

# Migrating and Nonmigrating Tides Observed in the Stratosphere from FORMOSAT-3/COSMIC Temperature Retrievals

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**Abstract.** Formosa Satellite-3/Constellation Observing System for Meteorology, Ionosphere and Climate (FORMOSAT-10 3/COSMIC) temperature data during October 2009 to December 2010 are analysed for tides in the middle atmosphere from ~10 to 50 km. COSMIC is a set of six micro satellites in near Sun synchronous orbits with 30° orbital separations and provides good phase space sampling of tides. Short term tidal variability is deduced by considering  $\pm 10$  days' data together. The migrating diurnal (DW1) tide is found to peak over the equator at 30 km. It maximises and slightly shifts poleward during winters. Over mid and high latitudes, DW1 and the nonmigrating diurnal tides with wavenumber 0 (DS0) and wavenumber 2 15 (DW2) are intermittent in nature. Numerical experiments in the current study show that these could be a result of aliasing as they are found to occur at times of steep rise or fall in the mean temperature, particularly during the sudden stratospheric warming (SSW) of 2010. Further, stationary planetary wave component of wavenumber 1 (SPW1) is found to be of very large amplitudes in the northern hemisphere reaching 18 K at 30 km over 65°N. By using data from COSMIC over shorter durations, it is shown that aliasing between stationary planetary wave and nonmigrating tides is reduced and thus results in the large 20 amplitudes of the former. This study clearly indicates that nonlinear interactions are not a very important source of generation of the nonmigrating tides in the mid and high latitude winter stratosphere. There is also a modulation of SPW1 by a ~60 days oscillation in the high latitudes, which was not seen earlier.

## 1 Introduction

Tidal variability in temperature and winds of the atmosphere is a very important parameter to understand the long term as well 25 as day to day variations in the atmosphere. To date, the nature of short term global tidal variabilities in the middle atmosphere have not been understood due to lack of sufficient data. Using only ground based data the dominant tidal periods can be identified (She et al 2004, Baumgarten et al, 2018; Baumgarten and Stober, 2019, etc.) but it is difficult to obtain the longitudinal variability (i.e., wavenumber of the tides) unless there are simultaneous measurements at different longitudes along the same latitude circle (Wu et al., 2008). Even if such measurements are possible over a given latitude, all latitudes of 30 the globe cannot be covered due to various reasons including land-sea distribution. On the other hand, while satellites have the

ability to take global measurements their local time coverage is limited. For example, the Thermosphere, Ionosphere, Mesosphere Energetics and Dynamics (TIMED) satellite, which is in a near-Sun synchronous orbit, takes ~60 days to cover all local times at a given location (Mertens et al., 2004; Remsberg et al., 2003; Remsberg et al., 2008). This implies that to derive tidal characteristics, data has to be accumulated for ~60 days (Remsberg et al., 2008; Sakazaki et al., 2012; Xu et al., 2014; Zhang et al., 2006). Even then, due to the satellite's orbit, noon time observations are not available. Thus all phases of the tides, specifically migrating tides, are not sampled. This poses a problem for accurate determination of tidal variabilities. Accumulating data over 60 days also means that the short term variabilities are lost. Further, any changes in the mean variation of the temperature aliases into the energy of migrating tides (Forbes et al., 1997; Sakazaki et al., 2012). A few studies, however, extracted short term tidal variability using a deconvolution method (Oberheide et al., 2002; Lieberman et al 2015), by combining ground based measurements and reanalysis data with satellite measurements (Pedatella et al., 2016) and using data assimilation models (McCormack et al 2017).

Tides are produced in temperature and winds due to absorption of solar radiation by water vapour in the troposphere and ozone in the stratosphere and also due to latent heat release in the troposphere. There are also tides produced in situ in the thermosphere due to extreme ultraviolet light absorption. The tides that move westward with apparent motion of the Sun are called the migrating tides. The migrating diurnal tidal characteristics in the stratosphere had been retrieved using temperature retrievals from Challenging Minisatellite Payload (CHAMP) observations during May 2001 to August 2005 (Zeng et al., 2008) and FORMOSAT-3/COSMIC mission using monthly data for the period 2007-2008 (Pirscher et al., 2010). Maximum amplitudes of 0.8 to 1.0 K were found over the tropics at 30 km altitude in both studies. There are several papers in literature that describe the theory (Chapman and Lindzen, 1970; Forbes and Garrett, 1979) and observed characteristics of tides at various altitudes in the stratosphere, mesosphere and thermosphere from ground based measurements of radars and lidars (Liu et al., 2007; Pancheva and Mukhtarov, 2000; She et al., 2004; Xue et al., 2007; Baumgarten and Stober, 2019), satellite observations of TIMED Doppler Interferometer (TIDI) and Sounding of the Atmosphere using Broadband Emission Radiometry (SABER) instruments onboard TIMED (Mukhtarov et al., 2009; Wu et al., 2006), from Upper Atmosphere Research Satellite (UARS) (Shepherd et al., 2012; Wu et al., 1998), MLS (Wu and Jiang, 2005), reanalysis (Gan et al., 2014) and model datasets (Sakazaki et al., 2018; McCormack et al 2017). Based on results obtained from TIMED tidal diagnostics, the Climatological Tidal Model of the Thermosphere (CTMT) constituting the most important diurnal and semidiurnal tides have been proposed (Oberheide et al., 2011a). Using global cloud imagery, the Global Scale Wave Model (GSWM) was developed for tides arising due to latent heat releases (Hagan, 2002; 2003). Such models are further used as parameterizations for other global circulation models in the lower and upper atmosphere.

There are also the nonmigrating tides in the atmosphere whose apparent motion is either slow or faster than the Sun. Some of these tides are thought to be produced due to nonlinear interactions between stationary planetary waves (SPW) and migrating tides. However, significant debate exists if the nonmigrating tides are truly a geophysical phenomenon or are an artefact of the method of analysis. It was proposed that SPW of wavenumber 1 (SPW1) interacts nonlinearly with diurnal migrating tide (DW1) and results in the nonmigrating tides DS0 and DW2 (The notation of the tides is as follows: First letter indicates the

65 period of the tide - D for diurnal, S for semi-diurnal, T for terdiurnal; second letter indicates if the tide is westward (W) or  
eastward (E) propagating or stationary (S), and finally the last character is a digit which gives the wavenumber of the tide. The  
same notation will be followed for the rest of the paper). Similarly, SPW1 interacts with semi-diurnal migrating tide (SW2)  
and produces SW1 and SW3. Many studies support this school of thought based on correlation studies (Xu et al., 2014).  
However, it is also a possibility that a high correlation is observed because of aliasing between these different components.

70 Among the nonmigrating tides, a reasonably well understood tide is DE3 (eastward propagating diurnal tide of wavenumber  
3). The observation of the wave-4 structure in the equatorial ionisation anomaly of the ionosphere due to DE3 tide is one of  
the most important discoveries of the last decade (Immel et al., 2006). The DE3 tide is very unique to the Earth and is produced  
in the troposphere due to the specific distribution of the landmasses and oceans and associated heating (Oberheide et al.,  
2011b). As the tide propagates upwards it modifies the various atmospheric parameters and this emphasizes the importance of

75 troposphere-ionosphere coupling and also the need for obtaining the short term tidal variabilities.  
The various tides generated in the lower atmosphere propagate upward, grow in amplitude and affect the large scale dynamics,  
chemistry and energetics of the thermosphere and ionosphere. Thus accurate determination of the variability of these various  
tides and other waves at the point of generation is extremely important to understand the atmospheric coupling processes. In  
the current study, temperature data from FORMOSAT-3/COSMIC during 2009 to 2010 is analysed to extract migrating and

80 nonmigrating tides and stationary planetary waves globally over shorter time periods of  $\pm 10$  days. Along with diagnosing the  
short term variability in the said tides, the paper also addresses the aliasing involved between (1) mean temperature and  
migrating tides and (2) stationary planetary waves and nonmigrating tides, particularly in the high latitudes. The paper is  
organised as follows. Section 2 describes the FORMOST-3/COSMIC data used, satellite sampling and phase space of the  
various wave components. The data analysis method of least square fitting is described briefly in Section 3. Tidal  
characteristics and associated aliasing are described in Sections 4 & 5, respectively, and the results are discussed and  
summarised in Section 6.

## 2 Data and Sampling

COSMIC is a constellation of six micro satellites working on the principle of Global Positioning System Radio Occultation  
(GPS RO) (Anthes et al., 2008). It involves active Earth limb sounding by radio transmissions by GPS satellites at 20,200 km  
and are observed by the COSMIC satellites in low Earth orbits (Anthes et al., 2008). The phase delay of L1 and L2 signals  
90 received is due to change in refractivity which is converted to electron density in the ionosphere and temperature and other  
parameters in the lower atmosphere and are described in detail in literature (Kuo et al., 2004; Kursinski et al., 1997). Briefly,  
the Earth's refractive index at microwave wavelengths is affected by the dry neutral atmosphere, water vapour and free  
electrons in the ionosphere and thus by deriving the refractivity of the atmosphere, the above mentioned parameters can be  
95 retrieved. This technique provides a near-vertical scan of the atmosphere with good vertical resolution, global coverage, and  
insensitivity to atmospheric particulate matter (Kuo et al., 2004; Kursinski et al., 1997). The six satellites have been placed in

~800 km orbits with 30° separations. This enables the local time coverage of all satellites, taken together, theoretically, over any given location to be possible in approximately 10 days. In this way, COSMIC satellites have a huge advantage over SABER in terms of global coverage. However, the altitude coverage of COSMIC is from surface to 60 km ('atmPrf' - dry temperature data product) with temperature data reliable up to 50 km over the equator and lower over mid and high latitudes (Das and Pan, 2014). In Contrast, SABER has coverage from 20 to 120 km, which enables studies of stratosphere, mesosphere and lower thermosphere. Thus the data from COSMIC can only be used for tropospheric and stratospheric studies (and the ionospheric data products can be used for ionospheric studies). In the current study, level 2 dry temperature 'atmPrf' profiles from the lower atmospheric data from FORMOSAT-3/COSMIC mission are analysed for the period from October 2009 to December 2010. Data is considered at 1 km intervals from 15 to 50 km. It is known that the vertical resolution of RO derived temperature profiles is 0.5 km in the troposphere and 2 km in the stratosphere (Kursinski et al., 1997; Scherllin-Pirscher et al., 2017). COSMIC temperatures are smaller by 2 to 3 K than SABER temperatures across all latitudes below 0.3 hPa and larger above this altitude. The agreement of COSMIC temperatures with those from Microwave Limb Sounding instrument onboard the Aura satellite is much better and in the range of  $\pm 1$  K upto 2 hPa (Das and Pan, 2014).

The data obtained from COSMIC using the technique of GPS RO are not regular, i.e., the retrieved data are not uniformly spaced in space and time. This nonuniform and pattern-less spatial and temporal sampling is advantageous to the current study to characterise the variability of tides in the middle atmosphere as the method of least squares fitting is used. As mentioned earlier 10 days data from all six COSMIC satellites is in principle sufficient to appropriately sample the 24 local hour diurnal duration over any given location allowing short term tidal variability to be diagnosed. If data from only one satellite is considered, one would require sixty days of data for tidal analysis, similar to SABER.

To establish this aspect and to ascertain the necessary and sufficient conditions for the amount of data required for accurate tidal characteristic extraction, COSMIC data is considered as follows for the analysis. Data is divided into two overlapping groups, consisting of four satellites each. First group, named group 'G1', takes data from satellites C001, C002, C003, and C004 and the second group, named group 'G2', takes data from C004, C005, C006 and C001. (Data availability is shown in Figure S1, in supplementary section, as number of profiles available over equator, 30°N, 45°N and 65°N during the study period, from each of the COSMIC satellites C001 to C006. The last panel shows data available from all satellites taken together.) In principle, we could have divided the satellites into groups of three satellites and considered data over ~20 days, however, due to technical problems, sometimes data from one or another of the satellites is not available entirely, or less data is available. To overcome this, we made groups of four, with two satellites in common and considered data over  $\pm 10$  days centred over a given day. A third group consisting of all six satellites is also investigated; this is named group 'G0'. Further, data of G0 is also analysed by considering  $\pm 10$  days' data centred over each day, to maintain uniformity and avoid data gaps. Differences observed in results obtained from G0, G1 and G2 allow the effect of aliasing to be examined and their role in causing errors in diagnosed results evaluated. Data from the C004 satellite is also analysed separately using the same method by considering data over  $\pm 30$  days.

130 When satellite data are considered for tidal analysis, for minimal aliasing related problems, it is important that the two dimensional space of universal time and longitude (over each latitude) is uniformly sampled by the satellite. The same can be verified from a different perspective of total phase. Given the universal time ( $t$ ) and longitude ( $\lambda$ ) of each observation, the total phase is  $2\pi ft + 2\pi s\lambda$  for each wave of frequency ( $f$ ) and wave number ( $s$ ) can range between 0 to  $2\pi$ . If all phases of a given wave are sampled, i.e., if phase sampling is sufficiently uniform, then the characteristics of the wave, namely, amplitude, and phase, can be extracted reasonably accurately. To understand this, the total phase of the important wave component DW1 is investigated over the equator and 65°N and shown in Figure 1 for the different groups G0, G1 and G2 (by considering  $\pm 10$  days' data) as well as for the C004 satellite (by considering  $\pm 30$  days' data). It can be seen that for both latitudes, the phase sampling is reasonably uniform on any given day for all the waves. The number of data points are reduced in general over the period investigated from October 2009 to December 2010, due to reduction in overall number of observations. It can also be seen that the sampling is also uniform when data from one satellite (C004) was considered over  $\pm 30$  days. The completeness and uniformity of the phase space sampling was also verified for all other waves of interest to the current study.

### 3 Analysis

Data in each group (G0/G1/G2/C004) are investigated using the least squares fitting technique. The following function is fit to the two dimensional temperature data,  $T$ , at each altitude at universal time,  $t$ , and longitude,  $\lambda$ , to include (a) mean temperature variation ( $T_0$ ), (b) diurnal (frequency,  $f_1 = 1$ ), semi-diurnal ( $f_2 = 2$ ) and ter-diurnal ( $f_3 = 3$ ) tides with wave numbers  $s_j$  ranging from -4 to 4, where negative wave numbers denote eastward propagating tides and positive wave numbers denote westward propagating tides and (c) SPWs with wave numbers  $s_k$  ranging from 1 to 3.

$$T(t, \lambda) = T_0 + \sum_{i=1}^3 \sum_{j=-4}^4 T_{ij} \cos(2\pi f_i t + 2\pi s_j \lambda - \phi_{ij}) + \sum_{k=1}^3 T_k \cos(2\pi s_k \lambda - \phi_k)$$

where,  $T_{ij}$  and  $\phi_{ij}$  are the amplitudes and phases of the tides, and  $T_k$  and  $\phi_k$  are the amplitudes and phases of the SPWs. It may be noted that data from G0, G1, G2 are analysed using  $\pm 10$  days' data and data from C004 is analysed using  $\pm 30$  days' data. This equation results in 61 fitted parameters that are carefully investigated in the ensuing sections.

### 4 Tidal Characteristics

The mean temperature and amplitudes of DW1 and SPW1 at 30 km obtained from the analysis of temperature data during November 2009 – September 2010 are shown in the three rows of Figure 2, respectively. Each column indicates the results obtained from the three groups G0, G1, and G2 using  $\pm 10$  days data and from satellite C004 using  $\pm 30$  days data. The last column shows the numerical difference between results obtained from group G0 and C004. It can be seen that the results obtained from the three groups are very similar, with extremely small differences over very fine scales. The variation in the mean temperature is similar in all groups. Over the equator a semi-annual variation is observed (with maxima during November

and May) along with an annual variation with a maximum during April-May and a minimum during November-December.

160 Over mid and high latitudes a strong annual variation is observed with a maximum during summer and a minimum during winter. The sudden stratospheric warming (SSW) of 2010 is also observed in the northern hemisphere during January - February. The migrating diurnal tide, DW1, is very prominent at 30 km over the equatorial region with amplitudes in the range 1-1.5K as a band like structure around the equator. The band is slightly shifted towards winter poles, i.e., northward during northern hemisphere winter and southward during southern hemisphere winter. Note that amplitudes below 0.5 K are

165 not shown in the figure. At latitudes greater than  $45^\circ$  in the winter hemisphere, intermittent patches of DW1 are observed. The amplitudes during January 2010 are enhanced relative to other times and in the range of 2-3 K. This coincides with the occurrence of the SSW of 2010. The SPW1 amplitudes are also large, reaching 18 K, over mid-latitudes above  $45^\circ$  in the winter hemisphere. The amplitude of this wave is stronger in the northern hemisphere than in the southern hemisphere. Furthermore, there is an apparent 60-day modulation in the amplitude of this wave. These plots show that similar results are

170 obtained with all groups. Hence for the rest of the paper only analysis from group G0 (that considers data from all six satellites) is discussed.

Results obtained using data over  $\pm 30$  days from the single satellite, C004, are very different from the group results, particularly in the mid and high latitudes. The mean temperature is smoother over the 60 day period and the difference between mean temperature of group G0 and C004 (presented in the last column of Figure 2) shows periodic variations of  $\sim 60$  days over the

175 entire global region. The differences maximise during winter and spring in the high latitudes with magnitudes greater than 3K. The amplitude of DW1 over the equator and latitudes less than  $30^\circ$  are similar to those obtained from the analysis of the groups. However, the values are unusually large over mid and high latitudes, particularly over the regions poleward of  $45^\circ$ . The differences show that DW1 amplitudes from C004 using  $\pm 30$  days data are overestimated by more than 6K, which is significant, given that the maximum amplitudes of DW1 (from the group analyses) in high latitudes is less than 3 K. The amplitude of

180 SPW1 is, on the other hand, similar to the variation observed in the analysis of the data in groups. However, the former is smoothed over the time duration considered. Here, the difference panel in the last column shows that the SPW1 amplitude observed by data from C004 alone is also modulated by periodic variations of  $\sim 60$  days, particularly in the high latitude winter atmosphere. These differences are of the order of  $\pm 3$  K, which are small compared to the maximum SPW amplitudes.

Figure 3 shows the annual mean of the various wave parameters of interest in the current study using group G0 and satellite

185 C004. The annual mean of mean temperature is similar in both columns. However, the migrating diurnal tide as well as nonmigrating tides are overestimated by C004 in the high latitudes. Over equator and low latitudes, the tidal amplitudes are similar. SPW1 amplitudes are marginally underestimated by C004 over high latitudes. This could be due to the effect of smoothing as more data was used in the analysis of the latter.

Figure 4 shows the variation of amplitudes and phases of DS0 (left column), DW1 (middle column) and DW2 (right column)

190 during the winter of 2009/10, i.e., from December 2009 to February 2010, over  $65^\circ\text{N}$  in the first and second rows and over equator in the third and fourth rows, respectively. These results are obtained from group G0. In the high latitude winter hemisphere, DW1 shows large amplitudes of 2K, but only intermittently and DS0 and DW2 also show similar intermittent

behaviour in the range 1-2 K. The phase plots of these tides do not show any specific pattern as the waves themselves are intermittent. Over the equator, the amplitude of DW1 maximises at 30 km and is in the range of 1-1.5 K. Its phase variation with altitude indicates that its wavelength is ~25 km as is known from previous studies. Small amplitudes of 0.5 to 1 K are observed for DS0 and DW2 on either side of this equatorial band at 35 km. However, at all other altitudes over the equator and low latitudes their amplitudes are zero (not shown here).

Figure 5 shows the variation of the amplitude and phase of SPW1 at various altitudes from 20 to 50 km (along the different rows) during the period of study. Large amplitudes of SPW1 are seen in the high latitude winter atmosphere. The amplitudes of SPW1 over northern hemisphere are largest during winter, with values reaching 18 K at 30 km altitude. It is also seen that in January 2010, as the SSW started, the amplitude of SPW1 decreased to ~6K. In the southern hemisphere the amplitudes are smaller with a maximum of ~10 K at 30 km. Importantly, there is significant variability at a periodicity of ~60 days at all altitudes, the modulation remaining coherent between both hemispheres. Investigation of the phase plots show that the phase lines are nearly constant as a function of latitude at each height when large amplitudes of the SPWs are observed. The phase variation with altitude indicates that the vertical wavelength of SPW1 is in the range of 50 to 60 km at ~60°N, as well as at ~60°S.

Comparison of Figures 4 and 5 shows that significant amplitudes of DS0 and DW2 occur at the same time as when SPW1 is strong. However, there does not seem to be any significant correlation between the nonmigrating tides and the stationary planetary wave. To investigate this aspect further, Figure 6 shows the mean temperature in black, amplitudes of the DS0 in blue, DW1 in red, DW2 in cyan, and SPW1 in green at 30 km at 65°N, equator, and 65°S, in the three panels. The amplitudes of the waves are indicated by the axis on the right of the figure with that of SPW1 scaled down by a factor of 10 for convenience. The most striking feature of the figure is the occurrence of the SSW in January 2010 at 65°N. Exactly during the time when the mean temperatures were increasing at a high rate, the amplitude of SPW reduced drastically from 17 to 10 K and the amplitudes of the three tides increased. The amplitude of DS0 is almost 2 K, that of DW1 is 1.5 K and that of DW2 is 1 K. Similar peaks are also observed after the event when the mean temperature is decreasing. At this point, the amplitude of DW1 maximises at 2 K, that of DS0 is 1 K and the amplitude of DW2 is less than 1K. During summer there is no wave activity in either hemispheres. In the southern hemisphere at 65°S, tidal activity is observed as the temperatures start to rise in the winter. In particular, as the mean temperature increases rapidly during July 2010, amplitude of DW1 maximises at ~2K and the amplitudes of DS0 and DW2 are of the order of 1 K. During the next 3-4 months, intermittent patches are observed when the amplitudes of DW1 and DW2 are ~1.5 K. Over the equator, on the other hand, the picture is very simple as there are no occurrences of significant amplitudes of DS0 and DW2. Interestingly, DW1 shows significant short term variability at periodicities of the order of 30 days. If the data were analysed over 60 day intervals, this variability would not have been observed. The amplitudes of DW1 are marginally higher (~ 1.5 K) during northern hemisphere winter and smaller (~1K) during summer.

To understand the simultaneous occurrence of nonmigrating tides and stationary planetary waves, a simple correlation study is performed and is shown in Figure 7. The first panel shows the correlation of DS0 and SPW1 at 65°N at 30 km for winter

from December 2009 to February 2010. The correlation is negligible. The second panel shows the correlation between DW2 and SPW1 for the same latitude and altitude. Here also the correlation is not significant. The bottom panels show similar correlations for the southern hemisphere during June to August 2010 and there is good correlation between DS0 and SPW1 and a reasonable correlation between DW2 and SPW1. However, the amplitudes of the tides are all  $\sim 1$  K or smaller. Thus no reasonable statistical relation can be established between occurrence of nonmigrating tides and stationary planetary waves. Figure 8 shows another correlation study between mean temperature and DW1 tide. In the left panels no significant correlation between the two parameters in either hemisphere is present. The panels on the right show the variation of DW1 as a function of gradient in the mean temperature. When the latter are larger than  $\pm 0.25$  K day<sup>-1</sup>, the amplitudes of DW1 are also very large and increase with increasing gradient. The situation is same in the southern hemisphere. This is not observed when the gradients are smaller and at these times the amplitudes of DW1 are smaller than 0.5 K and are negligible. This clearly indicates that there is an aliasing of energy into the DW1 tidal amplitude when the mean temperatures vary significantly.

## 5 Aliasing

It was very clearly established that varying mean temperatures alias into the DW1 tide using SABER data (Sakazaki et al., 2012). However, in the case of COSMIC data, as the data sampling is irregular, it is difficult to establish such aliasing phenomena in the same way. To circumvent this problem, numerical experiments were performed to understand the extent to which aliasing occurs as a result of COSMIC data sampling. For the times and locations of COSMIC measurements over the equator and at 65°N, a numerical atmosphere is created that consists of known variabilities. Table 1 describes the 10 cases considered for this study. The results from these numerical experiments are shown in Figures 9 and 10 and are explained in detail in the table.

Table 1: Numerical Experiments to investigate the aliasing of energy from one component into another over equator and 65°N as seen in Figures 9 and 10. T is temperature,  $\lambda$  is longitude, t is day number and time, and h is hour of day.

S. No	Atmosphere	Equation	Results
1	Constant SPW1 Amplitude	$T = 10 * \cos(2\pi\lambda/360)$	SPW1 amplitude extracted with no errors over both latitudes
2	Slowly varying SPW1 amplitude (Period = 50 days)	$T = 10 + [10 * \cos(2\pi t/50)] * \cos(2\pi\lambda/360)$	Maximum amplitude is underestimated and minimum amplitude is overestimated. No Aliasing is observed over equator, however, over 65°N, DS0 and DW2 components show equal and uniform aliasing of 1 K amplitudes. This happens at times of maximum gradient in SPW1 amplitude.

3	Fast Varying SPW1 amplitude (Period = 10 days)	$T = 10 + [10 * \cos(2\pi t/10)] * \cos(2\pi\lambda/360)$	Average SPW1 amplitude is extracted. The periodic variation of 10 days is lost in the analysis. However, no DS0 and DW2 components are observed over both latitudes.
4	Constant DS0 Amplitude	$T = 10 * \cos(2\pi h/24.)$	Constant DS0 component extracted successfully. No aliasing into any other component is observed over both latitudes.
5	Varying and large DS0 Amplitude (Period = 10 days)	$T = 10 + [10 * \cos(2\pi t/10)] * \cos(2\pi h/24.)$	Average DS0 component is extracted. And no aliasing into other components is seen over both latitudes.
6	Varying and Small DS0 Amplitude (Period = 5 days)	$T = 1 + [1 * \cos(2\pi t/5)] * \cos(2\pi h/24.)$	Average DS0 component is extracted. And no aliasing into other components is seen over both latitudes.
7	Varying and Small DW2 Amplitude (Period = 5 days)	$T = 1 + [1 * \cos(2\pi t/5)] * \cos\left(\frac{2\pi h}{24} + \frac{2\pi\lambda}{360} 2\right)$	Average DW2 component is extracted. And no aliasing into other components is seen over both latitudes.
8	Fast Varying Mean Temperature (Period = 10 days)	$T = 280 + 10 * \cos(2\pi t / 10.)$	Average mean variation is extracted. Aliasing is observed in DW1, SPW1 and DS0 and DW2, all showing amplitudes up to 1 K over equator and larger at 65°N. The large peaks observed in DW1 and SPW1 at 65°N indicate significant aliasing.
9	Slowly Varying Mean Temperature (Period = 50 days)	$T = 280 + 10 * \cos(2\pi t / 50.)$  (Similar to variation during SSW 2010)	Mean variation is extracted reasonably (in the range 273 to 287 K), with maximum amplitudes underestimated by 3 K and minimum values overestimated by 3 K. Aliasing is observed in DW1, SPW1 and DS0 and DW2, all showing amplitudes up to 1 K over equator and much larger at 65°N. Large peaks of 5 K are observed in DW1 and that of 3 K are observed in SPW1 at 65°N. Interestingly, the peaks in DW1 occur at times of maximum gradient in mean temperature

10	Constant Mean Temperature	$T = 280$	Mean temperature is extracted and absolutely no aliasing is observed over both latitudes.
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## 250 6 Discussion and Concluding Remarks

Extraction of tidal variability from satellite measurements with good accuracy and with no aliasing is a challenge. Using SABER temperature data, wave characteristics can be extracted over 60 days in the middle atmosphere. The amplitude of SPWs using SABER data are much smaller (Xu et al., 2014) than those obtained in the current study. During northern hemisphere winter, the maximum average amplitudes from SABER were  $7.2 \pm 1.02$  K at  $45^\circ\text{N}$  and 45 km. There was strong temporal correlation between the occurrence of SPWs and the nonmigrating tides, which led to the conclusion that the latter were produced due to nonlinear interactions of SPWs and migrating diurnal tides (Xu et al., 2014). Their study concentrated explicitly upon the generation of these nonmigrating tides and hence their conclusions. However, the current study shows that the amplitude of SPW1 is very large, of the order of 18K, and the strong temporal correlation with DS0 and DW2 could also be caused due to aliasing of SPW1 into the nonmigrating tides. In Case 2 of numerical experiments, it is observed that the aliasing of SPW1 into DS0 and DW2 is equal and uniform and thus in the actual analysis if DS0 and DW2 are found to be equal and uniform, it is possible that the diagnosed variation in these tidal components might be due to aliasing. Thus the question of whether nonlinear interactions between SPW1 and DW1 produce DS0 and DW2 is still debatable. Although nonlinear interactions cannot be entirely ruled out, the current study shows that the contribution of this mechanism in producing nonmigrating tides in the mid and high latitude stratosphere is not as important as indicated by earlier studies, that are particularly dependent on analysis of SABER data (Xu et al., 2014). The current study indicates that the DS0 and DW2 components are much smaller than those observed earlier using SABER data.

Baumgarten and Stober (2019) derived short term tidal variability in the altitude range from 30 to 70 km using temperature derived from lidar observations at Kühlungsborn ( $54^\circ\text{N}$ ,  $12^\circ\text{E}$ ), a mid latitude station. The diurnal tide (including all wavenumbers) in temperature and winds was extracted from lidar data and compared with the DW1 component of temperature and winds from Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA-2). It was shown that the local tidal fields are dominated by the migrating diurnal and migrating semidiurnal tides and that other components are negligible. This indicates that the nonmigrating components make a small contribution to net tidal fields and thus supports the conclusions of the current study that diagnosed nonmigrating tidal signatures could be possibly due to aliasing. Aliasing problems involved in SABER data are difficult to verify due to lack of similar global observations but comparisons have been made with models and reanalysis and it was noted that there are significant inconsistencies in the tidal signatures determined from the various sources (Sakazaki et al., 2018). It was found that the amplitude of the trapped diurnal migrating tide in the upper stratosphere is significantly smaller in reanalyses than that in SABER. The current study also indicates that SABER tidal amplitudes are overestimated, particularly in the mid and high latitudes. Results from space time spectral analysis of gridded

monthly COSMIC data for the period from 2007 to 2008 also had shown that the DW1 peaks at 30 km over the equatorial latitudes (Pirscher et al., 2010). It was shown in their paper that sampling was insufficient northward of 50° and the spectral amplitude associated with the sampling error was large. However, in the current study (where a different time interval and hence different distribution of satellite observations was selected) the wave phase space is sufficiently well sampled (Figure 1). By using the least squares method over shorter lengths of data, it was possible to extract the different wave components. The numerical experiments show that with the given sampling and the technique used, it can be verified whether the extracted spectral components SPW1, DS0, DW1, DW2 are geophysical or are a result of aliasing.

There are also studies that have shown that the time evolution of DW2 over the equatorial mesopause region follows SPW1 variations in the high latitude stratosphere (Lieberman et al., 2015; Niu et al., 2018). It is proposed that mid to high latitude stratospheric SPWs are ducted upward and equatorward, interact with equatorial DW1 over mesopause and thereby generate DW2 over the equatorial mesopause region. DS0 is not discussed by Lieberman et al (2015). Niu et al (2018) investigated the SPW1-DW1 interaction during SSWs using the extended Canadian Middle Atmosphere Model (eCMAM) data and found good but varying degrees of correlations with DS0 and DW2 during 20 out of 31 SSW events indicating that the strength of nonlinear interactions also varied from year to year. As the correlations are not observed during all SSW events the proposed mechanism of nonlinear interactions is still unproven.

In the current study, during the SSW of 2010, the peaks observed in DW1 and DS0 & DW2 are most likely due to aliasing. At 65°N, as the temperature increases (decreases) steeply during the onset (decay) of the warming episode, the DW1 component is observed to be large (1.5 to 2 K). The entire SSW event lasted ~60 days and the temporal evolution of its fields is very similar to the numerical experiment in Case 9, where significant aliasing into DW1 is observed. This experiment indicates that over high latitudes, when there is a large gradient in the mean temperature, peaks of large amplitude of DW1 are observed, which are not geophysical in nature. At the same time, the SPW1 component steeply decreased during the onset of the episode, from which the DS0 and DW2 components may have arisen due to aliasing. In addition, there is aliasing in to SPW1 of the order of 2-3 K, but since the observed SPW1 amplitudes are much larger (18 K), this is of less geophysical significance. The amplitude of 2K of DS0 during the onset of the event may have some geophysical significance, but further investigation is needed before this is clear.

McCormack et al (2017) investigated the short term tidal variability during the SSWs of January 2010 and January 2013 using high altitude Navy Global Environmental Model (NAVGEM) data in the mesosphere and lower thermosphere region. NAVGEM is the result of assimilation of middle atmospheric data from nine meteor radar stations and other satellite instruments, including SABER on board TIMED satellite. Their results show a reduction in the semi-diurnal amplitude before the onset of the SSW and an increase after the event, peaking 10-14 days later. Short term tidal variability has also been deduced using data from a Sodium Lidar and simultaneous SABER retrievals and TIME-GCM results in the mesosphere and lower thermosphere (Liu et al., 2007). They found large tidal variability which could be the result of interactions with the planetary waves. The migrating diurnal tidal amplitude was modulated by the planetary wave of 5-7 day period. Such interactions are worth studying in the future using COSMIC data by considering travelling planetary waves to obtain more

insights into the tidal variability. Unfortunately, the altitude coverage of COSMIC is only up to the stratopause and thus tidal characteristics cannot be extracted for altitudes above 45 to 50 km. However, the current study clearly establishes the fact that with COSMIC data short term tidal variability can be obtained in combination with consideration of the aliasing involved. The following may thus be concluded from the current study.

1. COSMIC data is better suited for tidal studies than along track observations from a single satellite due to better phase sampling of tides and waves; however, due to the lack of altitude coverage the studies are confined only to the lower stratosphere.
2. The migrating diurnal tide (DW1) is found to maximise at 30 km over the equator its seasonal variation in latitude is attributed to the excitation of more than one tidal mode in the troposphere. The vertical wavelength is of the order of 25 km.
3. A stationary planetary wave of wave number one (SPW1) peaks in the winter hemisphere over high latitudes with a vertical wavelength of 50-60 km at 65°N. It exhibits a strong ~60 day variability which was not observed earlier in SABER studies.
4. DS0 and DW2 components are relatively small and only present intermittently in the high latitude middle atmosphere COSMIC analysis. Most of the peaks seem to be appearing due to aliasing.
5. Aliasing is significantly reduced when data is analysed over  $\pm 10$  days using COSMIC data. However, it still exists and the numerical experiments performed in the current study show that DS0 and DW2 components arise when there is a rapid change in the SPW1 amplitude over time. Similar aliasing into DW1 component is prominently observed when there is a rapid change in the mean temperature, particularly in the high latitudes.
6. These exercises indicate that at the time of the SSW in January 2010, the peaks observed in DW1, as well as DS0 and DW2, are likely a manifestation of the aliasing effects involved in satellite data analysis, and that they may not be geophysical. Analyses of satellite data needs to be done extremely carefully when identifying the various tidal components and their characteristics.

It is thus concluded that nonlinear interactions are not a very important source of generation of nonmigrating tides in the winter high latitude stratosphere.

### **Code Availability**

The codes are prepared in IDL and can be supplied on request.

### **Data availability**

Data used in the current study is obtained from UCAR/COSMIC. The data is freely available.

### **Author contribution**

UD and WW conceived the idea.

345 UD performed the data analysis.

CJP provided insights into usage of COSMIC data.

WW designed and SKD performed the numerical experiments.

UD and WW analysed and finalised the results after discussion with all authors.

UD prepared the manuscript with contribution from all authors.

### 350 **Competing interests**

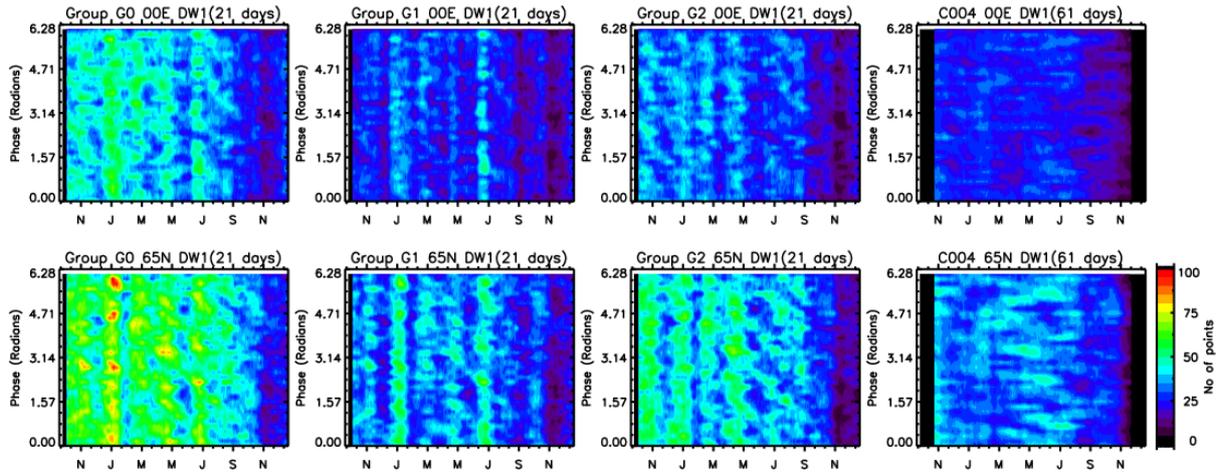
The authors declare that they have no conflict of interest.

### **Acknowledgements**

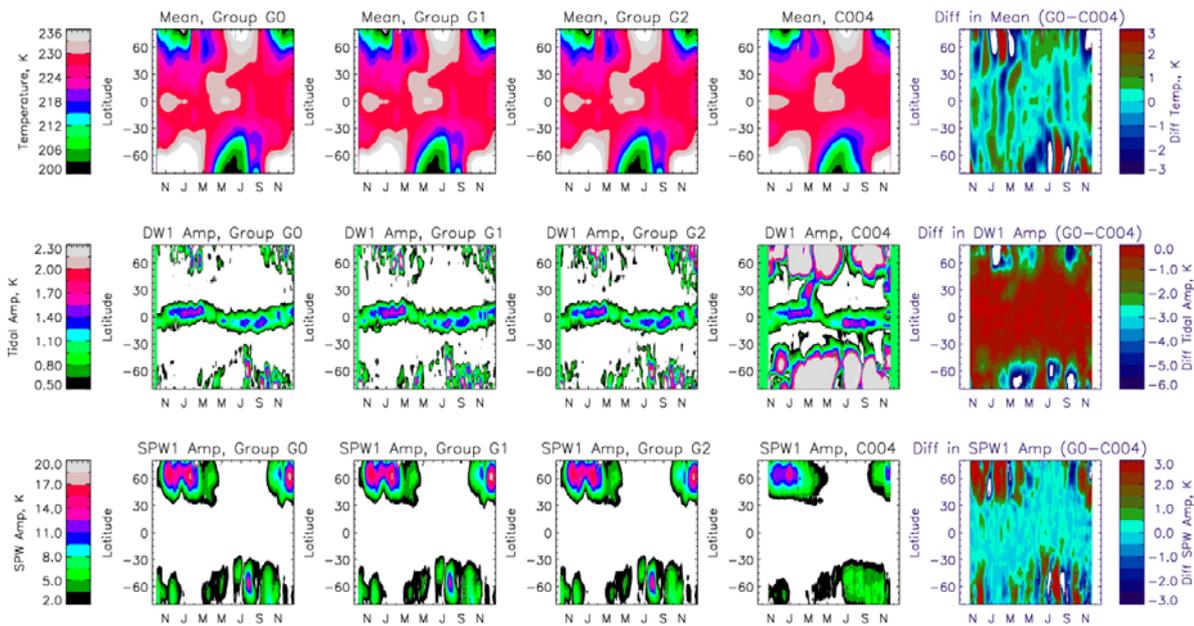
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## Figures



365 Fig. 1. Distribution of phase space for the tide DW1 from groups G0, G1, G2 ( $\pm 10$  days' data) and for the satellite C004 ( $\pm 30$  days' data) during the study period (2009-2010) over the equator and  $65^\circ\text{N}$ .



370 Fig. 2. Rows show the variation in mean temperature, amplitudes of the DW1 tide and SPW1 wave, respectively. Columns are results from groups G0, G1, and G2, and from satellite C004, respectively, for 30 km altitude. The last column is the numerical difference in results obtained from group G0 ( $\pm 10$  days' data) and C004 ( $\pm 30$  days' data).

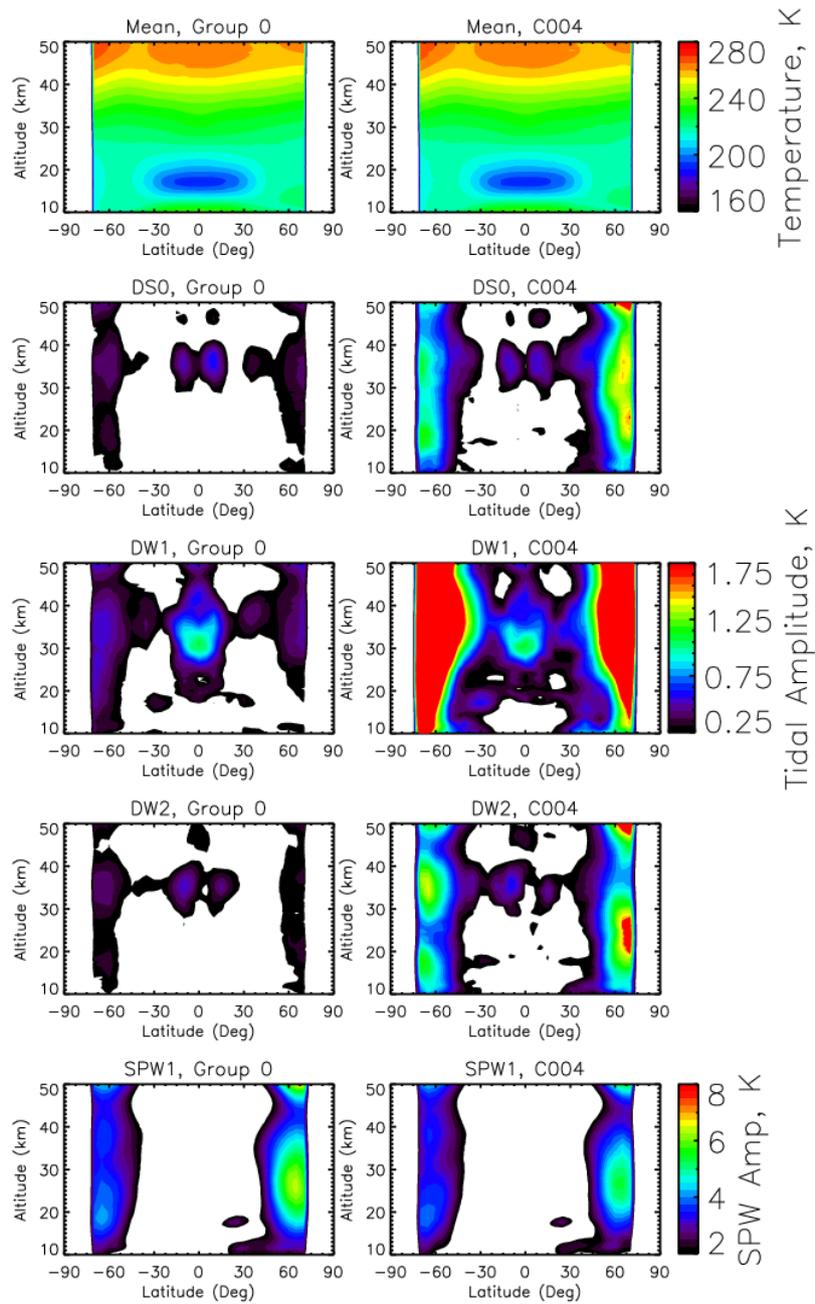


Fig 3. Annual means of mean temperature ( $T_0$ ) and amplitudes of diurnal tides (DS0, DW1, DW2) and stationary planetary wave (SPW1) for group G0 and satellite C004 during 2010 (Jan to Dec). Note the overestimation of amplitudes of the migrating tide, particularly in mid and high latitudes in the analysis of data over  $\pm 30$  days using a single satellite (C004).

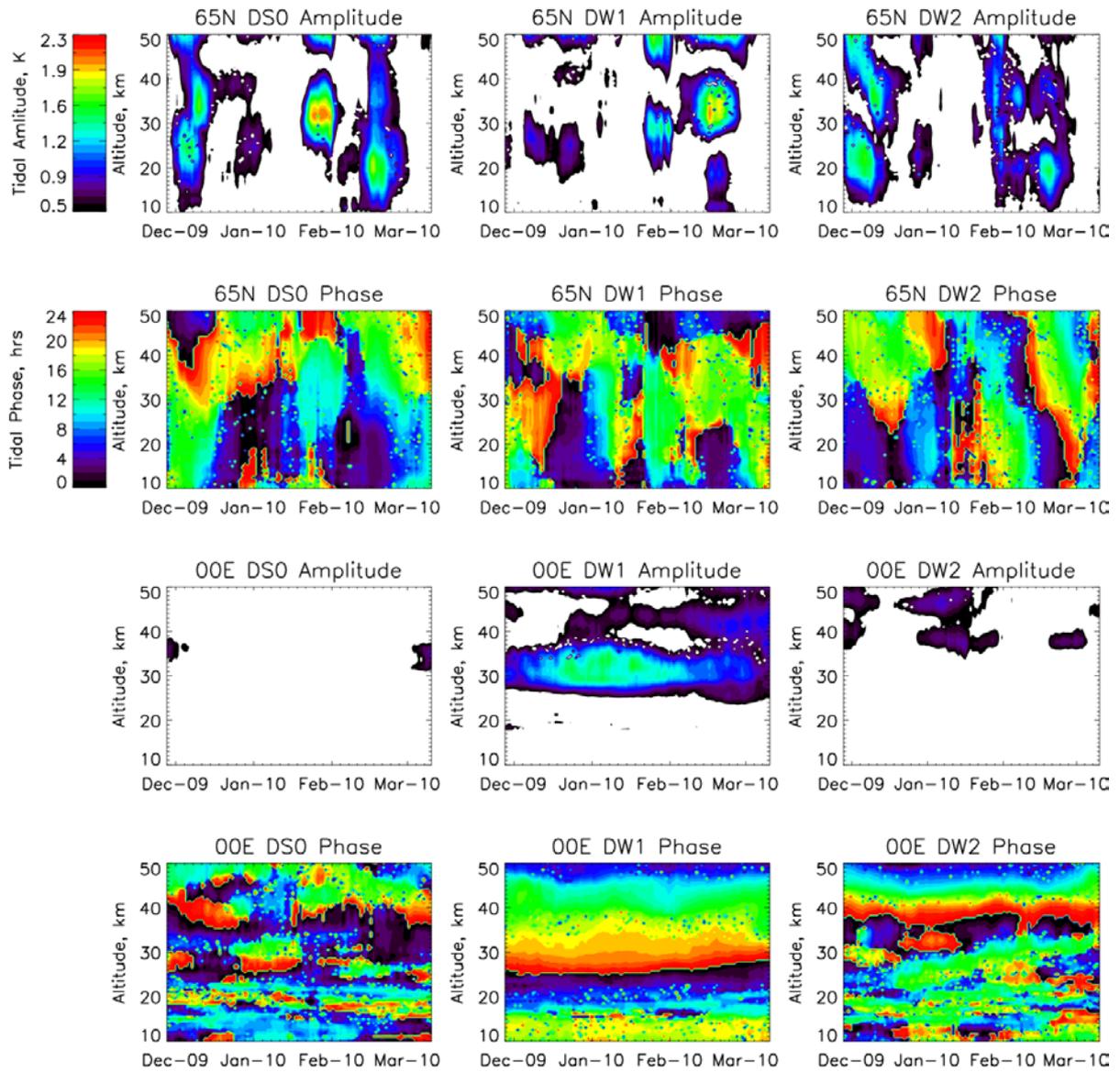


Fig 4. Variation of amplitudes and phases of DS0 (left column), DW1 (middle column) and DW2 (right column) during the winter of 2009/10, i.e., from December 2009 to February 2010 over 65°N in the first and the second rows and equator in the third and fourth rows, respectively.

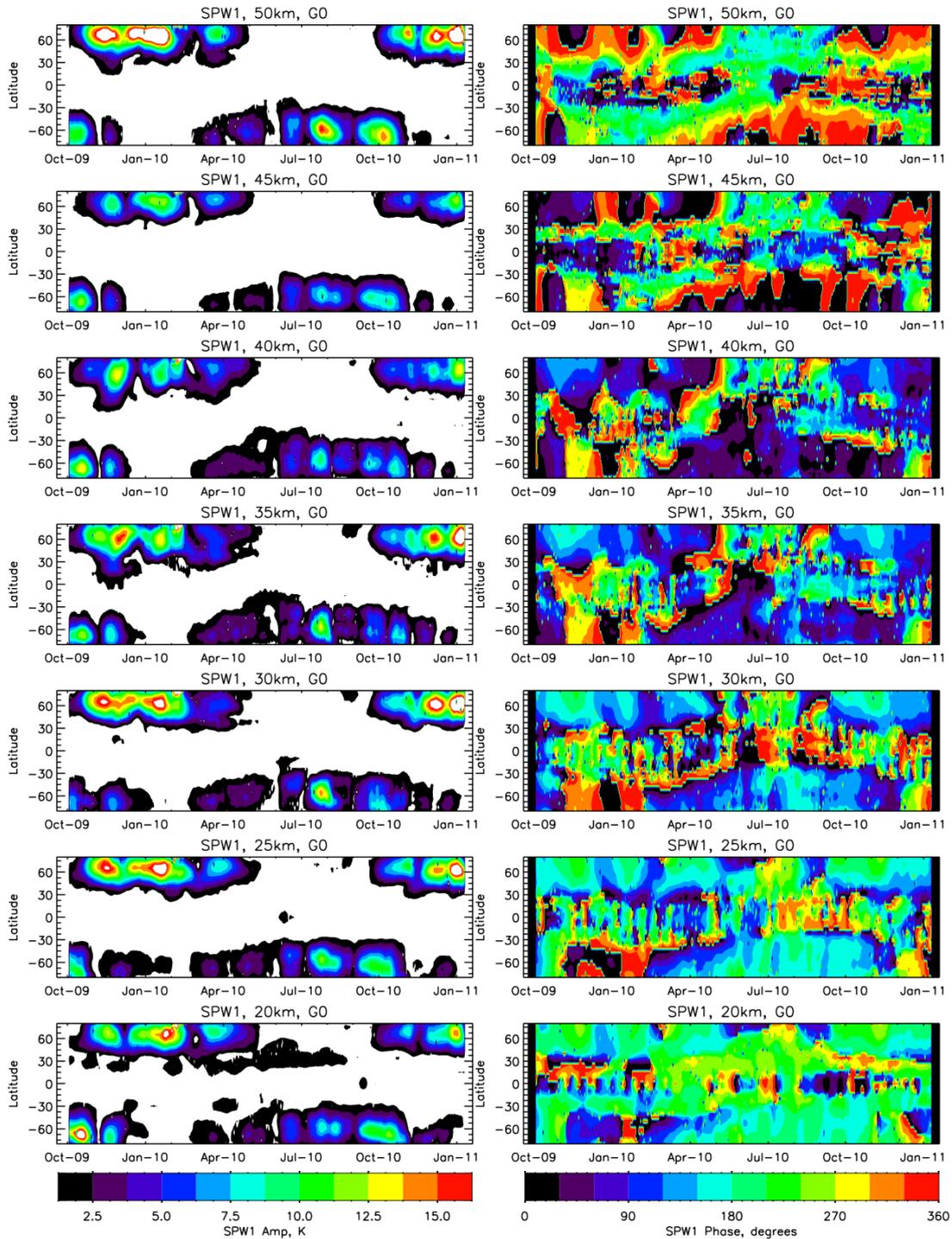
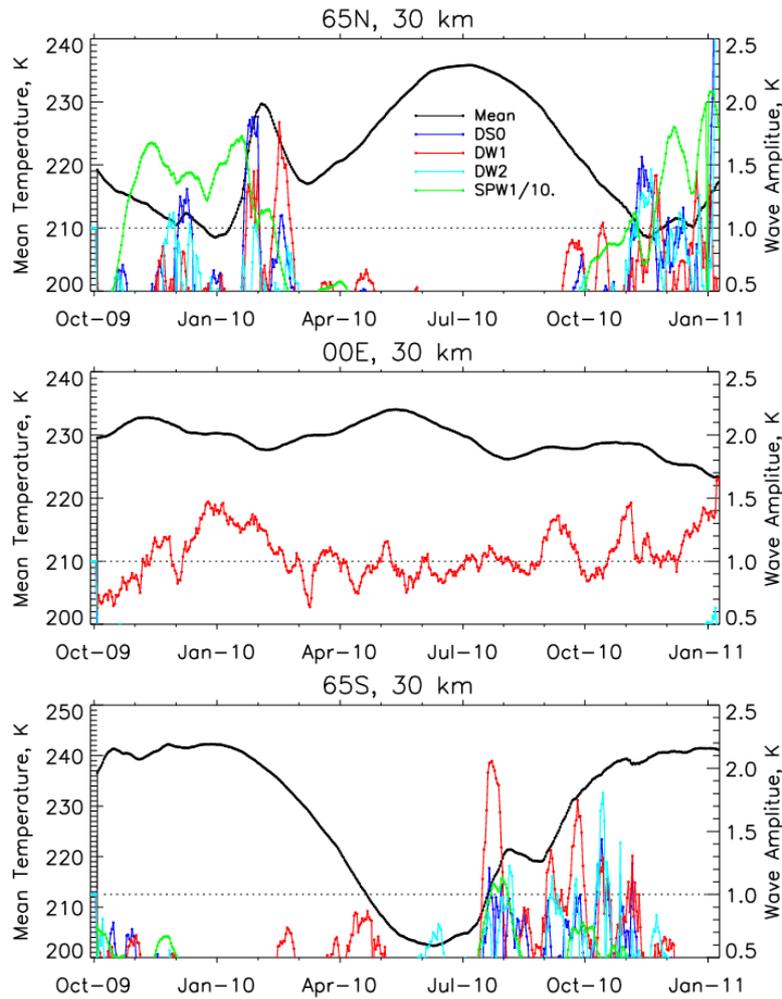
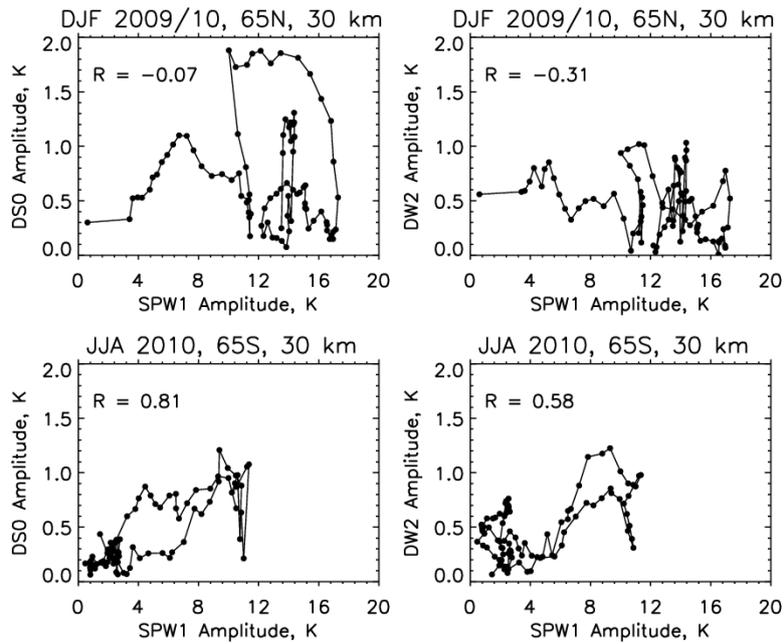


Fig 5. Variation of amplitude and phase of SPW1 at various altitudes from 20 to 50 km, as a function of latitude and day of the year.



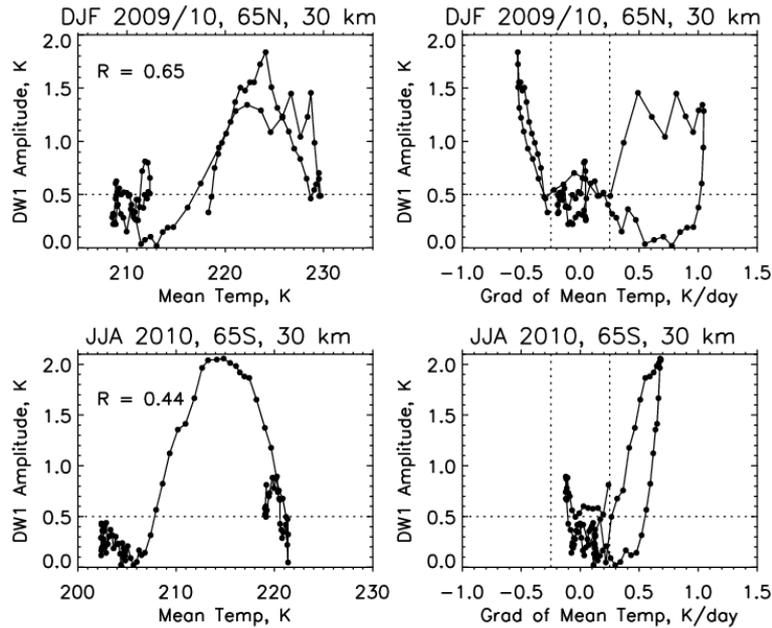
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Fig 6. Mean temperature (black), amplitudes of the DS0 (blue), DW1 (red), DW2 (cyan), and SPW1 (green) at 30 km at 65°N, equator, and 65°S during the study period. The amplitude of SPW1 is scaled down by a factor of 10 for convenience.



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Fig 7. Correlation between DSO & SPW1 and DW2 & SPW1 during winters at 65° latitudes in the northern and southern hemispheres.



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Fig 8. Correlation between DW1 & mean temperature and DW1 & gradient in mean temperature during winters at 65° latitudes in the northern and southern hemispheres.

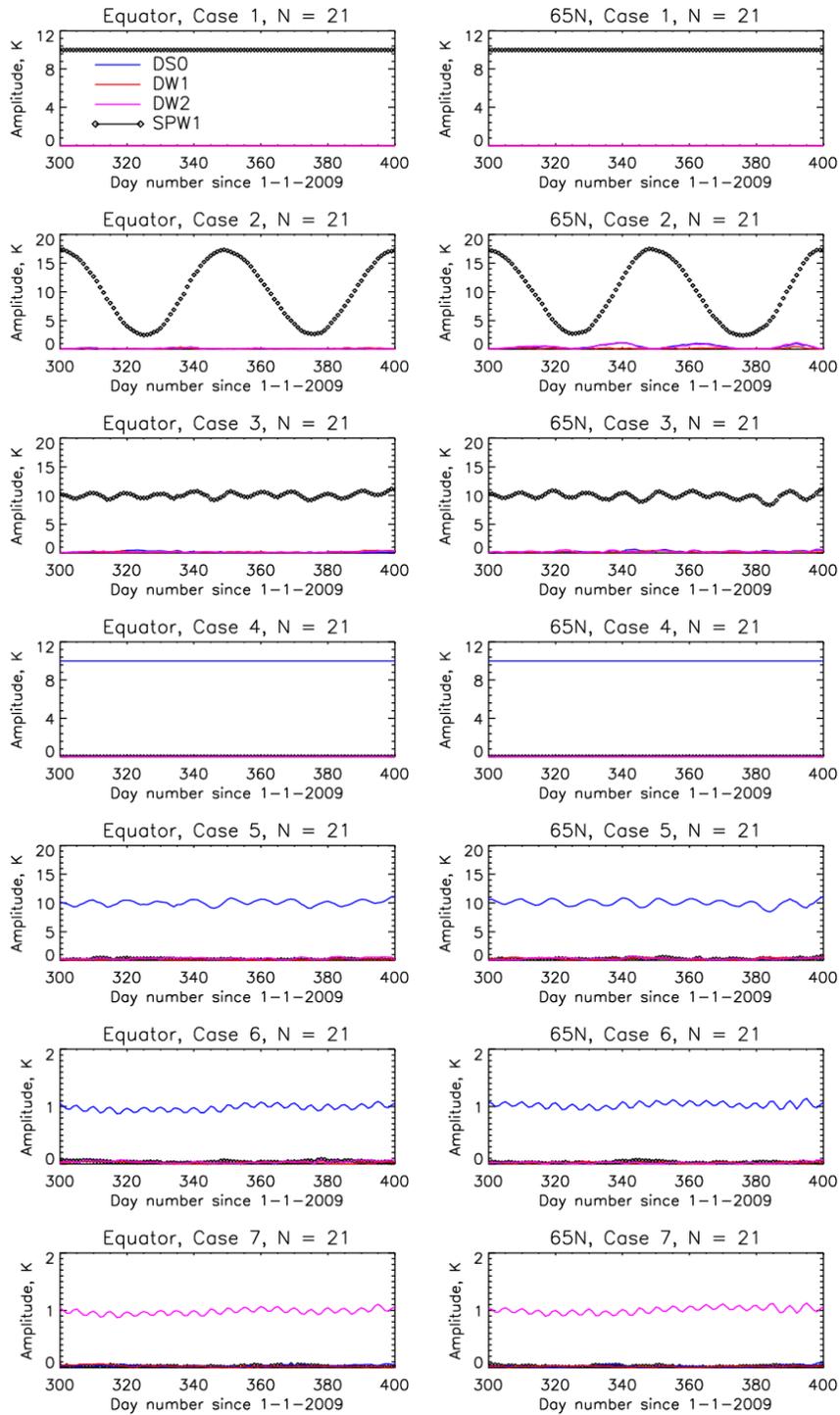
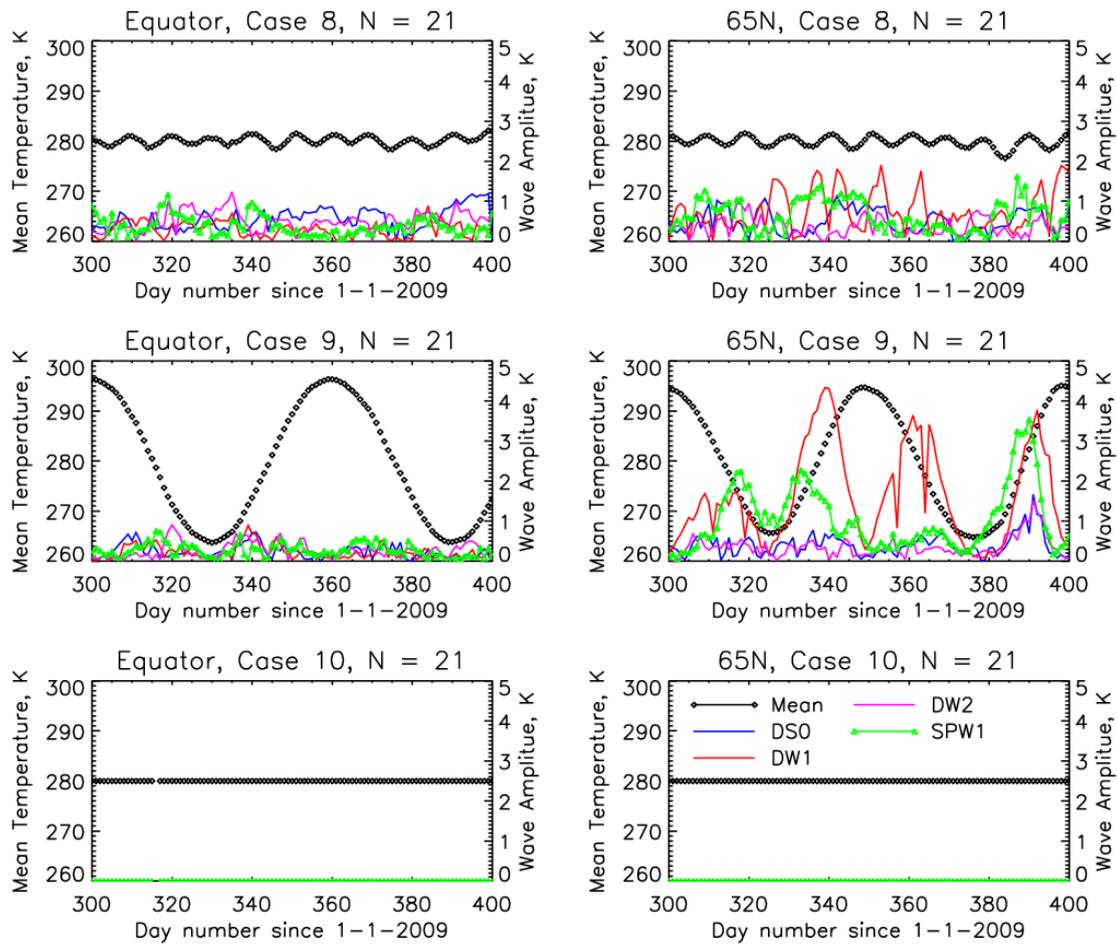


Fig 9. Results of numerical experiments from cases 1 to 7 (Table 1) for atmospheres considered to have only one variability among SPW1, DS0 and DW2.



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Fig 10. Results of numerical experiments from cases 8 to 10 (Table 1) for atmospheres considered to have only a mean temperature variation.

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