The transmitted power was 734 kW and the ERP was 471 MW (LHCP). The radar was scanned in steps of 1.5° from 74.56° to 85.06°, which is a larger range of elevations than

Figure 2. Height profiles of \tilde{T}_e (colour coded) versus time for the different elevation angles of the UHF radar between 75.2° and 82.2° on 25 November 2014 (11:03:45–13:00:00 UT). Pump-on was from $t = 0$ to $t = 150$ s.

that covered by the 1.0 \degree -steps in Fig. [2.](#page-4-0) \tilde{T}_e enhancements due to the HF pumping again occurred already in the first 5-s radar data integration after pump-on and \overline{T}_{e} was the highest at the elevations 77.56° and 79.06°, closest to magnetic zenith (\sim 78°). The gaps in the plots are because the ionospheric conditions were not stable long enough to give sufficient data to obtain the full temporal evolution at all elevations. However, the results for \widetilde{T}_e are similar to those in Fig. [2](#page-4-0) for the experiments on 25 November 2014.

Figure 3. Height profiles of \tilde{T}_e (colour coded) versus time for the different elevation angles of the UHF radar between 74.56 $^{\circ}$ and 85.06 $^{\circ}$ on 24 October 2017 (12:00:00–12:43:00 UT). Pump-on was from $t = 0$ to $t = 150$ s.

110 4 Electron heating model

To get information on the source that underlies the observed electron temperature enhancements we model the electron heating rate through the fluid equations [\(Shoucri et al., 1984\)](#page-15-6). As the measurements of the UHF incoherent scatter indicate no major pump-induced effects in \widetilde{N}_e and \widetilde{T}_i (Fig. [1\)](#page-3-0), the fluid equations can be reduced to the electron energy equation:

$$
\frac{3}{2}N_{\rm e}k_{\rm B}\left(\frac{\partial T_{\rm e}}{\partial t} + (\mathbf{v}_{\rm e}\cdot\hat{\mathbf{z}})\frac{\partial T_{\rm e}}{\partial z}\right) + N_{\rm e}k_{\rm B}T_{\rm e}\frac{\partial}{\partial z}(\mathbf{v}_{\rm e}\cdot\hat{\mathbf{z}}) = \frac{\partial}{\partial z}\left(\kappa_{\rm e}\frac{\partial T_{\rm e}}{\partial z}\right) + Q_{\rm HF} + Q_{\rm e} - L_{\rm e}
$$
\n(1)

115 where $T_e(z, t)$ is the modelled electron temperature, \hat{z} is the unit vector in the direction of the geomagnetic field, k_B is Boltzmann's constant, $\kappa_e(T_e, z, t)$ is the electron heat conductivity, Q_{HF} is the HF pump wave energy deposition to the electrons, $Q_e(z, t)$ is the background electron heating rate (mainly from photoelectrons), and $L_e(T_e, z, t)$ is the electron cooling rate due to elastic and inelastic collisions with ions and neutrals.

With negligible plasma drift along the geomagnetic field as measured with the UHF radar, the convective terms in Eq. [\(1\)](#page-5-1) 120 can be neglected, giving [\(Löfås et al., 2009;](#page-14-8) [Gustavsson et al., 2010\)](#page-14-9):

$$
\frac{3}{2}N_{\rm e}k_{\rm B}\frac{\partial T_{\rm e}}{\partial t} = \frac{\partial}{\partial z}\left(\kappa_{\rm e}\frac{\partial T_{\rm e}}{\partial z}\right) + Q_{\rm HF} + Q_{\rm e} - L_{\rm e}
$$
\n(2)

The heating rate of the electrons due the electromagnetic pump wave consists of two parts:

$$
Q_{\rm HF} = Q_{\Omega} + Q_{\rm AA} \tag{3}
$$

- where Q_{Ω} is the ohmic heating due to collisional damping of the pump wave and Q_{AA} is the heating due to the anomalous 125 absorption of the wave associated with the excitation of, for example, upper hybrid turbulence and associated small-scale density striations. The ohmic heating rate is the time averaged product of the pump electric field \mathbf{E}_0 and induced electric current σ_{ij} **E**₀, where σ_{ij} is the conductivity tensor: $Q_{\Omega} = (1/2)Re[\mathbf{E}_0^* \cdot (\sigma_{ij} \mathbf{E}_0)]$ [\(Gustavsson et al., 2010\)](#page-14-9). At the relatively high ERP levels used in the experiments, Q_{AA} gives the dominating contribution to Q_{HF} and may be several times larger than Q_{Ω} [\(Bryers et al., 2013\)](#page-14-7).
- 130 In the present treatment we obtain a model $T_e(z, t)$ of the observed $\widetilde{T}_e(z, t)$ by integrating the electron energy equation [\(2\)](#page-6-0). The electron heating rate $Q_{HF}(z, t)$ due to the HF pumping is modeled by a one-dimensional and asymmetric gaussian along the geomagnetic field. $Q_{HF}(z, t)$ has its maximum Q_m at range z_0 and has independent upper (σ_u) and lower (σ_l) half-widths [\(Senior et al., 2012;](#page-15-7) [Bryers et al., 2013\)](#page-14-7):

$$
Q_{\rm HF}(z,t) = \begin{cases} Q_{\rm m} \exp\left[-(z-z_0)^2/\sigma_1^2\right] \{1 - \exp\left[-(t-t_{\rm on})/\tau\right] \}, & z < z_0\\ Q_{\rm m} \exp\left[-(z-z_0)^2/\sigma_{\rm u}^2\right] \{1 - \exp\left[-(t-t_{\rm on})/\tau\right] \}, & z \ge z_0 \end{cases}
$$
(4)

135 where $t_{on} \le t \le t_{off}$ is the time during which HF pumping occurs. This leads to a parameter estimation problem in the model parameters $Q_{\rm m}$, z_0 , $\sigma_{\rm l}$, $\sigma_{\rm u}$, and τ , that we solved by weighted nonlinear least squares:

$$
par_{HF} = arg\min \sum \left[\frac{\widetilde{T}_{e}(z,t) - T_{e}(z,t, par_{HF})}{\sigma_{\widetilde{T}_{e}}} \right]^{2}
$$
\n
$$
(5)
$$

where $T_e(z, t, par_{HF})$ is obtained by integrating Eq. [\(2\)](#page-6-0) with $Q_{HF}(z, t, par_{HF})$ and $\sigma_{\widetilde{T}_e}$ is the standard deviation of the observed electron temperature.

- 140 When integrating Eq. [\(2\)](#page-6-0) we used the observed range profiles for \widetilde{T}_i and \widetilde{N}_e as they evolve in time at each elevation. For example, L_e depends both on T_i and N_e and both the left-hand side of Eq. [\(2\)](#page-6-0) and κ_e depend on N_e . As initial condition we took a smoothed \tilde{T}_e range profile measured just before pump-on. Further, we used mixed boundary conditions, taking at the lower boundary $T_e = \widetilde{T}_e(z = 150 \text{ km}, t)$ as given by the UHF radar measurements at $z = 150 \text{ km}$ slightly before pump-on at $t = t_{on}$ and at the upper boundary $\partial T_e/\partial z(z = 500 \text{ km}, t) = 0$. The fixed temperature at the lower boundary follow from the
- 145 observations with the additional theoretical justification that at such low altitudes T_e and T_i are both approximately equal to the

neutral temperature due to the high collision frequencies. The upper boundary condition too is based in the observations, and corresponds to a balance between upward heat flux out from the ionosphere and downward heat flux from the magnetosphere into the ionosphere.

5 Modeling results

150 The temporal evolution of the modeled T_e altitude profile for the elevation angles scanned by the radar are obtained by inte-grating Eq. [\(2\)](#page-6-0) with the optimal parameters for $Q_{\text{HF}}(z, t)$. The results are shown in Figs. [4](#page-8-0) and [5,](#page-9-0) which correspond to the measurements in Figs. [2](#page-4-0) and [3,](#page-5-0) respectively. $T_e(z, t)$ is enhanced for all elevations already within the first seconds after pumpon at $t = 0$ s. Slow conduction of the electron heat is seen both upward and downward in altitude and $T_e(z, t)$ reaches the highest values near magnetic zenith ($\sim 78^\circ$). The modeling results in Figs. [4](#page-8-0) and [5](#page-9-0) agree qualitatively with the measurements 155 in Figs. [2](#page-4-0) and [3,](#page-5-0) respectively.

Figure [6](#page-10-0) displays the corresponding Q_{HF} versus elevation angle for the experiment on 25 November 2014 (Fig. [2\)](#page-4-0) in the two top panels and for 24 October 2017 (Fig. [3\)](#page-5-0) in the two bottom panels. The left panels show the column-integrated Q_{HF} (blue) as well as the profile of the transmitted Heating beam (red) and the right panels depict the altitude profiles of Q_{HF} . These modeling results are for the case after that steady state was reached in the 150-s pump-on period. The white and black lines in

160 the right panels show the altitude of the plasma and upper hybrid resonances, respectively, as obtained from the ion and plasma lines. The altitude separation between the two resonances is larger in the lower panel for which f_0 was near $f \circ F2$ than in the upper panel for which f_0 was well below $f \circ F2$.

The column-integrated Q_{HF} in the top panel is maximum at 78.2 \degree and in the bottom panel at 77.5 \degree , which are the same elevations at which the observed electron temperature reached the highest values (Figs. [2](#page-4-0) and [3,](#page-5-0) respectively). Also, the column-165 integrated Q_{HF} is maximum at the elevation closest to magnetic zenith (\sim 78°, labeled by MZ in the plots).

Further, as seen in the left panels of Fig. [6,](#page-10-0) the angular extent of the Q_{HF} profile is smaller than that of the Heating beam. Q_{HF} follows the profile of the Heating beam at elevations lower than magnetic zenith (\sim 78°) while at higher elevations it is more confined to magnetic zenith than the Heating beam. For reference, the Spitze angle is about 6° from the vertical or at about 84◦ elevation.

- 170 As seen in the right panels of Fig. [6,](#page-10-0) the altitude profile of Q_{HF} is generally asymmetric, with Q_{HF} decreasing steeply with increasing altitude above the maximum and declining more gradually with decreasing altitude below the maximum. Only in the bottom panel for 77.5° (at magnetic zenith), Q_{HF} decreases more slowly toward high altitudes than toward lower altitudes. Also, Q_{HF} decreases steeply for increasing elevations beyond about 80 $^{\circ}$, towards the vertical. This decrease of Q_{HF} with increasing elevation is steeper than what would be expected from the point view of the width of the Heating beam in vacuum
- 175 (see the left panels).

It is notable that Q_{HF} reaches larger values at the two elevations next to magnetic zenith compared to at the elevation nearest to magnetic zenith. In the top right panel of Fig [6,](#page-10-0) Q_{HF} is slightly higher at 77.2° and 79.2° than at 78.2°, while the Q_{HF} profile is more extended in altitude at 78.2°. The bottom right panel shows larger differences, with Q_{HF} higher at 76.0° and

Figure 4. Modelled temporal evolution of the altitude profile of the electron temperature $T_e(z, t)$ for the different elevation angles in the experiments on 25 November 2014 (Fig. [2\)](#page-4-0).

79.0 \degree than at 77.5 \degree , while the Q_{HF} profile is more extended in altitude at 77.5 \degree . Thus, despite that the maximum of the Q_{HF} 180 profile is slightly lower at magnetic zenith compared to at the two nearest neighboring elevations, the column-integrated $Q_{\rm HF}$ is maximum at magnetic zenith (left panels) for both experiments.

6 Discussion

We have presented experimental and modelling results concerning electron heating and the ionospheric plasma response to HF pumping near magnetic zenith. The experiments were performed with the EISCAT Heating facility and measurements of the

plasma response were done with the EISCAT UHF incoherent scatter radar. The Heating beam was tilted in the magnetic zenith

Figure 5. Modelled temporal evolution of the altitude profile of the electron temperature $T_e(z, t)$ for the different elevation angles in the experiments on 24 October 2017 (Fig. [3\)](#page-5-0).

direction and the UHF radar was scanned between eight positions around this direction to study the electron-heating efficiency. The electron heating rate $Q_{HF}(z, t)$ and associated electron temperature $T_e(z, t)$ due to the HF pumping was modeled by integrating the energy equation [\(2\)](#page-6-0) and fitting the model parameters with respect to the measurements of N_e , T_e and T_i .

Differences in the plasma response were observed for radar elevations differing by only 1° (Fig. [2\)](#page-4-0). The pump-induced 190 measured $\widetilde{T}_e(z, t)$ enhancements (Figs. [2](#page-4-0) and [3\)](#page-5-0), the modelled $T_e(z, t)$ (Figs [4](#page-8-0) and [5\)](#page-9-0) and associated column-integrated Q_{HF} (Fig. [6\)](#page-10-0) were all found to maximise in the magnetic zenith direction ($\sim 78^\circ$ elevation). Further, the angular width of the $Q_{\rm HF}$ profile, with a full width at half maximum (FWHM) of about 4° around magnetic zenith, was less than that of the HF beam, which suggests that some focusing of the Heating beam occurred.

Figure 6. Modelled electron heating rate Q_{HF} (eV/m³/s) during steady state versus radar elevation angle for 25 November 2014 (upper panels) and 24 October 2017 (lower panels). The left panels display the column-integrated Q_{HF} (blue dots, with connecting lines to guide the eye) and the relative intensity of the transmitted Heating beam (red) assumed to propagate in vacuum. The elevation corresponding to magnetic zenith is indicated by the dashed line and labeled MZ. The right panels show the altitude profiles of Q_{HF} . The white line indicates the altitude of the plasma resonance where $f_p = f_0$ and the black line shows the upper hybrid resonance height at which the upper hybrid frequency equals f_0 . Note that the elevation scale is different in the upper and lower panels.

[Pedersen et al.](#page-15-4) [\(2008\)](#page-15-4) obtained the angular distribution of the optical emission production efficiency by HF beam swinging 195 experiments at HAARP. The optical emission production efficiency peaked at magnetic zenith with a FWHM of 7° , for which the HAARP beam width and many other experiment variables were accounted for. This FWHM of 7° is larger than the two cases for Q_{HF} in Fig. [6.](#page-10-0) The HAARP experiments used an ERP of 32.1 MW at 2.83 MHz and 42.4 MW at 3.3 MHz, thus, both lower ERP and lower f_0 than in the present EISCAT experiments. It is plausible that self-focusing effects were larger at the higher ERP in the present experiments which could give a more narrow region of pump-induced enhancements.

200 It has been proposed that filamentary plasma density ducts can guide a transmitted LHCP wave, entering the ionosphere in the O mode, as an L-mode wave along the geomagnetic field [\(Leyser and Nordblad, 2009;](#page-14-10) [Nordblad and Leyser, 2010\)](#page-14-11). The L mode is an LHCP electromagnetic wave mode with the wave vector parallel or anti-parallel to the ambient magnetic field. For a homogeneous and cold magnetized plasma the refractive index (n_{\parallel}) parallel to the ambient magnetic field is given by $n_{\parallel}^2 = 1 - f_{\rm p}^2/f(f + f_{\rm e})$, where $f_{\rm p}$ is the electron plasma frequency and $f_{\rm e}$ is the electron gyrofrequency. Whereas the O mode 205 has a cutoff at $f_p = f_0$, the L mode has the cutoff frequency $f_L = -f_e/2 + (f_p^2 + f_e^2/4)^{1/2}$ which corresponds to $f_p \approx f_0 + f_e/2$

for $f_{\rm p}^2 \gg f_{\rm e}^2$ for a pump wave at the frequency $f = f_0$. Thus, an electromagnetic wave in the L mode can propagate at higher plasma densities than in the O mode.

L-mode propagation can occur when the background plasma density gradient near the plasma resonance is parallel to the

geomagnetic field, instead of the density gradient for example being vertical as in a horizontally stratified ionosphere. Such a 210 condition with the density gradient being magnetic field-aligned can occur in density ducts, either natural or pump-induced. In the L mode the pump wave can propagate upwards passing through the plasma resonance on its way to the cutoff at $f_p \approx$ $f_0 + f_e/2$ if the plasma is sufficiently dense. With its perpendicular electric field, strong pumping of upper hybrid phenomena localized in small-scale density striations and related anomalous electron heating can occur at higher altitudes and deeper into the plasma compared to the case of an O-mode wave which therefore could contribute to the strong plasma response observed 215 in magnetic zenith [\(Leyser and Nordblad, 2009;](#page-14-10) [Nordblad and Leyser, 2010\)](#page-14-11).

The HF pump-induced electron heating rate Q_{HF} obtained for our experiments exhibited an interesting dependence on the elevation angle near magnetic zenith (Fig. [6\)](#page-10-0). Q_{HF} is maximum at the elevations next to magnetic zenith. At magnetic zenith, the Q_{HF} profile is more extended in altitude, such that the column-integrated Q_{HF} is maximum in this direction. These results are consistent with the pump wave propagating in the L mode in magnetic zenith and in the O mode at angles deviating from

220 the zenith direction. As a wave in the L mode propagates to higher altitudes than in the O mode, electron heating can occur in a more extended altitude range for L-mode propagation, thereby giving maximum column-integrated electron heating in magnetic zenith. The large difference in the $Q_{\rm HF}$ profile between magnetic zenith and the adjacent elevations is consistent with that the pump wave in the O mode has a much lower reflection height than in the L mode; the O-mode reflection height is well below the plasma resonance for elevations near magnetic zenith. In magnetic zenith the pump wave is guided by magnetic 225 field-aligned density ducts in the L mode but at the adjacent elevations the pump wave makes too large an angle to the magnetic field for trapping of the HF wave in the duct, and thus guiding, to occur so that instead the pump wave propagates in the O mode.

Evidence of L-mode propagation of the EISCAT Heating beam has previously been obtained as transionospheric propagation for $f_0 < f \circ F2 < f_0 + f_e/2$, in which case an L-mode wave would not be reflected but pass through the ionospheric plasma 230 density peak. This was observed by direct measurement on the CASSIOPE spacecraft [\(Leyser et al., 2018\)](#page-14-12) and indirectly by EISCAT UHF radar observations of ion acoustic lines in the topside ionosphere [\(Rexer et al., 2018\)](#page-15-8).

Figure [6](#page-10-0) also displays the altitude of the plasma resonance (white line in the right panels). The position of the Q_{HF} profile relative to the plasma resonance is not fully understood. In the top right panel, Q_{HF} is maximum slightly above the plasma resonance at magnetic zenith. This is consistent with that a pump wave in the L mode can propagate well above the plasma 235 resonance, whereas an O-mode wave cannot. Further, an L-mode wave has its electric field perpendicular to the geomagnetic field all the way up to its reflection height, so that pumping of upper hybrid turbulence can occur in an extended altitude range. In the O mode, on the other hand, the electric field turns to parallel to the geomagnetic field close to the reflection height which favours excitation of Langmuir turbulence that generally causes less electron heating than upper hybrid turbulence.

However, in the bottom right panel of Fig. [6](#page-10-0) all electron heating appears to occur well below even the upper hybrid resonance 240 height (black line). In this case $f \circ F2$ was near f_0 whereas for the top panel it was well above f_0 , which is consistent with

that the altitude separation between the plasma and upper hybrid resonances is larger in the bottom panel. We do not have any explanation for why electron heating seemed to occur at such low altitudes in this case.

[Gurevich et al.](#page-14-13) [\(2002\)](#page-14-13) developed a theory for self-focusing of the electromagnetic pump wave propagating in the O mode on geomagnetic field-aligned density striations. An important mechanism in the nonlinear pump beam self-focusing is the 245 trapping of pump rays near the magnetic zenith direction in the large-scale density depletions within the beam, as previously was found in numerical studies [\(Gurevich et al., 1999\)](#page-14-14). The results were shown to be consistent with observations of pumpinduced optical emissions at HAARP [\(Pedersen et al., 2003\)](#page-15-3). However, the possibility of propagation of the pump wave in the L mode, deeper into the plasma than what is possible in the O mode, was not considered. Whereas the nonlinear self-focusing of the pump beam is an important mechanism, particularly for guiding the pump beam in magnetic zenith, it does as it stands

250 not seem to account for the difference that we have found in the altitude distribution of the electron heating rate in magnetic zenith compared to that just about 1° away from this direction (Fig. [6\)](#page-10-0). We therefore suggest that such theories for self-focusing are developed to include the possibility of L-mode propagation.

7 Conclusions

The EISCAT Heating facility was used to pump ionospheric F-region plasma by cycling 150 s on/85 s off with an LHCP HF 255 beam directed in magnetic zenith. Plasma parameter values were measured with the EISCAT UHF incoherent scatter radar that was scanned in steps of 1.0° (November 2014) and 1.5° (October 2017) in elevation around magnetic zenith. The temporal evolution of the electron temperature profile was modelled by integrating the electron energy equation, which was used to fit the measured plasma parameter values with a model electron heating rate.

The observed electron temperature enhancements and the associated column-integrated electron heating rate and modelled 260 electron temperature all exhibit maxima in magnetic zenith. In addition, the altitude range of electron heating is more extended in magnetic zenith than for elevations deviating from the zenith direction. These results are consistent with pump wave propagation in the L mode rather than purely O mode and suggest the importance of L-mode propagation for understanding magnetic zenith effects.

Data availability. Access to the raw data may be provided upon reasonable request to the authors.

265 *Author contributions.* Björn Gustavsson, Thomas Leyser and Michael Rietveld performed the experiments. Gustavsson developed the theoretical model and made the numerical analysis. Theresa Rexer contributed to the data analysis and data presentation. Leyser carried out most of the interpretation and prepared the paper. All co-authors helped in the interpretation of the results, read the paper and commented on it.

Competing interests. The authors declare that they have no conflict of interest.

Acknowledgements. EISCAT is an international association supported by research organisations in China (CRIRP), Finland (SA), Japan

(NIPR and ISEE), Norway (NFR), Sweden (VR), and the United Kingdom (UKRI)

References

- Blagoveshchenskaya, N. F., Borisova, T., Kornienko, V., Leyser, T., Rietveld, M., and Thidé, B.: Artificial field-aligned irregularities in the nightside auroral ionosphere, Adv. Space Res., 38, 2503–2510, https://doi.org[/10.1016/j.asr.2004.12.008,](https://doi.org/10.1016/j.asr.2004.12.008) 2006.
- Brändström, B. U. E., Leyser, T. B., Steen, Å., Rietveld, M. T., Gustavsson, B., Aso, T., and Ejiri, M.: Unambiguous evidence of HF pump-275 enhanced airglow at auroral latitudes, Geophys. Res. Lett., 26, 3561–3564, 1999.
	- Bryers, C. J., Kosch, M. J., Senior, A., Rietveld, M. T., and Singer, W.: A comparison between resonant and nonresonant heating at EISCAT, J. Geophys. Res., 118, 6766–6776, https://doi.org[/10.1002/jgra.50605,](https://doi.org/10.1002/jgra.50605) [http://dx.doi.org/10.1002/jgra.50605,](http://dx.doi.org/10.1002/jgra.50605) 2013.
		- Grach, S. M., Kosch, M. J., Yashnov, V. A., Sergeev, E. N., Atroshenko, M. A., and Kotov, P. V.: On the location and structure of the artificial 630-nm airglow patch over Sura facility, Annales Geophysicae, 25, 689–700, https://doi.org[/10.5194/angeo-25-689-2007,](https://doi.org/10.5194/angeo-25-689-2007) [https:](https://www.ann-geophys.net/25/689/2007/)
- 280 [//www.ann-geophys.net/25/689/2007/,](https://www.ann-geophys.net/25/689/2007/) 2007.
	- Gurevich, A., Carlson, H., Kelley, M., Hagfors, T., Karashtin, A., and Zybin, K.: Nonlinear structuring of the ionosphere modified by powerful radio waves at low latitudes, Phys. Lett. A, 251, 311–321, 1999.
- Gurevich, A., Zybin, K., Carlson, H., and Pedersen, T.: Magnetic zenith effect in ionospheric modifications, Phys. Lett. A, 305, 264–274, https://doi.org[/http://dx.doi.org/10.1016/S0375-9601\(02\)01450-0,](https://doi.org/http://dx.doi.org/10.1016/S0375-9601(02)01450-0) [http://www.sciencedirect.com/science/article/pii/](http://www.sciencedirect.com/science/article/pii/S0375960102014500) 285 [S0375960102014500,](http://www.sciencedirect.com/science/article/pii/S0375960102014500) 2002.
	- Gustavsson, B., Sergienko, T., Rietveld, M. T., Honary, F., Steen, Å., Brändström, B. U. E., Leyser, T. B., Arulia, A., Aso, T., and Ejiri, M.: First tomographic estimate of volume distribution of enhanced airglow emission caused by HF pumping, J. Geophys. Res., 106, 29 105–29 123, https://doi.org[/10.1029/2000JA900167,](https://doi.org/10.1029/2000JA900167) 2001.
- Gustavsson, B., Rietveld, M. T., Ivchenko, N. V., and Kosch, M. J.: Rise and fall of electron temperatures: Ohmic heating of ionospheric 290 electrons from underdense HF radio wave pumping, JGR, 115, https://doi.org[/10.1029/2010JA015873,](https://doi.org/10.1029/2010JA015873) 2010.
- Honary, F., Borisov, N., Beharrell, M., and Senior, A.: Temporal development of the magnetic zenith effect, J. Geophys. Res., 116, A06 309, https://doi.org[/10.1029/2010JA016029,](https://doi.org/10.1029/2010JA016029) 2011.
	- Kosch, M. J., Rietveld, M. T., Hagfors, T., and Leyser, T. B.: High-latitude HF-induced airglow displaced equatorwards of the pump beam, Geophys. Res. Lett., 27, 2817–2820, https://doi.org[/10.1029/2000GL003754,](https://doi.org/10.1029/2000GL003754) 2000.
- 295 Kosch, M. J., Pedersen, T., Mishin, E., Starks, M., Gerken-Kendall, E., Sentman, D., Oyama, S., and Watkins, B.: Temporal evolution of pump beam self-focusing at the High-Frequency Active Auroral Research Program, J. Geophys. Res., 112, A08 304, https://doi.org[/10.1029/2007JA012264,](https://doi.org/10.1029/2007JA012264) 2007.
	- Leyser, T. B. and Nordblad, E.: Self-focused radio frequency L wave pumping of localized upper hybrid oscillations in high-latitude ionospheric plasma, Geophys. Res. Lett., 36, L24105, https://doi.org[/10.1029/2009GL041438,](https://doi.org/10.1029/2009GL041438) 2009.
- 300 Leyser, T. B., James, H. G., Gustavsson, B., and Rietveld, M. T.: Evidence of L-mode electromagnetic wave pumping of ionospheric plasma near geomagnetic zenith, Annales Geophysicae, 36, 243–251, https://doi.org[/10.5194/angeo-36-243-2018,](https://doi.org/10.5194/angeo-36-243-2018) [https://www.ann-geophys.net/](https://www.ann-geophys.net/36/243/2018/) [36/243/2018/,](https://www.ann-geophys.net/36/243/2018/) 2018.
	- Löfås, H., Ivchenko, N., Gustavsson, B., Leyser, T. B., and Rietveld, M. T.: F-region electron heating by X-mode radiowaves in underdense conditions, Ann. Geophys., 27, 2585–2592, [http://www.ann-geophys.net/27/2585/2009/,](http://www.ann-geophys.net/27/2585/2009/) 2009.
- 305 Nordblad, E. and Leyser, T. B.: Ray tracing analysis of L mode pumping of the ionosphere, with implications for the magnetic zenith effect, Ann. Geophys., 28, 1749–1759, https://doi.org[/10.5194/angeo-28-1749-2010,](https://doi.org/10.5194/angeo-28-1749-2010) [http://www.ann-geophys.net/28/1749/2010/,](http://www.ann-geophys.net/28/1749/2010/) 2010.

Pedersen, T., Esposito, R., Starks, M., and McCarrick, M.: Quantitative determination of HF radio-induced optical emission production efficiency at high latitudes, J. Geophys. Res., 113, A11 316, https://doi.org[/10.1029/2008JA013502,](https://doi.org/10.1029/2008JA013502) 2008.

Pedersen, T. R. and Carlson, H. C.: First observations of HF heater-produced airglow at the High Frequency Active Auroral Research Program 310 facility: Thermal excitation and spatial structuring, Radio Sci., 36, 1013–1026, https://doi.org[/10.1029/2000RS002399,](https://doi.org/10.1029/2000RS002399) 2001.

- Pedersen, T. R., McCarrick, M., Gerken, E., Selcher, C., Sentman, D., Carlson, H. C., and Gurevich, A.: Magnetic zenith enhancement of HF radio-induced airglow production at HAARP, Geophys. Res. Lett., 30, 1169, https://doi.org[/10.1029/2002GL016096,](https://doi.org/10.1029/2002GL016096) 2003.
- Rexer, T., Gustavsson, B., Leyser, T., Rietveld, M., Yeoman, T., and Grydeland, T.: First Observations of Recurring HF-Enhanced Topside Ion Line Spectra Near the Fourth Gyroharmonic, Journal of Geophysical Research: Space Physics, 0, https://doi.org[/10.1029/2018JA025822,](https://doi.org/10.1029/2018JA025822) 315 [https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2018JA025822,](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2018JA025822) 2018.
- Rietveld, M. T., Kosch, M. J., Blagoveshchenskaya, N. F., Kornienko, V. A., Leyser, T. B., and Yeoman, T. K.: Ionospheric electron heating, optical emissions, and striations induced by powerful HF radio waves at high latitudes: Aspect angle dependence, J. Geophys. Res., 108, 1141, https://doi.org[/10.1029/2002JA009543,](https://doi.org/10.1029/2002JA009543) 2003.

Rietveld, M. T., Senior, A., Markkanen, J., and Westman, A.: New capabilities of the upgraded EISCAT high-power HF facility, Radio Sci.,

320 51, 1533–1546, https://doi.org[/10.1002/2016RS006093,](https://doi.org/10.1002/2016RS006093) [http://dx.doi.org/10.1002/2016RS006093,](http://dx.doi.org/10.1002/2016RS006093) 2016RS006093, 2016.

- Senior, A., Rietveld, M. T., Yeoman, T. K., and Kosch, M. J.: The dependence of F-region electron heating on HF radio pump power: Measurements at EISCAT Tromsø, Journal of Geophysical Research: Space Physics, 117, https://doi.org[/10.1029/2011JA017267,](https://doi.org/10.1029/2011JA017267) [https:](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2011JA017267) [//agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2011JA017267,](https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2011JA017267) 2012.
- Shoucri, M. M., Morales, G. J., and Maggs, J. E.: Ohmic heating of the polar F region by HF pulses, J. Geophys. Res., 89, 2907–2917, 325 https://doi.org[/10.1029/JA089iA05p02907,](https://doi.org/10.1029/JA089iA05p02907) [http://dx.doi.org/10.1029/JA089iA05p02907,](http://dx.doi.org/10.1029/JA089iA05p02907) 1984.
	- Tereshchenko, E. D., Khudukon, B. Z., Gurevich, A. V., Zybin, K. P., Frolov, V. L., Myasnikov, E. N., Muravieva, N. V., and Carlson, H. C.: Radio tomography and scintillation studies of ionospheric electron density modification caused by a powerful HF-wave and magnetic zenith effect at mid-latitudes, Phys. Lett. A, 325, 381–388, https://doi.org[/DOI: 10.1016/j.physleta.2004.03.055,](https://doi.org/DOI: 10.1016/j.physleta.2004.03.055) [http://www.sciencedirect.](http://www.sciencedirect.com/science/article/B6TVM-4C4BWBB-1/2/10d3a971b37b59ef27e77723d3e1659f) [com/science/article/B6TVM-4C4BWBB-1/2/10d3a971b37b59ef27e77723d3e1659f,](http://www.sciencedirect.com/science/article/B6TVM-4C4BWBB-1/2/10d3a971b37b59ef27e77723d3e1659f) 2004.