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Validation and application of optimal ionospheric shell height model for single-site TEC estimation

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Abstract

We recently proposed a method to establish optimal ionospheric shell height model based on the international GNSS service (IGS) station data and the differential code bias (DCB) provided by Center for Orbit Determination in Europe (CODE) during the time from 2003 to 2013. This method is very promising for DCB and accurate total electron content (TEC) estimation by comparing to traditional fixed shell height method. However, this method is basically feasible only for IGS stations. In this study, we investigate how to apply the optimal ionospheric shell height derived from IGS station to non-IGS stations or isolated GNSS receivers. The intuitional and practical method to estimate TEC of non-IGS stations is based on optimal ionospheric shell height derived from nearby IGS stations. To validate this method, we selected two dense networks of IGS stations located in US and Europe region. Two optimal ionospheric shell height models are established by two reference stations, namely GOLD and PTBB, which are located at the approximate center of two selected regions. The predicted daily optimal ionospheric shell heights by the two models are applied to other IGS stations around

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these two reference stations. Daily DCBs are calculated according to these two optimal shell heights and compared to respective DCBs released by CODE. The validation results of this method present that 1) Optimal ionospheric shell height calculated by IGS stations can be applied to its nearby non-IGS stations or isolated GNSS receivers for accurate TEC estimation. 2) As the distance away from the reference IGS station becomes larger, the DCB estimation error becomes larger. The relation between the DCB estimation error and the distance is generally linear.

Keyword

Ionospheric shell height, Single layer model (SLM), Differential code bias (DCB), Total electron content (TEC)

Introduction

Dual-frequency GPS signals propagation are affected effectively by ionospheric dispersive characteristic. While, by taking advantage of this property, ionospheric TEC along the path of signal can be estimated by using differencing the pseudorange or carrier phase observations from dual-frequency GPS signals. Carrier phase leveling/smoothing of code measurement is widely adopted to improve the precision of absolute TEC observations (Mannucci et al. 1998; Horvath and Crozier 2007). In general, it is considered that the derived TEC in carrier phase leveling/smoothing

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technique consists of slant TEC (STEC), the combination differential code bias (DCB) of satellite and receiver, multipath effects and noise. The DCB is usually considered as the main error source and could be as large as several TECu (Lanyi and Roth 1988; Warnant 1997).

For TEC and DCB estimations, mapping function with single layer model (SLM) assumption have been intensively studied for many years. Sovers and Fanselow (1987) firstly simplified the ionosphere to a spherical shell. They set the bottom and the top side of the ionospheric shell as h-35 and h+75 km, where h is taken to be 350 km above the surface of the earth and allowed to be adjusted. In this model, the electron density was evenly distributed in the vertical direction. Based on this model, Sardón et al. (1994) introduced the Kalman filter method for real-time ionospheric VTEC estimation. Klobuchar (1987) assumed that STEC equals VTEC multiplied by the approximation of the standard geometric mapping function at the mean vertical height of 350 km along the path of STEC. Lanyi and Roth (1988) further developed this model into a single thin-layer model, and proposed the standard geometric mapping function and the polynomial model. The single thin-layer model assumed that the ionosphere is simplified by a spherical thin shell with infinitesimal thickness. Clynch et al (1989) proposed a mapping function in the form of a polynomial by assuming a homogeneous electron density shell between altitudes of 200 and 600 km. Mannucci et al (1998) presented an elevation scaling mapping function derived from extended slab mode. There are also many modified mapping function according to the standard geometric

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mapping function. Schaer (1999) proposed the modified standard mapping function using a reduced zenith angle. Rideout and Coster (2006) presented a new mapping function which replaces the influence of the shell height by an adjustment parameter, and set the shell height as 450 km. Smith et al (2008) modified the standard mapping function by using a complex factor. Based on the electron density field derived from the international reference ionosphere (IRI), Zus et al (2017) recently developed an ionospheric mapping function at fixed height of 450 km with dependence on time, location, azimuth angle, elevation angle, and different frequencies.

Ionospheric shell height is considered to be the most important parameter for mapping function, and the shell height is typically set to a fixed value between 350 and 450 km (Lanyi and Roth 1988; Mannucci et al. 1998). Birch et al. (2002) proposed an inverse method for estimate the shell height by using simultaneous VTEC and STEC observations, and suggested the shell height is preferred to be a value between 600 and 1200 km. Nava et al. (2007) presented a shell height estimation method by minimizing the mapping function errors, this method is referred as the “coinciding pierce point” technique. Their results indicated that the suitable shell heights for the mid-latitude is 400 km and 500 km during the geomagnetic undisturbed conditions and disturbed conditions, respectively. In the case of the low-latitude, the shell height at about 400 km is suitable for both quiet and disturbed geomagnetic conditions. Jiang et al. (2018) applied this technique to estimate the optimal shell height for different latitude bands. In their case, the optimal layer height is about 350 km for the entire globe. Brunini et

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al. (2011) studied the influence of the shell height by using an empirical model of the ionosphere, and pointed out that a unique shell height for whole region does not exist. Li et al. (2017) applied a new determination method of the shell height based on the combined IGS GIMs and the two methods mentioned above to the Chinese region, and indicated that the optimal shell height in China ranges from 450 to 550 km. Wang et al. (2016) studied the shell height for grid-based algorithm by analyzing goodness of fit for STEC. Lu et al. (2017) applied this method to different VTEC models, and investigated the optimal shell heights at solar maximum and at solar minimum.

In the recent study by Zhao and Zhou (2018), a method to establish optimal ionospheric shell height model for single station VTEC estimation has been proposed. This method calculates the optimal ionospheric shell height with regards to minimize |ΔDCB| by comparing to the DCB released by CODE. Five optimal ionospheric shell height models were established by the proposed method based on the data of five IGS stations at different latitudes and the corresponding DCBs provided by CODE during the time 2003 to 2013. For the five selected IGS stations, the results have shown that the optimal ionospheric shell height models improve the accuracies of DCB and TEC estimation comparing to fixed ionospheric shell height of 400 km in a statistical sense. We also found that the optimal ionospheric shell height show 11-year and 1-year periods and is related to the solar activity, which indicated the connection of the optimal shell height with ionospheric physics.

While the proposed optimal ionospheric shell height model is promising for DCB

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and TEC estimation, this method cannot be implemented to isolated GNSS receivers not belonging to IGS stations. The purpose of this study is to investigate the application of the optimal ionospheric shell height derived from IGS station to non-IGS stations. By considering the spatial correlation of ionospheric electron density, it is intuitional and practical to adopt the optimal ionospheric shell height of a nearby IGS station for the non-IGS stations.

The purpose of this study is to investigate the feasibility of applying the optimal ionospheric shell height derived from IGS station to nearby non-IGS GNSS receivers for accurate TEC/DCB estimation. By selecting two different regions in U.S. and Europe with dense IGS stations, we calculate the daily DCBs of 2014 by using the optimal ionospheric shell heights derived from 2003-2013 data of two central stations in two regions. We also try to find the DCB estimation error and its relation to distance away from the central reference station.

Method

In (Zhao and Zhou, 2018), we proposed a concept of optimal ionospheric shell height for accurate TEC and DCB estimation. Based on daily data of single site, this approach searches daily optimal ionospheric shell height, which minimizes the difference between the DCBs calculated by VTEC model for single site and reference values of DCB. For a single site, its long-term daily optimal ionospheric shell heights can be estimated and then modeled. In our case, the polynomial model (Lanyi and Roth 1988;

Wild 1994) is applied to estimate satellite and receiver DCBs, and the DCBs provided by CODE are used as the reference.

In the polynomial model, the VTEC is considered as a Taylor series expansion in latitude and solar hour angle, which is expressed as follows:

$$T_V(\varphi, S) = \sum_{i=0}^{m}\sum_{j=0}^{n} E_{ij}(\varphi - \varphi_0)^i (S - S_0)^j \tag{1}$$

where T_V denotes VTEC. φ and S denote the geographic latitude and the solar hour angle of IPP, respectively; φ_0 and S_0 denote φ and S at regional center. E_{ij} is the model coefficient. m and n denote the orders of the model. A polynomial model fits the VTEC over a period of time. In our case, 8 VTEC models are applied per day, and DCB is considered as constant in one day. Since our analysis is based on long-term single site data, we set *m* and *n* to 4 and 3, respectively. Huang and Yuan (2014) applied the polynomial model with the same orders to TEC estimation.

Based on the thin shell approximation, the observation equation can be written as:

$$T_{os}^{PRN}(\varphi, S) = T_V(\varphi, S) \cdot f(z) + DCB^{PRN} \tag{2}$$

where T_{os}^{PRN} is slant TEC calculated by carrier phase smoothing, the superscript *PRN* denotes GPS satellite. DCB^{PRN} denotes the combination of GPS satellite and receiver DCB. *z* denotes the zenith angle of IPP. According to Lanyi and Roth (1988), the standard geometric mapping function $f(z)$ is expressed as follows:

$$f(z) = 1/\cos(z) \tag{3}$$

$$z = \arcsin \frac{\mathrm{Re} \cdot \cos El}{\mathrm{Re} + h} \tag{4}$$

where Re denotes the earth's radius, El denotes the elevation angle, and h denotes the thin ionospheric shell height. Note that h also affects the location of IPP.

To estimate DCBs, The method above requires a definite thin shell height value. Conversely, if we get the daily solutions of DCBs, the optimal ionospheric shell height can be estimated. The optimal ionospheric shell height is assumed to be between 100 and 1000 km and is defined as the shell height with the minimum difference between DCB^{PRN} and the reference values. This optimization problem can be written as:

$$\min_{100<h<1000} mean\left(\left|\mathbf{DCB}_{\mathbf{ref}} - \mathbf{DCB}\right|\right) \text{ s.t. } \mathbf{T} = \mathbf{\Phi} \cdot \mathbf{E} + \mathbf{\theta} \cdot \mathbf{DCB} \tag{5}$$

where h is the daily optimal ionospheric shell height, $\mathbf{DCB}_{\mathbf{ref}}$ denotes the vector of the reference values of DCBs, s.t. is the abbreviation for subject to, $\mathbf{T} = \mathbf{\Phi} \cdot \mathbf{E} + \mathbf{\theta} \cdot \mathbf{DCB}$ is the matrix form of all the observation equations in one day, $\mathbf{T}$ denotes the vector of T_{os}, $\mathbf{E}$ corresponds to the coefficients of the models, $\mathbf{DCB}$ is the vector of DCB^{PRN}, $\mathbf{\Phi}$ and $\mathbf{\theta}$ are the coefficient matrix of $\mathbf{E}$ and $\mathbf{DCB}$, respectively.

After the method above is applied to 11-year data, the estimated optimal ionospheric shell heights can be modeled by a Fourier series, which is expressed as follows:

$$h(x) = a_0 + \sum_{n=1}^{k}\left(a_n \cos\frac{2n\pi x}{L} + b_n \sin\frac{2n\pi x}{L}\right) \tag{6}$$

where k is the order of Fourier series and is set to 40, a_n and b_n are the model coefficients, x is the time, and L is the time span which equals to 4018 days. The

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maximum frequency of model is 40/L≈0.01 per day. By least square method, the model coefficients can be estimated. The estimated daily optimal ionospheric shell height $h(x)$ by the model is then applied to other neighboring stations in this region. By using $h(x)$, we can validate the TEC and DCB estimation.

Experiment and Results

The previous section introduced a method to establish daily optimal ionospheric shell height model based on single site with reference values of DCBs. To analyze the improvement of DCB estimation by this model for the reference station and other neighboring stations, we present two experiments to evaluate and validate this method by using IGS stations located in U.S. and Europe region. To ensure the accuracy and consistency of DCB, we only select IGS stations with pseudorange measurements of P1 code, and whose receiver DCBs have been published by CODE.

Figure 1 presents the location and distribution of the selected IGS stations in two regions. Table 1 presents the information of the geographical location, distance to reference station in each region and receiver types of all stations. Based on the RINEX data of GOLD station in Region I and PTBB station in Region II during the period of 2003-2013, two separate optimal ionospheric shell height models for each region are established by the aforementioned method. Then the model are applied to DCB estimation in 2014 for all the other stations in each region. Note that reference GOLD

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and PTBB stations are marked with black triangle in the figure. The other neighboring stations are located in different orientations of GOLD and PTBB with different distances, which range from 136 to 1159 km for region I and range from 190.82 to 1712.27 km for region II. In the table, the receiver type is corresponding to 2003~2014 for GOLD and PTBB, and 2014 for the other stations. In region I, the receiver type of GOLD have been changed once in September 2011. The five selected stations used four receiver types in 2014; TABV and PIE1 had the same receiver type. In region II, there are nine receiver types for the sixteen stations. The receiver type of PTBB have changed twice in 2006.

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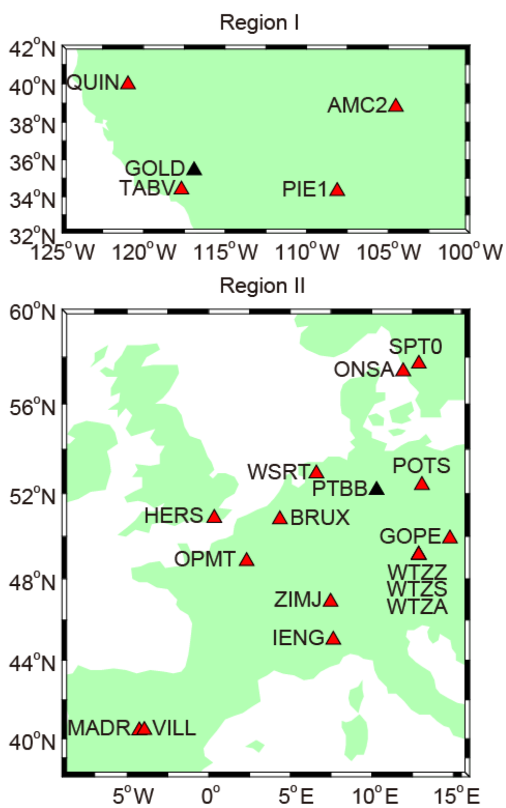

Fig.1 Geographical location of the selected IGS stations in U.S. region (Region I) and Europe region (Region II).

Table 1 Information for the stations

Name	Latitude (deg)	Longitude (deg)	Distance to GOLD or PTBB (km)	Receiver type
GOLD	35.42	-116.89	0	ASHTECH Z-XII3 ~ 2011-09-14 JPS EGGDT 2011-09-19 ~
TABV	34.38	-117.68	136.67	JAVAD TRE_G3TH DELTA
QUIN	39.97	-120.94	619.55	ASHTECH UZ-12

PIE1	34.30	-108.12	810.51	JAVAD TRE_G3TH DELTA
AMC2	38.80	-104.52	1159.09	ASHTECH Z-XII3T
PTBB	52.15	10.30	0	SEPT POLARX2 2006-07-25~2006-11-13 ASHTECH Z-XII3T else
POTS	52.38	13.07	190.82	JAVAD TRE_G3TH DELTA
WSRT	52.91	6.60	264.92	AOA SNR-12 ACT
WTZA	49.14	12.88	381.28	ASHTECH Z-XII3T
WTZS	49.14	12.88	381.28	SEPT POLARX2
WTZZ	49.14	12.88	381.28	JAVAD TRE_G3TH DELTA
GOPE	49.91	14.79	401.51	TPS NETG3
BRUX	50.80	4.36	439.03	SEPT POLARX4TR
ONSA	57.40	11.93	593.72	JPS E_GGD
ZIMJ	46.88	7.47	620.79	JAVAD TRE_G3TH DELTA
SPT0	57.72	12.89	641.78	JAVAD TRE_G3TH DELTA
OPMT	48.84	2.33	674.24	ASHTECH Z-XII3T
HERS	50.87	0.34	705.38	SEPT POLARX3ETR
IENG	45.02	7.64	816.64	ASHTECH Z-XII3T
VILL	40.44	-3.95	1696.62	SEPT POLARX4
MADR	40.43	-4.25	1712.27	JAVAD TRE_G3TH DELTA

Figure 2 presents the estimated daily optimal ionospheric shell height of GOLD and PTBB during the period from 2003 to 2013. The left panel shows the variation of the daily optimal ionospheric shell height and the fitting result by (6). From the overall trend, the variations of daily optimal ionospheric shell height for both two stations appear wave-like oscillation during the 11 years period. In the right panel, the statistical

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result are fitted by a normal distribution. The mean and the standard deviation (STD) of the normal distribution are 714.3 and 185.4 km for GOLD, respectively. The mean and STD value for PTBB is 416.4 and 184.1 km, respectively.

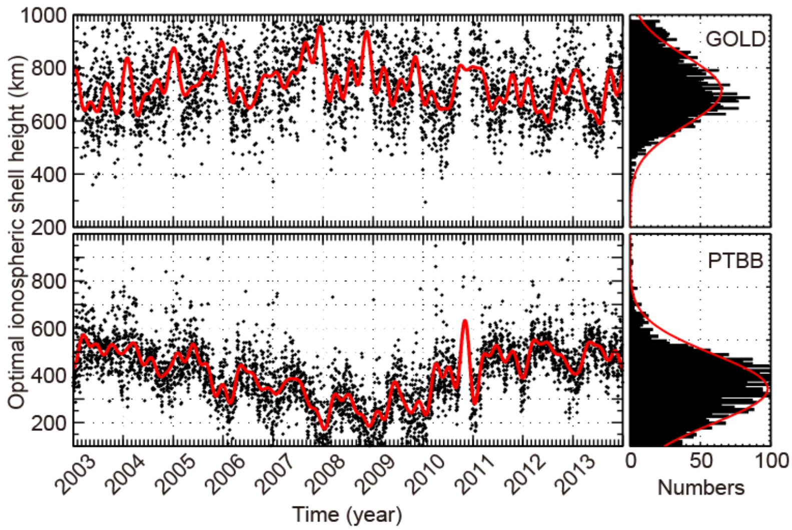

Fig.2 Variation of the daily optimal ionospheric shell height (black) and the fitting result (red)

Figure 3 presents the amplitude spectra of the daily optimal ionospheric shell height of two reference stations estimated by the Lomb-Scargle analysis (Lomb 1976; Scargle 1982). As can be found in Figure 3, the peaks correspond to 11-year, 1-year, 6-month and 4-month cycles. The amplitudes of 11-year and 1-year cycles are more evident than other periods in both two stations. Note that the frequencies above 0.01 per day are discarded because of their small amplitudes. As mentioned earlier, 0.01 per day is about the maximum frequency of (6). This result shows that the optimal

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ionospheric shell height of GOLD and PTBB is periodic, and the 40th-order of Fourier series is suitable for modelling its variation.

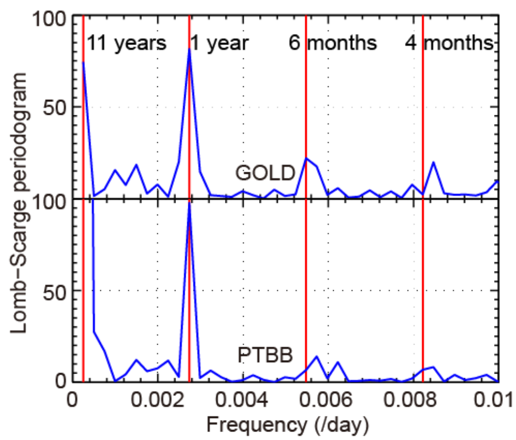

Fig.3 Lomb-Scargle spectra of the daily optimal ionospheric shell height

We establish two optimal ionospheric shell height models for each region by the 40th-order of Fourier series based on the 11-year data of GOLD and PTBB. To investigate the availability zone of the optimal ionospheric shell height model, we apply the model to the stations of each region as shown in Figure 1 and Table 1. Based on the predicted daily optimal ionospheric shell heights in 2014 calculated by the model of GOLD and PTBB, the DCBs in all stations of each region are estimated in the form of single station by the polynomial model mentioned earlier. The difference of DCBs in all station in each region calculated by the optimal ionospheric shell height model from each reference station and DCBs provided by CODE is then compared to the difference of DCBs calculated by fixed ionospheric shell height (400 km) and DCBs released by

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CODE.

Figure 4 shows the daily average differences of DCBs calculated by the model and DCBs of each stations provided by CODE in 2014, and the differences of DCBs calculated by the fixed ionospheric shell height (400 km) and DCBs released by CODE in 2014. The panels for the stations are arranged by their distances to reference station, this is also applied to the following table; from the top panels to the bottom panels, the distance of the corresponding station to the reference station gradually increases. The left and right panels show the daily differences and the histograms of the statistical results in 2014, respectively. For all of the stations, the daily average differences of DCBs calculated by the optimal ionospheric shell height model are reduced compared to the fixed ionospheric shell height. For GOLD and TABV, the reductions are appropriate, the daily average ΔDCBs around 0 have the most days. For the other stations, the reductions are so much that most of the average ΔDCBs are negative. This result shows the improvement of the model seems to be related with the distance to GOLD. Note that some days no result because of missing data. Figure 5 is the same format as Figure 4, which presents the results of Region II. By comparing to the results of fixed ionospheric height, Figure 5 also indicates that the ΔDCB of optimal ionospheric shell heights with PTBB prediction is more concentrated distributed around 0 in a statistical sense. Both Figure 4 and Figure 5 present the accuracy of DCB estimation by using optimal ionospheric heights from reference station, namely GOLD and PTBB in this study, can be improved.

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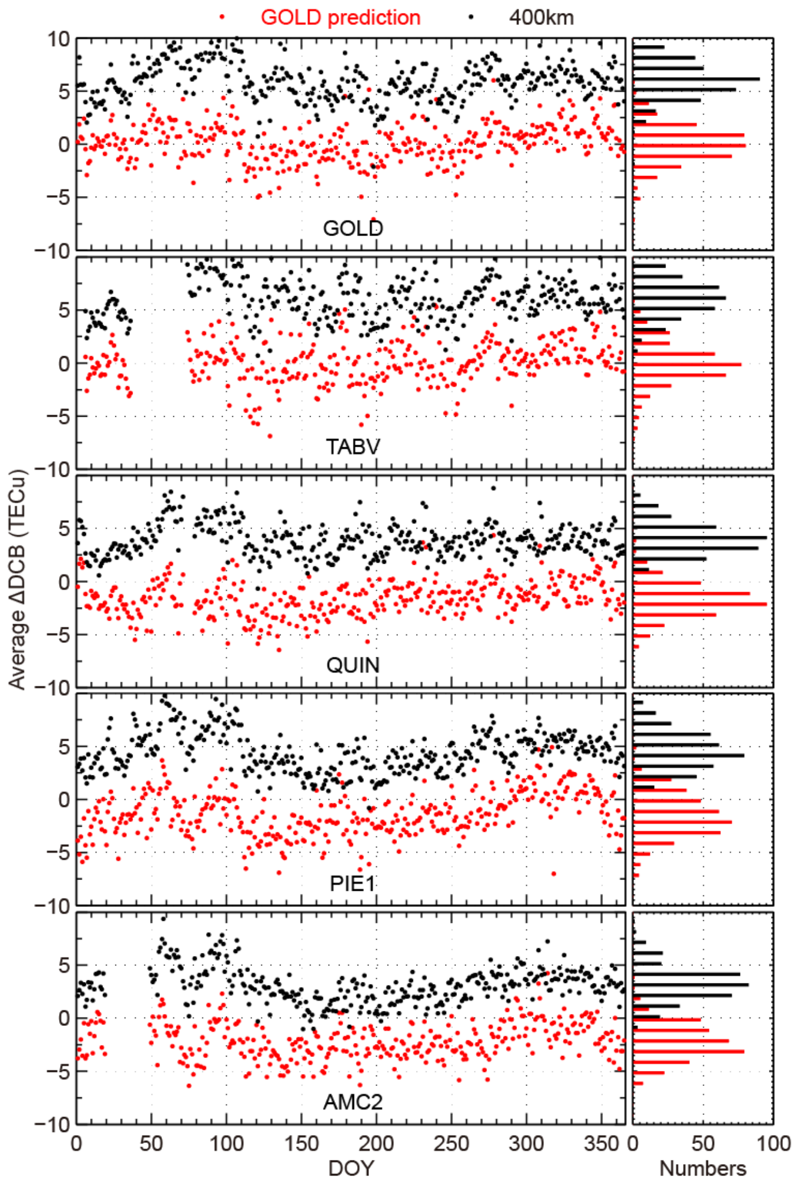

Fig.4 Comparisons of the average ΔDCB calculated by the predicted optimal ionospheric shell heights (red dots) and by the fixed ionospheric shell height (black dots) in 2014 for stations in Region I.

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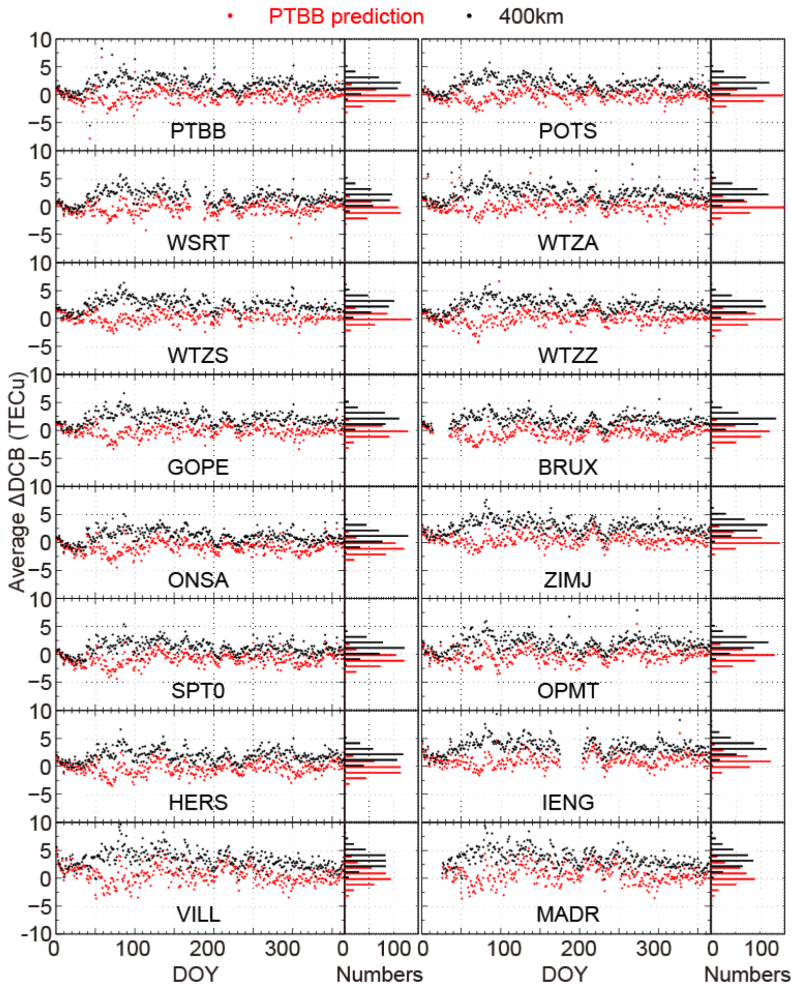

Fig.5 Comparisons of the average ΔDCB calculated by the predicted optimal ionospheric shell heights (red dots) and by the fixed ionospheric shell height (black dots) in 2014 for stations in Region II.

Table 2 presents the quantitative statistical results of average ΔDCB in 2014. For

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all the stations in each region, the mean values and the root mean squares (RMS) by the optimal ionospheric shell height model are smaller than by the fixed ionospheric height. For Region I, the improvements of TABV are the most significant. Their mean values are reduced to 0.12 and 0.08 TECu, respectively; the root mean squares are reduced by 4.43 and 4.33 TECu, respectively. For Region II, the improvement for DCB estimation are the most obvious for WTZZ, with mean value of ΔDCB decreases from 2.34 to 0.02. We could note that TABV and WTZZ station are quite close to the reference stations in each region.

Table 2 Statistical results of mean (ΔDCB) in 2014

Station	Average ΔDCB (TECu) Optimal Ionospheric Height		Average ΔDCB (TECu) Fixed Ionospheric Height	
	Mean	RMS	Mean	RMS
GOLD	0.12	1.82	5.96	6.25
TABV	0.08	2.04	6.06	6.37
QUIN	-1.60	2.31	3.91	4.19
PIE1	-1.38	2.50	4.46	4.84
AMC2	-2.12	2.75	3.09	3.53
PTBB	-0.28	1.23	1.82	2.26
POTS	-0.27	1.00	1.84	2.18
WSRT	-0.41	1.14	1.65	2.10
WTZA	0.09	1.20	2.38	2.73
WTZS	0.14	0.99	2.48	2.76
WTZZ	0.02	1.14	2.34	2.65

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GOPE	-0.17	1.00	2.12	2.41
BRUX	-0.42	1.12	1.86	2.13
ONSA	-0.88	1.40	1.10	1.63
ZIMJ	0.48	1.17	2.87	3.13
SPT0	-0.84	1.40	1.14	1.67
OPMT	-0.29	1.21	1.93	2.35
HERS	-0.37	1.19	1.84	2.19
IENG	1.05	1.57	3.44	3.69
VILL	0.59	1.67	3.30	3.66
MADR	0.66	1.71	3.50	3.86

Figure 6 and Figure 7 present the relation between the statistical results of average ΔDCB and the distance to reference stations in each region. The left and the right panels in each figure show the relation of the absolute mean value and the root mean square with the distance to GOLD and PTBB, respectively. For all of the stations, the optimal ionospheric shell height model improves the accuracies of DCB estimation compared to the fixed ionospheric shell height in a statistical sense; both of the absolute mean values and the root mean squares become smaller. For the optimal ionospheric shell height model, the absolute mean values present a positive correlation with the distance to reference station GOLD and PTBB in each region, as well as the root mean squares. By using the linear regression, for Region I, the absolute mean value increases at a rate of about 1.84 TECu per 1000 km and start at about 0.05 TECu. The RMS value increases at a rate of about 0.75 TECu per 1000 km and starts at about 1.87 TECu.

According to the fitting results, the absolute mean value and the RMS less than 1 TECu and 2.25 TECu in the region around GOLD with a radius of 500 km, and less than 2 TECu and 2.62 TECu for the region with a radius of 1000 km. For Region II, the absolute mean value increases at a rate of about 0.30 TECu per 1000 km and start at about 0.25 TECu. The RMS value increases at a rate of about 0.41 TECu per 1000 km and starts at about 1.01 TECu. According to the fitting results, the absolute mean value and the RMS less than about 0.40 TECu and 1.21 TECu in the region around PTBB with a radius of 500 km, and less than about 0.55 TECu and 1.42 TECu for the region with a radius of 1000 km. For the two regions, the RMSs presents stronger linear relation with distance comparing to the means.

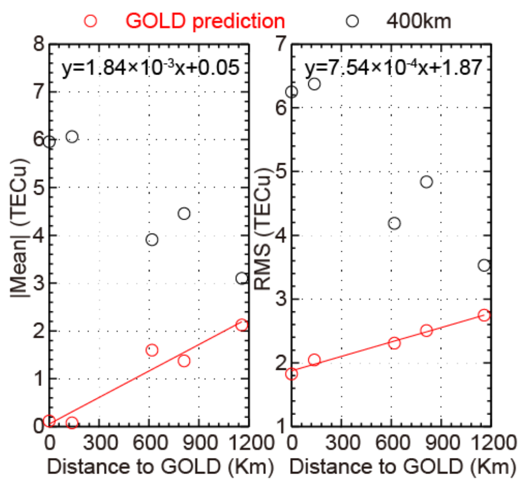

Fig.6 Relation of the accuracy for DCB estimation with the distance to GOLD. The red lines are the linear fitting results

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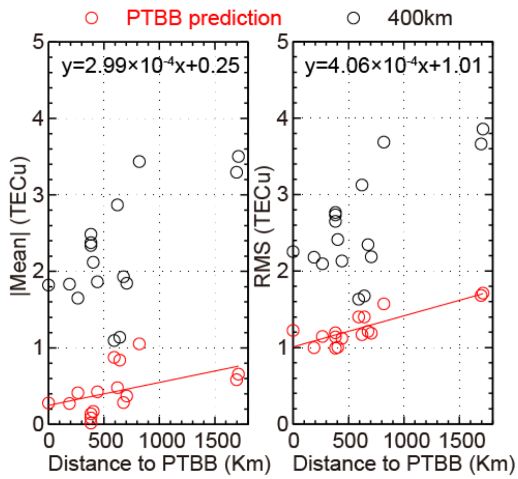

Fig.7 Relation of the accuracy for DCB estimation with the distance to PTBB. The red lines are the linear fitting results

Summary

In this study, we investigate the implementation and validation of optimal ionospheric shell height derived from IGS station to non-IGS station or isolated GNSS receiver. We establish two optimal ionospheric shell height models by the 40th-order of Fourier series based on the data of IGS station GOLD and PTBB in two separate regions These two models are applied to the stations in each region, where the distance to GLOD ranges from 136.67 to 1159.09 km and the distance to PTBB ranges from 190.82 to 1712.27 km. The main findings are summarized as follows:

1) The optimal ionospheric shell height model improves the accuracy of DCB

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estimation comparing to the fixed shell height for all of the stations in a statistical sense. This results indicate the feasibility of applying the optimal ionospheric shell height derived from IGS station to other neighboring stations. The IGS station can calculate and predict the daily optimal ionospheric shell height, and then release this value to the nearby non-IGS stations or isolated GNSS receivers.

2) For other station in each region, the error of DCB by the optimal ionospheric shell height increases linearly with the distance to the reference GOLD and PTBB station. For the mean and the RMS of the daily average ΔDCBs, in region I, the slopes are about 1.84 and 0.75 TECu per 1000 km; in region II, the slopes are about 0.30 and 0.41 TECu per 1000 km. This results indicate the horizontal spatial correlation of regional ionospheric electron density distribution. For different region, the error at 0 km (i.e. the error for the reference station) is different, which should be also considered.

As the requirement of this experiment, we just analyze two regions in mid-latitude due to the insufficiency of long-term P1 data. We also ignore the orientation of isolated GPS receivers to the reference station.

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