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2 by CIMI 3 4 Perez<sup>1</sup>, Joseph D., James Edmond<sup>1</sup>, Shannon Hill<sup>2</sup>, Hanyun Xu<sup>1</sup>, Natalia Buzulukova<sup>3</sup>, Mei-Ching Fok<sup>3</sup>, Jerry Goldstein<sup>4,5</sup>, David J. McComas<sup>6</sup> and Phil Valek<sup>4,5</sup> 5 6 7 <sup>1</sup>Auburn University, Auburn, AL 36849, USA <sup>2</sup>Emory University, Atlanta, GA 30322, USA 8 9 <sup>3</sup>NASA Goddard Space Flight Center, Greenbelt, MD 20771, USA 10 <sup>4</sup>Southwest Research Institute, San Antonio, TX 78228, USA <sup>5</sup>University of Texas at San Antonio, San Antonio, TX 78249, USA 11 <sup>6</sup>Department of Astrophysical Sciences, Princeton University, NJ 08540, USA 12 13 14 Correspondence to: J. D. Perez, perez@physics.auburn.edu 15 16 Abstract. For the first time, direct comparisons of the equatorial ion pressure and pitch angle 17 anisotropy observed by TWINS and simulated by CIMI are presented. The TWINS ENA images are from a 4-day period, 7-10 September 2015. The simulations use both the empirical Weimer 18 19 2K and the self-consistent RCM electric potentials. There are two moderate storms in succession

Dynamics Geomagnetic Storm on 7-10 September 2015 as Observed by TWINS and Simulated

- 20 during this period. In most cases, we find that the general features of the ring current in the inner
- 21 magnetosphere obtained from the observations and the simulations are similar. Nevertheless, we
- 22 do see consistent indications of enhanced electric and magnetic shielding in the TWINS
- 23 observations. The simulated pressure peaks are often inside the observed peaks and more toward
- 24 dusk than the measured values. There are also cases in which the measured equatorial ion
- 25 pressure shows multiple peaks that are not seen in the simulations. This occurs during a period of
- 26 intense AE index, suggesting time and spatially dependent injections from the plasma sheet that
- are not included in these simulations. The simulations consistently show regions of parallel
- anisotropy spanning the night side between approximately 6 and 8 R<sub>E</sub> whereas the parallel
- anisotropy is seen in the observations only during the main phase of the first storm. This may
- 30 indicate stronger electric and magnetic shielding than is present in the simulations. The evidence





- 31 form the unique global view provided by the TWIN observations strongly suggests that there are
- 32 features in the ring current pressure distributions that can be best explained by enhanced electric
- 33 and magnetic shielding and/or spatially-localized, short-duration injections..
- 34
- 35 Key Words. Magnetospheric physics (Storms and substorms, Magnetosphere configurations and
- 36 dynamics) Space plasma physics (charged particle motion and acceleration)





## 37 1 Introduction

38

39 The Earth's inner magnetosphere contains a large-scale current system, the ring current, in which 40 the current is carried by trapped ions that are injected from the magnetotail and generally drift 41 westward. It is a major contributor to magnetic depressions measured in the Earth's equatorial 42 region that are expressed in terms of the Dst or SYM/H indices which characterize the time-43 evolution of geomagnetic storms. The plasma sheet is a primary source of particles in the inner 44 magnetosphere. Therefore understanding and predicting the dynamics of the injected particles is a key factor in understanding the formation and decay of the ring current. This challenge can 45 best be addressed by a comparison of model and simulation results with observations. 46 47 There have been many studies which compared model results to observations. Kistler and 48 Lawson (2000) used 2 different magnetic field models, dipole and Tsy89 (Tsyganenko, 1989), 49 along with two different electric potential models, Volland (Volland, 1973)-Stern (Stern, 1975) 50 and Weimer96 (Weimer, 1996), to calculate ion paths in the inner magnetosphere. They 51 compared the results with in-situ proton energy spectra measured by the Active Magnetospheric 52 Particle Tracer Explorers (AMPTE) (Gloeckler et al, 1985) over a range of local times. They 53 found that, in the inner magnetosphere, the electric field has a much stronger effect on the 54 particle paths than the magnetic field and that the Weimer96 model gave a better match to the 55 features of the observed energy spectra than the Volland-Stern model. But the energy at which the drift paths became closed, 40-50 keV, was not in agreement with the observations. It is to be 56 57 noted that the effects of induction electric fields were not included in this analysis.

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58	Wang et al (2011) compared average spatial profiles of the Time History of Events and
59	Macroscale Interaction during Substorms (THEMIS) (Angelopoulos, 2008) in situ-observations
60	with simulations using the Rice Convection Model (RCM) self-consistent electric and magnetic
61	fields (Toffoletto et al, 2003). The agreement with key spatial features of the particle fluxes
62	confirms the importance of the magnetic and electric transport in determining features of the ring
63	current.
64	With the advent of missions dedicated to energetic neutral atom (ENA) imaging, e.g., (1) the
65	3 instruments, LENA (T. E. Moore et al, 2000), MENA (Pollock et al, 2000), and HENA
66	(Mitchell et al, 2000) on board IMAGE (Burch, 2000), (2) the Energetic Neutral Atom Detector
67	Unit (NUADU) (McKenna-Lawlor et al, 2005), and (3) Two Wide-angle Imaging Neutral-atom
68	Spectrometers (TWINS) (McComas et al, 2009a; Goldstein and McComas, 2013; Goldstein and
69	McComas, 2018), it became possible to test simulations against full images of the inner
70	magnetosphere.
71	Fok et al (2003) compared simulations using the CRCM (Fok et al, 2001) model with ENA
72	images from IMAGE/MENA & HENA. They were able to match the magnitude and trends of
73	the observed Dst but not all of the short time variations. The empirical Weimer96 (Weimer,
74	1996) electric field model was not able to explain the fact that the peaks of the proton flux in the
75	inner magnetosphere were in the midnight/dawn sector rather than the expected dusk/midnight
76	sector during a strong storm on 12 August 2000, but the self-consistent CRCM electric field
77	model did explain this feature. They also used the MHD fields computed by the BATS-R-US
78	(Block-Adaptive-Tree Solar-wind Roe Upwind Scheme) (Groth et al, 2000) model to provide





79	electric and magnetic fields and ion temperature and density at the model boundary (10 $R_E$ ) at
80	the equator to model a large storm that occurred on 15 July 2000. The simulated ENA images
81	matched the general features of the HENA ENA images.

82 Buzulukova et al. (2010) studied the effects of electric shielding on ring current morphology 83 by comparing the results of CRCM simulations from a moderate and a strong storm with ENA 84 images from TWINS and IMAGE/HENA. The Tsy96 empirical magnetic field, the Weimer-2000 electric potential model (Weimer, 2001) and the empirical Tsyganenko and Mukai (2003) 85 86 model of the plasma sheet density and temperature were employed. They achieved agreement 87 between the magnitude and trends of the observed SYM/H and the simulated values for both 88 storms, and were able to explain the post-midnight enhancements of the pressure due to electric shielding. They did not include the effects of inductive electric field or time dependence due to 89 90 substorms.

91 Fok et al (2010) used ENA images from both TWINS1 and TWINS2 along with in-situ

92 THEMIS observations during a storm on 22 July 2009 to validate the CRCM simulations. They 93 found that, when a time-dependent magnetic field is included, the electric potential pattern is less 94 twisted and the ion flux peak did not move as far eastward giving better agreement with the ENA 95 observations.

96 It is clear that present-day simulations are able to explain the general features of the 97 observations of the ring current in the inner magnetosphere, both from in-situ measurements and 98 in ENA images. It is also clear that questions remain as to the contributions of various shielding 99 mechanisms. Self-consistent dynamic electric potentials give better results. Inclusion of

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- 100 magnetic induction effects is also necessary for the best results. But to date effects on short time
- 101 scales, e.g., injections from sub-storms, bubbles, and bursty bulk flows have not been included in
- 102 a self-consistent manner.
- 103 It is also important to note that the cases treated have been either statistical averages or single
- 104 events in which there was no evidence for multiple peaks in the ring current pressure
- 105 distribution. The existence of multiple peaks, however, has been observed in data from the
- 106 AMPTE Charged Particle Explorer mission (Liu et al, 1987) and in ion distributions extracted
- 107 from TWINS ENA images (Perez et al., 2015).
- 108 The science question to be addressed by this study is: Are there features in the global ring
- 109 current pressure that are caused by enhanced electric and magnetic shielding and/or spatially-
- 110 localized, short-duration injections? We present for the first time a direct comparison between
- simulations of ring current equatorial pressure and anisotropy distributions with the unique
- 112 global images extracted from the TWINS ENA images. We present cases in which the general
- 113 characteristics of the observed pressure distribution are reproduced by the simulations and others
- 114 in which the observed ion pressure peaks are at larger radius, in different MLT sectors, and
- display multiple peaks that are not found in the simulations. We also compare for the first time
- 116 global images of the pressure anisotropy extracted from the TWINS ENA images with the results
- 117 of simulations using the Comprehensive Inner Magnetosphere Ionosphere (CIMI) model (Fok et
- 118 al., 2014).
- In Sect. 2, we describe the measurement of the TWINS ENA images and the process bywhich ion pressures and anisotropy are extracted, and briefly discuss how this technique has





- 121 been validated against in-situ measurements. In Sect. 3, we describe the important aspects of the
- 122 CIMI model, and how it has been compared with geomagnetic activity indices, in-situ
- 123 measurements, and ENA images. The particular storms on 7-10 September 2015, which are the
- 124 focus of this study, are described in Sect. 4. The comparison of results of the measurements and
- simulations are presented in Sect. 5. They are discussed in Sect. 6. Sect. 7 summarizes the
- 126 results and the conclusions.
- 127
- 128 **2 Measurements**
- 129

#### 130 2.1 TWINS ENA Images

131

132 The NASA TWINS mission of opportunity (McComas et al., 2009a; Goldstein and McComas,

133 2013, Goldstein and McComas, 2018) obtains ENA images of the inner region of the Earth's

134 magnetosphere. The instrument concept is described in McComas et al. (1998). Every 72 s with

135 an integration (sweep) time of 60 s, full images are obtained. In this study, in order to obtain

136 sufficient counts for the deconvolution process described in Sect. 2.2, the images are integrated

137 over 15-16 sweeps. This means data is collected for ~15 min over an ~ 20 min time period. The

138 energies of the neutral atoms span a range from 1-100 keV/amu. In the images used in this

139 study, the energy bands are such that  $\Delta E/E = 1.0$  for H atoms. In order to enhance the processed

- 140 image, a statistical smoothing technique and background suppression algorithms described in
- 141 detail in Appendix A of McComas et al. (2012) are employed. This combined approach is an





- 142 adapted version of the statistical smoothing technique used successfully for IBEX (McComas et
- 143 al., 2009b) data.
- 144
- 145 **2.2 Ion Pressures**
- 146

147 For the comparison with simulation results using the CIMI program (See Sect. 3.), the spatial and

148 temporal evolution of equatorial ion pressure and pressure anisotropy are routinely obtained from

the TWINS ENA images. To extract this information from the ENA images, the ion equatorial

150 pitch angle distribution is expanded in terms of tri-cubic splines (deBoor, 1978). To fit the data

and to obtain a smooth solution, the sum of normalized chi-squared and a penalty function

152 derived by Wahba (1990) is minimized. The penalty function is what produces the smoothness

153 of the result (in the sense of a minimum second derivative), and the normalized chi-square is

154 what ensures that the calculated image corresponds to the measured ENA image. This means that

155 the spatial structure obtained in the equatorial ion pressure distributions is no more than is

required by the observations (Perez et al, 2004). In order to obtain pressures from the energy

157 dependent ENA images, which are integrated over energy bands with widths equal to the central

158 energy, e.g., 40 keV images are integrated from 20-60 keV, a technique using singular valued

159 decomposition as described in Perez, et al., (2012, Appendix B) is employed.

160 In order to obtain the ion distributions from the ENA images, models for both the magnetic

- 161 field and the neutral exospheric density are required. In this study, we use the Tsyganenko and
- 162 Sitnov (2005) magnetic field model and the TWINS exospheric neutral hydrogen density model





163 (Zoennchen, et al, 2015).

We must also deal with the fact that there are two components to the ENA emissions: the energetic ions created in charge exchange interactions with neutral hydrogen in the geocorona, the so-called high altitude emissions (HAE), and those due to charge exchange with neutral oxygen at low altitudes (below ~ 600 km), the so-called low altitude emissions (LAE) (Roelof, 168 1997). The former are treated as optically thin emissions, and the latter with a thick target approximation developed by Bazell et al. (2010) and validated by comparisons with DMSP data (Hardy et al., 1984).

171 A full range of the ion characteristics obtained from the TWINS ENA images have been 172 compared with in-situ measurements. Measurements of the spatial and temporal variations of the 173 flux in specific energy bands from the Time History of Events and Macroscale Interactions 174 during Substorms (THEMIS) (Angelopoulos, 2008) have been compared with ion flux obtained from the TWINS ENA images (Grimes et al, 2013; Perez et al, 2015). A similar comparison 175 176 (Perez et al, 2016) has been made with measurements made on the Van Allen Probes (formerly 177 known as the Radiation Belt Storm Probes (RBSP) A and B) (Mauk et al., 2013; Spence et al., 178 2013) by the Radiation Belt Storm Probes Ion Composition Experiment (RBSPICE) (Mitchell et 179 al., 2013) instrument. Pitch angle distributions and pitch angle anisotropy have been compared 180 with THEMIS observations (Grimes et al, 2013). Energy spectra have also been compared with 181 THEMIS measurements (Perez et al, 2012). Pressure and anisotropy from TWINS have been 182 compared with RBSP-SPICE-A (Perez et al, 2016) observations. While the in-situ 183 measurements show more detailed temporal and spatial features, there is good agreement with

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- 184 the overall trends. Goldstein et al (2017) compared the TWINS ENA images with in-situ data
- 185 from THEMIS and the Van Allen probes. They found evidence for bursty flows and ion
- 186 structures in the plasma transport during the 2015 St. Patrick's day storm.
- 187
- 188 **3 The CIMI Model**
- 189
- 190 The CIMI model is a combination of the Comprehensive Ring Current Model (CRCM) (Fok et
- 191 al, 2001b) and the Radiation Belt Environment (RBE) model (Fok, et al., 2008). The CRCM is a
- 192 combination of the classic Rice Convection Model (RCM) (Harel et al, 1981) and the Fok kinetic
- 193 model (Fok et al., 1993).
- 194 The CRCM simulates the evolution of an inner magnetosphere plasma distribution that
- 195 conserves the first two adiabatic invariants. The Fok kinetic model solves the bounce-averaged
- 196 Boltzmann equation with a specified electric and magnetic field to obtain the plasma distribution.
- 197 It is able to include arbitrary pitch angles with a generalized RCM Birkeland current algorithm.
- 198 The Fok model advances in time the ring current plasma distribution using either a self-
- 199 consistent RCM field or the semi-empirical Weimer electric field model. A specified height-
- 200 integrated ionospheric conductance is required for the RCM calculation of the electric field. The
- 201 Hardy model (Hardy et al., 1987) provides auroral conductance. Losses along the particle drift
- 202 paths are a key feature of the CIMI model.
- 203 Simulated results from CIMI or its predecessors have been tested against a variety of
- 204 measurements from a number of satellite missions. Some examples are: (1) AMPTE/CCE (Fok

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- et al., 2001b), (2) IMAGE ENA images (Fok et al., 2003), (3) Polar/CEPPAD (Ebihara et al.,
- 206 2008), (4) IMAGE/EUV(Buzulukova et al., 2008), (5) TWINS ENA images (Fok, et al., 2010),
- 207 (6) Radiation belt measurements and Akebono (Glocer, et al., 2011), (7) TWINS plasma sheet
- 208 boundary conditions (Elfritz, et al., 2014), and (8) TWINS ENA images and Akebono (Fok et al.,
- 209 2014). Using the Dessler-Parker-Schopke relation (Dessler and Parker, 1959; Schokpe, 1966), it
- 210 has also been shown that the simulated CIMI pressures match well the observed SYM/H. (See
- Figure 9, Buzulukova et al., 2010). In this study, we present the first direct comparison between
- 212 CIMI and TWINS ion pressure and anisotropy.
- 213 Important input to the CIMI simulations are the particles injected into the inner
- 214 magnetosphere along the outer boundary of the simulation. In the simulations shown here, it has
- 215 been assumed that the particles have a Maxwellian distribution with density and temperature
- 216 determined by a linear relationship to the solar wind density and velocity respectively (Ebihara
- and Ejiri, 2000; Borovsky et al., 1998). A 2 hour time delay between the arrival of the solar wind
- 218 parameters at the nose of the magnetopause and its effect on the ions crossing into the inner
- 219 magnetosphere also has been assumed (Borovsky et al. 1998). The pitch angle distribution of the
- 220 incoming ions is taken to be isotropic.
- 221 Results from simulations with the CIMI model using two different forms of the electric
- 222 potential are compared in this investigation. One is the Weimer 2K empirical model (Weimer,
- 223 2001) and the other is a self-consistent electric potential from RCM.

224

# 225 4 The 7-10 September 2015 Storms

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227	Figure 1 shows solar wind parameters and geomagnetic activity indices from the OMNI data
228	service for 4 days, i.e., 7-10 September 2015. During this 4-day period, there were two SYM/H
229	minima in succession. The first came early on 8 September 2015 after a 1-day long main phase
230	on 7 September 2015. The minimum SYM/H was approximately -90 nT, so it was a relatively
231	weak storm. There was a rapid recovery for approximately 3 hours coinciding with a sharp
232	transition of $B_z$ from negative, i.e., $-8 \rightarrow -9$ nT, to positive, i.e., $+18 \rightarrow +19$ nT along with a
233	sharp transition of $B_y$ from positive, i.e., +5 nT, to negative, i.e., -12 $\rightarrow$ -13 nT. There was also a
234	sharp spike in the solar wind density at the inception of this first recovery phase. After the
235	recovery was completed, there followed about a 12-hour period of near 0 nT SYM/H. The main
236	phase of the second storm showed a relatively steady decline in SYM/H to a minimum near -110
237	nT in about 12 hours. The recovery from this second minimum was slow with a duration of
238	about 1½ days. The second main phase and minimum corresponded to a slow swing of $B_z$ back
239	to negative and $B_y$ to a slightly negative value. Also to be noted is the strong AE index,
240	indicative of possible substorm activity during the main phases and early recovery of both
241	minima. There is also some AE activity near the end of the second storm. During those same
242	periods, the ASY/H index also had significant values during the main phase and early recovery
243	of both minima. (See Figure 1.)
244	
245	5 Results

246





### 247 5.1 Comparison of the Location of the Equatorial Ion Pressure Peaks

248

Figure 2 shows the location of the equatorial ion pressure peaks as measured from the TWINS ENA images (green diamonds) and simulated by CIMI with both the Weimer 2K (red lines) and

the RCM (orange lines) electric fields. Figure 2a is the radial location for the four days of the

252 07-10Sep2015 storms, and Figure 2b is the MLT location.

253 The radial positions of the pressure peaks for the CIMI simulations are similar, i.e., about

254 4 R<sub>E</sub>, for both the Weimer 2K and the RCM electric potentials. The RCM results do show more

255 variation. Many of the radial positions for the TWINS observations are also near 4 R<sub>E</sub>, but

256 others are at larger values. The MLT locations of the peaks are generally in the dusk/midnight

257 sector. This is consistent with statistical analysis of proton fluxes from the database of the

258 magnetospheric plasma analyzer (MPA) instrument aboard Los Alamos satellites at

259 geosynchronous orbit (Korth et al., 1999). But the CIMI simulations, with both the Weimer 2K

and RCM potentials, show a brief time early on 8 September 2015 where some of the peaks are

261 in the midnight/dawn sector. Given the assumed 2 hour delay in the propagation of the solar

262 wind parameters into the inner magnetosphere, this seems to correlate with a sharp swing in By

shown in Figure 1. The TWINS observations show several instances of the pressure peaks being

264 near midnight and in the midnight/dawn sector. As described earlier, ion flux peaks in this region

have been seen from ENA images for very strong storms (Fok et al, 2003).

266

# 267 **5.2** Comparison of Equatorial Ion Pressure Peaks and Anisotropies at Specific Times





268	
269	The following subsections will examine in detail a number of specific times during these two
270	storms in order to address similarities and differences in the simulations with an empirical and a
271	self-consistent electric field model and with observations. One apparent difference in what
272	follows is the magnitude of the equatorial pressure for the three cases. The maximum on the
273	colorbars for Figures 3-9 were chosen to be different for each time in order to emphasize the
274	spatial dependence of the pressure distribution. The maxima for the two CIMI simulations are
275	very similar, i.e., the RCM varies from 20-38 nPa and the Weimer 2K from 15-30 nPa. But the
276	maxima of the TWINS peaks varies from 1-4 nPa, which is significantly smaller.
277	The magnitude of the ion intensities derived from the ENA images has been addressed in
278	several previous comparisons with in-situ measurements. Vallat et al. (2004) compared Cluster-
279	CIS (Réme et al., 2001) and IMAGE-HENA observations and found that for relatively strong
280	fluxes, the agreement was excellent for two cases, but for another the ion flux determined from
281	the ENA images was somewhat higher than the in-situ observations and in another it was
282	significantly lower. Grimes et al. (2013) compared THEMIS (Angleopoulos, 2008) spectral
283	measurements with spectra obtained from TWINS ENA images and found that the in-situ fluxes
284	were a factor of 3 times greater than those obtained from the ENA images. Perez et al. (2016)
285	compared 30 keV ion fluxes obtained from TWINS ENA images with in-situ measurements by
286	RBSPICE-A (Mauk et al., 2013) and found good agreement in both the average time dependent
287	trend and in the magnitude. The in-situ measurements, of course, showed more structure given
288	their much higher spatial and temporal resolution. Goldstein et al. (2017) analyzed data from

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289	THEMIS, Van Allen probes, and TWINS for a large storm to find that the ion fluxes obtained
290	from the ENA images were generally lower than those from the in-situ measurements. They also
291	found significant variations in the in-situ data. The issue of the absolute magnitude remains an
292	important, unresolved issue, but the fluxes obtained from ENA images have been shown to
293	reflect the global structure of the trapped ring current particles, and that is the emphasis in this
294	study.
295	
296	5.2.1 2200 UT 07 September 2015
297	
298	Figure 3 shows the equatorial pressure profiles and the pressure anisotropy from the CIMI/RCM
299	simulation, the TWINS observations, and the CIMI/Weimer 2K simulation at 2200 UT 07
300	September 2015. This was late in the main phase of the first storm (See Figure 1.). The radial
301	locations of the peaks differ by less than 1 $R_E$ . The MLT locations of the pressure peaks,
302	however, differ by 3 hours in MLT. While the TWINS peak is near midnight, the CIMI peaks
303	are well into the dusk/midnight sector with the CIMI/Weimer even closer to dusk. Results for the
304	Weimer96 when compared with the RCM for a very strong storm showed even greater shielding
305	for the RCM when compared to the empirical Weimer model (Fok et al., 2003). Note, however,
306	that for this weaker storm, the MLT spread in the peaks of the pressure distributions do overlap.
307	It is also to be noted that the TWINS results show more radial structure.
308	The pressure anisotropy shown in Figure 3 is defined as

$$A = \frac{P_{\perp} - P_{\parallel}}{P_{\perp} + P_{\parallel}}$$





310 with  $P_{\perp} \equiv \int_{-1}^{+1} p_{eq}(\alpha) \sin^2 \alpha \, d(\cos \alpha) \quad \& \quad P_{\parallel} \equiv 2 \int_{-1}^{+1} p_{eq}(\alpha) \cos^2 \alpha \, d(\cos \alpha)$ 311 312 313 where  $\alpha$  is the pitch angle and  $p_{eq}$  is the equatorial pressure as a function of location and pitch 314 angle which was obtained from the energy dependent number flux deconvolved from the 315 TWINS ENA images.. The pressure anisotropy at the pressure peaks is somewhat perpendicular 316 in all 3 cases. We also note a region of parallel anisotropy at R > 6-7 R<sub>E</sub> from pre-midnight to 317 dawn in all 3. 318 319 5.2.2 0400 UT 08 September 2015 320 Figure 4 shows results for 0400 UT 08 September 2015 in the same format. This was early in 321 322 the rapid recovery phase of the first minimum in SYM/H. (See Figure 1.) The radial location of 323 the pressure peaks again differ by less than 1  $R_E$ . This time, however, all the peaks are in the 324 dusk/midnight sector. Again the CIMI/Weimer 2K is closer to dusk than the CIMI/RCM 325 pressure profiles. The TWINS peak is between the two simulations. The CIMI/Weimer 2K 326 pressure distribution is more symmetric than the others even though the ASY/H shown in Figure 327 1 is > 50 nT. The region of parallel pressure anisotropy in the CIMI results does not appear in the TWINS results which are more nearly isotropic in general compared to the CIMI simulations. 328 329 330 5.2.3 1600 UT 08 September 2015

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331

332	Figure 5 shows results for 1600 UT 08 September 2015 in the same format. This was during the
333	period of near 0 nT SYM/H between the two storm minima. It was during a time period when
334	both $B_z$ and $B_y$ are positive (See Figure 1.). Again the radial location of the pressure peaks are
335	similar. The TWINS peak, however, has moved to the noon/dusk sector. It has continued to
336	move westward from it positions in Figures 3 and 4. This could be the classic drift due to
337	magnetic field gradient and curvature as originally observed in IMAGE/HENA ENA images by
338	Brandt et al., (2001). In contrast to the TWINS pressure profile, the CIMI pressures reflect a
339	nearly symmetric ring current. While ASY/H was relatively low at this time, it did show a small
340	peak (See Figure 1.). Both the CIMI/RCM and the CIMI/Weimer 2K results show a region of
341	parallel pressure anisotropy at large radii that almost circles the Earth. The TWINS results show
342	only perpendicular pressure anisotropy.
343	
344	5.2.4 0200 UT 09 September 2015
345	
346	Figure 6 shows results for 0200 UT 09 September 2015 in the same format. This is early in the
347	main phase of the second minimum in SYM/H (See Figure 1.). The TWINS equatorial ion
348	pressure peak is at a larger radius and in the midnight/dawn sector in contrast to the CIMI results
349	where the peaks are in the dusk/midnight sector. There is considerably more spatial structure in
350	the TWINS results. The strongest TWINS peak extends well into the dusk/midnight sector with

a region near the same location as the CIMI peaks and with another at a larger radius in the





352	dusk/midnight sector. There is an even larger difference in the pressure anisotropy. The parallel
353	region at large radii in the CIMI result is even more parallel but is again absent in the TWINS
354	result. The small intense parallel region at very small radius in the TWINS plot is a region of
355	very low flux and therefore not a reliable ratio. At this time, the AE index was rising sharply as
356	was the ASY/H index (See Figure 1.).
357	
358	5.2.5 0400 UT 09 September 2015
359	
360	Figure 7 shows results for 0400 UT 09 September 2015 in the same format. This was just 2
361	hours later than the time shown in Figure 6. It was near the end of the main phase of the second
362	minimum in SYM/H (See Figure 1.). Again the TWINS peak is in the midnight/dawn region
363	whereas the CIMI peaks appear in the dusk/midnight region, but the radial location is very nearly
364	the same. This time, however, the TWINS peak extends past dawn and not into the pre-midnight
365	region. Even though the MLT location of the CIMI/RCM and the CIMI/Weimer 2K peaks are
366	nearly the same, the CIMI/Weimer 2K maximum extends to almost noon. The pressure
367	anisotropy shows features very similar to those seen 2 hours previously (See Figure 6.) .The AE
368	index has been at fairly high values for about an hour and the ASY/H index is beginning to rise
369	sharply again (See Figure 1.).
370	
371	5.2.6 1800 UT 09 September 2015
372	

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- 373 Figure 8 shows results from 1800 UT 09 September 2015 in the same format. At this time
- 374 SYM/H (See Figure 1.) shows that the second storm was a few hours into a slow recovery.
- 375 There are 4 distinct peaks in the TWINS equatorial ion pressure distribution. The highest is at
- 376 large radius, about 7 R<sub>E</sub>, in the dusk/midnight sector. There is another lower peak, also at large
- 377 radius in the noon/dusk sector. There are two peaks at a similar radius as the CIMI peaks.
- 378 Another, the weakest peak is near 7 R<sub>E</sub> near noon. This interval is an example of multiple peaks
- in the ring current that have been inferred from in-situ measurements (Liu et al., 1987) and seen
- in analysis of ENA images (Perez et al., 2015). The parallel pressure anisotropy in the CIMI
- results is again present, but it is smaller and weaker than at previous times. Again TWINS does
- not show this feature.
- 383

#### 384 5.2.7 1700 UT 10 September 2015

385

386 Figure 9 shows results from 1700 UT 10 September 2015 in the same format. At this time the 387 second storm was well into its slow recovery, SYM/H was beginning a small dip, there was a 388 peak in the AE index, and ASY/H had a weak peak. (See Figure 1.) The pressure profiles for 389 CIMI/RCM and CIMI/Weimer 2K are symmetrical with a peak in the dusk/midnight sector. The 390 TWINS pressure peak is closer to dusk. This interval is in contrast to results at earlier times in 391 the storm. The TWINS pressure peak is also at a larger radius, and there is very little flux in the 392 dawn/noon sector. The CIMI pressure anisotropies again show a region of strong parallel pitch 393 angles that is not seen in TWINS.





394	
395	6 Discussion
396	
397	Injections from the plasma sheet are thought to be the primary source of ring current protons in
398	the inner magnetosphere, i.e., those that are observed by TWINS. Electric and magnetic
399	shielding determine the ultimate path of the injected ions, i.e., whether they reach locations close
400	enough to the Earth where the magnetic gradient and curvature drifts are strong enough to
401	exceed the electric drift forming the ring current or whether they drift out to the magnetopause.
402	The locations of the pressure peaks from the CIMI/RCM and the CIMI/Weimer 2K simulations
403	and the TWINS observations during the 4-day period, 07-10 September 2015, show that the
404	peaks are usually in the dusk/midnight sector. (See Figure 2b) This phenomenon is consistent
405	with analysis of data at geosynchronous orbit (Birn et al., 1997). Nevertheless the TWINS
406	observations show pressure peaks that are often at larger radii than the CIMI simulations, even
407	when they are in the dusk/midnight sector (See Figure 2a.). The fact that the CIMI/Weimer
408	peaks are generally closer to dusk than the CIMI/RCM. (See Figure 2b.) is consistent with
409	simulations reported by Fok, et al. (2003). The TWINS MLT locations are closer to midnight
410	and in the midnight /dawn sector more frequently than the CIMI results. This suggests that there
411	is on average more electric and magnetic shielding than is present in the CIMI simulations.
412	Looking in detail reveals an even more complex story. Figures 3-9 show comparisons of the
413	pressure profiles during different phases of the storms. In the main phase of the first storm (See
414	Figure 3.), while there is a significant AE index and ASY/H asymmetry (See Figure 1.), the

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415	observed TWINS peak is at midnight while the simulated peaks are more toward dusk. During
416	the rapid recovery phase of the first storm, (See Figure 4.) when the AE index is smaller (See
417	Figure 1.), the observed and simulated pressure peaks are at approximately the same radius, and
418	all are in the dusk/midnight sector. During the period between the two storms (See Figure 5.)
419	when there is very little geomagnetic activity, i.e., SYM/H near 0 nT (See Figure 1.), the
420	observed pressure peak has drifted more westward than the simulated peaks, even going past
421	dusk (See Figure 5.). Another feature to note is the symmetry of the ring current in the CIMI
422	simulations whereas the TWINS observations show a gap in the dawn/noon sector. The ASY/H
423	index shows a small peak at this time (See Figure 1.) This suggests time dependence in the
424	electric and magnetic shielding that is not present in the CIMI simulations.
425	It is in the second storm (Figures 6-8) that the TWINS observations begin to show more
426	spatial and temporal structure than the CIMI simulations. In Figure 6, early in the main phase,
427	the TWINS observations show the main pressure peak near 6 $R_{\rm E}$ and 3 MLT while the simulated
428	peaks are near 4 $R_{\rm E}$ and 20 MLT. But there is also a strong observed pressure region in the same
429	area as the simulated peaks. Just 2 hours later, the simulated pressure shows little change, but the
430	observed main peak extends farther eastward, and the relative pressure in the dusk/midnight
431	region has weakened relative to the main peak. Fourteen hours later in the recovery phase of the
432	second storm, the simulated peaks have not changed significantly, whereas the TWINS observed
433	peaks are dramatically different (See Figure 8.) There are 4 pressure peaks. The strongest peak
434	is at 7 $R_E$ and just westward of midnight. At smaller radii, there is a weaker peak near the
435	location of the simulated peaks as well as one on the dawn side past midnight. There is another

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436	weaker peak at large radius near noon. It should be noted that there is strong AE activity and
437	that ASY/H has significant values during this period (See Figure 1.). This activity suggests not
438	only variations in the electric and magnetic shielding but also spatial and time dependence of the
439	location of the ion injections that are not present in the CIMI simulations.
440	The increased structure in the pressure distributions as observed by TWINS is especially
441	dramatic during the recovery phase of the second storm. (See Figure 8.) There is strong AE
442	activity and the largest values of ASY/H during this period. In the late recovery of the second
443	storm (See Figure 9.), the CIMI simulations show a symmetric ring current as expected(Pollock
444	et al., 2001). The TWINS results are not symmetric and have a peak at large radius in the
445	dusk/midnight sector. There is some AE activity and a rise in the ASY/H index at this time.
446	Figures 3-9 also show comparisons of the pressure anisotropy during the different phases of
447	the storm. The pressure anisotropies at the pressure peaks are generally in good agreement
448	among the 3 results presented here, i.e., the pitch angle distributions are more perpendicular than
449	parallel. The CIMI simulations, however, show a consistent region of parallel anisotropy at radii
450	outside the pressure peak. The degree to which the pitch angle distributions are more parallel
451	increases until the early recovery phase of the second storm (See Figure 8.) where it weakens but
452	then strengthens again in the late recovery phase. This feature is seen by TWINS only in the
453	main phase of the first storm (See Figure 3.) and perhaps very faintly in the early recovery phase
454	of the second storm. (See Figure 8.) The parallel pitch angle anisotropy is to be expected if the
455	injected particles are conserving the first adiabatic invariant as they enter the inner
456	magnetosphere. The fact that this anisotropy is not seen in the observations is indicative of

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457	evidence for the effects of enhanced electric and magnetic screening in the observations.
458	Another possible contributing factor to the differences between the observations and
459	simulations is the input to the CIMI model used in these simulations. Following Fok et
460	al.(2014), the distribution at the boundary of the CIMI simulations in this study is an isotropic,
461	Maxwellian distribution at a radius of 10 $R_E$ at all MLT. The density and temperature of the
462	Maxwellian is taken to have a linear relation to the solar wind density and solar wind velocity
463	respectively (Borovsky et al., 1998; Ebihara and Ejiri, 2000). This produces a relatively smooth
464	time variation in the input which has been shown to be successful in matching the general
465	features of SYM/H (Buzulukova et al., 2010), but does not match the more rapid variations as a
466	function of time. It has also been shown that varying the spatial dependence of the input along
467	the boundary can have a significant effect on the location of the pressure peaks.(Zheng et al.,
468	2010). Likewise Buzulukova et al. (2010) showed that input of non-isotropic pitch angle
469	distributions can affect the comparison between the CIMI simulations and the ENA observations.
470	There is significant experimental evidence for temporal and spatial variations in the injection
471	of ions into the trapped particle region of the ring current (e.g., Birn et al., 1997; Daglis et al.,
472	2000; Lui et al., 2004). Bursty bulk flows associated with near-Earth magnetic reconnection
473	events have been frequently observed in the magnetotail (Angelopoulos et al., 1992). These fast
474	flows have been observed to have a 1-3 $R_E$ width in the dawn-dusk direction (e.g., Angelopoulos
475	et al., 1996; Nakamura et al., 2001; Angelopoulos et al., 2002). Magnetic flux ropes flowing
476	Earthward have also been observed (e.g., Slavin et al., 2003; Eastwood et al., 2005; Imber et al.,
477	2011). Short time, spatially limited injections into the inner magnetosphere have also been seen

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478	in 3D hybrid simulations. (e.g., see Lin et al., 2014.) Thus it is reasonable to suppose that the
479	additional spatial and temporal structure in the pressure profiles observed during this storm is
480	due to effects not yet incorporated into the simulations.
481	Buzulukova et al. (2008) combined the Comprehensive Ring Current Model (CRCM) (Fok et
482	al., 2001) and the Dynamical Global Core Plasma Model (I., 1997) to model features of the
483	plasma sphere observed by the Extreme UltraViolet (EUV) instrument on the Imager for
484	Magnetosphere-to-Aurora Global Exploration (IMAGE) (Burch, 2000) on 17 April 2002. They
485	found that injections from the plasma sheet that were localized in magnetic local time (MLT)
486	explained observed undulations of the plasmasphere. Some features of an inductive electric field
487	were included through the use of a time dependent magnetic Tsy96 (Tsyganenko and Stern,
488	1996) magnetic field model.
489	Likewise, Ebihara et al. (2009) compared CRCM simulations with midlatitude Super Dual
490	Auroal Radar Network (SuperDARN) Hokkaido radar observations of fluctuating iononspheric
491	flows on 15 December 2006. Using input from geosynchronous satellites to model the temporal
492	and spatial variations of the plasma sheet input to the inner magnetosphere, they were able to
493	show that the resulting pressure variations in the ring current were responsible for field aligned
494	currents and matched the dynamics of the observed subauroral flows. This is indicative of a
495	strong connection between the dynamics of the ring current pressure distribution and the rapid
496	temporal characteristics of the subauroral plasma flow during a geomagnetic storm.
497	The comparisons between the observations and the simulations presented here give a view
498	not available from in-situ measurements. But they do not provide incontrovertible evidence for

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499	the effects of spatially and temporally dependent injections into the inner magnetosphere. To
500	further elucidate this phenomenon, we present in Figure 10 the paths of particles injected into the
501	inner magnetosphere calculated using the CIMI simulations that provide additional support for
502	this conclusion. The focus is upon the time 1800 UT on 9 September 2015 during the second
503	storm. As shown in Figure 8, the TWINS observations show multiple peaks in contrast to the
504	single peak in the CIMI simulations. For each of the 4 peaks observed by TWINS, we show the
505	energy spectrum (left column) and the paths of particles that reach the location of the pressure
506	peaks (right column). The ion paths are calculated with the CIMI model using the RCM fields.
507	The path shown is of a particle with an energy of 46 keV when it reaches the respective pressure
508	peaks, i.e., the energy at the maximum of the energy spectrum. The TWINS pressure
509	configuration from Figure 8 is repeated in gray scale so as to highlight the paths. In each case the
510	pressure peak is shown by a black square. Along the path there are stars every 10 minutes. The
511	color of the stars indicate the ion energy as it moves along its path. (See color bar.)
512	For Peak 1, the 46 keV particle enters at 10 $R_E$ in the midnight/dawn sector. The time from
513	injection to reaching this peak in the outer magnetosphere is approximately 20 minutes. For
514	Peak 2, which is at a smaller radius, a 46 keV ions arrives at the peak from the dawn/midnight
515	sector after approximately 2 1/2 hours This peak observed by TWINS is very near the pressure
516	peak that appears in the CIMI simulations. (See Figure 8.) Peak 3 is at a similar radius as Peak
517	2, but it is on the dawn side of midnight. The path of a 46 keV particle followed backwards in
518	time from this peak location does not show an injection location after completing nearly 3 orbits
519	of the Earth in approximately 12 hours. This pressure peak observed by TWINS may not be

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520 consistent with the RCM fields in the CIMI model. Peak 5 is in the noon/dusk sector. A 46 keV 521 particle reaches this peak after approximately 3 <sup>3</sup>/<sub>4</sub> hours and 1 orbit of the Earth. It enters the 522 inner magnetosphere in the same sector, i.e., the midnight/dawn sector, as the particle that reached the location of Peak 1, but it was injected much earlier. The different locations and 523 524 times of the entrance of the ions at the peaks of the energy spectra of the 4 pressure peaks 1, 2, 525 and 4 observed by TWINS at 1808 UT on 9 September 2015 suggest spatial and temporal 526 variations in the injections from the plasma sheet. The fact that the calculated path for Peak 3 527 does not show an injection may indicate variations in the fields not captured in the models. 528 7 Summary and Conclusions 529 530 531 We have presented, for the first time, direct comparisons of the equatorial ion pressure and pitch 532 angle anisotropy obtained from TWINS ENA images and CIMI simulations using both an 533 empirical Weimer 2K and the self-consistent RCM electric potentials for a 4-day period, 7-10 534 September 2015. There were two moderate storms in succession during this period (See Figure 535 1.). In most cases, we find that the comparison of the general features of the ring current in the 536 inner magnetosphere obtained from the observations and simulations are in agreement. 537 Nevertheless, we do see consistent indications of enhanced electric and magnetic shielding in the 538 observations. The simulated pressure peaks are often inside the measured peaks and are more 539 toward dusk than the measured values (See Figure 2.). There are also cases in which the 540 measured equatorial ion pressure distribution shows multiple peaks that are not seen in the





- 541 simulations (See Figure 8.). This occurs during a period of intense AE index. The observations
- 542 suggest time and spatially dependent injections from the plasma sheet that are not included in the
- simulations. The paths of the ions that enter the inner magnetosphere calculated with the CIMI
- 544 model using the self-consistent RCM fields support this interpretation.
- 545 The simulations consistently show regions of parallel anisotropy spanning the night side
- 546 between approximately 6 and 8 R<sub>E</sub> (See Figures 3-9.). This is not unexpected as the ions are
- 547 being injected into regions of higher magnetic field, and conservation of the first adiabatic
- 548 invariant would predict the enhancement of parallel pitch angles. Nevertheless the parallel
- anisotropy is seen in the observations only during the main phase of the first storm. This is also
- an indication of stronger electric and magnetic shielding.
- 551
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- 553 <u>https://cdaweb.gsfc.nasa.gov/.</u> TWINS data are accessible to the public at <u>http://twins.swri.edu.</u>
- 554 Geomagnetic activity indices are also available from the World Data Center for Geomagnetism
- 555 in Kyoto, ttps://wdc.kugi.kyoto-u.ac.jp/wdc/Sec3.html.
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# 910 Figure Captions

911

- 912 Figure 1. The solar wind parameters and geomagnetic indices for the two storms during the
- 913 period 07-10 September 2015. The data is from the OMNI data base
- 914 (https://omniweb.gsfc.nasa.gov/html/omni\_min\_data.html).

915

- 916 Figure 2. Plot of the ion equatorial pressure peak as a function of time during the 4-day period
- 917 07-10 September 2015. (a) the radial location and (b) the MLT location. The green triangles
- 918 mark the locations obtained from the TWINS ENA images, the red line from the CIMI/Weimer

simulations and the orange line from the CIMI/RCM simulations.

920

- Figure 3. The ion equatorial pressure (first row) and pressure anisotropy (second row) for 2200
- 922 UT 07 September 2015 from the CIMI/RCM simulations (first column), from the TWINS ENA
- 923 images (second column), and the CIMI/Weimer simulations (third column). The stars mark the
- 924 location of the peaks.
- 925
- Figure 4. The ion equatorial pressure and pressure anisotropy for 0400 UT 08 September 2015
- 927 in the same format as Figure 3.

928

Figure 5. The ion equatorial pressure and pressure anisotropy for 1600 UT 08 September 2015in the same format as Figure 3.





931	
932	Figure 6. The ion equatorial pressure and pressure anisotropy for 0200 UT 09 September 2015
933	in the same format as Figure 3.
934	
935	Figure 7. The ion equatorial pressure and pressure anisotropy for 0400 UT 09 September 2015
936	in the same format as Figure 3.
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938	Figure 8. The ion equatorial pressure and pressure anisotropy for 1800 UT 09 September 2015
939	in the same format as Figure 3.
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941	Figure 9. The ion equatorial pressure and pressure anisotropy for 1700 UT 10 September 2015
942	in the same format as Figure 3.
943	
944	Figure 10. Paths of 46 keV particles, the energy of protons at the maximum flux (See left
945	column.) that reach the 4 pressure peaks observed by TWINS as shown in Figure 8. The
946	observed pressure is shown in grey scale. The locations of the peaks are shown by black squares.
947	The energy of the particle is indicated by the color of the stars that are spaced 10 minutes apart.
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Figure 2







Figure 3







Figure 4







Figure 5













Figure 7







Figure 8







Figure 9





