# **1** Statistical study of ULF waves in the magnetotail by THEMIS

# 2 observations

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Abstract. Ultra-low frequency (ULF) waves are ubiquitous in the magnetosphere. Previous studies 19 mostly focused on ULF waves in the dayside or near-earth region (with radial distance  $R < 12 R_E$ ). In this 20 study, using the data of Time History of Events and Macroscale Interactions during Substorms (THEMIS) 21 during the period from 2008 to 2015, the Pc5-6 ULF waves in the tail region with  $X_{GSM}^* < 0.8 R_E < R < 0.10 R_E$ 22 32 R<sub>E</sub> (mostly on the stretched magnetic field lines) are studied statistically. A total of 1089 azimuthal 23 oscillating events and 566 radial oscillating events were found. The statistical results show that both the 24 azimuthal and radial oscillating events in the magnetotail region ( $12 R_E < R < 32 R_E$ ) are more frequently 25 observed in the post-midnight region. The frequency decreases with increasing radial distance from Earth 26 for both azimuthal oscillating events (8  $R_E < R < 16 R_E$ ) and radial oscillating events (8  $R_E < R < 14 R_E$ ), 27 which is consistent with the field line resonances theory. About 52 % of events (including the azimuthal 28 and radial oscillating events) are standing waves in the region of 8-16 R<sub>E</sub>, while only 2 % are standing 29 waves in the region of 16-32 R<sub>E</sub>. There is no obvious dawn-dusk asymmetry of ULF wave frequency for 30 events in 8  $R_E < R < 32$   $R_E$ , which contrasts with the obvious dawn-dusk asymmetry found by previous 31 studies in the inner magnetosphere (4  $R_E < R < 9 R_E$ ). An examination for possible statistical relationships 32 between ULF wave parameters and substorm occurrences is carried out. We find that the wave frequency 33 is higher after the substorm onset than before it, and the frequency differences are more obvious in the 34 35 midnight region than in the flank region.

Keyword. Magnetospheric physics (Magnetotail; MHD waves and instabilities; Solar wind magnetosphere interactions)

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#### 40 1 Introduction

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Ultra-low frequency (ULF) waves with frequencies between about 1mHz and 5 Hz play a significant role
in storing and transferring energy in the Earth's magnetosphere. ULF waves can transport energy from
the magnetosphere to the ionosphere, accelerate energetic particles, modulate luminosity of aurorae,
mediate reconnection and trigger substorm onset (e.g., Baumjohann and Glassmeier, 1984; Lessard et al,
1999; Ukhorskiy et al., 2005; Keiling, 2009; Rae et al., 2014; Zong et al., 2009; Zong et al., 2017).

There are several excitation sources for magnetospheric ULF waves. These sources include the Kelvin-Helmholtz instability (KHI) along the magnetopause (e.g., Walker, 1981; Claudepierre et al., 2008), solar wind dynamic pressure impulse (e.g., Allan et al., 1986; Lee et al., 1989; Zhang et al., 2010; Zong et al., 2012; Shi et al., 2013, 2014; Degeling et al., 2014; Shen et al., 2015), periodic solar wind dynamic pressure variations (e.g., Kepko,2002; 2003), drift-bounce resonance (e.g., Southwood et al., 1969; Yang et al., 2010) and dynamic processes during substorms (e.g., Olson, 1999; Sun et al., 2015).

Although many previous studies have focused on waves occurring in the dayside magnetosphere 53 (e.g., Samson et al., 1981; Rostoker et al., 1984; Zong et al., 2007; Shen et al., 2017), ULF waves 54 occurring on stretched magnetic field lines in the magnetotail have also been reported in some 55 observational studies (e.g., Zheng et al., 2006; Tian et al., 2012) and simulations (e.g., Rankin et al., 2000; 56 Lui and Cheng, 2001). Pc5 (150-600 s) and Pc6 (>600s) waves are the most common waves occurring at 57 high latitudes and in the magnetotail (Saito, 1978). Investigating the source and characteristics of these 58 waves in the magnetotail will help us further understand the solar wind-magnetosphere-ionosphere 59 coupling processes in the nightside region. 60

Statistical studies of ULF wave properties in the magnetosphere have been performed using various 61 satellites (e.g., Hudson et al., 2004; Liu et al., 2009; Takahashi et al., 2015). Hudson et al. (2004) 62 performed a statistical study of the occurrence rate of Pc5 magnetic pulsations for both toroidal and 63 poloidal modes at L values from 4 to 9 by using 14 months magnetometer data from Combined Release 64 65 and Radiation Effects Satellite (CRRES). They found that there is no dawn-dusk asymmetry on the occurrence rate of toroidal mode oscillations inside L=8, however the occurrence rate of poloidal mode 66 oscillations is higher on duskside. Liu et al. (2009) statistically studied both the occurrence and frequency 67 distributions of Pc5 magnetic pulsations in toroidal and poloidal modes between L=4 and 9 by using 13 68 months electric and magnetic field measurements from Time History of Events and Macroscale 69 Interactions during Substorms (THEMIS). They found that the occurrence distribution is similar to the 70 results of Hudson et al. (2004) and the frequency is higher in the dawnside than in the duskside by a factor 71 2 and decreases with radial distance. Takahashi et al. (2015) statistically investigated the fundamental 72

toroidal mode oscillations from L = 7 to 12 by using 2008-2013 ion bulk velocity data from THEMIS-D. 73 They found that the occurrence rate and amplitude of toroidal mode oscillations are higher in the dawnside 74 (4-8 magnetic local time (MLT, in hours)) than in the duskside (16-20 MLT). Moreover, the relationship 75 between ULF wave characteristics and the solar wind conditions/geomagnetic activity level were also 76 studied statistically (e.g. Takahashi and Ukhorskiy. 2007; Kokubun, 2013; Wang et al., 2015). Takahashi 77 and Ukhorskiy (2007) found that the solar wind dynamic pressure variance has the best correlation with 78 79 the power of magnetic pulsations at geosynchronous orbit. Kokubun (2013) statistically studied Pc5 ULF waves (mostly on the 4-8 MLT and 16-20 MLT) using GEOTAIL data during the period of 1995 to 2000. 80 They found that the wave occurrence tends to be larger for higher solar wind velocity (> 400 km/s), 81 smaller IMF Bz, and lower cone angle. Wang et al. (2015) studied the spatial distribution of the irregular 82 oscillations Pi2 (40–150 s) and Pc4-5 magnetic fluctuation power in the plasma sheet by using THEMIS-83 A/C/D/E data from 2007 to 2014. They found that the amplitude of Pc-5 fluctuations is larger globally 84 during periods of higher AE index. faster solar wind, and larger solar wind dynamic pressure variations. 85 Although statistical studies of ULF waves have been performed, most have focused on the dayside 86

or near-earth region. The distributions and excitation mechanisms of ULF waves on stretched magnetic field lines are still unclear. Our work focuses on ULF waves on stretched magnetic field lines ( $X^*_{GSM} < 0$ and 8 R<sub>E</sub> < R < 32 R<sub>E</sub>).

This paper will be organized as follows. In section 2, the data set and the selection criteria of the ULF wave event are presented. In section 3, we show the statistical results. In section 4, we discuss the occurrence and frequency distributions of ULF waves on the stretched field lines and the influence factors of solar wind parameters and geomagnetic activity level. The main conclusions of this study are given in section 5.

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#### 96 2 Data and statistical methods

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In this study, we use 3 s resolution magnetic field data from Flux Gate Magnetometer (FGM) (Auster et 98 al., 2008) and 3 s resolution plasma data from Electrostatic Analyzer (ESA) (Mcfadden et al., 2008) of 99 THEMIS mission from 2008 to 2015. The THEMIS mission consists of five satellites (THEMIS 100 A/B/C/D/E), each with an orbital inclination of about 10° (Angelopoulos, 2008). In the first two years, 101 the apogees were about 12 R<sub>E</sub> for THEMIS A/D/E, 20 R<sub>E</sub> for THEMIS C and 30 R<sub>E</sub> for THEMIS B. After 102 2010, THEMIS B/C were transferred to a lunar orbit which is about 60 R<sub>E</sub> from Earth. Because THEMIS 103 A/D/E have similar orbits, in this study we only use data from THEMIS A, B and C. In addition, we use 104 1 minute resolution interplanetary magnetic field (IMF) and solar wind plasma data from the OMNI 105 database (https://spdf.sci.gsfc.nasa.gov/), which is calculated by time shifting satellite data taken in the 106 solar wind to the Earth's bow shock subsolar point. Figure 1 shows the binned spatial distribution of the 107 total observation time over the 2008-2015 interval for THEMIS A/B/C in the magnetosphere. 108



Figure 1. The distribution of total observation time of THEMIS A/B/C in the GSM\* X-Y plane between 2008 and 2015. The red line is the average magnetopause, calculated by Shue et al.'s (1998) model with dynamic pressure (Dp) =1.66 nPa and Bz=0.16 nT. The blank bins indicate regions where the residence time of THEMIS is less than 10 hours.

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We use the aberration coordinate GSM<sup>\*</sup> whose X axis is rotated 4° from the X axis of Geocentric Solar Magnetospheric (GSM) coordinates for spacecraft position to remove the effect of Earth's revolution. In GSM coordinates, the X-axis is pointing from the Earth towards the Sun, the X-Z plane contains the dipole axis, the Y-axis is perpendicular to the Earth's magnetic dipole, towards the dusk and is included in the magnetic equatorial plane. Field-aligned coordinates (FAC) are used to analyze waves and separate the azimuthal and radial oscillating wave components. The FAC system is defined in Eq. (1).

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$$\mathbf{z} = \frac{\boldsymbol{B}_0}{|\boldsymbol{B}_0|}; \mathbf{a} = \frac{\boldsymbol{z} \times \boldsymbol{R}}{|\boldsymbol{z} \times \boldsymbol{R}|}; \boldsymbol{r} = \boldsymbol{a} \times \boldsymbol{z}$$
 (1)

In this equation,  $B_0$  is the background magnetic field vector, derived by taking a 30 minutes sliding average of the magnetic field data, **R** is the vector from Earth's center to the satellite, **z** is the parallel unit vector, **a** is the unit vector pointing east and **r** completes the right-hand rule. It should be noted that the direction of **r** is approximately radial due to the equatorial orbits of THEMIS.

In this study, we mainly use ion velocity data to identify ULF waves, following the technique of Takahashi (2015). They suggested that using velocity is better than using magnetic field data, because fundamental mode magnetic field fluctuations (considered most likely in the Pc5 range) give rise to a node near the equatorial plane, making their measurement problematic along the low-inclination THEMIS orbital path. On the other hand, the fundamental mode has an antinode for the electric field and plasma velocity fluctuations under ideal MHD conditions. The electric field data is therefore estimated by  $\mathbf{E} = -\delta \mathbf{V} \times \mathbf{B}$ , where  $\delta \mathbf{V}$  indicates the variation of velocity, which is obtained by subtracting the 30 minutes sliding average values.

As shown in Fig. 1, the region concerned in this work is  $X_{GSM}^* < 0 R_E$  and  $8 R_E < R < 32 R_E$ . In order to remove the likelihood of identification of ULF wave events when THEMIS enters the magnetosheath or solar wind regions, only events for which density values less than 1 cm<sup>-3</sup> if  $|Y_{GSM}^*| > 10 R_E$  are included in the database.

The following criteria are used to select ULF waves in the magnetotail: (i) the wave frequency is 138 below 7 mHz; (ii) the wave is guasi monochromatic, and includes at least three cycles; (iii) the maximum 139 of peak to trough value of fluctuations is more than 50 km/s; (iv) mirror-like structures, indicated by anti-140 phase variations of magnetic field and density are excluded; (v) magnetotail flapping events, characterized 141 by sign changes in Bx are excluded. A quantitative standard is used to determine the beginning and ending 142 time of each event, namely that the beginning and ending time is at the points where the amplitude is 20 143 km/s. Additionally, if the interval time between two events is less than 20 minutes and they have similar 144 frequency (within 0.5 mHz), we consider them as a single event. 145

The process of selecting wave events and distinguishing the wave mode in this study is as follows. Firstly, we conduct wavelet analysis to THEMIS ion velocity and magnetic field data in GSM coordinates and choose the wave events which roughly to satisfy the criteria mentioned above. Then, we transform from GSM to FAC coordinates for magnetic field and ion velocity data, and calculate the electric field. To quantitatively distinguish the azimuthal or radial oscillating waves, Fast Fourier Translation (FFT) analysis is applied to all three components of ion velocity (Fig. 2).

Figure 2 shows two typical events (labelled "A" and "B") with Event A occurring near  $R\approx 19 R_{F_{e}}$ 152 from 0550 to 0650 UT on 01 February 2009 and showing azimuthal oscillations, and Event B occurring 153 near R $\approx$ 8 R<sub>E</sub> from 0728 to 0828 on 11 April 2013 and showing radial oscillations. Figure 2 shows three 154 components of the ion velocity (a-c), magnetic field (d-f), and the calculated electric field (g-i), in addition 155 to the total ion density (j) and total magnetic field (k) which are used for excluding mirror-like structures. 156 Figure 2(1-n) show the Power Spectral Density (PSD) of the three components of the ion velocity derived 157 by FFT. Only events with an obvious single spectral peak, similar to events A and B, are considered as a 158 "quasi monochromatic" wave and selected in our list of events. In events A and B, the peak in PSD of the 159 dominant wave component exceeds its counterpart by a factor of 4, enabling their unambiguous 160 designation as an azimuthal and radial oscillation event respectively. Events for which the peak in PSD 161 in Va and Vr have similar magnitudes are simply regarded as both an azimuthal oscillating event and a 162 radial oscillating event. Note that the magnetic field vector used for calculating E (E =  $-\delta V \times B$ ) at each 163 moment may be deviate from the z-axis determined by 30 minutes sliding average of the magnetic field 164 data. Therefore, the Ez component will have a small deviation from zero in the FAC coordinate system 165 as shown in Fig. 2g. 166



Figure2. Examples of an azimuthal oscillating event (Event A) from 0550 to 0650 UT on 01 February 2009, and radial oscillating event (Event B) from 0728 to 0828 on 11 April 2013: (a-c) velocity components, (d-f) magnetic components, (g-i) electric field components, (j) total ion density, (k) total magnetic field, (l-n) FFT analysis of ion velocity.

In total, we find 1089 azimuthal oscillating wave events and 566 radial oscillating wave events, with an average event-time duration of ~54 minutes. Figure 3a and 3b shows the spatial distribution of the number of events in the GSM<sup>\*</sup> X-Y plane, both for azimuthal (left panel) and radial (right panel) oscillating wave events. The blank bins inside the magnetopause indicates that there are no events.

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177 **3 Statistical Analysis** 

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### 179 **3.1 Occurrence rate**

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Figure 3c and 3d shows the occurrence rates of azimuthal oscillating wave events (left panel) and radial 181 oscillating wave events (right panel) in the GSM\* X-Y plane. The color indicates the occurrence rate 182 calculated by dividing the total duration of all events by the total duration of observations in each bin 183 shown in Fig. 1. The blank bins inside the magnetopause indicate that there are no events. In the near-184 earth region (8  $R_E < R < 12 R_E$ ), we can see that the occurrence rates of both azimuthal and radial 185 oscillating events in the dusk and dawn flanks (18-21 MLT and 3-6 MLT) are higher than the midnight 186 regions (21-03 MLT). For radial oscillating events, the occurrence rates of waves are higher on the 187 duskside than dawnside. For azimuthal oscillating events, the dawn-dusk asymmetry in the occurrence 188 rates is less clear than that for radial oscillating events. In the magnetotail region ( $12 R_E < R < 32 R_E$ ), the 189 occurrence rates of both modes of waves are slightly higher in the post-midnight region. Note that, 190 191 although no wave events are found in the dawnside flank region (20  $R_F < R < 32 R_F$ , 3-6 MLT), the total observation time is also very short (< 38 hours) in this region. So, we cannot conclusively say that the 192 occurrence rates on the duskside flank region is higher than that of the dawnside. 193



Figure 3. The number (a and b) and occurrence rates (c and d) of azimuthal oscillating wave events (left
 panel) and radial oscillating wave events (right panel) in the GSM\* X-Y plane.

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## 198 **3.2 Frequency distribution**

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Figure 4 shows the spatial distribution of average frequency for azimuthal (left panel) and radial (right panel) oscillating wave events in the equatorial plane. The color in each bin is the average of all event frequencies (obtained by FFT analysis as described earlier) in that bin. A blank bin inside the magnetopause indicates that there are no events. It can be seen roughly that the frequency decreases with increasing radial distance both for azimuthal and radial oscillating wave events, for regions where 205 R<15R<sub>E</sub>. Note that the crimson bin in the upper right corner (19-20 MLT and  $20 < R < 26 R_E$ ) of the right

206 panel is caused by short residence time (~19 hours) and only one wave event with frequency of 5.71 mHz.



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Figure 4. The average frequencies of azimuthal oscillating wave events (left panel) and radial oscillating
 wave events (right panel) in the GSM\* X-Y plane.

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We further plot the relationship between the peak frequency and the distance from Earth in Fig. 5. It 211 shows that the frequency can be as low as 0.55 mHz. As shown in Fig. 5a and 5b, the median frequency 212 of azimuthal oscillating events decreases with increasing radial distance from the Earth in the region with 213 8  $R_E < R < 16 R_E$ , and the same trend is found for the radial oscillating events with 8  $R_E < R < 14 R_E$ . 214 Figure 5c and 5d show frequency distribution of events in the dawnside ( $Y^*$ gsm < 0) and duskside ( $Y^*$ gsm > 215 0) regions, respectively. The frequency for both azimuthal and radial oscillating events show no obvious 216 dawn dusk asymmetry. This is verified by the Wilcoxon rank sum test applied to the dawn and dusk 217 datasets. The Wilcoxon rank sum test is a non-parametric statistical hypothesis test that can be used to 218 assess whether two samples have the same distribution or not (Gibbons and Chakraborti, 2011). 219 Specifically, in the Wilcoxon rank sum test, a "P-value" result greater than 0.01 means there is no 220 significant statistical difference between two datasets. The P-value for the dawn and dusk side data sets 221 is 0.4535 (for all azimuthal and radial oscillating events). This confirms that the dawn and dusk side 222 frequency data sets belong to the same distribution. 223



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Figure 5. The wave frequency versus radial distance for azimuthal (a and c) and radial (b and d) oscillating event. In panels a and b, the grey dots are individual events, the open circles are the median values of frequencies in each 1  $R_E$  bin. The vertical bars connect the lower and upper quartiles. In panel c and d, the grey dots and circles indicate the dusk and dawn events, respectively. The solid and open triangles are the same as the open circles in Fig. 5a, but for dusk and dawn events respectively.

#### 231 **3.3 Standing wave**

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According to Singer et al. (1982), Alfvénic standing wave oscillations are characterized by a phase 233 difference of 90° between the electric field and magnetic field components. Figure 6 shows the standing 234 wave analysis of two azimuthal oscillating events. The first row shows the magnetic field component Ba 235 and electric field component Er. The second row shows the 1.26-3.26 mHz (Fig. 6a) and 2.03-4.03 mHz 236 237 (Fig. 6b) band-pass filtered Ba and Er components. The lower (upper) limits of the frequency bands are obtained by subtracting (adding) 1 mHz from the peak frequencies in Fig. 2(1-n). The absolute value of 238 the phase differences between the band-pass filtered Ba and Er are shown in the bottom panels, in which 239 three dotted lines indicate the 60°, 90°, 120° phase differences respectively. We can see that the first event 240 (Fig. 6a) shows characteristics of standing wave as indicated by the ~90° phase difference between Er 241 and Ba, while the second event (Fig. 6b) does not have this characteristic. We quantify the criteria of 242 standing azimuthal (radial) oscillating waves as that the absolute value of the phase differences between 243 the filtered Ba and Er (Br and Ea) that falls within the range 60°-120° and lasts for at least three cycles. 244



Figure 6. Examples of: (a) a standing azimuthal oscillating event and (b) a non-standing azimuthal oscillating event.

Figure 7 shows the radial distribution of the probability that a given azimuthal or radial oscillating wave event shows signatures of a standing wave. The light and dark histogram represents the probability for azimuthal and radial oscillating event respectively. The errorbars shown are calculated by  $\varepsilon = \frac{n}{N} *$ 

 $\left(\frac{\sqrt{n}}{n} + \frac{\sqrt{N}}{N}\right)$ , where n is the number of standing wave events and N is the total number of waves events in each bin for each polarization. It is obvious that standing waves occupy a larger proportion in the region of 8-16 R<sub>E</sub>, while almost no standing waves are identifiable in the region of 16-32 R<sub>E</sub>. We find that about 52% events (including the azimuthal and radial oscillating events) are standing waves in the region of 8-16 R<sub>E</sub>, while only 2 % are standing waves in the region of 16-32 R<sub>E</sub>. This figure also shows that the probability of standing waves is higher for the azimuthal oscillating events than for the radial oscillating events.



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Figure 7. The radial distribution of the probability of identifying standing waves, for azimuthal and radial oscillating events (light and dark histograms respectively).

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### 263 **4 Discussion**

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Using THEMIS data during the period from 2008 to 2015, we find 1314 Pc5-6 ULF wave events in the 265 region of  $X_{GSM}^* < 0$  and  $8 R_E < R < 32 R_E$ . The elevation angle of the magnetic field of each event was 266 calculated by the formula  $\tan^{-1}\left(\frac{Bz}{\sqrt{Bx^2+By^2}}\right) * \frac{180}{\pi}$ , where Bx, By, Bz are the three magnetic field 267 components in GSM<sup>\*</sup> coordinates. We find that 61.70% of the events have an elevation angle larger than 268 45° and only 2.48% events with an elevation angle less than 10°. This suggests that most of our events 269 are observed near the magnetic equatorial plane. The harmonic mode of each event was identified by the 270 E-B phase difference and the magnetic latitude. We find that only 2.90% wave events may be second 271 harmonic waves. It is reasonable to consider that most of our standing wave events belong to the 272 fundamental eigenmode. In this study, the ion velocity data used to identify ULF waves are usually 273 274 reliably measured in the plasma sheet. Furthermore, Lui and Cheng. (2001) indicated that the magnetic field lines in the night are very stretched in the region of  $R > 8 R_{F}$ , especially during intervals of high 275 Kp index. We therefore consider it likely that most of our events should be observed on stretched magnetic 276 field lines, but not on open magnetic field lines. 277

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#### 279 **4.1 Occurrence rate**

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As shown in Fig. 3c and 3d, in the region of 8  $R_E < R < 12 R_E$ , the occurrence rates are higher on the 281 duskside than dawnside for radial oscillating waves, while the dawn-dusk asymmetry in the occurrence 282 rates is less clear for azimuthal oscillating waves than that for radial oscillating waves. This is consistent 283 with the wave mode distributions in the inner magnetosphere (4  $R_E < R < 9 R_E$ ) presented in previous 284 works (Hudson et al., 2004, Liu et al., 2009). One possible reason is that westward drifting ions injection 285 associated with substorm may excite more radial oscillating wave events in the duskside via the ion drift 286 bounce resonance (Southwood et al., 1969; Chen and Hasegawa, 1988). However, Takahashi et al. (2014) 287 found that the occurrence rate of toroidal waves is higher on the dawnside than duskside, which is different 288 from our result. We noticed that they only focused on the pure toroidal wave, while azimuthal oscillating 289 waves with comparable power in Va and Vr are also included in our list of events. Thus, more azimuthal 290 oscillating waves could be observed in the duskside because of the possible coupling between azimuthal 291 oscillating waves and radial oscillating waves (with higher occurrence in the dusk sector). In contrast to 292 that of the inner magnetosphere (4  $R_E < R < 9 R_E$ ), the occurrence rates for both azimuthal and radial 293 oscillating events in the region of 12  $R_E < R < 32$   $R_E$  are slightly higher on the post-midnight region than 294 the pre-midnight region. It is possible that the K-H instability may play an important role on the generation 295

of ULF waves on the stretched magnetotail, given that the K-H instabilities are more inclined to occur in the dawnside than in the duskside (Nykyri et al., 2013) and even can happen in the down tail flanks up to the lunar orbit (~60 R<sub>E</sub>) (Wang et al., 2017). In view of the limited observation times in the dawnside magnetopause, more events are needed to further study on the definite reasons of the dawn-dusk asymmetry of occurrence rate in the outer side region ( $12 R_E < R < 32 R_E$ ).

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#### 302 4.2 Frequency distribution

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304 As shown in Fig. 5a and 5b, the frequency decreases with increasing radial distance from the Earth for both azimuthal oscillating events (8  $R_E < R < 16 R_E$ ) and radial oscillating events (8  $R_E < R < 14 R_E$ ). This 305 is consistent with the Alfvén continuum of field line resonance (FLR) theory (e.g., Allan and Poulter, 306 1992; Waters et al., 2000). However, this trend does not continue for  $R > 16 R_E$ . Previous observation and 307 simulation studies have shown that standing waves can exist on the stretched magnetic field lines (Lui 308 and Cheng, 2001; Zheng et al., 2006; Tian et al., 2012). Our statistical result shows that 52 % of all event 309 types are standing waves in the region of 8-16 R<sub>E</sub>, while only 2 % can be confirmed as standing waves in 310 the region of 16-32 R<sub>E</sub> as shown in Fig. 7. Given the likelihood that most of our wave events belong to 311 the fundamental mode, the uncertainty in the phase measurement of the weak magnetic field signal near 312 the equatorial plane will affect the identification of standing waves. Moreover, the complicated phase 313 relationship between the electric field and the magnetic field caused by magnetic field disturbances in the 314 farther deeper magnetotail will also affect the identification of standing waves. These suggest that our 315 316 data may underestimate the proportion of standing wave events. Even so, the finding that only 2 % of events in the down-tail region ( $R > 16 R_F$ ) can be identified as standing waves suggests that the standing 317 waves are far less common on the highly stretched field lines. 318

As shown in Fig. 5c and 5d, there is no obvious dawn-dusk asymmetry in the ULF wave frequency 319 for 8  $R_E < R < 32 R_E$ . This is different from previous studies in the near-earth region (Liu et al., 2009; 320 Takahashi et al., 1982; 2015). Takahashi et al. (1982) found that the frequencies of Pc3-4 ULF waves 321 were higher on the dawnside than duskside at geosynchronous orbit. They suggested that the quasi-322 parallel shock and the associated turbulent magnetosheath flow is more likely to occur on the dawnside, 323 which leads to higher harmonic waves to be excited in the dawnside. Takahashi et al. (2015) found that 324 the frequencies of Pc5 toroidal waves in the region with L values between 7 and 12 R<sub>E</sub> is lower in the 325 duskside (16-20 MLT) than dawnside (04-08 MLT). They suggest that this is due to the higher mass 326 density in the duskside near-earth region, supplied by the particles from ionosphere. However, the wave 327 frequency distributions shown in this paper ( $X^*_{GSM} < 0$ , 8 R<sub>E</sub> < R < 32 R<sub>E</sub>) show a different distribution 328 feature from that of the events in the inner or dayside magnetosphere. This suggests that neither of the 329 above mechanisms for producing asymmetry are important within the region of interest in our study. This 330 may be expected for the turbulent magnetosheath flow mechanism more applicable to higher frequencies. 331 The influence of particle injection from the ionosphere may be weakened by higher ExB drift speeds and 332

- longer field line lengths in the nightside magnetotail region, compared to the near-earth region.
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4.3 The influence of Solar wind parameters and geomagnetic activity level



Figure 8. The occurrence probability of waves versus (a) Solar wind velocity Vx, (b) AE index, (c)
Relative variation of Pd, and (d) IMF Bz.

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- 340 Figure 8a and 8b shows the relationship between the occurrence rate of wave events and solar wind
- 341 velocity Vx and AE index. The Y-axis indicates the probability of detecting one wave event in each bin.
- The background solar wind data is obtained from OMNI from 2008 to 2015. We can see that the ULF
- 343 wave occurrence increases with increasing solar wind speed |Vx|. This implies that the K-H instability
- could be a source of ULF waves in the magnetotail region (8-32  $R_E$ ), since the higher shear velocity is an

important factor for exciting K-H instabilities (Miura, 1992). Figure 8b shows that the wave occurrence
is higher when the AE values are less than 500 nT. Note that about 74.8 % of the waves occurred when
the AE values are less than 250 nT. This suggests that most of the wave events in the magnetotail are
observed during quiet times or weak substorm times. Figure 8c and 8d shows the relationship between
the occurrence of ULF waves and the relative variation of solar wind dynamic pressure (Pd) and the IMF

Bz values. The relative variation of Pd for a given event is calculated by the formula  $\frac{Pd_{max}-Pd_{min}}{Pd_{mean}}$ , where

Pd<sub>max</sub>, Pd<sub>min</sub>, Pd<sub>mean</sub> denote the maximum, minimum and mean value of the solar wind Pd within a 30 minute window, starting 20 min before the beginning time of this event. We find that the occurrence rates are higher for larger solar wind Pd variance and during periods of northward IMF Bz.

The possibility that substorm activity may affect the frequency of ULF waves, and thereby influence 354 the distribution of ULF frequencies in our database, is examined using the following method, based on 355 the substorm event list of Forsyth et al. (2015). The ULF wave events were divided into two categories 356 based on their start time relative to the onset time of individual substorm events. The first category ("type 357 one") consists of events that occurred more than two hours after the most recent substorm onset, and more 358 than one hour before the next substorm onset. These events are considered to be independent of substorm 359 activity. The second category ("type two") consists of events that occurred between zero and two hours 360 after the most recent substorm onset. In principle a third category consisting of events that occur less than 361 one hour before the next substorm onset could be defined, however this category contains very few events, 362 so their frequency characteristics will not be discussed here. The radial dependence of median frequency 363 for type one and two events is shown in Fig. 9a. This plot clearly shows that the median frequencies for 364 type two events are higher than type one events. A plausible explanation for this difference could be that 365 field line depolarization following substorm onset results in an increase in local magnetic field strength 366 compared to more stretched magnetotail field lines during quiet times. The resulting higher Alfvén speed 367 profile raises the fundamental mode eigenfrequency for the type two events, compared to the type one 368 events. 369

Figure 9b and 9c show the radial dependence of median frequency for type one and two events 370 occurring in the dawn/dusk flank (3-6 MLT and 18-21 MLT) and midnight sectors (21-03 MLT), 371 respectively. According to these plots, the frequency differences between type one and type two wave 372 373 events are more obvious in the midnight region than in the flank region. This is understandable, given that the configuration of field lines will be changed much more in the midnight region than in the flank 374 regions during substorm times. It should be noted that, only the possible influence of field lines 375 configuration or plasma environment associated with weak substorms on the ULF wave frequencies are 376 discussed here. The question of whether substorms could trigger or be triggered by ULF waves still cannot 377 be answered by the present analysis. 378



Figure 9. The wave frequency versus the distance from the earth for: (a) the type one and type two wave events, (b) the wave events in the flank region, and (c) the wave events in the midnight region, respectively. The grey dots and circles indicate type one and type two wave individual events respectively. The solid and open triangles are the median values of frequencies in each  $1R_E$  bin for the type one and type two wave event respectively. The vertical bars connect the lower and upper quartiles for each category.

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# 386 **5 Summary**

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We have statistically studied the distributions of the occurrence rate and frequency of the Pc5-6 ULF waves in the region of  $X_{GSM}^* < 0$  and  $8 R_E < R < 32 R_E$  (occurring mostly on stretched magnetic field lines) using 8 years of THEMIS data. We also examined the influence of Solar wind parameters and
 geomagnetic activity level on the features of these ULF waves. Some new results that differ from those
 of ULF waves observed in the inner magnetosphere are obtained. The main results are summarized as
 follows:

(1) In the far magnetotail region ( $12 R_E < R < 32 R_E$ ), the occurrence rates of both azimuthal and radial oscillating events are higher in the post-midnight region than in the pre-midnight region. In the near-earth magnetotail ( $8 R_E < R < 12 R_E$ ), the occurrence rates of radial oscillating events are higher on the duskside, while the dawn-dusk asymmetry in the occurrence rates of azimuthal oscillating events are less clear than that of radial oscillating events, which is similar to the distributions in the inner magnetosphere ( $4 R_E < R < 9 R_E$ ).

(2) Statistically, the peak frequency decreases with increasing radial distance from Earth for both 400 azimuthal oscillating events (8  $R_E < R < 16 R_E$ ) and radial oscillating events (8  $R_E < R < 14 R_E$ ). A possible 401 explanation for this distribution is that at least 52 % events (including both azimuthal and poloidal 402 oscillating events) are standing waves in the region of 8-16 R<sub>E</sub>, while only 2 % are unambiguous standing 403 waves in the region of 16-32 R<sub>E</sub>. Moreover, the frequencies for all the events in this paper do not show 404 obvious dawn-dusk asymmetry contrary to results from previous studies for waves in the inner 405 magnetosphere (4  $R_E < R < 9 R_E$ ), where the wave frequencies are higher in the dawnside than in the 406 duskside. 407

(3) The ULF wave occurrence rates are higher for larger solar wind velocity and solar wind Pd 408 409 variations. Therefore, we suggest that the solar wind may be the main energy source of the ULF waves in the region of 8  $R_E < R < 32 R_E$ . About 74.8 % of the ULF waves occurred when the AE values are less 410 than 250nT, which indicates that the ULF waves are most likely to occur during the quiet times or weak 411 substorm times. We have further studied the frequency change between the quiet time and the weak 412 substorm time events. We found that the wave frequency is higher during the substorm time (0-2 hours 413 after substorm onset). The frequency differences are clearer in the midnight region than in the flank region. 414 We suggest that the field lines configuration or plasma environment variation during weak substorm times 415 could increase the eigen frequencies of ULF waves in the magnetotail, leading to the observed change in 416 the frequency distribution. 417

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