



1 **Dependence of the critical Richardson number on the temperature gradient in**
2 **the mesosphere**

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6

7 **Abstract**

8 Maximum upper atmospheric turbulence results in the mesosphere from convective and/or
9 dynamic instabilities induced by gravity waves. For the first time, by comparing the vertical
10 accelerations induced by wind shear and the buoyancy force, it is shown that the critical
11 Richardson number Ri_c can be estimated. Dynamic instability is developed for $Ri < Ri_c$. This
12 new approach, for the first time, makes it is possible to establish and estimate the temperature
13 gradient impact on dynamic instability development. Regarding our results, Ri_c increases from
14 0.25 to 0.38 as the negative temperature vertical gradient increases from $\partial T/\partial z = 0$ to $\partial T/\partial z \leq -9$
15 K/km. However, Ri_c for the temperature, independent of altitude, is 0.25, coinciding exactly with
16 the Ri_c commonly used and estimated in classical studies (Miles, 1961; Howard, 1961) and
17 subsequent papers without the temperature impact. The increase in the Ri_c value strongly
18 influences cooling, inducing the cooling rate increase. Also, our results show that criterion $Ri_c <$
19 0.25 can only be used for the turbulent diffusion, which is characterized by eddies with sizes much
20 smaller than the scale height of the atmosphere. The Ri_c value increases with the increasing size
21 of the eddies, but the term “eddy diffusion” cannot be applied to transport due to the large-scale
22 eddies (Vlasov and Kelley, 2015).

23



24 **Key Words:** 3334-Middle atmosphere dynamics, 3369-Thermospheric dynamics, 3379-
25 Turbulence

26

27 1. Introduction

28 In general, the Richardson number Ri can be defined as the ratio of the destruction of turbulent
29 kinetic energy by buoyancy forces due to the production of turbulent energy by the wind shear
30 flow. This determination leads to the relation (see, for example, Peixoto and Oort (1992))

$$31 Ri = \omega_B^2 / S^2, \quad (1)$$

32 where ω_B is the buoyancy frequency,

$$33 \omega_B^2 = \frac{g}{T} \left(\frac{\partial T}{\partial z} + g / C_p \right), \quad (2)$$

34 and T is the temperature, g is the acceleration of gravity, C_p is the heat capacity at constant pressure,
35 and

$$36 S = \frac{\partial V}{\partial z} \quad (3)$$

37 is the vertical shear of the horizontal wind with the velocity $V(z)$ height profile. It is generally
38 accepted that a dynamic instability develops when the Richardson number is less than $1/4$, i.e., the
39 parcel's vertical motion induced by wind shear dominates the motion induced by the buoyancy
40 force. The former creates and the latter destroys these perturbations. Most authors use the critical
41 Richardson number $Ri_c < 1/4$ without references. Some authors refer to Miles (1961) and Howard
42 (1961). They consider the stable-stratified, horizontal shear flows of an ideal fluid. A set of studies
43 takes into account the time-dependent shear flow and the results of laboratory experiments
44 (Peixoto and Oort, 1992; Galperin et al., 2007). However, we could not find papers on the critical
45 Richardson number that take the mesospheric conditions into account. Miles and other authors
46 (Abarbanel et al., 1984; Lignières et al., 1999; Galperin et al., 2007) did not consider the



47 temperature's influence on the Ri_c value. However, the eddy turbulence peak is observed in the
48 mesosphere or the lower thermosphere where the large negative and positive gradients of the
49 temperature occur. We could find just one paper [Hysell et al., 2012] on the estimate of the Ri_c
50 value in the lower thermosphere. Using the data on observations of the sporadic E layer, Hysell et
51 al. (2012) inferred the parameters of wind shear corresponding to the irregularities observed in the
52 layer and estimated the Ri_c value of 0.75. However, the authors used the wrong formula for the
53 background density, resulting in densities much larger than the observed atmospheric density
54 corresponding to the hydrostatic equilibrium. It is shown in Appendix 3 how $0.7 < Ri_c < 0.8$ can
55 be found due to the background density used by Hysell et al. (2012).

56 The principal measure of stability regarding the buoyancy effects of the density gradient
57 overriding its inertial effects is the Richardson number given by formula (1) in Miles (1961), which
58 can be written as

59
$$Ri = -g \frac{\partial \rho}{\partial z} / \left\{ \rho \left[\frac{\partial V}{\partial z} \right]^2 \right\}, \quad (4)$$

60 where ρ is the density and V is the horizontal wind velocity. This formula can be rewritten as

61
$$\left(\frac{\partial V}{\partial z} \right)^2 = -\frac{g}{Ri} \frac{1}{\rho} \frac{\partial \rho}{\partial z}. \quad (5)$$

62 This initial formula will be used here to estimate the accelerations induced by wind shear and the
63 buoyancy forces under mesospheric conditions.

64 The goal of this paper is to estimate the critical Richardson number, Ri_c , corresponding to the
65 equilibrium between the buoyancy force and the force induced by wind shear in the mesosphere.

66 Dynamic instability is developed for $Ri < Ri_c$. Our approach considers the acceleration
67 corresponding to both forces, taking into account the mesospheric temperature height distributions.

68



69 **2. Acceleration Induced by Wind Shear**

70 We start from formula (5) corresponding to the initial equation used by Miles (1961) (here,
 71 formula (4)). Miles considers an incompressible fluid but the adiabatic expansion/compression
 72 should be taken into account in the upper atmosphere. Differentiating the adiabatic relation
 73 $pT^{-\gamma/(\gamma-1)} = \text{const}$ corresponding to Poisson's equation where $p = \rho \kappa T / m$ and p is the pressure;
 74 m is the mean molecular mass; $\gamma = C_p / C_v$; C_p and C_v are the heat capacities at constant pressure
 75 and volume; $\gamma/(\gamma - 1) = 1 + N/2$; $N = 5$ is the number of degrees of freedom for diatomic gas;
 76 and κ is the Boltzmann's constant, it is possible to get the adiabatic expansion equation

77
$$\frac{1}{\rho} \frac{\partial \rho}{\partial z} = \frac{N}{2} \frac{1}{T} \frac{\partial T}{\partial z} \quad (6)$$

78 (see the derivation of this formula in Appendix 1), and according to formula (5):

79
$$\left(\frac{\partial V}{\partial z}\right)^2 = -\frac{g}{Ri} \frac{N}{2T} \frac{\partial T}{\partial z} . \quad (7)$$

80 Taking into account $Ri(\partial V/\partial z)^2 = \omega_B^2 = (g/T)(\partial T/\partial z + g/C_p)$ and using formula (6), the
 81 temperature gradient in the parcel with upward motion and adiabatic expansion can be given by
 82 the equation

83
$$\frac{\partial T}{\partial z} = -\frac{g}{(1+N/2)C_p} \quad (8)$$

84 and

85
$$T = T_0 - \frac{g}{(1+N/2)C_p} (z - z_0) . \quad (9)$$

86

87 By substituting formulas (8) and (9) in formula (7) multiplied by $(z - z_0)$, it is possible to
 88 obtain the formula

89
$$a_{ws} = \frac{g^2 N (z - z_0)}{2 Ri [T_0 C_p (1 + N/2) - g (z - z_0)]} \quad (10)$$

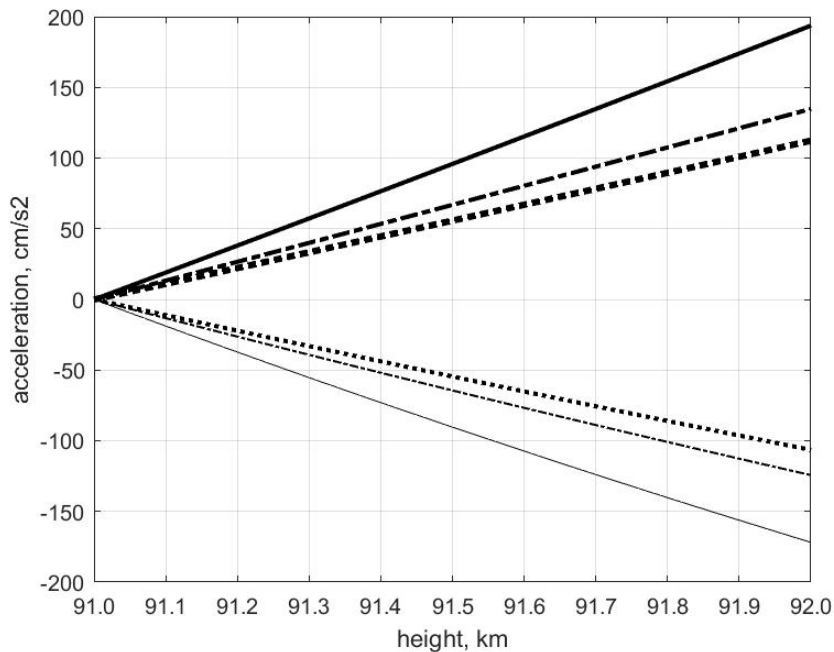


90 where

$$91 \quad a_{ws} = \left(\frac{\partial v}{\partial z}\right)^2 (z - z_0) \quad (11)$$

92 is the acceleration in wind shear. As can be seen from Fig. 1, this acceleration increases with the
 93 increase of the vertical size of the wind shear layer. Note that this size cannot exceed 1–2 km
 94 according to the experimental data (Larsen, 2002). The a_{ws} dependence on the altitude is linear
 95 because $g(z - z_0) \ll T_0 C_p (1 + N/2)$ for $-z_0 < 2$ km.

96



97

98 **Figure 1.** The height profiles of the wind shear $a_{ws} > 0$ and buoyant $a_b < 0$ accelerations calculated
 99 by formulas (11) and (15), respectively, with $T_0 = 140$ K and $Ri_c = 0.25$ (solid curves), with $T_0 =$
 100 140 K and $Ri_c = R/C_p = 0.286$ (dashed-dotted curves), and with $T_0 = 200$ K and $Ri_c = 0.286$
 101 (dotted curves).



102

103 **3. Acceleration Induced by the Buoyancy Force**

104 The buoyancy force is $F_B = g(\rho_A - \rho_D)$ where ρ_A and ρ_D are the background atmospheric
 105 density and the disturbed density, respectively. The acceleration is given by

106
$$a_B = g[(\rho_A - \rho_D)\rho_D]. \quad (12)$$

107 The atmospheric density distribution can be given by

108
$$\rho_A = \rho_{A0} \exp[-(z - z_0)/H_A] \quad (13a)$$

109 for $dT_A/dz = 0$ in the mesopause and the formula

110
$$\rho_A = \rho_{A0} \{ [T_{A0} - G(z - z_0)] / T_{A0} \}^{(mg/\kappa G - 1)} \quad (13b)$$

111 for $dT_A/dz = G < 0$ below the mesopause, and $H_A = \kappa T_{A0}/mg$ is the scale height of the
 112 atmospheric gas. By integrating equation (6) with the temperature and temperature gradient given
 113 by formulas (8) and (9), it is possible to get the disturbed density distribution ($T_0 = T_{A0}$),

114
$$\rho_D = \rho_{A0} \left[\frac{T_0 - \frac{G(z-z_0)}{c_p(1+N/2)}}{T_0} \right]^{N/2}, \quad (14)$$

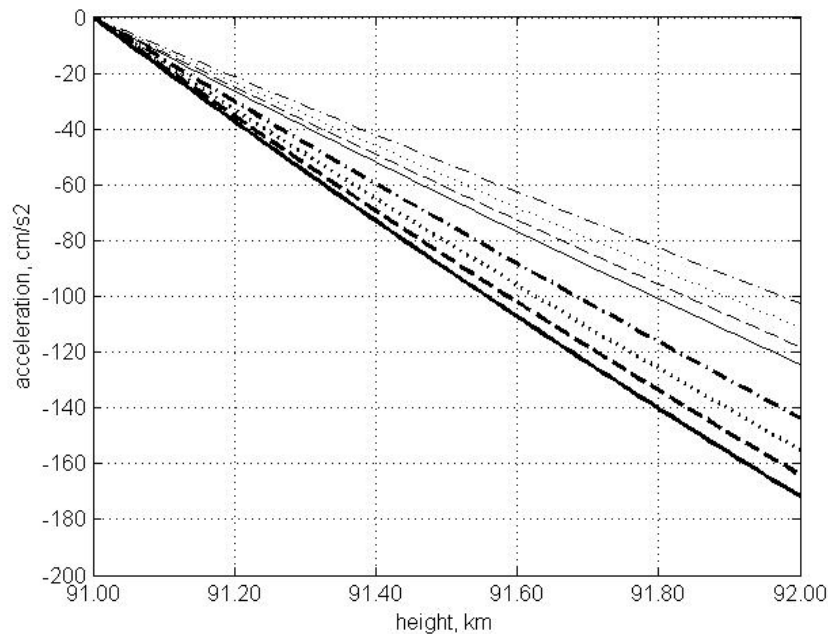
115 and the acceleration corresponding to the buoyancy force can be written as

116
$$a_B = g \left[\left(\frac{\rho_A}{\rho_D} \right) - 1 \right] = g \frac{\rho_{A0} e^{-\frac{(z-z_0)}{H_A}}}{\rho_{A0} \left[\frac{T_0 - \frac{g(z-z_0)}{c_p(1+\frac{N}{2})}}{T_0} \right]^{N/2}} - g \quad (15)$$

117 for $dT_A/dz = 0$. As seen from Fig. 1, there is very good agreement between the a_{ws} and a_B
 118 absolute values for $Ri_c = 0.25$, and $T_0 = 140$ K and $T_0 = 200$ K for the vertical size of a stable wind
 119 shear layer that is less than 400 m. The a_{ws} value becomes larger than the a_B value for $z - z_0 >$
 120 400 m, which means that the Ri_c value should be increased. The turbulence develops if α_{ws} is
 121 larger than the α_B that corresponds to $Ri < Ri_c$. We emphasize that the perturbation scale sizes



122 induced by wind shear do not exceed 1-2 km, according to the observations (see Lübken (1997)).
123 Note that formula (13b) should be used instead of formula (13a) in the nominator of formula (15)
124 for atmospheric temperature distribution with $\frac{dT_A}{dz} < 0$. As can be seen from Fig. 2, the a_B values
125 significantly decrease in this case, since the atmospheric density given by formula (13b) is larger
126 and the density gradient is less than the density and gradient corresponding to formula (13a). The
127 small buoyancy force corresponds to the small density gradient. This dependence explains the a_B
128 reduction with the T_A decrease.
129



130
131 **Figure 2.** The height profiles of the acceleration of the buoyancy force calculated by formula (15)
132 with the nominator $\rho_{A0} \{ [T_{A0} - G(z - z_0)] / T_{A0} \}^{(mg/\kappa G - 1)}$ for $T_0 = T_{A0} = 140$ K and 200 K (thick
133 and thin curves, respectively) and $G = 1, 2.8,$ and 5 K/km (dashed, dotted and dashed-dotted curves,
134 respectively), and calculated by formula (15) (solid curves).



135

136 **4. Estimating the Richardson Number**

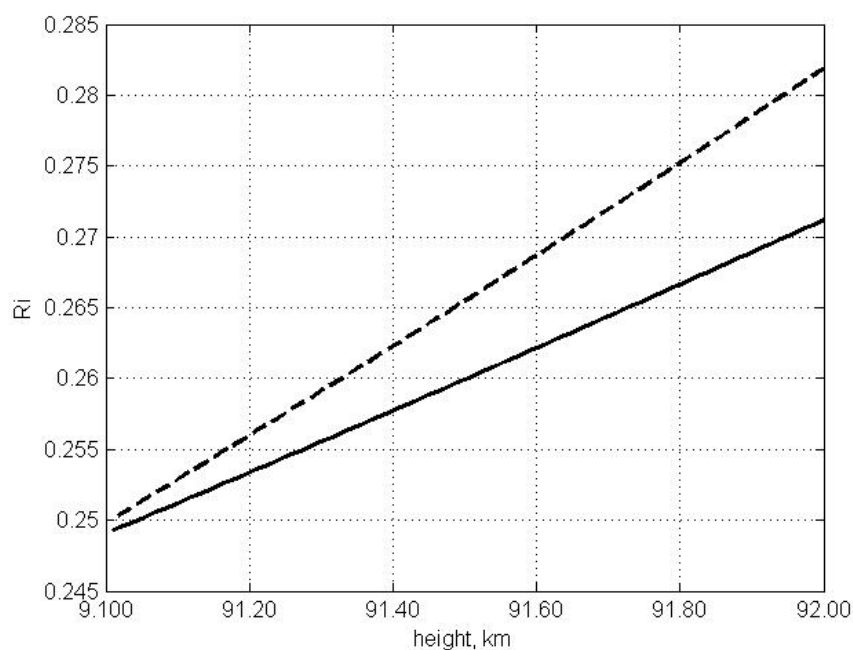
137 Using formulas (11) and (15) in the equation $a_{ws} + a_B = 0$, the formula for Ri_c can be inferred:

138
$$Ri_c = \frac{\left[1 - \frac{g(z-z_0)}{T_0 c_p(1+N/2)}\right]^{N/2} \frac{gN(z-z_0)}{2c_p(1+N/2) \left[T_0 - \frac{g(z-z_0)}{c_p(1+N/2)}\right]}}{\left[1 - \frac{g(z-z_0)}{T_0 c_p(1+N/2)}\right]^{N/2} - \exp\left[-\frac{(z-z_0)}{H_A}\right]} . \quad (16)$$

139 The Ri_c values calculated by formula (16) and this formula with $\{[T_0 - G(z - z_0)]/T_0\}^{(mg/\kappa G - 1)}$
 140 (see formula (13b) instead of the exponential term) are shown in Figs. 3a and 3b. The Ri_c values
 141 increase with increasing altitude, corresponding to the vertical expansion of the region of the stable
 142 wind shear. However, according to the experimental data (Larsen, 2002; Kelley et al., 2003;
 143 Bishop et al., 2004), the wind shears are very unstable. As mentioned above, the size scales of the
 144 density perturbations do not exceed 1 – 2 km, according to the observations. A more accurate
 145 consideration of eddy turbulence (Vlasov and Kelley, 2015) concludes that the scale size of density
 146 perturbations l should be much less than the scale height of atmospheric gas, $l \ll H_A$ and $l \ll 4$
 147 km for $T_A = T_0 = 140$ K and $l \ll 5.7$ km for $T_A = T_0 = 200$ K. However, this restriction can only
 148 apply to turbulence corresponding to the eddy diffusion approximation (Vlasov and Kelley, 2015).
 149 As seen from Fig. 3a, the Ri_c value of 0.25 corresponds to perturbations with scales less than 10
 150 m, and the Ri_c values reach 0.256 and 0.263 for $l = 200$ m and 400 m and for $T_{A0} = 140$ K and
 151 0.254 and 0.257 for $T_0 = 200$ K, respectively. The Ri_c value of 0.25 corresponds to the mean
 152 value $l = 27.3$ m obtained by Lübkin (1997), using the measured spectrum of the density
 153 fluctuation. Vlasov and Kelley (2015) reconsidered the results of Kelley et al. (2003) and found
 154 that the spectrum scale fluctuations inferred from the meteor train turbulence observations can be
 155 approximated by Heisenberg's formula with $l = 119$ m, and eddies with very large scales may



156 occur in the narrow layer of localized turbulence. As can be seen from Fig. 3b, the Ri_c values
157 increase with the increase in the negative gradient of the temperature and can reach almost 0.36.
158

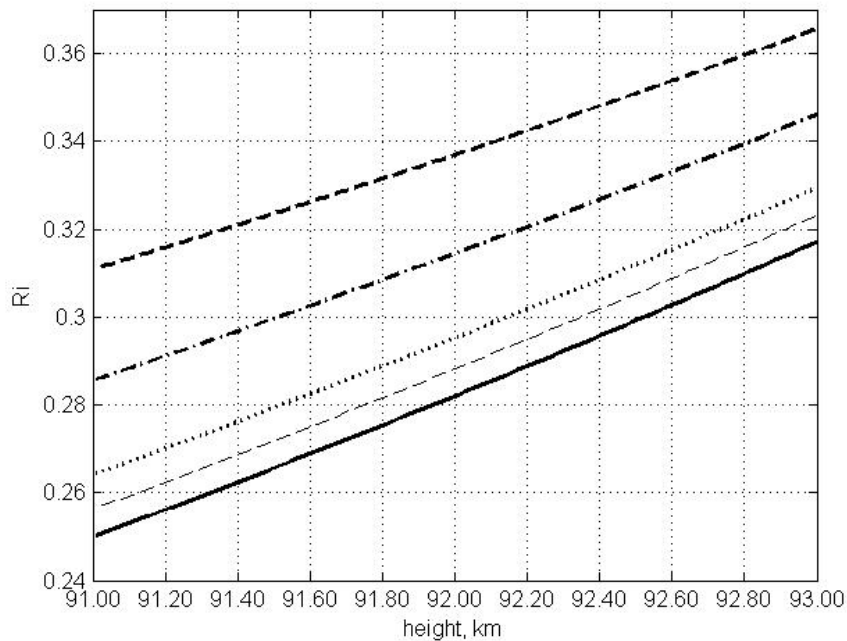


159

160 **Figure 3a.** The height profiles of the critical Richardson number calculated by formula (16) with

161 $T_0 = 140$ K and 200 K (dashed and solid lines, respectively).

162



163

164 **Figure 3b.** The height profiles of the critical Richardson number calculated by formula (16) with
 165 $\{[T_0 - G(z - z_0)]/T_0\}^{(mg/\kappa G - 1)}$ instead of the exponential term for the $T_0 = 140$ K with $dT/dz =$
 166 $G < 0$ with $|G| = 0.2, 1, 3,$ and 5 K/km (dashed thin, dotted, dashed-dotted and dashed thick curves,
 167 respectively) and calculated by formula (16) (solid thick curve).

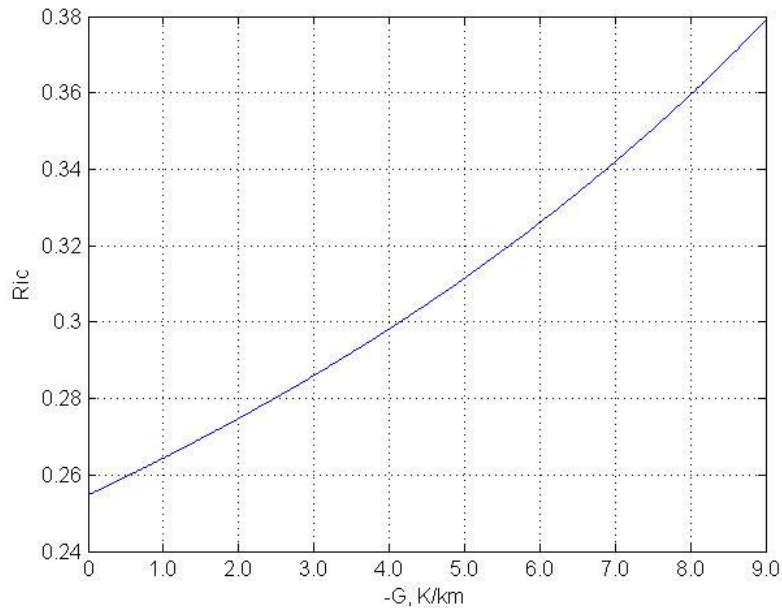
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169 Thus, turbulence can develop with $Ri_c > 0.25$ for wind shears with a vertical size of 1–2 km,
 170 but this turbulence may not correspond to eddy diffusion. The scales of the density fluctuations
 171 are very small (for example, see Lübken (1997)) that correspond to $z \rightarrow z_0$. However, the Ri_c value
 172 estimation for $z \rightarrow z_0$ is problematic because, in this case, the numerator and denominator in
 173 formula (16) try to attain zero. This uncertainty can be solved using L'Hospital's rule, leading to
 174 the formula (see Appendix 2)



175
$$Ri_c = \frac{0.5gN}{g(1+N/2)^2 - 0.5gN - Gc_p(1+N/2)} \quad (17)$$

176 for the Ri_c limit value for $z \rightarrow z_0$. This formula corresponds to the limit value formula (16) with
 177 the term $\{[T_0 - G(z - z_0)]/T_0\}^{(mg/\kappa G - 1)}$ instead of the term $\exp[-(z - z_0)/H_A]$. The Ri_c
 178 dependence on the negative temperature gradient, given by formula (17), is shown in Fig. 4. The
 179 G increase improves the conditions for the dynamic instability development. Note that the Ri_c
 180 value for $G = 0$ coincides with the results of Miles (1961) and the commonly used value of Ri_c .
 181



182
 183 **Figure 4.** The dependence of the Richardson number Ri_c on the temperature negative gradient
 184 calculated by formula (17).

185

186 **5. The Influence of Ri_c Dependence on G on Cooling in the Mesosphere**



187 The eddy turbulence heating/cooling rate can be given by the equation (Vlasov and Kelley,
 188 2010)

$$189 \quad Q_{ed} = \frac{\partial}{\partial z} \left[K_{eh} C_p \rho \left(\frac{\partial T}{\partial z} + \frac{g}{c_p} \right) \right] + K_{eh} \rho \frac{g}{Tb} \left(\frac{\partial T}{\partial z} + \frac{g}{c_p} \right), \quad (18)$$

190 where K_{eh} is the coefficient of the eddy heat transport, ρ is the undisturbed gas density, and b is a
 191 dimensionless constant given by the relation obtained using the results of Gordiets et al. (1982),

$$192 \quad b = Ri_c / (P - Ri_c) \quad (19)$$

193 where P is the turbulent Prandtl number. According to equation (18), the Q_{ed} value is given in units
 194 $erg \times cm^{-3} \times s^{-1}$. The K_{eh} value is given by

$$195 \quad K_{eh} = b\varepsilon / \omega_B^2, \quad (20)$$

196 where ε is the energy dissipation rate, and b can be given by formula (19). The vertical distribution
 197 of the ε value in the turbulent layer can be approximated by the Gaussian function

$$198 \quad \varepsilon = \varepsilon_m \exp[-(z - z_m)^2 / h^2], \quad (21)$$

199 where h is half of the layer thickness and ε_m is the ε value at the altitude of the layer peak z_m .

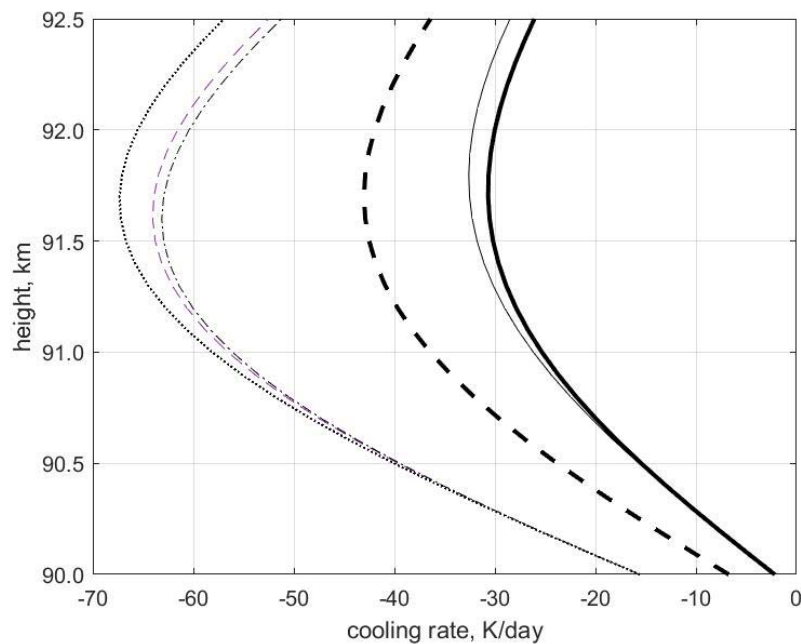
200 Using this approximation, dividing equation (18) by ρC_p and substituting formula (20) with $b =$
 201 $Ri_c / (P - Ri_c)$ and $T = T_0 + G(z - z_0)$, equation (18) can be written in units K/s as

$$202 \quad Q_{ed} = \varepsilon_m \exp \left[-\frac{(z - z_m)^2}{h^2} \right] \left\{ \frac{[T_0 + G(z - z_0)]}{g \left(\frac{P}{Ri_c} - 1 \right)} \left[-\frac{2(z - z_m)}{h^2} - \frac{\frac{mg}{\kappa}}{T_0 + G(z - z_0)} \right] + \frac{1}{c_p} \right\}. \quad (22)$$

203 Using the Ri_c dependence on the temperature gradient given by formula (17), the impact of the
 204 Richardson number on the cooling rates can be estimated. According to the results in Fig. 5, the
 205 cooling rates increase by a factor of 2.2 for $0.25 < Ri_c < 0.38$ corresponding to $0 \leq G \leq -9$ K/km,
 206 but the G value influence on the cooling for $Ri_c = \text{const} = 0.25$ is very small (curves near the thick
 207 solid curve). Note that the turbulence induced by the large wind shear may not correspond to the



208 eddy diffusion heat transport. The values of ε_m , z_m , and h correspond to the experimental data
209 (Lübken, 1997).
210



211
212 **Figure 5.** The cooling rates calculated by equation (22) with $G = 0 \text{ K/km} - Ri = 0.25$, $G = -3 \text{ K/km}$
213 $- Ri = 0.286$, $G = -5 \text{ K/km} - Ri = 0.31$, $G = -7 \text{ K/km} - Ri = 0.34$, $G = -8 \text{ K/km} - Ri = 0.36$, $G = -9$
214 $\text{K/km} - Ri = 0.38$ (thick solid, dashed and dashed-dotted curves and thin dotted, solid curves and
215 thick dotted curve, respectively) and the Q_{ed} values calculated with $Ri = 0.25$ and the G values
216 from -3 K/km to -9 K/km are shown by curves near the thick solid curve.

217

218 6. Conclusions

219 For the first time, by comparing the accelerations in wind shear and the buoyancy force, it is
220 shown that the critical Richardson number, corresponding to the equilibrium of these forces, can



221 be estimated and the dynamic instability developed for $Ri < Ri_c$. This new approach is very
222 different from the approach used in classical studies (Miles, 1961) and subsequent papers. Note
223 that Miles and the other authors did not consider the temperature's influence on dynamic instability
224 development. However, the mesosphere is characterized by the negative temperature gradient, and
225 the turbulence peak is observed in this region. For the first time, it has been estimated and
226 established that the Ri_c value depends on the temperature gradient. The Ri_c value increases with
227 the negative mesospheric temperature gradient increase. It should be emphasized that our
228 estimated Ri_c value is exactly the same as the Ri_c value of 0.25 estimated by Miles (1961) and
229 other authors and does not depend on the temperature for $dT/dz = 0$.

230 The Richardson number dependence on the temperature gradient influences the cooling rates
231 induced by eddy turbulence. These rates significantly increase with an increasing Ri_c , but the
232 influence of the negative temperature gradient on the cooling for $Ri_c = const = 0.25$ is very
233 small.

234 Also, our results show that criterion $Ri_c = 0.25$ can be used for turbulent diffusion that is
235 characterized by eddies with a size that is much less than the scale height of the atmosphere. The
236 Ri_c value increases with the increase in the vertical size of the wind shear (see Fig. 3a), but there
237 is a problem with applying the term “eddy diffusion” to momentum and heat transport because of
238 the large-scale eddies in this case (Vlasov and Kelley, 2015).

239 In general, our results show that the criterion $Ri_c = 0.25$ can only be applied to turbulence with
240 small scales corresponding to the eddy diffusion. This diffusion provides the mixing of neutral
241 constituents and their diffusive separation as a result of the competition between eddy and
242 molecular diffusion. In this case, the criterion $Ri_c = 0.25$ is necessary and sufficient, but not for
243 the more complicated shears mentioned above and observed in the lower thermosphere.



244 **Appendix 1**

245 Derivation of formula (6) in the paper. We start by using the adiabatic equation $pT^{-\gamma/(\gamma-1)} =$

246 *const*:

247
$$\frac{\partial}{\partial z} [pT^{-\gamma/(\gamma-1)}] = 0 \quad (A1)$$

248
$$p = \rho RT \quad (A2)$$

249
$$\gamma = Cp/Cv = 1 + 2/N \quad (A3)$$

250
$$\gamma/(\gamma - 1) = 1 + N/2 \quad (A4)$$

251
$$\frac{\partial}{\partial z} [R\rho T \times T^{-1-N/2}] = R \left[\frac{\partial \rho}{\partial z} T^{-N/2} - \rho \frac{N}{2} T^{-1-N/2} \frac{\partial T}{\partial z} \right] = 0. \quad (A5)$$

252 Dividing this equation by ρ and multiplying by $T^{-N/2}$, it is possible to get the adiabatic expansion
 253 equation

254
$$\frac{1}{\rho} \frac{\partial \rho}{\partial z} = \frac{N}{2} \frac{1}{T} \frac{\partial T}{\partial z}. \quad (A6)$$

255

256 **Appendix 2**

257 Derivation of formula (17) for $\partial T/\partial z = G = 0$:

258
$$Ri_c = \frac{\left[1 - \frac{g(z-z_0)}{B}\right]^{N/2}}{\left[1 - \frac{g(z-z_0)}{B}\right]^{N/2} - \exp\left[-\frac{(z-z_0)}{H_A}\right]} \frac{0.5gN(z-z_0)}{B-g(z-z_0)} = \frac{F(z)}{\varphi(z)} \quad (A1)$$

259 where $B = T_0 C_p (1 + N/2)$ and

260
$$\frac{\partial F}{\partial z} = -\frac{Ng}{2B} \left[1 - \frac{g(z-z_0)}{B}\right]^{N/2-1} \frac{0.5gN(z-z_0)}{B-g(z-z_0)} + \left[1 - \frac{g(z-z_0)}{B}\right]^{N/2} \frac{0.5gN[B-g(z-z_0)]+0.5gN(z-z_0)g}{[B-g(z-z_0)]^2}. \quad (A2)$$

262 For $z = z_0$,

263
$$\frac{\partial F}{\partial z} = \frac{0.5gNB}{B^2} = \frac{0.5gN}{B} \quad (A3)$$



$$264 \quad \frac{\partial \phi}{\partial z} = -\frac{Ng}{2B} \left[1 - \frac{g(z-z_0)}{B} \right]^{N/2-1} + \frac{1}{H_A} \exp \left[-\frac{(z-z_0)}{H_A} \right]. \quad (A4)$$

265 For $z = z_0$,

$$266 \quad \frac{\partial \phi}{\partial z} = -\frac{Ng}{2B} + \frac{1}{H_A}. \quad (A5)$$

267 Finally, we have a very simple formula:

$$268 \quad Ri = \frac{0.5gN}{B \frac{mg}{\kappa T_0} - 0.5gN} = \frac{0.5N}{\left(1 + \frac{N}{2}\right)^2 - 0.5N} = 0.256 \text{ for } N = 5, G = 0 \quad (A6)$$

269 and for $G < 0$,

$$270 \quad \frac{\partial \phi}{\partial z} = -\frac{0.5Ng}{B} - \frac{\partial}{\partial z} \left\{ \frac{[T_0 - G(z-z_0)]}{T_0} \right\}^{\frac{mg}{\kappa G} - 1} = -\frac{0.5Ng}{B} - \left(\frac{mg}{\kappa G} - 1 \right) \left(\frac{-G}{T_0} \right) \text{ for } z = z_0 \quad (A7)$$

$$271 \quad \frac{\left(\frac{\partial F}{\partial z}\right)}{\left(\frac{\partial \phi}{\partial z}\right)} = \frac{0.5gN}{B \left[-\frac{0.5gN}{B} + \frac{mg}{\kappa T_0} \frac{G}{T_0} \right]} = -\frac{0.5gN}{-0.5gN + g \left(1 + \frac{N}{2}\right)^2 - \frac{GB}{T_0}} = \frac{0.5gN}{\left(1 + \frac{N}{2}\right)^2 g - 0.5Ng - GC_p(1+N/2)}. \quad (A8)$$

272

273 Appendix 3

274 The equation used by Hysell et al. (2009, 2012) is

$$275 \quad N^2 = -\frac{g}{\rho_0} \frac{\partial \rho_0}{\partial z} = \frac{g}{T} \left(\frac{\partial T}{\partial z} + \frac{g}{c_p} \right). \quad (A1)$$

276 Here, N^2 is the buoyancy frequency square and ρ_0 is the background density. This equation is
 277 incorrect because first, the buoyancy frequency for incompressible fluid is not equal to the
 278 frequency for compressible fluid, and second, the background density given by the equation

$$279 \quad \frac{1}{\rho_0} \frac{\partial \rho_0}{\partial z} = -\frac{1}{T} \left(\frac{\partial T}{\partial z} + \frac{g}{c_p} \right) \quad (A2)$$

280 is much larger than the density given by the equation

$$281 \quad \frac{1}{\rho_A} \frac{\partial \rho_A}{\partial z} = -\frac{1}{T} \left(\frac{\partial T}{\partial z} + \frac{g}{R} \right) \quad (A3)$$



282 for hydrostatic equilibrium corresponding to real atmospheric conditions. For example, the scale
 283 height of the density is $H = \kappa T(1 + N/2)/mg$ corresponding to equation (A2) where $\partial T/\partial z = 0$
 284 is larger by a factor of 3.5 than the scale height of the background atmospheric density $H =$
 285 $\kappa T/mg$ corresponding to equation (A3). The atmospheric density inferred from equation (A2)
 286 with $\partial T/\partial z = G$ is given by the formula

$$287 \quad \rho_A = \rho_{A0} \left\{ \frac{[T_{A0} + G(z - z_0)]}{T_{A0}} \right\}^{(-mg/\kappa G(1+0.5N)-1)}. \quad (A4)$$

288 This formula is similar to formula (13b) but with $G > 0$ and $-mg/\kappa G(1 + 0.5N)$ instead of
 289 $-mg/\kappa G$. The density given by formula (A4) is much larger than the density given by formula
 290 (13b) for $G > 0$. Substituting formula (A4) instead of the exponential term in equation (16) and
 291 using L'Hospital's rule, it is possible to get the equation

$$292 \quad Ri_c = \frac{0.5gN}{g(1+0.5N)-0.5gN+GC_p(1+0.5N)} = \frac{0.5gN}{g+GC_p(1+0.5N)} \quad (A5)$$

293 instead of equation (17).

294 According to Fig. 2 in Hysell et al. (2012), a sporadic *E* layer with significant irregularities was
 295 observed by Arecibo INR at a height of around 110 km at 19:30 – 20:30 LT on July 2, 2010 in the
 296 lower thermosphere. The authors used the data on this layer to infer the parameters of the wind
 297 shear and then, using a numerical model, they estimated the Ri_c value of 0.75 for the dynamic
 298 instability corresponding to the observed irregularities in this region. According to the data shown
 299 in Fig. 2 (Hysell et al., 2012), the temperature gradient in the instability at around 110 km is $G =$
 300 6-8 K/km and the Ri_c value can be found to be 0.8 – 0.65, respectively, according to equation
 301 (A5). It follows that the large Ri_c value of 0.75 estimated by the numerical model of Hysell et al.
 302 (2012) can only result from the large density used instead of the correct background density. In
 303 this case, the Ri_c value does not depend on the specific features of wind shear inferred by the
 304 authors and used in the numerical model. According to equation (17) with $G > 0$ and the



305 background density given by formula (13b) with $G > 0$, the Ri_c value decreases from 0.25 to 0.2
306 with G increasing from 0 to 8 K/km.

307

308 **Competing Interests**

309 The authors declare that they have no conflict of interest.

310

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