1	A new scenario applying traffic flow analogy to poleward expansion of auroras
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4	Osuke Saka
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6	Office Geophysik, Ogoori, Japan
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9	Abstract

10 Transient westward electric fields from the magnetosphere generate equatorward plasma drifts of the 11 order of kilometers per second in the auroral ionosphere. This flow channel extends in north-south 12 directions and is produced in the initial pulse of Pi2 pulsations. Drifts in the ionosphere of the order 13of kilometers per second that accumulated plasmas at the low latitude end of the flow channel are of such large degree that possible vertical transport effects (including precipitation) along the field lines 1415may be ignored. In order to interrupt the excess accumulation through the drift, we suggest that ionosphere responds nonlinearly to decelerate the equatorward drifts in the flow channel analogous to 16 17a traffic flow of cars on crowded roads. We apply this analogy to the poleward expansion of auroras.

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19 **1. Introduction**

20"Auroras and solar corona observed at the solar eclipse are optical phenomena unique in space physics. 21With enough knowledge about the underlying physical processes, once auroras have been captured by 22a highly sensitive imager, they provide an unexpected wealth of information about plasma 23environment of the Earth" [Oguti, 2010]. Plasma drifts in the ionosphere observed by the balloon-24measured electric fields [Kelley et al., 1971], by the Ba releases [Haerendel, 1972] and by radar 25observations [Nielsen and Greenwald, 1978] did not match the expanding trajectories of auroras. This 26fact raises one of several unanswered questions involving violent poleward motion of auroras 27[Akasofu et al., 1966]. To account for the difference in propagation directions, it was suggested that auroras were directly connected to the reconnecting flux tube moving tailward in the plasma sheet.
This idea suggests that the primary sources of particles are in the magnetosphere, though they might
be accelerated in lower altitudes for precipitations. This concept has been accepted in the literature for
many decades.

32It is worthy to consider whether the above idea also explains auroras associated with Pi2 pulsations. 33 This is because Pi2 pulsations are accompanied by non-vortical slippage motions in the equatorial 34plane of midnight magnetosphere [Saka et al., 2007] while plasma motions in the auroral ionosphere 35were dominated by vortical flows [Saka et al., 2015]. The third harmonic deformations of the geomagnetic fields were generated in the midnight magnetosphere for tying two regions between 36 37 slippage motions in the magnetosphere and vortical motions in the auroral ionosphere [Saka et al., 38 2012]. We may thus infer that vortical flows in the ionosphere do not originate in the magnetosphere 39 but are rather generated in the ionosphere itself [Saka et al., 2012]. In this context, we interpret the 40motion of auroras as of ionospheric origin.

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42 2. Auroras and field line reconfigurations associated with Pi2

43In Pi2 pulsations, poleward expansion of auroras arising out of the onset arc was observed in the initial pulse of Pi2 pulsations [Saka et al., 2012]. Statistical study of geomagnetic fields at geosynchronous 44 orbit during Pi2 showed that field line inclination at geosynchronous orbit (Goes5/6 at 285°/252° in 45geographic coordinates) decreased continuously in the growth phase and attained 33.6°/49.4° in 46 47dipole coordinates 2-min prior to the initial peak of Pi2 amplitudes [Saka et al., 2010]. This result suggests the observed field line inclination prior to the onset conforms to T89 model of Kp=4 48[Tsyganenko, 1989]. These field lines were mapped to auroral ionosphere at 63.4 ° N/62.7 ° N in 49 50geomagnetic coordinates corresponding to a latitude of onset arc. From these estimations, we suggest 51that Bursty Bulk Flows (BBFs) appeared first at the onset latitudes and activated preonset auroras. In 52the initial pulse of Pi2 pulsations, field line inclination of Goes5 increased in a step-like manner, while 53for Goes6 transient pulses were observed [Saka et al., 2010]. The average latitudes of Goes5 and Goes6 were at 10.3 °N and 7.9 °N, respectively in the T89 model for the average Kp index of 3. It is likely 54

that dipolarization was composed of transient pulses at latitudes closer to the equatorial plane. In the following Pi2 pulses, an auroral surge was observed in all-sky images between 66°N to 74°N in geomagnetic latitudes. They propagated eastward or westward at the poleward boundary of the auroral zone and were interpreted as an auroral manifestation of flow bifurcation of fast earthward flows (BBFs) [Saka et al., 2012].

Simultaneous with reconfigurations of field lines in meridional plane, field lines stretching initially in tailward directions switched to dawn-dusk directions in the initial pulse of Pi2s [Saka et al., 2000]. This switching was associated with the excitation of slow MHD wave by the increasing inflows of plasma sheet ions toward the equatorial plane in growth phase [Saka and Hayashi, 2017]. After manipulating a set of linearized MHD equations [Kadomtsev, 1976], we have a relation between parallel shrinkage of plasmas along the field lines (ξ_z) and perpendicular stretching of the field lines (ξ_{\perp}) in the following form,

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$$\xi_{z} = \frac{C_{s}^{2}}{\omega^{2}} F \cdot B_{0}^{2} \frac{\partial}{\partial z} (div\xi_{\perp}).$$
(1)

68 Here, C_s, ω and B_0 are the sound velocity, angular frequency of waves and background field 69 magnitudes, respectively. *F* is given by $F = \frac{C_A^2}{B_0^2} \frac{1}{C_s^2 - (\frac{\omega}{k})^2}$ and is positive for the slow mode and

70negative for the fast mode. C_A denotes Alfven velocity. If the wave mode was the fast mode, flux 71tubes would have contracted in longitudes towards the midnight sector, which was not observed during 72the first one-minute-interval of Pi2 onset (initial pulse of Pi2 onset). An alternative explanation of 73polarization switching may involve Ballooning instability of the coupled poloidal Alfven and slow 74magnetoacoustic modes [Rubtsov et al., 2018]. This switching from tailward to dawn-dusk directions disrupts the cross-tail currents creating a step like dipolarization or dipolarization pulses in the initial 7576pulse of Pi2 pulsations and producing transient convection surge and associated westward electric 77fields in the midnight sector. The convection surge occurred once in the initial pulse of Pi2 pulsation 78but is not repeated in the following pulses.

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80 **3.** Horizontal plasma flows in the ionosphere

81 It is reasonable to assume that westward electric fields were transmitted along the field lines to 82the auroral ionosphere by the guided poloidal mode [Radoski, 1967] from the surge location and 83 created an equatorward flow through $E \times B$ drift of the order of kilometers per second in the auroral ionosphere. Electric fields of the order of 100 mV/m generate these high velocity flows in the 84 85 ionosphere. The flows would be confined in a channel expanding in the north-south directions in the 86 midnight sector. The low-latitude end of the flow channel was at the latitudes of the onset arc 87 corresponding to earthward edge of the BBFs. The high-latitude end may not expand beyond the 88 poleward boundary of auroral zone. Longitudinal width of the flow channel may be in about 1 to 2 hours of local time (~1000 km along 65°N) corresponding to horizontal scale size of Pi2 vortices 89 90 [Saka et al., 2014].

91 In the flow channel, drift across the magnetic fields for the *j*-th species $(\mathbf{U}_{j\perp})$ can be written in 92 the F region as [Kelley, 1989],

93
$$\mathbf{U}_{j\perp} = \frac{1}{B} \left[\mathbf{E} - \frac{k_B T_j}{q_j} \frac{\nabla n}{n} \right] \times \hat{\mathbf{B}} \,. \tag{2}$$

Here, **E** denote westward electric fields in the flow channel and $\hat{\mathbf{B}}$ denotes a unit vector of the magnetic fields B, downward in the auroral ionosphere. Symbols k_B , T_j , q_j , and n are the Boltzmann constant, temperature of the *j*-th species, charge of the *j*-th species, and density of electrons (ions), respectively. The electric field of the order of 100 mV/m exceeded the diffusion (second term) by three orders of magnitudes in low temperature ionosphere. The $E \times B$ drift predominated in the F region and diffusion term may be ignored. In the E region, drift trajectories may be written [Kelley, 1989] for electrons by,

101
$$\mathbf{U}_{e\perp} = \frac{1}{B} [\mathbf{E} \times \hat{\mathbf{B}}]$$
(3)

102 and for ions by,

103
$$\mathbf{U}_{i\perp} = \boldsymbol{b}_i [\mathbf{E} + \boldsymbol{\kappa}_i \mathbf{E} \times \hat{\mathbf{B}}].$$

Here, b_i is mobility of ions defined as $\Omega_i/(Bv_{in})$, κ_i is defined as Ω_i/v_{in} . Symbols Ω_i and 104 V_{in} are ion gyrofrequency and ion-neutral collision frequency, respectively. $\hat{\mathbf{B}}$ denotes a unit vector 105106of the magnetic fields B. To derive equations (3) and (4), pressure gradient term (diffusion) was 107 again ignored. In the E region ($\kappa_i = 0.1$), although the first term of (4) exceeds the second term by 108one order of magnitudes, plasma accumulation in equatorward latitudes by the imposed westward 109 electric fields was produced by equation (3) for electrons and the second term in (4) for ions. However, 110 electron accumulation in lower latitudes increased southward electric fields and simultaneously ion 111 drifts in the first term of (4). If the southward electric fields grew to exceed the westward electric fields 112by an order of magnitudes, ion drifts in the first term of (4) and electron drifts in (3) balanced to satisfy 113the quasi-neutrality. This is equivalent to the generation of the Pedersen currents in the ionosphere. 114Thus, electrostatic potential is generated in the E region, positive in poleward and negative in 115equatorward. The Pedersen currents would have closed to the field-aligned current (FAC) and sustain 116the steady state electrostatic potential produced in the ionosphere. Plasma drifts in the ionosphere, 117both in E and F regions, accumulate density at the low-latitude edge of the flow channel. In the 118following section, we discuss vertical transport of these accumulated materials from the low-latitude 119 edge of the flow channel.

(4)

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121 4. Vertical plasma flows in the ionosphere

122 The transient compression of the ionospheric plasmas at the low-latitude edge of flow channel 123 would excite the ion acoustic wave in the ionosphere travelling along the field lines upward and 124 downward directions from the density peak of the F region. Figure 1 shows altitude distribution of the 125 pre-onset density profile of electrons (black) and density profile caused by the accumulation in red. 126 The accumulation doubled the electron density profile from 90 km to 1000 km in altitudes. Electron 127 density profile in black was plotted using sunspot maximum condition given in Prince and Bostic 128 (1964). The travelling ion acoustic waves, upward and downward, are denoted by vertical arrows. Ion acoustic wave propagating downward may be eventually absorbed in the neutrals, while the upward
wave may propagate along the field lines further upward. We will focus only on the upward travelling
ion acoustic wave. The ion acoustic wave produced the parallel electric fields in accordance with the
Boltzmann relation [Chen, 1974],

133
$$E_{II} = -\frac{k_B T_e}{q} \frac{\nabla_{II} n_e}{n_e}.$$
 (5)

Here, k_B is Boltzmann constant, q is electron charge, T_e is electron temperature, and n_e is electron density ($n_e = n_i$). Equation (5) gives electric field strengths of the order of $0.4 \mu V / m$ and $2.0 \mu V / m$ for $T_e = 1000K$ and $T_e = 5000K$, respectively, when the e-folding distance of density dropout along the filed lines was 200 km. For ions, steady-state motions exist in the ionosphere in the altitudes where ion-neutral collision frequencies exceed ion acoustic wave frequencies. In that case, parallel motions can be written as [Kelley, 1989],

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$$V_{i/\prime} = b_i E_{1/\prime} - D_i \frac{\nabla_{1/\prime} n}{n} - \frac{g}{v_{in}}.$$
 (6)

141 Here, b_i and D_i denote mobility and diffusion coefficient of ions defined by $\frac{q_i}{M_i v_{in}}$ and

142
$$\frac{k_B T_i}{M_i v_{in}}$$
, respectively. Symbols, M_i , q_i , v_{in} , and g are ion mass, electric charge of ions, ion-

143neutral collision frequency, and gravity, respectively. Ion-neutral collision frequencies from 400 km 144to 1000 km in altitudes were plotted in Figure 2 using nighttime sunspot maximum condition in 145Prince and Bostick (1964). Frequencies of ion acoustic wave were calculated by substituting 146 wavelength of ion acoustic wave into the dispersion relation. The wavelength was assumed to be 147identical to initial accumulation distance along the field lines. We chose two cases, 1000 km and 1484000 km. Phase velocity of the ion acoustic wave of the order of 1600 m/s for the electron temperatures of 5000K yields the wave frequencies of $1.6 \times 10^{-3} s^{-1}$ for the wavelength of 1000 km 149and $4.0 \times 10^{-4} s^{-1}$ for 4000 km. These frequencies were overlaid in Figure 2. Steady-state ion 150151motions can be adopted up to 800 km, for a wavelength over 1000 km.

152Altitude profile of steady-state ion flows were evaluated substituting 1000K for ion temperatures 153and the same e-folding distance in equation (5). Ions are oxygen and parallel electric fields are given 154by the equation (5). Snapshot of the velocity profile in altitudes from 400 km to 800 km is shown in 155Figure 3 for the two cases of electron temperatures, 5000K for black dots and 1000K for red dots. 156For the low temperature case (1000k), there occurred no ion upflow because the parallel electric 157fields could not overcome gravity. We suggest that electron temperatures over 2700K would be 158needed to excite ion upflow. When electron temperature was set to 5000K, ion velocity 15 m/s at 400 159km in altitudes increased rapidly to 1369 m/s at 800 km. The altitude profile of the flow velocity in 160 Figure 3 matched Type 2 ion outflow observed by EISCAT radar [Wahlund et al., 1992]. We conclude 161 that the ion upflow in topside ionosphere was caused primarily by the parallel electric fields excited 162by the upward travelling ion acoustic wave. Below 600 km in altitudes, upflow velocity was one-to-163 two orders of magnitudes smaller than the equatorward drift in the flow channel. Upflow velocity 164became comparable to the horizontal drift over 800 km in altitudes and exceeded the phase velocity 165of ion acoustic wave. Parallel velocity that prevailed the ion acoustic phase velocity may excite a 166 shock at the topside ionosphere. The shock velocity may increase with altitudes in higher electron 167temperatures. A part of them would be observed as electrostatic shock or double layers at the altitudes 168 of 6000 - 8000 km [Mozer et al., 1976; Temerin et al., 1982]. Those electrostatic shocks would have 169produced parallel potential structures referred to as inverted-V at the low latitude end of the flow 170channel. In this scenario, inverted-V as well as upward FACs are major components contributing to 171auroral acceleration in lower latitudes.

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173 **5.** Nonlinear evolution of the horizontal flows

Accumulation of electrons and ions occurred at the equatorward end of the flow channel. We canestimate a rate of accumulation by the following relation,

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$$\frac{\Delta n}{\Delta t} = -n_0 \frac{\Delta U}{\Delta x}.$$
 (7)

177 Here n is plasma density, U denotes drift velocity in the flow channel in x. Substituting

178 $\Delta U = 10^3 m s^{-1}$ and $\Delta x = 10^4 m$, we have $\frac{\Delta n}{\Delta t} = 10^{10} m^{-3} s^{-1}$ for the background density

179 $n_0 = 10^{11} m^{-3}$. This gives density pileup of the order of $\frac{\Delta n}{n_0} = 100\%$ in ten seconds. If the

equatorward drift in the flow channel is an order of 10^3 m/s (E=100 mV/m in auroral ionosphere) and electron production by the precipitation do not exceed the accumulation rate which was 100% of the background density in ten seconds, both outflows and precipitation may not bring significant changes to the flux carried by $E \times B$ drift in the flow channel. We then approximate one dimensional (along the drift path in x) conservation equation in the flow channel.

185
$$\frac{\partial n}{\partial t} + \frac{\partial}{\partial x} (nU) = 0 \qquad (8)$$

186 A question arises regarding maximum accumulation of plasmas at the equatorward end of the flow 187channel because unlimited accumulation may not occur. One possible mechanism to suppress the 188accumulation may be associated with the ionospheric screening that decreased the amplitudes of 189penetrated (total) westward electric fields by the increasing ionospheric conductivities. In a two-190 dimensional ionosphere with uniform height-integrated conductivity, total electric fields E given by a 191sum of the incident (E_i) and reflected westward electric fields may be written as $E = (2\Sigma_A / (\Sigma_A + \Sigma_P))E_i$, where Σ_A and Σ_P are Alfven conductance defined by $1/\mu_0 V_A$ and 192193height-integrated Pedersen conductance in the ionosphere, respectively [Kan et al., 1982]. Symbols μ_0 and V_A denote magnetic permeability in vacuum and Alfven velocity, respectively. Amplitude 194195ratio of total electric fields to incident electric fields is a function of conductance ratio of Pedersen and Alfven; $E/E_i = 2$ for a low conductivity of the ionosphere satisfying $\Sigma_P / \Sigma_A \ll 1$, and $E/E_i = 0$ 196for a high conductivity of the ionosphere satisfying $\Sigma_P / \Sigma_A >> 1$. Noting that Σ_P is proportional 197198to the plasma density in the ionosphere, the total electric fields monotonically decreased with 199increasing plasma densities caused by accumulation itself and by the precipitations associated with 200the auroral activity. Another explanation may be suggested in the polarization electric fields (eastward) 201produced by the accumulation itself. These electric fields grew quickly with density accumulation and

decreased the incident electric fields (westward) by the superposition. In addition to the above scenarios, we surmise that excess accumulation of the ionospheric plasmas may be suppressed through the term, $(\mathbf{U} \cdot \nabla)\mathbf{U}$, in the equation of motion. From the ionospheric screening process discussed above, we tentatively assume that flow velocity *U* is a function of the density *n*. Then the conservation equation (8) may be written as,

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$$\frac{\partial n}{\partial t} + \frac{\partial}{\partial x}Q(n) = 0.$$
 (9)

Here, Q(n) is a mass flux defined by Q(n)=nU(n). This relation can be reduced to nonlinear wave equation,

210
$$\frac{\partial n}{\partial t} + c(n)\frac{\partial n}{\partial x} = 0.$$
(10)

211Here c(n) is a wave propagation velocity defined by c(n) = U(n) + nU'(n), U(n) is a drift velocity 212in the flow channel, and U'(n) denotes braking/acceleration of the drift velocity by increasing and 213decreasing density. The equation (10) is often referred to as propagation of "kinematic waves" to 214describe traffic flow [Lighthill and Whitham, 1955]. In the following, we use dimensionless units 215normalized by U_m , and n_m . Here, U_m and n_m denote maximum drift velocity at n=0 and maximum 216density for complete stops of the drift, respectively. Assuming a constant braking in the flow channel, 217we define U by a linear function of density n as U(n)=1-n. Noting that O'(n)=c(n), this relation is 218reduced to the equation, Q(n)=n(1-n), identical to the case for the traffic flow [Whitham, 1999]. Both 219the U and Q are plotted in Figure 4A as a function of n. A nonlinear evolution of the waves is presented 220in Figure 4B by the characteristic curves. In the case of vehicles in traffic, the initial flows started from 221n=0 and stopped at n=1.0 by the tailback of cars. For the case of the ionosphere, the ionospheric 222density started from a finite density, n=0.3 (Figure 4B), and increased to n=1.0 to terminate the flow 223by the full screening. The nonlinear evolution of the density profile in time is shown in Figure 4B in 224colors from black $(T=T_1)$, red $(T=T_2)$, green $(T=T_3)$, blue $(T=T_4)$, and to purple $(T=T_5)$. After $T=T_5$, 225the waves propagate upstream (poleward) as a shock. The shock velocity, V, is given as [Whitham, 2261999],

227
$$V = \frac{Q(n_2) - Q(n_1)}{n_2 - n_1}.$$
 (11)

Here, subscript 1 is for the values ahead shock and subscript 2 is for the values behind. Noting that $Q(n_2)=0$ and substituting $Q(n_1)=n_1(n_2-n_1)$, the equation (11) can be reduced to $V = -n_1$ in dimensionless unit. The propagation velocity of the shock is related to the densities ahead. For the case of n=0.3 in Figure 4B, shock velocity can be estimated to be $-0.3U_{\rm m}$. Here, $U_{\rm m}$ denotes maximum drift velocity in the ionosphere where ionospheric screening effects vanished by the condition, $\Sigma_P / \Sigma_A <<1$.

We employed the traffic flow analogy to explain the poleward expansion of auroras. A similar case may be found in "flow braking in near-Earth tail" [Shiokawa et al., 1997]. In this case, the advective term in the equation of motion suppressed an excess pileup of the pressures caused by the fast earthward flow itself. This may minimize the velocity of the ion flows at the pressure peak analogous to a tailback of cars in traffic flows.

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240 6. Summary

Allowing for the compressibility of the ionosphere caused by the ionospheric drifts of the order of kilometers per second, we proposed a new scenario for the poleward expansion of auroras including generation of field-aligned currents and parallel electric fields of the ionospheric origin. This scenario, analogous to a traffic flow of cars on the crowded roads, partly explains the discrepant time history of the auroras which is often described as the auroras expand opposite to that of plasma drift in the ionosphere.

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328 Figure Captions

Fig. 1: Vertical profiles from 90 km to 1000 km in altitudes of electron number density in two conditions, pre-onset in black and after accumulation in red. Nighttime sunspot maximum condition given in Prince and Bostic (1964) was used to plot pre-onset condition. Vertical arrows directing upward and downward denote travelling ion acoustic waves propagating along the field lines from the density peak of F layer. 334

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Fig.2: Ion-neutral collision frequency (v_{in}) in altitudes from 400 km to 1000 km calculated using nighttime sunspot maximum condition in Prince and Bostick (1964). Wave frequencies of ion acoustic

- 337 wave are overlaid for two wavelength, 1000 km and 4000 km along field lines (see text).
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Fig. 3: Steady-state parallel velocity in altitudes for ions (oxygen) produced by parallel electric fields $0.4 \mu V / m$ (T_e =1000K) in red dots and $2.0 \mu V / m$ (T_e =5000K) in black dots. Vertical flows in altitudes from 400 km to 800 km are shown. Flow velocity is positive upward and negative downward. 342

Fig. 4: (A) Normalized flux(Q)-density(n) curve (thin curve) and velocity(U)-density(n) line (thick line) in flow channel. Vertical scale of *U*-n line is shown to the right, scale of *Q*-n curve is to the left. Dotted line at n=0.5 indicates the critical density where c(n) vanishes; waves are stationary relative to the ground. Waves propagate forward/backward at a density below/above the critical density. (B) Nonlinear evolution of the density accumulation. Density increased in a step like manner from T₁ to T₅. Shock velocity is 1.2 km/sec poleward when $U_m=4.0$ km/s is assumed at n=0.3 (see text).

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Figure 1



Figure 2



Figure 3



Figure 4