

1 Statistical study of ULF waves in the magnetotail by THEMIS 2 observations

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18
19 **Abstract.** Ultra-low frequency (ULF) waves are ubiquitous in the magnetosphere. Previous studies
20 mostly focused on ULF waves in the dayside or near-earth region (with radial distance $R < 12 R_E$). In this
21 study, using the data of Time History of Events and Macroscale Interactions during Substorms (THEMIS)
22 during the period from 2008 to 2015, the Pc5-6 ULF waves in the tail region with $X^*_{GSM} < 0$, $8 R_E < R <$
23 $32 R_E$ (mostly on the stretched magnetic field lines) are studied statistically. A total of 1089 azimuthal
24 oscillating events and 566 radial oscillating events were found. The statistical results show that both the
25 azimuthal and radial oscillating events in the magnetotail region ($12 R_E < R < 32 R_E$) are more frequently
26 observed in the post-midnight region. The frequency decreases with increasing radial distance from Earth
27 for both azimuthal oscillating events ($8 R_E < R < 16 R_E$) and radial oscillating events ($8 R_E < R < 14 R_E$),
28 which is consistent with the field line resonances theory. About 52 % of events (including the azimuthal
29 and radial oscillating events) are standing waves in the region of 8-16 R_E , while only 2 % are standing
30 waves in the region of 16-32 R_E . There is no obvious dawn-dusk asymmetry of ULF wave frequency for
31 events in $8 R_E < R < 32 R_E$, which contrasts with the obvious dawn-dusk asymmetry found by previous
32 studies in the inner magnetosphere ($4 R_E < R < 9 R_E$). An examination for possible statistical relationships
33 between ULF wave parameters and substorm occurrences is carried out. We find that the wave frequency
34 is higher after the substorm onset than before it, and the frequency differences are more obvious in the
35 midnight region than in the flank region.

36

37 **Keyword.** Magnetospheric physics (Magnetotail; MHD waves and instabilities; Solar wind-
38 magnetosphere interactions)

39

40 **1 Introduction**

41

42 Ultra-low frequency (ULF) waves with frequencies between about 1mHz and 5 Hz play a significant role
43 in storing and transferring energy in the Earth's magnetosphere. ULF waves can transport energy from
44 the magnetosphere to the ionosphere, accelerate energetic particles, modulate luminosity of aurorae,
45 mediate reconnection and trigger substorm onset (e.g., Baumjohann and Glassmeier, 1984; Lessard et al,
46 1999; Ukhorskiy et al., 2005; Keiling, 2009; Rae et al., 2014; Zong et al., 2009; Zong et al., 2017).

47 There are several excitation sources for magnetospheric ULF waves. These sources include the
48 Kelvin-Helmholtz instability (KHI) along the magnetopause (e.g., Walker, 1981; Claudepierre et al.,
49 2008), solar wind dynamic pressure impulse (e.g., Allan et al., 1986; Lee et al., 1989; Zhang et al., 2010;
50 Zong et al., 2012; Shi et al., 2013, 2014; Degeling et al., 2014; Shen et al., 2015), periodic solar wind
51 dynamic pressure variations (e.g., Kepko,2002; 2003), drift-bounce resonance (e.g., Southwood et
52 al.,1969; Yang et al., 2010) and dynamic processes during substorms (e.g., Olson, 1999; Sun et al., 2015).

53 Although many previous studies have focused on waves occurring in the dayside magnetosphere
54 (e.g., Samson et al., 1981; Rostoker et al., 1984; Zong et al., 2007; Shen et al., 2017), ULF waves
55 occurring on stretched magnetic field lines in the magnetotail have also been reported in some
56 observational studies (e.g., Zheng et al., 2006; Tian et al., 2012) and simulations (e.g., Rankin et al., 2000;
57 Lui and Cheng, 2001). Pc5 (150-600 s) and Pc6 (>600s) waves are the most common waves occurring at
58 high latitudes and in the magnetotail (Saito, 1978). Investigating the source and characteristics of these
59 waves in the magnetotail will help us further understand the solar wind-magnetosphere-ionosphere
60 coupling processes in the nightside region.

61 Statistical studies of ULF wave properties in the magnetosphere have been performed using various
62 satellites (e.g., Hudson et al., 2004; Liu et al., 2009; Takahashi et al., 2015). Hudson et al. (2004)
63 performed a statistical study of the occurrence rate of Pc5 magnetic pulsations for both toroidal and
64 poloidal modes at L values from 4 to 9 by using 14 months magnetometer data from Combined Release
65 and Radiation Effects Satellite (CRRES). They found that there is no dawn-dusk asymmetry on the
66 occurrence rate of toroidal mode oscillations inside L=8, however the occurrence rate of poloidal mode
67 oscillations is higher on duskside. Liu et al. (2009) statistically studied both the occurrence and frequency
68 distributions of Pc5 magnetic pulsations in toroidal and poloidal modes between L= 4 and 9 by using 13
69 months electric and magnetic field measurements from Time History of Events and Macroscale
70 Interactions during Substorms (THEMIS). They found that the occurrence distribution is similar to the
71 results of Hudson et al. (2004) and the frequency is higher in the dawnside than in the duskside by a factor
72 2 and decreases with radial distance. Takahashi et al. (2015) statistically investigated the fundamental

73 toroidal mode oscillations from $L = 7$ to 12 by using 2008-2013 ion bulk velocity data from THEMIS-D.
74 They found that the occurrence rate and amplitude of toroidal mode oscillations are higher in the dawnside
75 (4-8 magnetic local time (MLT, in hours)) than in the duskside (16-20 MLT). Moreover, the relationship
76 between ULF wave characteristics and the solar wind conditions/geomagnetic activity level were also
77 studied statistically (e.g. Takahashi and Ukhorskiy, 2007; Kokubun, 2013; Wang et al., 2015). Takahashi
78 and Ukhorskiy (2007) found that the solar wind dynamic pressure variance has the best correlation with
79 the power of magnetic pulsations at geosynchronous orbit. Kokubun (2013) statistically studied Pc5 ULF
80 waves (mostly on the 4-8 MLT and 16-20 MLT) using GEOTAIL data during the period of 1995 to 2000.
81 They found that the wave occurrence tends to be larger for higher solar wind velocity (> 400 km/s),
82 smaller IMF B_z , and lower cone angle. Wang et al. (2015) studied the spatial distribution of the irregular
83 oscillations Pi2 (40–150 s) and Pc4-5 magnetic fluctuation power in the plasma sheet by using THEMIS-
84 A/C/D/E data from 2007 to 2014. They found that the amplitude of Pc-5 fluctuations is larger globally
85 during periods of higher AE index, faster solar wind, and larger solar wind dynamic pressure variations.

86 Although statistical studies of ULF waves have been performed, most have focused on the dayside
87 or near-earth region. The distributions and excitation mechanisms of ULF waves on stretched magnetic
88 field lines are still unclear. Our work focuses on ULF waves on stretched magnetic field lines ($X_{\text{GSM}}^* < 0$
89 and $8 R_E < R < 32 R_E$).

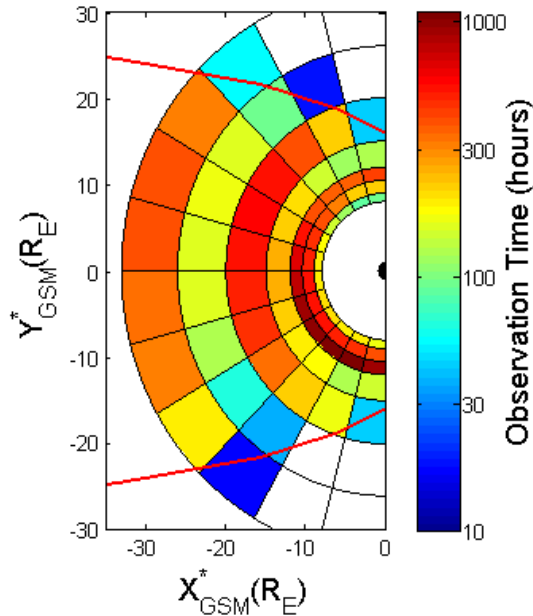
90 This paper will be organized as follows. In section 2, the data set and the selection criteria of the ULF
91 wave event are presented. In section 3, we show the statistical results. In section 4, we discuss the
92 occurrence and frequency distributions of ULF waves on the stretched field lines and the influence factors
93 of solar wind parameters and geomagnetic activity level. The main conclusions of this study are given in
94 section 5.

95

96 **2 Data and statistical methods**

97

98 In this study, we use 3 s resolution magnetic field data from Flux Gate Magnetometer (FGM) (Auster et
99 al., 2008) and 3 s resolution plasma data from Electrostatic Analyzer (ESA) (Mcfadden et al., 2008) of
100 THEMIS mission from 2008 to 2015. The THEMIS mission consists of five satellites (THEMIS
101 A/B/C/D/E), each with an orbital inclination of about 10° (Angelopoulos, 2008). In the first two years,
102 the apogees were about $12 R_E$ for THEMIS A/D/E, $20 R_E$ for THEMIS C and $30 R_E$ for THEMIS B. After
103 2010, THEMIS B/C were transferred to a lunar orbit which is about $60 R_E$ from Earth. Because THEMIS
104 A/D/E have similar orbits, in this study we only use data from THEMIS A, B and C. In addition, we use
105 1 minute resolution interplanetary magnetic field (IMF) and solar wind plasma data from the OMNI
106 database (<https://spdf.sci.gsfc.nasa.gov/>), which is calculated by time shifting satellite data taken in the
107 solar wind to the Earth's bow shock subsolar point. Figure 1 shows the binned spatial distribution of the
108 total observation time over the 2008-2015 interval for THEMIS A/B/C in the magnetosphere.



109
 110 **Figure 1.** The distribution of total observation time of THEMIS A/B/C in the GSM* X-Y plane between
 111 2008 and 2015. The red line is the average magnetopause, calculated by Shue et al.'s (1998) model with
 112 dynamic pressure (Dp) =1.66 nPa and $B_z=0.16$ nT. The blank bins indicate regions where the residence
 113 time of THEMIS is less than 10 hours.

114
 115 We use the aberration coordinate GSM* whose X axis is rotated 4° from the X axis of Geocentric
 116 Solar Magnetospheric (GSM) coordinates for spacecraft position to remove the effect of Earth's
 117 revolution. In GSM coordinates, the X-axis is pointing from the Earth towards the Sun, the X-Z plane
 118 contains the dipole axis, the Y-axis is perpendicular to the Earth's magnetic dipole, towards the dusk and
 119 is included in the magnetic equatorial plane. Field-aligned coordinates (FAC) are used to analyze waves
 120 and separate the azimuthal and radial oscillating wave components. The FAC system is defined in Eq. (1).

$$121 \quad \mathbf{z} = \frac{\mathbf{B}_0}{|\mathbf{B}_0|}; \mathbf{a} = \frac{\mathbf{z} \times \mathbf{R}}{|\mathbf{z} \times \mathbf{R}|}; \mathbf{r} = \mathbf{a} \times \mathbf{z} \quad (1)$$

122 In this equation, \mathbf{B}_0 is the background magnetic field vector, derived by taking a 30 minutes sliding
 123 average of the magnetic field data, \mathbf{R} is the vector from Earth's center to the satellite, \mathbf{z} is the parallel unit
 124 vector, \mathbf{a} is the unit vector pointing east and \mathbf{r} completes the right-hand rule. It should be noted that the
 125 direction of \mathbf{r} is approximately radial due to the equatorial orbits of THEMIS.

126 In this study, we mainly use ion velocity data to identify ULF waves, following the technique of
 127 Takahashi (2015). They suggested that using velocity is better than using magnetic field data, because
 128 fundamental mode magnetic field fluctuations (considered most likely in the Pc5 range) give rise to a
 129 node near the equatorial plane, making their measurement problematic along the low-inclination THEMIS

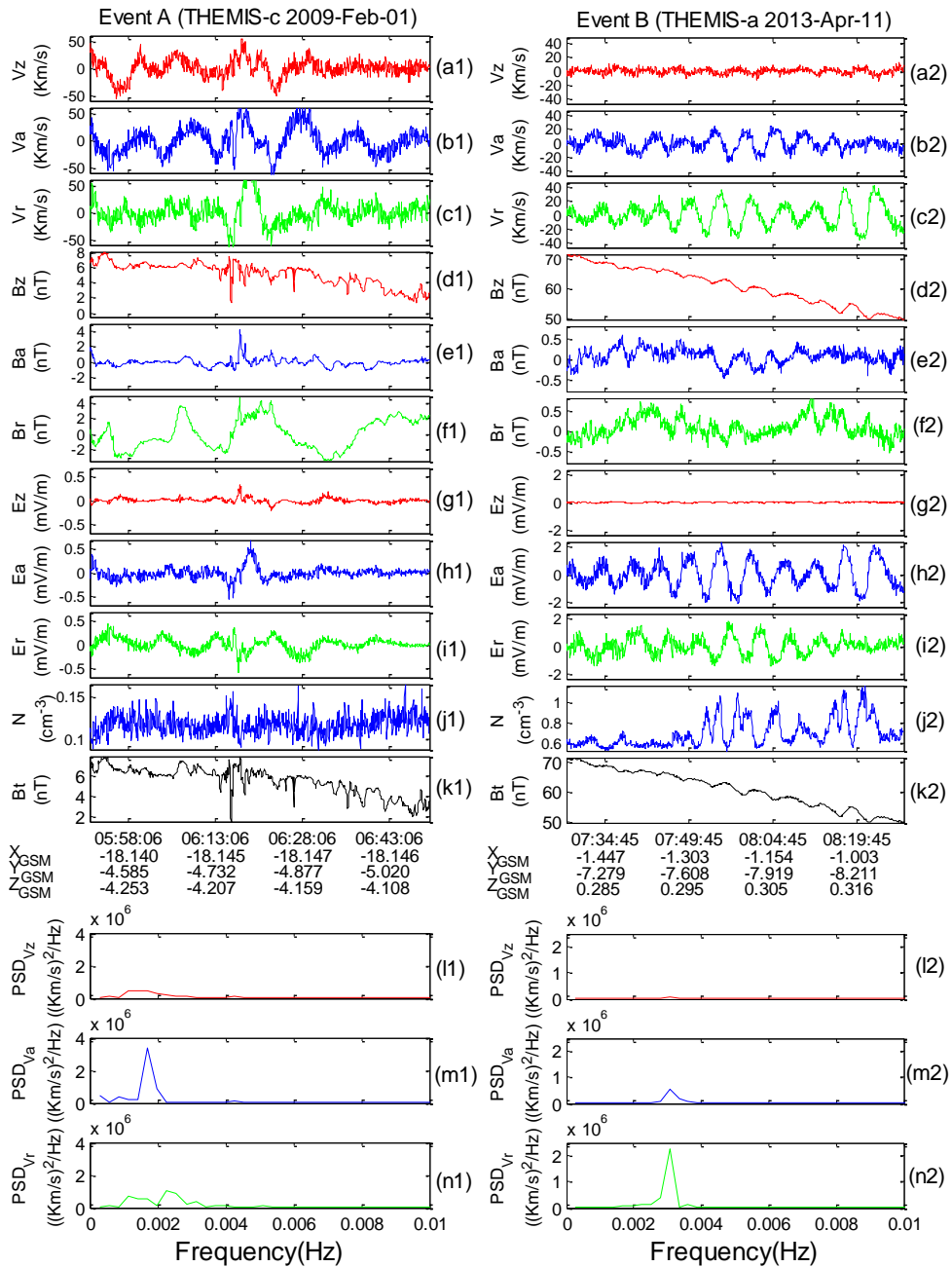
130 orbital path. On the other hand, the fundamental mode has an antinode for the electric field and plasma
131 velocity fluctuations under ideal MHD conditions. The electric field data is therefore estimated by $\mathbf{E} =$
132 $-\delta\mathbf{V}\times\mathbf{B}$, where $\delta\mathbf{V}$ indicates the variation of velocity, which is obtained by subtracting the 30 minutes
133 sliding average values.

134 As shown in Fig. 1, the region concerned in this work is $X^*_{\text{GSM}} < 0 R_E$ and $8 R_E < R < 32 R_E$. In order
135 to remove the likelihood of identification of ULF wave events when THEMIS enters the magnetosheath
136 or solar wind regions, only events for which density values less than 1 cm^{-3} if $|Y^*_{\text{GSM}}| > 10 R_E$ are included
137 in the database.

138 The following criteria are used to select ULF waves in the magnetotail: (i) the wave frequency is
139 below 7 mHz; (ii) the wave is quasi monochromatic, and includes at least three cycles; (iii) the maximum
140 of peak to trough value of fluctuations is more than 50 km/s; (iv) mirror-like structures, indicated by anti-
141 phase variations of magnetic field and density are excluded; (v) magnetotail flapping events, characterized
142 by sign changes in B_x are excluded. A quantitative standard is used to determine the beginning and ending
143 time of each event, namely that the beginning and ending time is at the points where the amplitude is 20
144 km/s. Additionally, if the interval time between two events is less than 20 minutes and they have similar
145 frequency (within 0.5 mHz), we consider them as a single event.

146 The process of selecting wave events and distinguishing the wave mode in this study is as follows.
147 Firstly, we conduct wavelet analysis to THEMIS ion velocity and magnetic field data in GSM coordinates
148 and choose the wave events which roughly to satisfy the criteria mentioned above. Then, we transform
149 from GSM to FAC coordinates for magnetic field and ion velocity data, and calculate the electric field.
150 To quantitatively distinguish the azimuthal or radial oscillating waves, Fast Fourier Translation (FFT)
151 analysis is applied to all three components of ion velocity (Fig. 2).

152 Figure 2 shows two typical events (labelled “A” and “B”) with Event A occurring near $R\approx 19 R_E$,
153 from 0550 to 0650 UT on 01 February 2009 and showing azimuthal oscillations, and Event B occurring
154 near $R\approx 8 R_E$ from 0728 to 0828 on 11 April 2013 and showing radial oscillations. Figure 2 shows three
155 components of the ion velocity (a-c), magnetic field (d-f), and the calculated electric field (g-i), in addition
156 to the total ion density (j) and total magnetic field (k) which are used for excluding mirror-like structures.
157 Figure 2(l-n) show the Power Spectral Density (PSD) of the three components of the ion velocity derived
158 by FFT. Only events with an obvious single spectral peak, similar to events A and B, are considered as a
159 “quasi monochromatic” wave and selected in our list of events. In events A and B, the peak in PSD of the
160 dominant wave component exceeds its counterpart by a factor of 4, enabling their unambiguous
161 designation as an azimuthal and radial oscillation event respectively. Events for which the peak in PSD
162 in V_a and V_r have similar magnitudes are simply regarded as both an azimuthal oscillating event and a
163 radial oscillating event. Note that the magnetic field vector used for calculating \mathbf{E} ($\mathbf{E} = -\delta\mathbf{V}\times\mathbf{B}$) at each
164 moment may be deviate from the z-axis determined by 30 minutes sliding average of the magnetic field
165 data. Therefore, the E_z component will have a small deviation from zero in the FAC coordinate system
166 as shown in Fig. 2g.



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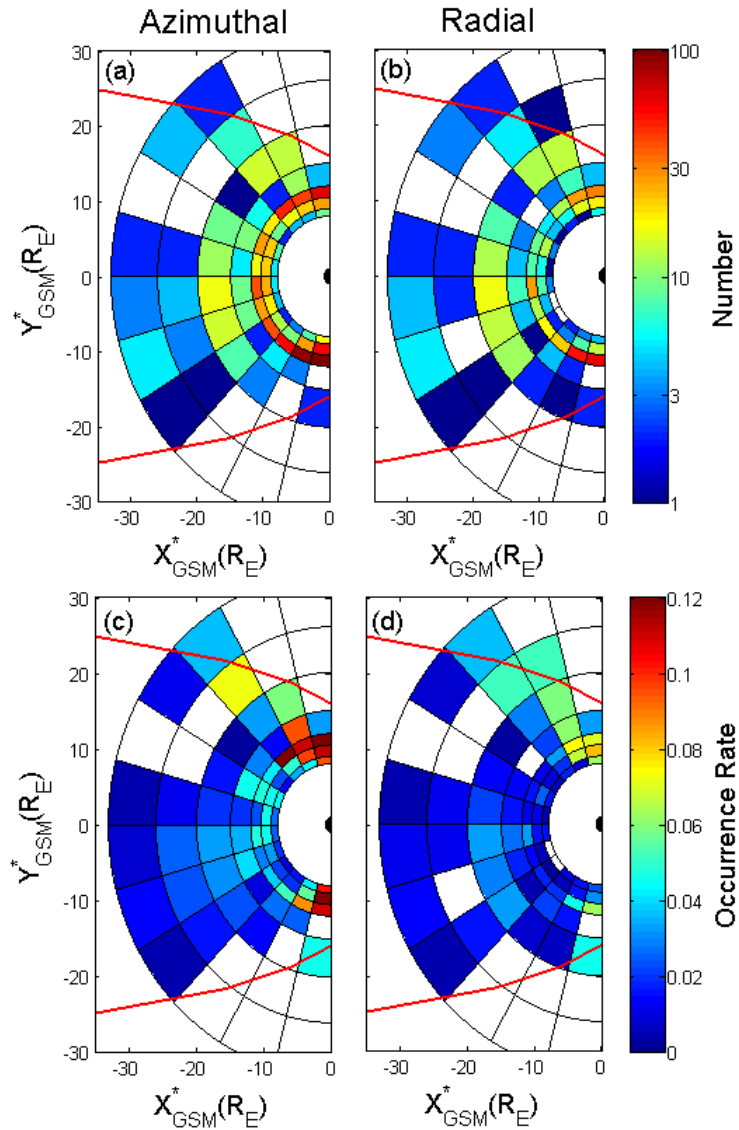
168 **Figure2.** Examples of an azimuthal oscillating event (Event A) from 0550 to 0650 UT on 01 February
 169 2009, and radial oscillating event (Event B) from 0728 to 0828 on 11 April 2013: (a-c) velocity
 170 components, (d-f) magnetic components, (g-i) electric field components, (j) total ion density, (k)
 171 total magnetic field, (l-n) FFT analysis of ion velocity.

172 In total, we find 1089 azimuthal oscillating wave events and 566 radial oscillating wave events, with
173 an average event-time duration of ~ 54 minutes. Figure 3a and 3b shows the spatial distribution of the
174 number of events in the GSM* X-Y plane, both for azimuthal (left panel) and radial (right panel)
175 oscillating wave events. The blank bins inside the magnetopause indicates that there are no events.
176

177 **3 Statistical Analysis**

178 **3.1 Occurrence rate**

180
181 Figure 3c and 3d shows the occurrence rates of azimuthal oscillating wave events (left panel) and radial
182 oscillating wave events (right panel) in the GSM* X-Y plane. The color indicates the occurrence rate
183 calculated by dividing the total duration of all events by the total duration of observations in each bin
184 shown in Fig. 1. The blank bins inside the magnetopause indicates that there are no events. In the near-
185 earth region ($8 R_E < R < 12 R_E$), we can see that the occurrence rates of both azimuthal and radial
186 oscillating events in the dusk and dawn flanks (18-21 MLT and 3-6 MLT) are higher than the midnight
187 regions (21-03 MLT). For radial oscillating events, the occurrence rates of waves are higher on the
188 duskside than dawnside. For azimuthal oscillating events, the dawn-dusk asymmetry in the occurrence
189 rates is less clear than that for radial oscillating events. In the magnetotail region ($12 R_E < R < 32 R_E$), the
190 occurrence rates of both modes of waves are slightly higher in the post-midnight region. Note that,
191 although no wave events are found in the dawnside flank region ($20 R_E < R < 32 R_E$, 3-6 MLT), the total
192 observation time is also very short (< 38 hours) in this region. So, we cannot conclusively say that the
193 occurrence rates on the duskside flank region is higher than that of the dawnside.



194

195

Figure 3. The number (a and b) and occurrence rates (c and d) of azimuthal oscillating wave events (left panel) and radial oscillating wave events (right panel) in the GSM* X-Y plane.

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3.2 Frequency distribution

199

200

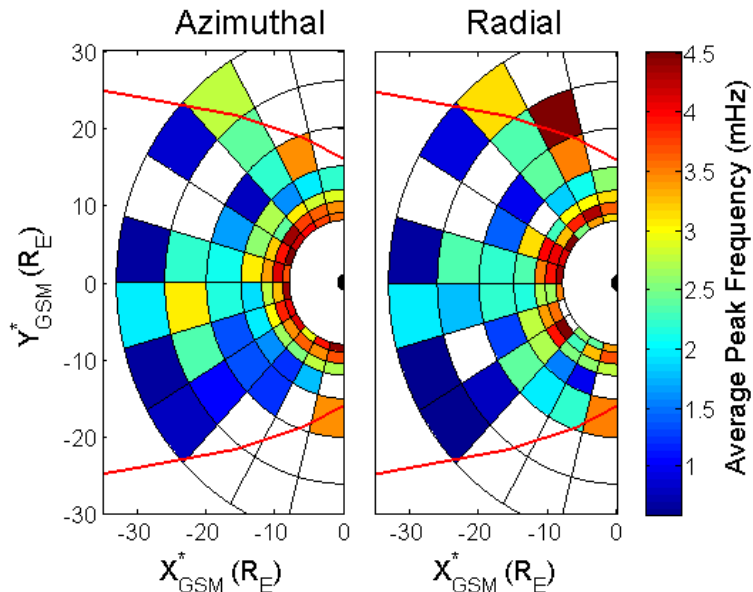
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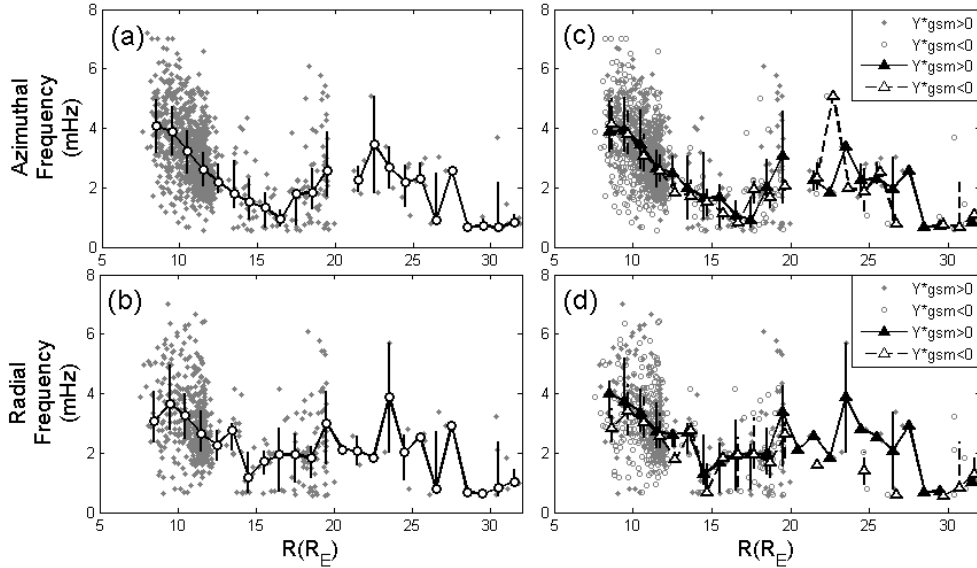
Figure 4 shows the spatial distribution of average frequency for azimuthal (left panel) and radial (right panel) oscillating wave events in the equatorial plane. The color in each bin is the average of all event frequencies (obtained by FFT analysis as described earlier) in that bin. A blank bin inside the magnetopause indicates that there are no events. It can be seen roughly that the frequency decreases with

204 increasing radial distance both for azimuthal and radial oscillating wave events, for regions where
 205 $R < 15R_E$. Note that the crimson bin in the upper right corner (19-20 MLT and $20 < R < 26 R_E$) of the right
 206 panel is caused by short residence time (~ 19 hours) and only one wave event with frequency of 5.71 mHz.



207
 208 **Figure 4.** The average frequencies of azimuthal oscillating wave events (left panel) and radial oscillating
 209 wave events (right panel) in the GSM* X-Y plane.

210
 211 We further plot the relationship between the peak frequency and the distance from Earth in Fig. 5. It
 212 shows that the frequency can be as low as 0.55 mHz. As shown in Fig. 5a and 5b, the median frequency
 213 of azimuthal oscillating events decreases with increasing radial distance from the Earth in the region with
 214 $8 R_E < R < 16 R_E$, and the same trend is found for the radial oscillating events with $8 R_E < R < 14 R_E$.
 215 Figure 5c and 5d show frequency distribution of events in the dawnside ($Y_{gsm}^* < 0$) and duskside ($Y_{gsm}^* >$
 216 0) regions, respectively. The frequency for both azimuthal and radial oscillating events show no obvious
 217 dawn dusk asymmetry. This is verified by the Wilcoxon rank sum test applied to the dawn and dusk
 218 datasets. The Wilcoxon rank sum test is a non-parametric statistical hypothesis test that can be used to
 219 assess whether two samples have the same distribution or not (Gibbons and Chakraborti, 2011).
 220 Specifically, in the Wilcoxon rank sum test, a “P-value” result greater than 0.01 means there is no
 221 significant statistical difference between two datasets. The P-value for the dawn and dusk side data sets
 222 is 0.4535 (for all azimuthal and radial oscillating events). This confirms that the dawn and dusk side
 223 frequency data sets belong to the same distribution.



224

225 **Figure 5.** The wave frequency versus radial distance for azimuthal (a and c) and radial (b and d) oscillating
 226 event. In panels a and b, the grey dots are individual events, the open circles are the median values of
 227 frequencies in each 1 R_E bin. The vertical bars connect the lower and upper quartiles. In panel c and d, the
 228 grey dots and circles indicate the dusk and dawn events, respectively. The solid and open triangles are the
 229 same as the open circles in Fig. 5a, but for dusk and dawn events respectively.

230

231 3.3 Standing wave

232

233 According to Singer et al. (1982), Alfvénic standing wave oscillations are characterized by a phase
 234 difference of 90° between the electric field and magnetic field components. Figure 6 shows the standing
 235 wave analysis of two azimuthal oscillating events. The first row shows the magnetic field component B_a
 236 and electric field component E_r . The second row shows the 1.26-3.26 mHz (Fig. 6a) and 2.03-4.03 mHz
 237 (Fig. 6b) band-pass filtered B_a and E_r components. The lower (upper) limits of the frequency bands are
 238 obtained by subtracting (adding) 1 mHz from the peak frequencies in Fig. 2(l-n). The absolute value of
 239 the phase differences between the band-pass filtered B_a and E_r are shown in the bottom panels, in which
 240 three dotted lines indicate the 60° , 90° , 120° phase differences respectively. We can see that the first event
 241 (Fig. 6a) shows characteristics of standing wave as indicated by the $\sim 90^\circ$ phase difference between E_r
 242 and B_a , while the second event (Fig. 6b) does not have this characteristic. We quantify the criteria of
 243 standing azimuthal (radial) oscillating waves as that the absolute value of the phase differences between
 244 the filtered B_a and E_r (B_r and E_a) that falls within the range 60° - 120° and lasts for at least three cycles.

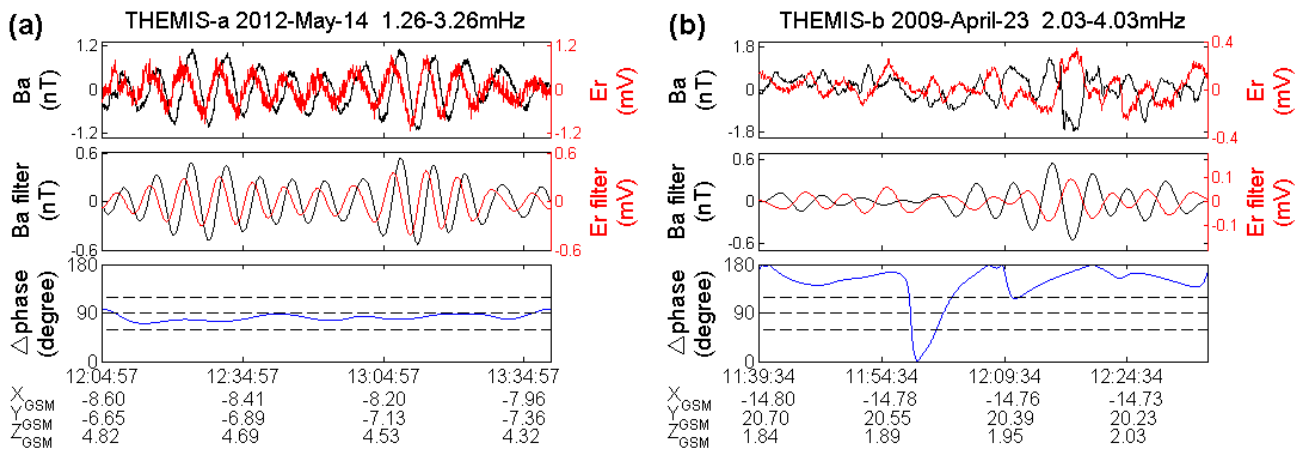
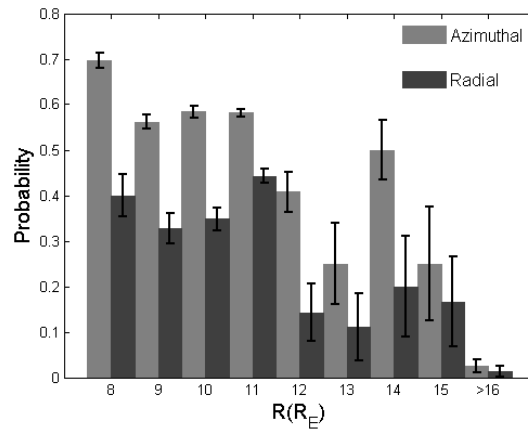


Figure 6. Examples of: (a) a standing azimuthal oscillating event and (b) a non-standing azimuthal oscillating event.

Figure 7 shows the radial distribution of the probability that a given azimuthal or radial oscillating wave event shows signatures of a standing wave. The light and dark histogram represents the probability for azimuthal and radial oscillating event respectively. The errorbars shown are calculated by $\epsilon = \frac{n}{N} * \left(\frac{\sqrt{n}}{n} + \frac{\sqrt{N}}{N} \right)$, where n is the number of standing wave events and N is the total number of waves events in each bin for each polarization. It is obvious that standing waves occupy a larger proportion in the region of 8-16 R_E , while almost no standing waves are identifiable in the region of 16-32 R_E . We find that about 52% events (including the azimuthal and radial oscillating events) are standing waves in the region of 8-16 R_E , while only 2 % are standing waves in the region of 16-32 R_E . This figure also shows that the probability of standing waves is higher for the azimuthal oscillating events than for the radial oscillating events.



260 **Figure 7.** The radial distribution of the probability of identifying standing waves, for azimuthal and radial
261 oscillating events (light and dark histograms respectively).

262

263 **4 Discussion**

264

265 Using THEMIS data during the period from 2008 to 2015, we find 1314 Pc5-6 ULF wave events in the
266 region of $X_{\text{GSM}}^* < 0$ and $8 R_E < R < 32 R_E$. The elevation angle of the magnetic field of each event was

267 calculated by the formula $\tan^{-1} \left(\frac{B_z}{\sqrt{B_x^2 + B_y^2}} \right) * \frac{180}{\pi}$, where B_x , B_y , B_z are the three magnetic field

268 components in GSM* coordinates. We find that 61.70% of the events have an elevation angle larger than

269 45° and only 2.48% events with an elevation angle less than 10° . This suggests that most of our events

270 are observed near the magnetic equatorial plane. The harmonic mode of each event was identified by the

271 E-B phase difference and the magnetic latitude. We find that only 2.90% wave events may be second

272 harmonic waves. It is reasonable to consider that most of our standing wave events belong to the

273 fundamental eigenmode. In this study, the ion velocity data used to identify ULF waves are usually

274 reliably measured in the plasma sheet. Furthermore, Lui and Cheng. (2001) indicated that the magnetic

275 field lines in the nightside are very stretched in the region of $R > 8 R_E$, especially during intervals of high

276 Kp index. We therefore consider it likely that most of our events should be observed on stretched magnetic

277 field lines, but not on open magnetic field lines.

278

279 **4.1 Occurrence rate**

280

281 As shown in Fig. 3c and 3d, in the region of $8 R_E < R < 12 R_E$, the occurrence rates are higher on the

282 duskside than dawnside for radial oscillating waves, while the dawn-dusk asymmetry in the occurrence

283 rates is less clear for azimuthal oscillating waves than that for radial oscillating waves. This is consistent

284 with the wave mode distributions in the inner magnetosphere ($4 R_E < R < 9 R_E$) presented in previous

285 works (Hudson et al., 2004, Liu et al., 2009). One possible reason is that westward drifting ions injection

286 associated with substorm may excite more radial oscillating wave events in the duskside via the ion drift

287 bounce resonance (Southwood et al., 1969; Chen and Hasegawa, 1988). However, Takahashi et al. (2014)

288 found that the occurrence rate of toroidal waves is higher on the dawnside than duskside, which is different

289 from our result. We noticed that they only focused on the pure toroidal wave, while azimuthal oscillating

290 waves with comparable power in V_a and V_r are also included in our list of events. Thus, more azimuthal

291 oscillating waves could be observed in the duskside because of the possible coupling between azimuthal

292 oscillating waves and radial oscillating waves (with higher occurrence in the dusk sector). In contrast to

293 that of the inner magnetosphere ($4 R_E < R < 9 R_E$), the occurrence rates for both azimuthal and radial

294 oscillating events in the region of $12 R_E < R < 32 R_E$ are slightly higher on the post-midnight region than

295 the pre-midnight region. It is possible that the K-H instability may play an important role on the generation

296 of ULF waves on the stretched magnetotail, given that the K-H instabilities are more inclined to occur in
297 the dawnside than in the duskside (Nykyri et al., 2013) and even can happen in the down tail flanks up to
298 the lunar orbit ($\sim 60 R_E$) (Wang et al., 2017). In view of the limited observation times in the dawnside
299 magnetopause, more events are needed to further study on the definite reasons of the dawn-dusk
300 asymmetry of occurrence rate in the outer side region ($12 R_E < R < 32 R_E$).

301

302 **4.2 Frequency distribution**

303

304 As shown in Fig. 5a and 5b, the frequency decreases with increasing radial distance from the Earth for
305 both azimuthal oscillating events ($8 R_E < R < 16 R_E$) and radial oscillating events ($8 R_E < R < 14 R_E$). This
306 is consistent with the Alfvén continuum of field line resonance (FLR) theory (e.g., Allan and Poulter,
307 1992; Waters et al., 2000). However, this trend does not continue for $R > 16 R_E$. Previous observation and
308 simulation studies have shown that standing waves can exist on the stretched magnetic field lines (Lui
309 and Cheng, 2001; Zheng et al., 2006; Tian et al., 2012). Our statistical result shows that 52 % of all event
310 types are standing waves in the region of 8-16 R_E , while only 2 % can be confirmed as standing waves in
311 the region of 16-32 R_E as shown in Fig. 7. Given the likelihood that most of our wave events belong to
312 the fundamental mode, the uncertainty in the phase measurement of the weak magnetic field signal near
313 the equatorial plane will affect the identification of standing waves. Moreover, the complicated phase
314 relationship between the electric field and the magnetic field caused by magnetic field disturbances in the
315 farther deeper magnetotail will also affect the identification of standing waves. These suggest that our
316 data may underestimate the proportion of standing wave events. Even so, the finding that only 2 % of
317 events in the down-tail region ($R > 16 R_E$) can be identified as standing waves suggests that the standing
318 waves are far less common on the highly stretched field lines.

319 As shown in Fig. 5c and 5d, there is no obvious dawn-dusk asymmetry in the ULF wave frequency
320 for $8 R_E < R < 32 R_E$. This is different from previous studies in the near-earth region (Liu et al., 2009;
321 Takahashi et al., 1982; 2015). Takahashi et al. (1982) found that the frequencies of Pc3-4 ULF waves
322 were higher on the dawnside than duskside at geosynchronous orbit. They suggested that the quasi-
323 parallel shock and the associated turbulent magnetosheath flow is more likely to occur on the dawnside,
324 which leads to higher harmonic waves to be excited in the dawnside. Takahashi et al. (2015) found that
325 the frequencies of Pc5 toroidal waves in the region with L values between 7 and 12 R_E is lower in the
326 duskside (16-20 MLT) than dawnside (04-08 MLT). They suggest that this is due to the higher mass
327 density in the duskside near-earth region, supplied by the particles from ionosphere. However, the wave
328 frequency distributions shown in this paper ($X_{GSM}^* < 0$, $8 R_E < R < 32 R_E$) show a different distribution
329 feature from that of the events in the inner or dayside magnetosphere. This suggests that neither of the
330 above mechanisms for producing asymmetry are important within the region of interest in our study. This
331 may be expected for the turbulent magnetosheath flow mechanism more applicable to higher frequencies.
332 The influence of particle injection from the ionosphere may be weakened by higher ExB drift speeds and

333 longer field line lengths in the nightside magnetotail region, compared to the near-earth region.

334

335 **4.3 The influence of Solar wind parameters and geomagnetic activity level**

336

337 Figure 8a and 8b shows the relationship between the occurrence rate of wave events and solar wind
338 velocity V_x and AE index. The Y-axis indicates the probability of detecting one wave event in each bin.
339 The background solar wind data is obtained from OMNI from 2008 to 2015. We can see that the ULF
340 wave occurrence increases with increasing solar wind speed $|V_x|$. This implies that the K-H instability
341 could be a source of ULF waves in the magnetotail region (8-32 R_E), since the higher shear velocity is an
342 important factor for exciting K-H instabilities (Miura, 1992). Figure 8b shows that the wave occurrence
343 is higher when the AE values are less than 500 nT. Note that about 74.8 % of the waves occurred when
344 the AE values are less than 250 nT. This suggests that most of the wave events in the magnetotail are
345 observed during quiet times or weak substorm times. Figure 8c and 8d shows the relationship between
346 the occurrence of ULF waves and the relative variation of solar wind dynamic pressure (P_d) and the IMF

347 B_z values. The relative variation of P_d for a given event is calculated by the formula $\frac{P_{d_{max}} - P_{d_{min}}}{P_{d_{mean}}}$, where

348 $P_{d_{max}}$, $P_{d_{min}}$, $P_{d_{mean}}$ denote the maximum, minimum and mean value of the solar wind P_d within a 30
349 minute window, starting 20 min before the beginning time of this event. We find that the occurrence rates
350 are higher for larger solar wind P_d variance and during periods of northward IMF B_z .

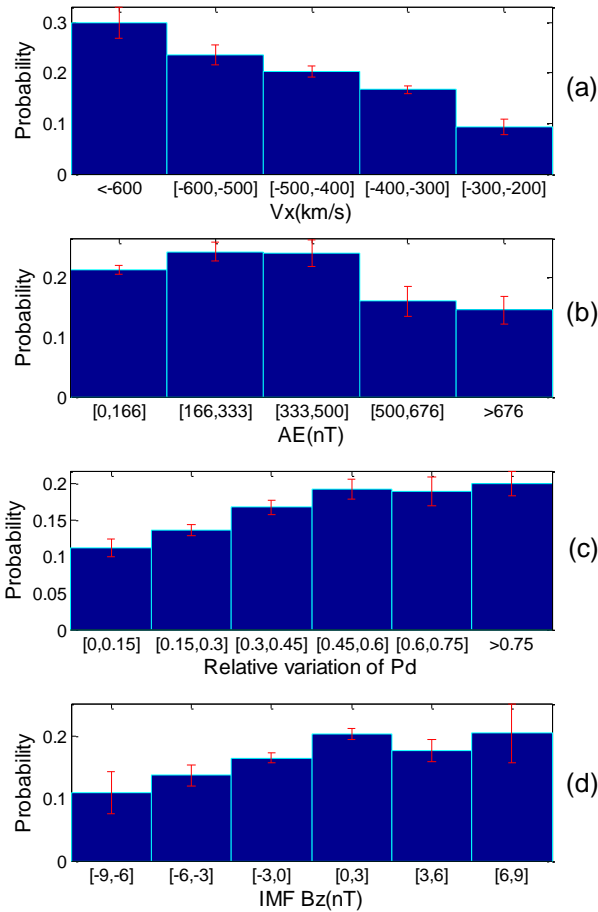
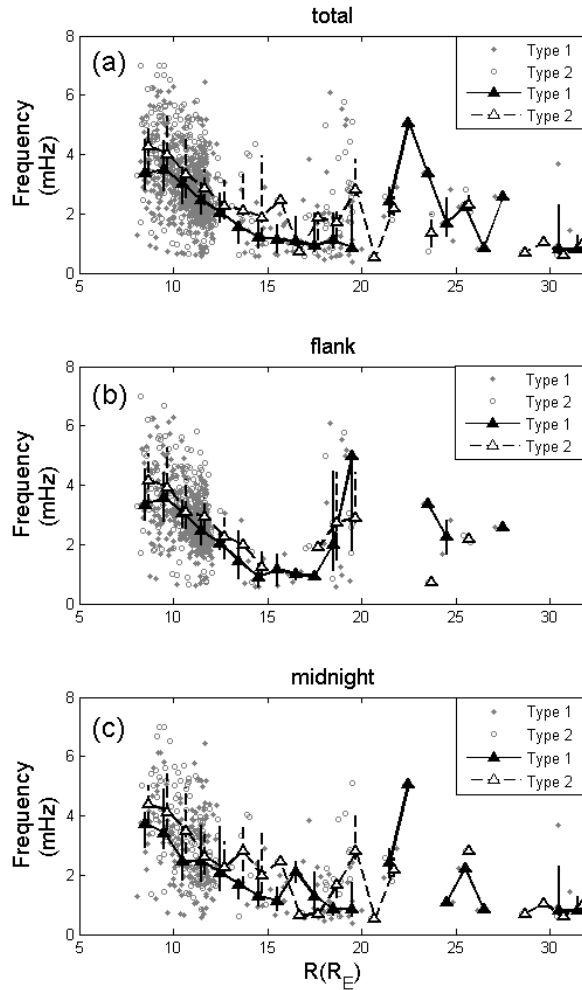


Figure 8. The occurrence probability of waves versus (a) Solar wind velocity V_x , (b) AE index, (c) Relative variation of P_d , and (d) IMF B_z .

The possibility that substorm activity may affect the frequency of ULF waves, and thereby influence the distribution of ULF frequencies in our database, is examined using the following method, based on the substorm event list of Forsyth et al. (2015). The ULF wave events were divided into two categories based on their start time relative to the onset time of individual substorm events. The first category (“type one”) consists of events that occurred more than two hours after the most recent substorm onset, and more than one hour before the next substorm onset. These events are considered to be independent of substorm activity. The second category (“type two”) consists of events that occurred between zero and two hours after the most recent substorm onset. In principle a third category consisting of events that occur less than

363 one hour before the next substorm onset could be defined, however this category contains very few events,
364 so their frequency characteristics will not be discussed here. The radial dependence of median frequency
365 for type one and two events is shown in Fig. 9a. This plot clearly shows that the median frequencies for
366 type two events are higher than type one events. A plausible explanation for this difference could be that
367 field line depolarization following substorm onset results in an increase in local magnetic field strength
368 compared to more stretched magnetotail field lines during quiet times. The resulting higher Alfvén speed
369 profile raises the fundamental mode eigenfrequency for the type two events, compared to the type one
370 events.

371 Figure 9b and 9c show the radial dependence of median frequency for type one and two events
372 occurring in the dawn/dusk flank (3-6 MLT and 18-21 MLT) and midnight sectors (21-03 MLT),
373 respectively. According to these plots, the frequency differences between type one and type two wave
374 events are more obvious in the midnight region than in the flank region. This is understandable, given
375 that the configuration of field lines will be changed much more in the midnight region than in the flank
376 regions during substorm times. It should be noted that, only the possible influence of field lines
377 configuration or plasma environment associated with weak substorms on the ULF wave frequencies are
378 discussed here. The question of whether substorms could trigger or be triggered by ULF waves still cannot
379 be answered by the present analysis.



380

381 **Figure 9.** The wave frequency versus the distance from the earth for: (a) the type one and type two wave
 382 events, (b) the wave events in the flank region, and (c) the wave events in the midnight region, respectively.
 383 The grey dots and circles indicate type one and type two wave individual events respectively. The solid
 384 and open triangles are the median values of frequencies in each $1R_E$ bin for the type one and type two
 385 wave event respectively. The vertical bars connect the lower and upper quartiles for each category.

386

387 5 Summary

388

389 We have statistically studied the distributions of the occurrence rate and frequency of the Pc5-6 ULF
 390 waves in the region of $X_{GSM}^* < 0$ and $8 R_E < R < 32 R_E$ (occurring mostly on stretched magnetic field

391 lines) using 8 years of THEMIS data. We also examined the influence of Solar wind parameters and
392 geomagnetic activity level on the features of these ULF waves. Some new results that differ from those
393 of ULF waves observed in the inner magnetosphere are obtained. The main results are summarized as
394 follows:

395 (1) In the far magnetotail region ($12 R_E < R < 32 R_E$), the occurrence rates of both azimuthal and
396 radial oscillating events are higher in the post-midnight region than in the pre-midnight region. In the
397 near-earth magnetotail ($8 R_E < R < 12 R_E$), the occurrence rates of radial oscillating events are higher on
398 the duskside, while the dawn-dusk asymmetry in the occurrence rates of azimuthal oscillating events are
399 less clear than that of radial oscillating events, which is similar to the distributions in the inner
400 magnetosphere ($4 R_E < R < 9 R_E$).

401 (2) Statistically, the peak frequency decreases with increasing radial distance from Earth for both
402 azimuthal oscillating events ($8 R_E < R < 16 R_E$) and radial oscillating events ($8 R_E < R < 14 R_E$). A possible
403 explanation for this distribution is that at least 52 % events (including both azimuthal and poloidal
404 oscillating events) are standing waves in the region of 8-16 R_E , while only 2 % are unambiguous standing
405 waves in the region of 16-32 R_E . Moreover, the frequencies for all the events in this paper do not show
406 obvious dawn-dusk asymmetry contrary to results from previous studies for waves in the inner
407 magnetosphere ($4 R_E < R < 9 R_E$), where the wave frequencies are higher in the dawnside than in the
408 duskside.

409 (3) The ULF wave occurrence rates are higher for larger solar wind velocity and solar wind Pd
410 variations. Therefore, we suggest that the solar wind may be the main energy source of the ULF waves in
411 the region of $8 R_E < R < 32 R_E$. About 74.8 % of the ULF waves occurred when the AE values are less
412 than 250nT, which indicates that the ULF waves are most likely to occur during in the quiet times or weak
413 substorm times. We have further studied the frequency change between the quiet time and the weak
414 substorm time events. We found that the wave frequency is higher during the substorm time (0-2 hours
415 after substorm onset). The frequency differences are clearer in the midnight region than in the flank region.
416 We suggest that the field lines configuration or plasma environment variation during weak substorm times
417 could increase the eigen frequencies of ULF waves in the magnetotail, leading to the observed change in
418 the frequency distribution.

419
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427

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