



1 The increase of curvature radius of geomagnetic field lines preceding a classical
2 dipolarization

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9 **Abstract**

10 Downstream observations at geosynchronous altitudes of field line dipolarization exhibit
11 fundamental component of substorms associated with high velocity magnetotail flow bursts
12 referred to as Bursty Bulk Flows. In growth phase of substorms, we found that the
13 magnetosphere at geosynchronous orbit are in unstable conditions for Ballooning instability
14 due to the appreciable tailward stretching of the flux tubes, and for slow magnetoacoustic
15 wave due to the continuing field-aligned inflows of plasma sheet plasmas towards the
16 equatorial plane. We propose following scenario of field line dipolarization in downstream
17 locations; (1) The slow wave was excited through Ballooning instability by the arrival of
18 Dipolarization Front at the leading edge of Bursty Bulk Flows. (2) In the equatorial plane,
19 slow wave stretched the flux tube in dawn-dusk directions, which resulted in the spreading
20 plasmas in dawn-dusk directions and reducing the radial pressure gradient in the flux tube.
21 (3) As a result, the flux tube becomes a new equilibrium geometry in which curvature radius
22 of new field lines increased in meridian plane, suggesting an onset of field line dipolarization.
23 (4) Increasing curvature radius induced inductive electric fields of the order of few mV/m
24 pointing westward in the equatorial plane, as well as radial electric fields associated with
25 stretching flux tubes in dawn-dusk directions. Westward electric fields transmitted to the
26 ionosphere produce a dynamic ionosphere where the E layer contains both dynamo
27 ($\mathbf{E} \cdot \mathbf{J} < 0$) and dissipation ($\mathbf{E} \cdot \mathbf{J} > 0$) processes in it for generating field-aligned current
28 system of Bostrom type. The dipolarization processes associated with changing the
29 curvature radius occurred in the transitional intervals lasting for about 10 minutes preceding
30 classical dipolarization composed of reduction of cross-tail currents and pileup of the
31 magnetic fields transported from the tail.

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34 **1. Introduction**

35 Substorms are spatially localized and temporarily variable processes in the nighttime
36 magnetosphere. It is often difficult to determine onset timing of substorm processes such as



37 magnetotail flow burst, field line dipolarization, and particle injections. To resolve the timing
38 uncertainties, auroras in global satellite images [Nakamura et al., 2001; Miyashita et al.,
39 2009], intensifications of auroral kilometric radiation [Fairfield et al., 1999; Morioka et al.,
40 2010], and dispersionless particle injection in geosynchronous orbit [Birn et al., 1997] were
41 used. Ground Pi2 pulsations are another useful tool for determination of the substorm timing
42 [Sakurai and Saito, 1976; Nagai et al., 1998; Baumjohann et al., 1999]. Particularly, Pi2s in
43 equatorial region exhibited small phase difference ($m < 1$, m denotes azimuthal wave number)
44 across widely separated stations in the equatorial countries [Kitamura et al., 1998]. This
45 enabled us accurate onset timing study using magnetometer data from two remote locations,
46 geosynchronous altitudes and conjugate ground stations of the equatorial countries [Saka et
47 al., 2010].

48 In this study, we focus on the dipolarization events at geosynchronous orbit from growth to
49 expansion phase. Triggering mechanisms of the field line dipolarization in the vicinity of
50 geosynchronous orbit are our major concern. In this paper, onset timing study using
51 magnetometer data from equatorial countries [Saka et al., 2010] are summarized in Sect. 2.
52 In Sect. 3, we present a pre-onset scenario leading to the dipolarization onset. We will focus
53 on the field line dipolarization in the vicinity of geosynchronous orbit in Sect. 4. A coupling of
54 magnetosphere and ionosphere associated with this dipolarization scenario will be presented
55 in Sect. 5. Summary and discussion of this scenario is given in Sect. 6.

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58 **2. Summary of onset timing study using ground Pi2s at the equator**

59 In this section, we summarize field line dipolarization occurring at the geosynchronous orbit
60 based on the results obtained by Saka et al. [2010]. In this paper, magnetometer data from
61 geosynchronous satellites (Goes5 and Goes6) and those at ground equatorial stations
62 (Huancayo, Peru) in the conjugate meridian were compared. Goes5 was located at higher
63 latitudes, 10.3 degrees N in dipole coordinates, and Goes6 was closer to the equator; 7.9
64 degrees N in dipole coordinates. This difference was caused by the separated meridians of
65 the satellites (285 degrees for Goes5, 252 degrees for Goes6). The dipole coordinate used
66 are equivalent to the HDV coordinates; H is positive northward along the dipole axis, V is
67 radial outward, and D denotes dipole east. The field line dipolarization at the geosynchronous
68 orbit can be characterized either by a step-like or impulsive increase of inclination angle of
69 the geomagnetic field lines. The inclination angle is measured positive northward from the
70 dipole equator. The step-like dipolarization was observed by Goes5 located at higher
71 latitudes, while the dipolarization pulse was observed by Goes6 at latitudes closer to the
72 equatorial plane.



73 The onset of field line dipolarization preceded the initial peak of the ground Pi2 pulse by two
74 minutes, suggesting that the onset was initiated in association with the first increase of the
75 Pi2 amplitudes. Following the dipolarization onset on the ground, field line magnitude
76 decreased at the geosynchronous orbit, and field lines deflected westward (eastward) in the
77 dawn (dusk) sector. Field line deflections decreased the field magnitudes therein by the
78 longitudinal expansion of flux tubes. Decrease of field magnitudes and westward deflections
79 of field lines lasted for 10 minutes. Eastward deflections in the dusk sector, however,
80 continued over this characteristic 10-min-interval. After this 10-min-interval, field magnitudes
81 turned to increase by accompanying a collapse of geomagnetic field lines caused by
82 reappearance of energetic particles of outer radiation belt. It is suggested that classical
83 dipolarization, caused by the reduction of cross-tail currents in the midnight magnetosphere,
84 happened after the nightside magnetosphere experienced this characteristic 10-min-interval.
85 For this reason, the first 10 min intervals are referred to as transitional state of substorm
86 expansion.

87 In the pre-onset intervals, decrease of the field line inclination started two hours prior to the
88 dipolarization onset. It attained minimum angles (33.6 degrees for Goes5 and 49.4 degrees
89 for Goes6 in dipole coordinates) right before the dipolarization onset.

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92 **3. Pre-onset intervals leading to field line dipolarization**

93 One of the properties of plasmas at geosynchronous orbit in pre-onset intervals are
94 continuing inflows of plasma sheet plasmas towards the equatorial plane [Saka and Hayashi,
95 2017]. The plasma sheet ions and electrons showed predominantly perpendicular
96 temperature anisotropies in the pre-onset intervals. The perpendicular anisotropies gradually
97 decreased towards the onset by increasing the parallel flux. At the onset, however, increase
98 of parallel flux stopped and perpendicular anisotropy increased again [Birn et al., 1997]. This
99 transition of the temperature anisotropy may be accounted for by the following manner. A
100 continuing tailward stretch of the field lines in the pre-onset intervals as depicted in Figure 1
101 may produce parallel component by the relation,

$$102 \quad \delta F_{\parallel} = F_{\perp} (\omega \cdot \delta t) \quad (1)$$

103 Here, δF_{\parallel} denotes increase of parallel flux per time δt , ω is angular velocity of
104 counterclockwise rotation of the inflow (F_{\perp}) vectors associated with the thinning of the flux
105 tubes caused by stretching.

106 Continuing parallel flux associated with the flux tube thinning in the pre-onset intervals may
107 increase plasma pressures in the flux tube at its tailward end. This condition leads to further
108 stretching of the flux tube [Ohtani and Tamao, 1993; Rubtsov et al., 2018] by the relation,



109
$$\frac{\beta}{2}\kappa + \kappa_B + \frac{1}{R} = 0 \quad (2)$$

110 Here, β is plasma to magnetic pressure ratio, κ and κ_B denote reciprocal spatial scales
111 of radial inhomogeneity of plasma pressure and magnetic field in the equatorial plane,
112 respectively. R is curvature radius of the field lines. A further increase of κ associated with
113 more steeper pressure gradient in earthward direction caused by a stimulus may trigger
114 Ballooning instability [Rubtsov et al., 2018].

115 An increase of parallel flux may also lead to the unstable condition for slow magnetoacoustic
116 wave. After manipulating a set of linearized MHD equations [Kadomtsev, 1976], we have a
117 relation between parallel displacement along the field lines (ξ_z) and perpendicular stretching
118 of the field lines (ξ_{\perp}) in the following form,

119
$$\xi_z = \frac{C_s^2}{\omega^2} F \cdot B_0^2 \frac{\partial}{\partial z} (\text{div} \xi_{\perp}) \quad (3)$$

120 Here, C_s , ω and B_0 are the sound velocity, angular frequency of waves and background
121 field magnitudes, respectively. F is given by

122
$$F = \frac{C_A^2}{B_0^2} \frac{1}{C_s^2 - \left(\frac{\omega}{k}\right)^2} \quad (4)$$

123 F is positive for the slow magnetoacoustic wave and negative for the fast magnetoacoustic
124 wave. C_A denotes Alfvén velocity. If the wave mode was the fast mode, flux tubes would have
125 contracted in longitudes towards the midnight sector, which was not observed during the
126 transitional state of substorm expansion (see Section 2).

127 It is therefore expected in the pre-onset intervals that slow magnetoacoustic wave coupled
128 with Alfvén wave are in unstable conditions. These waves can be excited by the Ballooning
129 instability [Ohtani and Tamao, 1989; Rubtsov et al., 2018] if the instability was triggered by a
130 stimulus.

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133 **4. Field line dipolarization in the vicinity of geosynchronous orbit**

134 We can assume the westward electric fields in Dipolarization Front (DF) [Runov et al., 2011]
135 embedded in the leading edge of Bursty Bulk Flow (BBF) as external stimulus for triggering
136 Ballooning instability [Saka, 2019]. In this case westward electric fields in the DF temporarily
137 amplified the parallel flux towards the end point of the flux tube in the equatorial plane and
138 steepen earthward pressure gradient. If it exceeds instability threshold determined by β
139 and initial curvature radius R , slow magnetoacoustic wave can be excited [Rubtsov et al.,
140 2018]. Once the slow magnetoacoustic wave was excited, stretched flux tubes in the



141 equatorial plane as depicted in Figure 2 spread the plasmas in dawn-dusk directions and
142 smooth the radial gradient of plasma pressures in the equatorial plane (smaller κ). Spread
143 of plasmas in dawn-dusk directions were observed as increasing perpendicular anisotropies
144 [Birn et al., 1997] or increasing perpendicular fluxes [Saka and Hayashi, 2017] at
145 geosynchronous orbit. This may result in the transition of the flux tube geometry to a new
146 configuration, an increase of the curvature radius of the field lines (larger R) (see equation
147 (2)).

148 On the other hand, field lines in the further earthward locations may be compressed by the
149 inward movement of the outer field lines. This process associated with the dipolarization
150 onset may increase the parameter κ_B in equation (2) which may result in transition to a new
151 geometry of earthward field lines, a decrease of the curvature radius R . Transition of the field
152 line geometries for onset locations and ones in earthward locations are schematically
153 illustrated in Figure 3. These field line geometries matched the third harmonic and
154 fundamental harmonic deformations of outer and inner field lines, respectively, associated
155 with Pi2 onset [Saka et al., 2012]. Transitions of the flux tube geometry in magnetosphere
156 also correspond to the production of negative bay in higher latitudes and positive bay in lower
157 latitudes. If we can assume that negative bay switched to positive bay at latitudes, 60 degrees
158 in geomagnetic coordinates for examples, this latitude can be mapped beyond the
159 geosynchronous orbit ($L \sim 7 R_e$ or further tailward) as field line dipolarization occurs along the
160 stretched flux tubes. Consequently, this scenario requires that the BBFs are not necessary
161 to reach inner magnetosphere to trigger the substorm onset at lower latitudes.

162 Increasing of the curvature radius, or shrinkage of the flux tubes in meridian plane, produce
163 a reduction of the radial component of the field lines (V in dipole coordinates) by adding
164 positive V in the north of the equatorial plane and negative V in the south. If amplitudes of
165 the V component changed by 10 nT in one minute, the expected inductive electric fields
166 (westward) could be of the order of 1.0 mV/m when these electric field were confined within
167 1 R_e from the equatorial plane. The dawn-dusk expansion of the flux tubes may also produce
168 inductive electric fields (earthward and tailward in dawn and dusk sector, respectively) of the
169 same order of magnitudes. The westward electric fields produce earthward flow bursts
170 referred to as convection surge. The inductive electric fields produced by the dipolarization
171 are the same order of magnitudes observed in DF [Runov et al., 2011]

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174 **5. Coupling of magnetosphere and ionosphere in association with field line** 175 **dipolarization**

176 The inductive electric fields may be transmitted along the field lines as poloidally and



177 toroidally polarized Alfvén waves [Klimushkin et al., 2004]. These electric fields produce a
178 dynamic ionosphere in polar region that includes nonlinear evolution of ionospheric plasmas
179 (poleward expansion), as well as production of field-aligned currents and parallel potentials
180 by exciting ion acoustic wave in quasi-neutral condition [Saka, 2019]. It is not the aim of this
181 paper to describe in detail the dynamic processes in the ionosphere, but to show a local
182 production of currents in the ionosphere as well as field-aligned currents by the penetrated
183 electric fields. For this purpose, we revisit the 10 August 1994 substorm event studied by
184 Saka and Hayashi [2017]. In this event, eastward expansion was observed of the field line
185 dipolarization region. At the leading edge of the expansion, ground magnetometer data
186 showed bipolar event (quick change of the D component from positive to negative in about 5
187 min), being confined in the expanding dipolarization front as a substructure. The substructure
188 in the leading edge of the field line dipolarization will be examined as follows.

189 We can assume that magnetic signals on the ground are associated with the sum of the
190 horizontal Hall currents in the ionosphere [Fukushima, 1971]. These currents can be
191 calculated by the relation,

$$192 \quad (\text{rot } \mathbf{J})_z = -\frac{1}{\mu_0} \nabla^2 B_z \quad (5)$$

193 We used the ground vertical component (b) as a proxy of B_z in the ionosphere. The second
194 derivative in right-hand side of equation (5) is approximated as,

$$195 \quad \nabla^2 B_z^i = \left(\frac{b^{i+1} - b^i}{L_{i+1} - L_i} - \frac{b^i - b^{i-1}}{L_i - L_{i-1}} \right) / (L_{i+1} - L_{i-1}) \quad (6)$$

196 Here, i denotes i -th station in the meridian chain. L_i is the geomagnetic latitude of the i -th
197 station. We considered meridional change only. This is because the vertical component
198 changed from negative to positive across the meridian, while in longitudes it changed simply
199 decreasing or increasing in lower and higher latitudes after onset, respectively. The results
200 reproduced from Saka and Hayashi [2017] are shown in Figure 4(A). The dipolarization front
201 crossed this meridian at 12:13 UT corresponding to the interval labelled 1. Two points arose
202 from this figure; (1) Hall current pair existed, CCW in the lower latitudes and CW in the higher
203 latitudes, (2) These current patterns expand poleward. Current patterns in the interval from
204 1 to 5 in Figure 4(A) are illustrated in Figure 4(B) to facilitate the poleward expansion. It is
205 clearly demonstrated that current pair forming CW in higher latitudes and CCW in lower
206 latitudes expanded in time towards the pole. Bipolar change can be recorded in the D
207 component data (not shown) when the ground station, FSIM in this case, passes from
208 segment 1 to 2 in Figure 4(B). As a result, dipolarization front expanded eastward
209 progressively by producing the poleward expansion at each meridian. The front left behind
210 the current pattern comprising upward field-aligned currents in lower latitudes and downward



211 in higher latitudes, or Bostrom type current system. We propose that the ionosphere itself
212 has inherent dynamo in the E layer to drive this Bostrom type current system. The reasons
213 are as follows;

214 In the E region, drift trajectories may be written [Kelley, 1989] for electrons by,

$$215 \quad \mathbf{U}_{e\perp} = \frac{1}{B}[\mathbf{E} \times \hat{\mathbf{B}}] \quad (7)$$

216 and for ions by,

$$217 \quad \mathbf{U}_{i\perp} = b_i[\mathbf{E} + \kappa_i \mathbf{E} \times \hat{\mathbf{B}}]. \quad (8)$$

218 Here, b_i is mobility of ions defined as $\Omega_i/(B\nu_{in})$, κ_i is defined as Ω_i/ν_{in} . Symbols Ω_i

219 and ν_{in} are ion gyrofrequency and ion-neutral collision frequency, respectively. $\hat{\mathbf{B}}$ denotes

220 a unit vector of the magnetic fields B . We assumed that $\mathbf{E} \times \mathbf{B}$ drifts for electrons and ions
221 were driven by westward electric fields transmitted from the magnetosphere. Because of very
222 low mobility of ions in E layer ($\kappa_i = 0.1$), electric field drifts accumulate electrons (not ions)
223 in lower latitudes and produce secondary southward electric fields in the ionosphere. The
224 southward electric fields produced southward motion of ions due to the first term of equation
225 (8). They carry Pedersen currents (ion currents) for producing quasi-neutrality of ionosphere.

226 $\mathbf{E}_W \times \mathbf{B}$ drifts caused by the transmitted westward electric fields (\mathbf{E}_W) may propel electrons
227 against southward electric fields from higher latitudes to lower latitudes ($\mathbf{E}_S \cdot \mathbf{J} < 0$, dynamo)
228 to maintain the potential drop for driving Pedersen currents ($\mathbf{E}_S \cdot \mathbf{J} > 0$, dissipation). This
229 means the ionospheric E layer contains both dynamo (E layer dynamo) and dissipation
230 processes in it. In quasi-neutral condition, a small imbalance of particle densities of electrons
231 and ions ($\delta n : 10^2 m^{-3}$) may induce in lower latitudes negative potential region of the order
232 of -100 kV with horizontal scale length of 100 km. To sustain this negative potential, upward

233 field-aligned currents of the order of $1.0 \mu A / m^2$ for $\Sigma_p \sim 10^0 S$ must flow. Downward field-

234 aligned currents from the positive potential regions in the higher latitudes may also be
235 expected. It is supposed that upward field-aligned currents may be composed of ions and
236 downward currents are electrons to require stable equatorward ion flows in the Pedersen
237 channel. Those field-aligned currents closing via Pedersen currents in the ionosphere and
238 polarization currents in the magnetosphere comprised meridional current system of Bostrom
239 type, or incomplete Cowling channel [Baumjohann, 1983]. They were driven by the E layer
240 dynamo.

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243 **6. Discussion and Summary**

244 Definition of field line dipolarization is a configuration change from stretching to shrinkage of
245 geomagnetic field lines in the midnight meridian of magnetosphere. Two models have been
246 proposed to account for the configuration change; diversion of the cross-tail currents via
247 ionosphere, referred to as substorm current wedge (SCW), as first proposed in McPherron
248 et al. [1973] and extinction of the cross-tail currents by a local kinetic instability, current
249 disruption (CD) [Lui, 1996]. These models have been adopted for many decades to account
250 for the critical issues associated with substorm onset. We propose, based on Ballooning
251 instability scenario, that field line dipolarization is caused by the slow magnetoacoustic wave
252 in which a small curvature radius of the stretched field lines in pre-onset intervals increased
253 by spreading plasmas in the equatorial plane towards dawn-dusk directions. Dipolarization
254 regions expand in longitudes toward dayside sector and decrease field magnitudes by
255 expanding flux tubes therein. This condition continued for about 10 min and subsided when
256 the nightside magnetosphere collapsed by the refilling of the energetic particles from the
257 outer radiation belt. After that, classical dipolarization caused by the reduction of cross-tail
258 currents or pileup of the magnetic flux transported from the tail begins. For this reason, the
259 first 10 min intervals of Pi2 onset are referred to as transitional state of the substorm
260 expansion [Saka et al., 2010]. We emphasize that two different types of the dipolarization
261 exist in the substorms; one is associated with change of curvature radius of field lines in the
262 transitional state and the other is subsequent pileup of the magnetic flux transported from
263 the tail. Tailward regression of the dipolarization region as reported in Baumjohann et al.
264 [1999] may be associated with the latter case.

265 In the transitional state lasting for about 10 min, the inductive electric fields pointing westward
266 were produced in the equatorial plane. They propagated along the field lines to the
267 ionosphere to produce dynamic ionosphere in the polar regions. The dynamic ionosphere
268 has inherent dynamo processes in E layer producing meridional field-aligned currents of the
269 Bostrom type (downward in higher latitudes and upward in lower latitudes). We found that
270 Bostrom type current system was indeed observed on the ground at the front of dipolarization
271 expanding towards east. The magnetospheric dynamo produced by earthward electric fields
272 in the equatorial plane [Akasofu, 2003] and the E layer dynamo in the ionosphere worked
273 together to activate the Bostrom current system.

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275 **7. Code/Data availability**

276 No data sets were used in this article.

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278 **8. Competing interest**



- 279 The author declares that there is no conflict of interest.
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387 Figure captions

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389 Figure 1.

390 A progress of field line thinning in the growth phase is illustrated. The inflow flux (F_{\perp}) rotated
391 counterclockwise, from red, green, and to blue arrows in time. The rotation of the inflow
392 vectors produced the field-aligned component of the flux, $\delta F_{\parallel} = F_{\perp}(\omega \cdot \delta t)$ as depicted in
393 the inset. Note that inflows are localized earthward of the outer field lines.

394

395 Figure 2.

396 Schematic illustration of the flux tube deformations associated with the slow magnetoacoustic
397 wave. Parallel displacement, ξ_z , along the field lines and perpendicular displacement, ξ_{\perp} ,
398 in longitude away from the center are coupled in the slow magnetoacoustic wave (see text
399 for the explanation of equations (3) and (4)).

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401 Figure 3.

402 A schematic illustration of the field line deformations in the meridian plane associated with
403 the changing curvature radius of the field lines. The outer field lines marked by (1) changed
404 to field lines (2) by increasing its curvature radius to R1 (red-dashed circle) in association
405 with the onset of slow magnetoacoustic wave, while the inner field lines marked by (3) moved
406 to field lines (4) of smaller curvature radius R2 (blue-dashed circle). This transition, (3) to (4),
407 may be caused by the radial gradient of magnetic pressures becoming steeper in association
408 with the inward compression of the field lines (see text).

409

410 Figure 4.

411 (A) Vertical component of $(rot \mathbf{J})_z$ in the meridian chain along $300^{\circ}E$ for the interval from
412 1000 UT to 1500 UT, reproduced from Saka and Hayashi [2017]. Dipolarization onset was at
413 12:13 UT at this meridian. For the calculation of $(rot \mathbf{J})_z$, vertical component data from RES
414 ($83.0^{\circ}N$), CBB ($76.6^{\circ}N$), CONT ($72.6^{\circ}N$), YKC ($68.9^{\circ}N$), FSIM ($67.2^{\circ}N$), FSJ ($61.9^{\circ}N$),
415 and VIC ($54.1^{\circ}N$) were used (see text). Positive for the clockwise rotation (CW) of
416 ionospheric currents and negative for the counterclockwise rotation (CCW) viewed from
417 above the ionosphere. Amplitudes are color-coded. The scale is shown on the right.
418 Demarcation lines separating CCW and CW in latitudes are marked by dashed line. The
419 demarcation line moved to poleward after the onset. Note that negative $(rot \mathbf{J})_z$ in
420 poleward edge indicates smooth decrease of the Z amplitudes.

421



422 (B) Time progresses of the CW/CCW patterns are illustrated separately in five segments from
423 1 to 5 marked in Figure 4 (A). The figure demonstrates a progress of CW/CCW pair in time,
424 CW in the poleward and CCW in the equatorward. This pair developed its size after onset
425 showing poleward expansion. The meridional current associated with this current pair, if
426 closed in the equatorial plane via the field-aligned currents, comprised the Bostrom type
427 current system.

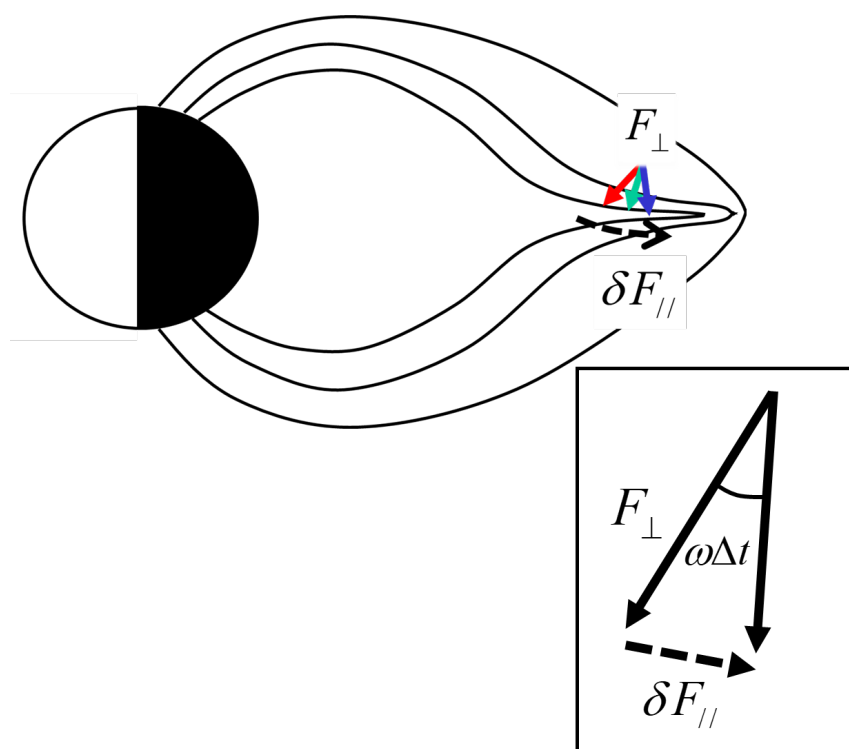


Figure 1

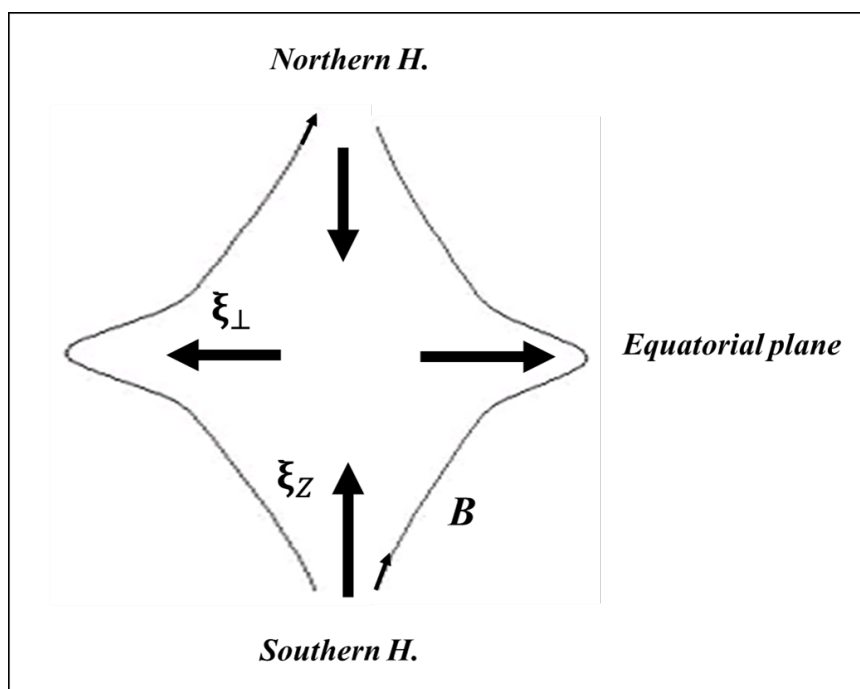


Figure 2

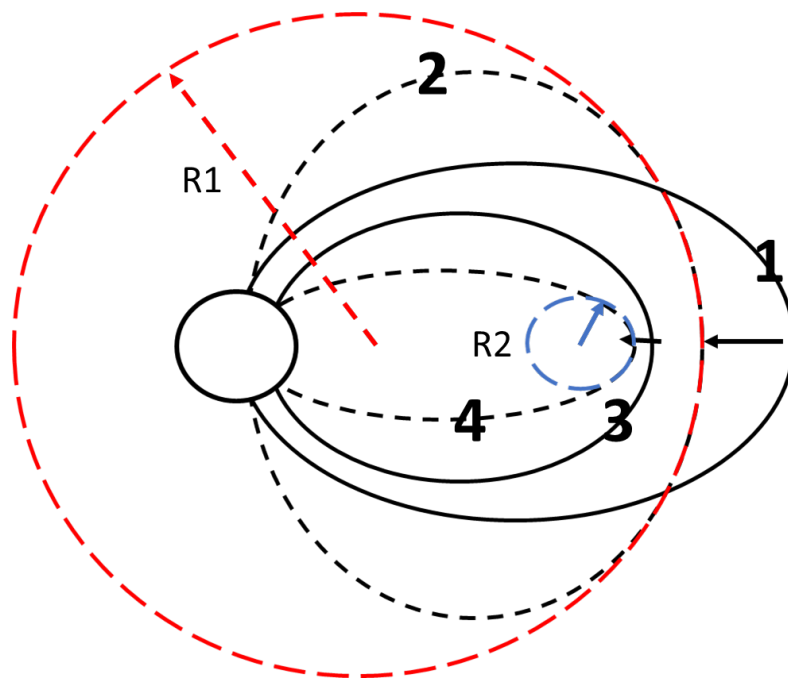


Figure 3

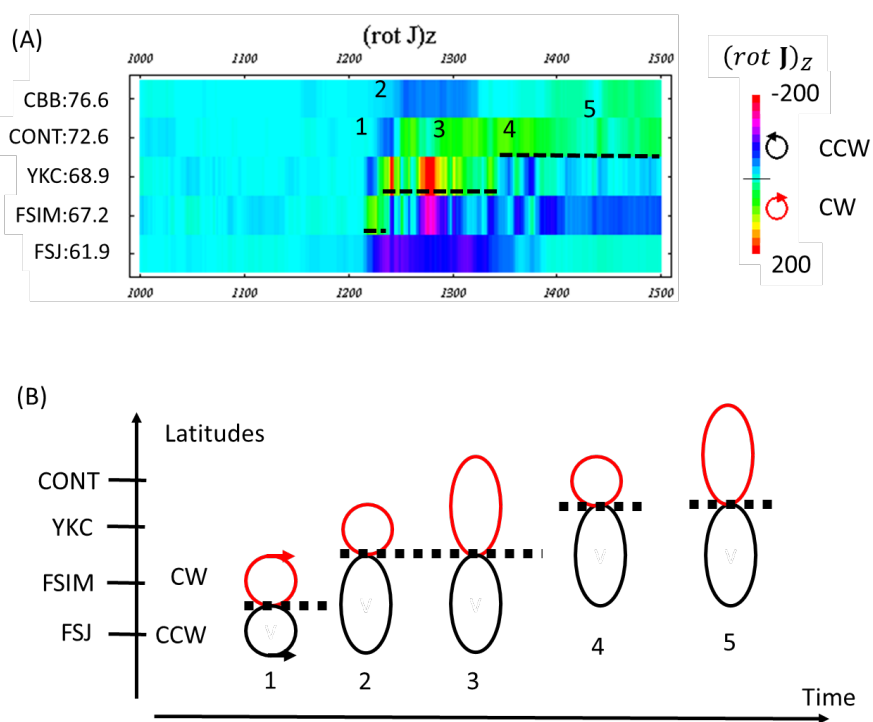


Figure 4