1	Assessing water vapor tomography in Hong Kong with improved vertical and
2	horizontal constraints
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14	Abstract: In this study, we focused on the retrieval of atmospheric water vapor
15	density by optimizing the tomography technique. First, we established a new
16	atmospheric weighted average temperature model that considers the effects of
17	temperature and height, assisted by Constellation Observing System for Meteorology,
18	Ionosphere and Climate (COSMIC) products. Next, we proposed a new method to
19	determine the scale height of water vapor, which will improve the quality of vertical
20	constraints. Finally, we determined the smoothing factor in the horizontal constraint
21	based on Interim European Centre for Medium-Range Weather Forecasts (ECMWF)
22	Re-Analysis (ERA-Interim) products. To evaluate the advantages of the optimized
23	technique over the traditional method, we used GPS datasets collected in Hong Kong
24	in August 2016 to estimate the vertical distribution of water vapor density using both
25	methods. We further validated the tomography results from the optimized technique $\frac{1}{1}$

using radiosonde products. The results show that the water vapor density quality obtained by the optimized technique is 13.8% better below 3.8 km and 8.1% better above 3.8 km than that obtained by the traditional technique. We computed the success rate of the tomography technique based on the Pearson product-moment correlation coefficient (PCC) and root mean square (RMS). The success rate of the optimized topography technique was approximately 10% higher than that of the traditional tomography method.

Keywords: GPS radio occultation; water vapor; GPS tomography; atmospheric
 weighted average temperature

35 Introduction

GPS technology has recently started being used to detect the Earth's atmosphere. 36 Many studies have been carried out to retrieve the two-dimensional (2D) or 37 three-dimensional (3D) distribution of atmospheric water vapor (Flores et al., 2000; 38 39 Champollion et al., 2005; Nilsson et al., 2006; Jin et al., 2009; Esteban et al., 2013; Jiang et al., 2014; Chen et al., 2014). The obtained atmospheric water vapor product 40 can be assimilated into a numerical weather prediction (NWP) model. By applying the 41 42 NWP model to weather forecasting, we have discovered the usefulness of GPS tomography to estimate water vapor distribution (Jin et al., 2011; Esteban et al., 2013). 43 Combined with the space-based GNSS (Global Navigation Satellite System) 44 occultation technique, it can provide neutral atmosphere products with high precision, 45 high vertical resolution, and low-cost, near-real-time, all-weather global coverage. In 46 addition, it can contribute to scientific research on the ionosphere (Kursinski et al., 47 1997; Rocken et al., 1997; Hajj et al., 2002; Kuo et al., 2007). 48

49	In ground-based GPS meteorology, GPS signal propagation through the atmosphere
50	is slowed, thus causing path delay on the GPS measurements, which is termed
51	tropospheric delay (Kouba and H éroux, 2001). Zenith total delay (ZTD) is one of the
52	most important error sources in GNSS navigation and positioning; however, it is a
53	very reliable information source in GNSS meteorology (Jacob et al., 2007; Jin et al;
54	2007; Jin et al; 2009; Falconer et al., 2009). ZTD consists of two parts: zenith wet
55	delay (ZWD) and zenith hydrostatic delay (ZHD) (Davis et al., 1985). Usually, ZHD
56	can be calculated with high accuracy from empirical models, and ZWD can then be
57	easily derived from ZTD based on formula ZWD=ZTD-ZHD. Afterward, slant wet
58	delay (SWD) can be obtained from ZWD based on the wet Niell mapping function
59	(Niell, 1996). Both ZWD and SWD are related to atmosphere water vapor, and thus
60	precipitable water vapor (PWV) and slant water vapor (SWV) can be derived from
61	ZWD and SWD using the humidity conversion coefficient (Song, 2004).
62	ZHD is usually estimated in GNSS meteorological research using the Saastamoinen
63	model (Flores et al., 2000; Troller et al., 2006; Champollion et al., 2009; Perler et al.,
64	2011; Jiang et al., 2014). The atmospheric weighted mean temperature $T_m$ is the key
65	variable to obtain high-precision humidity conversion coefficient (Mateus et al., 2014).
66	$T_m$ will differ significantly as the season varies and the region changes (Jin et al.,
67	2008). It can be determined by the surface temperature measurement, which is
68	provided by a radiosonde product or other meteorological data analyses (Bevis et al.,
69	1992; Wang et al., 2011).



valuable data source for atmospheric change studies (Rocken et al., 1997; Kursinski et 71 al., 1997; Hajj et al., 2002; Beyerle et al., 2005). The Constellation Observing System 72 73 for Meteorology, Ionosphere and Climate (COSMIC) is housed within the University COporation for Atmospheric Research (UCAR). The mission of the COSMIC RO is 74 75 to develop the weather, climate, space weather and geodetic research (Yen et al., 2007). The University Corporation for Atmospheric Research/COSMIC Data 76 Analysis and Archive Center (UCAR/CDAAC) supplies two different types of 77 products from the COSMIC mission: real-time data and post-processed data products. 78 79 Of these post-processed products, wet atmospheric profiles (wetPrfs) offer water vapor pressure, temperature, etc. Shi et al. (2009) compared the bias of PWV between 80 wetPrf-derived and precise point positioning (PPP)-derived data and suggested that 81 82 they have comparable accuracy levels. Kishore et al. (2011) discussed the difference in specific humidity between wetPrfs and radiosonde data. They concluded that both 83 sources have good correlation (~0.8) up to 8 km and that the humidity information of 84 85 wetPrfs is reliable up to nearly 8 km. In addition, Wang et al. (2013) studied the accuracy of wetPrfs using the Radiosonde products as the reference and revealed that 86 a global mean temperature deviation of -0.09 K and a global mean humidity deviation 87 is better than -0.12 g/kg in the pressure range of 925 to 200 hPa. 88

To improve the accuracy of water vapor derived using the GNSS technique, we optimized several key techniques for GNSS tomography. First, we precisely derived the  $T_m$  model using wetPrf profiles, then determined the regional humidity conversion coefficient. Next, for vertical constraints, we used a new way to determine the scale height of water vapor in the exponential model. Finally, we derived the smoothing
factors of the Gauss distance weighting function in the horizontal constraint using
Interim European Centre for Medium-Range Weather Forecasts (ECMWF)
Re-Analysis (ERA-Interim) products. We used GPS datasets from Hong Kong in
August 2016 to evaluate this new method. The results demonstrate better accuracy
than those of the traditional method with radiosonde data.

99 The rest of this paper is organized as follows. Section 2 introduces the principles of 100 GNSS tomography and the optimized technique for establishing the atmospheric 101 weighted average temperature model and deriving the scale height of water vapor. 102 Section 3 describes the data processing. Section 4 presents the validation of the 103 optimized method, and the quality control process for the tomography results. The 104 discussions and conclusions are given in Section 5.

#### 105

## 2. GNSS Tomographic formulation

In this section, we first introduce the GPS tomography model. We then illustrate the optimized techniques for the ZHD model and the humidity conversion coefficient determination. Finally, we present the constraint model.

# 109 2.1 Tomographic technique

To reconstruct 3D images of water vapor density distributions, the SWV along ray paths traversing the imaged region should first be obtained from dual-frequency GNSS data. This is defined by the line integral of water vapor density along the ray path from satellite to receiver (Flores et al., 2000), as follows:

114 
$$SWV = \frac{1}{\rho_w} \cdot \left( \int_s \rho(s) ds \right)$$
(1)

115 where  $\rho_w$  means the density of liquid water, *s* denotes the trajectory of GNSS signals 116 in the troposphere, and  $\rho(s)$  indicates the water vapor density.

Eq. (1) reveals that the accuracy of water vapor density mainly depends on the quality of the SWV. Generally, ZTD can be precisely estimated using the double-difference or PPP method. ZWD can be obtained by removing ZHD from ZTD. After the humidity conversion coefficient is determined, the SWV will be computed providing that the SWD is known (MacMillan, 1995), as follows:

$$122 \qquad SWD = STD - SHD - \Delta L_{gradient} \tag{2}$$

123 
$$\Delta L_{gradient} = \frac{1}{\sin(e) \cdot \tan(e) + C} \cdot \left( G_N \cdot \cos(\alpha) + G_E \cdot \sin(\alpha) \right)$$
(3)

$$124 \qquad PWV = \Pi \cdot ZWD \tag{4}$$

$$125 \qquad SWV = \Pi \cdot SWD \tag{5}$$

where STD and SHD are slant troposphere delay and slant hydrostatic delay, 126 respectively;  $\Delta L_{eradient}$  means the horizontal gradient;  $G_N$  and  $G_E$  are the north and east 127 atmosphere horizontal gradients, respectively; e and  $\alpha$  are the satellite elevation angle 128 and the azimuth angle, respectively; C is a constant with as C=0.003 (Chen and 129 Herring, 1997); and  $\Pi$  means the humidity conversion coefficient. SWD and SHD can 130 be projected to ZWD and ZHD based on the Niell mapping function (Niell, 1996). 131 From Eq. (2) and Eq. (5), we know that the accuracy of the ZHD and the humidity 132 conversion coefficient are the crucial aspects that affect SWV quality. Thus, it is 133 134 essential to develop a high-precision ZHD model and humidity conversion coefficient.

## 135 2.2 Humidity conversion coefficient

The humidity conversion coefficient  $\Pi$  can be expressed as a function of  $T_m$ .  $T_m$  varies across seasons and areas and depends mainly on the surface atmosphere temperature (Bevis et al., 1994), as follows:

(8)

139 
$$\Pi = \frac{10^6}{\rho_w \cdot \frac{R}{m_w} \cdot \left[\frac{k_3}{T_m} + k_2 - \frac{m_w}{m_d} \cdot k_1\right]}$$

140 
$$T_{m} = \frac{\int_{h_{0}}^{\infty} \binom{P_{w}}{T} \cdot dh}{\int_{h_{0}}^{\infty} \binom{P_{w}}{T^{2}} \cdot dh} = \frac{\sum \frac{(h_{2} - h_{1})P_{w}}{T}}{\sum \frac{(h_{2} - h_{1})P_{w}}{T^{2}}}$$
(9)

141 where  $\rho_w$  is the density of liquid water;  $k_1$ ,  $k_2$  and  $k_3$  are constants— $k_1 = 77.6$  K/hPa,  $k_2$ 142 = 70.4 K/hPa and  $k_3 = 3.739 \times 10^5$  K/hPa (Bevis, 1994);  $T_m$  is the atmospheric weighted 143 average temperature;  $m_d$  and  $m_w$  mean the molar masses of dry atmosphere and water 144 vapor, respectively; R indicates the universal gas constant;  $P_w$  indicates water vapor 145 pressure in units of hPa; T is the atmosphere temperature and h means the height.

### 146 2.3 Constraint model

Usually, the observation equation of the tomographic approach is rank deficient because the GPS signal cannot pass through all of the grids. Horizontal constraints, vertical constraints, priori information value constraints, and boundary constraints must be added to avoid this deficiency. With these constraints, we can use an iterative reconstruction algorithm, or a non-iterative reconstruction algorithm to resolve the tomography equation.

153 The horizontal constraint is the Gauss distance weighting function (Song, 2004), as154 follows:

155 
$$B = \frac{\exp^{\frac{-d_{i,j,k}^2}{2\delta^2}}}{\sum_{i=1}^{nl} \sum_{j=1}^{nm} \exp^{\frac{-d_{i,j,k}^2}{2\delta^2}}}$$
(10)

where *B* is the horizontal smoothing; the subscript *i,j,k* means the index of voxel in 3D space; *nl* and *nn* are the numbers of the grids in the east-west and north-south directions, respectively; *di,j,k* indicates the distance between known and unknown water vapor grids; and  $\delta$  denotes the smoothing factor, which will change at different levels. Section 3.3.1 explains how to estimate  $\delta$ .

The vertical distribution of water vapor does not follow the ideal-gas law, particularly in the lower levels. Currently, there is no accurate model function to fit the spatial distribution of water vapor. The vertical constraint of atmospheric tomography can be obtained using an exponential model (Jiang et al., 2014; Ye et al., 2016), as follows:

166 
$$\rho(h) = \rho_0 \cdot exp\left(-\frac{h-h_0}{H_{we}}\right) \tag{11}$$

167 where  $\rho(h)$  is the water vapor density at the height of h;  $\rho_0$  is the water vapor density at 168 the height of  $h_0$ ; and  $H_{we}$  is the scale height of water vapor.  $\rho_0$ ,  $h_0$  and  $H_{we}$  can usually 169 be determined using radiosonde or COSMIC historical data. In this case, the estimated 170  $\rho(h)$  is only an experience value and will have a greater error than the true value. 171 Therefore, we propose a new method to estimate  $\rho(h)$  and  $H_{we}$  in near-real-time.

Based on Eq. (2) and the Niell mapping function (Niell, 1996), ZWD can be estimated in real-time. PWV can then be obtained according to Eq. (4). The relationship between PWV and  $\rho(h)$  is established as follows:

175 
$$PWV = \frac{1}{\rho_W} \cdot \int_{h_0}^{h_{top}} \rho(h) dh$$
 (12)

176 where  $\rho_w$  is the density of liquid water;  $h_0$  is the height of station and  $h_{top}$  is the 177 height of tropopause. Combining Eq. (11) and Eq. (12), we get:

178 
$$PWV =$$

179 
$$\frac{1}{\rho_{w}} \cdot \int_{h_{0}}^{h_{top}} \rho(h) dh = \frac{1}{\rho_{w}} \cdot \int_{h_{0}}^{h_{top}} \rho_{0} \cdot exp\left(-\frac{h-h_{0}}{H_{we}}\right) dh = \frac{\rho_{0} \cdot H_{we}}{\rho_{w}} \left[1 - exp\left(-\frac{h_{top} - h_{0}}{H_{we}}\right)\right] \approx$$
180 
$$\frac{\rho_{0} \cdot H_{we}}{\rho_{w}}$$
(13)

The parameter  $H_{we}$  can be derived in real-time using Eq. (13). Based on Eqs. (11) and (13), Eq. (14) can be utilized to establish the functional relationship in the vertical direction, as follows:

184 
$$\frac{\rho_{i,j,k+1}}{\rho_{i,j,k}} = exp^{-\left(\frac{h_{k+1}-h_k}{h_{we}}\right)}$$
(14)

185 where  $\rho_{i,j,k}$  represents the water vapor value of datum voxel (i,j,k).

The priori humidity information can be used for the background field of troposphere tomography, and will enhance the computing speed and tomography accuracy. The synoptic observation data include the atmosphere pressure, atmosphere temperature, and relative humidity observed in the station and the atmosphere temperature and relative humidity can be interpolated into all of the voxels using Eqs. (10) and (14). Thus, the water vapor density of every voxel can be calculated (Jiang et al., 2014).

## 192 **3. Data processing**

193 3.1 Data collection

Data used to remote sense atmospheric water vapor contain ground-based GNSS observations and meteorological data, and space-based COSMIC wet profiles. UCAR/CDAAC supplies two different types of products: real-time profiles and

post-processed profiles. The former can be available within a few hours and the latter 197 can be available with a 6-week latency (www.cosmic.ucar.edu). We selected 198 post-processed profiles in this study. Wet profiles (wetPrfs) are one type of COSMIC 199 post-processed products that freely available for public 200 are access (http://cdaac-www.cosmic.ucar.edu/cdaac/). wetPrfs are interpolated products 201 sampled at 100-m intervals and obtained using a nonstandard one-dimensional 202 variation technique together with European Centre for Medium-Range Weather 203 Forecasts (ECMWF) low-resolution analysis data from altitude of the perigee point 204 from the surface to a 40-km altitude (CDAAC., 2005a). The average bias of 205 temperature between wetPrfs and radiosonde is less than 0.1 K, 70% - 90% of the 206 wetPrfs reach to within 1 km of the surface on a global 207 basis. 208 (http://www.cosmic.ucar.edu/ro.html).

We used ground-based GNSS observations and meteorological products from the 209 Hong Kong SatRef network (https://www.geodetic.gov.hk/), from 12 continuously 210 operating reference stations with an inter-station distance of 7 to 27 km, covering 211 approximately 1100 km<sup>2</sup>. All 12 stations were equipped with "LEICA 212 GRX1200+GNSS" receivers and had data sampling rates of 5 seconds, as shown in 213 Fig. 1. The meteorological data associated with each GPS station at 60-second 214 intervals is freely available at https://www.geodetic.gov.hk/. GPS datasets from 215 August 1, 2016 to August 31, 2016 were collected daily in Hong Kong. wetPrfs in or 216 217 near Hong Kong in August of 2009–2015 were downloaded.





Fig. 1. Distribution of the Hong Kong SatRef sites (blue triangles) inside the tomography
horizontal grid (black dotted lines) and the KingPark radiosonde station (red star). The region was
discretized into an 8 × 5 × 17 cell grid for the GPS water vapor tomography. The layer heights are
0, 400, 800, 1400, 2000, 2600, ..., 8600 from ground to water vapor layer top.

The reconstruction region covered an area ranging from latitude 22.22 to 22.52 N, longitude 113.85 to 114.35 E, and from ground to water vapor layer top (WVLT) in height. Thus, the entire area of Hong Kong was divided in to 5 × 8 horizontal grids and 17 vertical layers. A total of  $8 \times 5 \times 17 = 680$  voxels were divided in the 3-D space.

229 3.2 Regional weighted average temperature model

Bevis et al. (1994) first put forward the global  $T_m$  model using radiosonde products. Later, Wang et al. (2011) established the  $T_m$  model in Hong Kong using radiosonde products. Ye et al. (2016) also assessed the relationship between  $T_m$  and surface temperature based on radiosonde and COSMIC products. However, these three models only consider the parameter of surface temperature. We propose considering the effects of temperature and height to establish a  $T_m$  model using COSMIC products.

236 The new model is given as follows (Yao et al., 2013):

237 
$$TmN = a + b \cdot T_h + c \cdot T_h^2 + e \cdot h + f \cdot h^2$$
(15)

where *a*, *b*, *c*, *e* and *f* are constants that can be determined using COSMIC products;  $T_h$  indicates the temperature at height *h*; *h* denotes the height; and *TmN* is the new model value of  $T_m$ .

The weighted average temperature  $T_m$  is obtained using Eq. (9) with input wet pressure and temperature provided from wetPrfs. *TmN* can be derived using Eq. (15); its values are shown in Fig. 2. The wetPrfs described in Section 3.2 are used to derive the humidity conversion coefficient from Eq. (8).



245

Fig. 2. Considering height and surface temperature to establish the  $T_m$  model using wetPrf

- 247 products. T<sub>0</sub> is the surface temperature; and TmC is the fitted atmospheric weighted average
- temperature obtained from COSMIC products for 2009 to 2015 in Hong Kong.

As shown in Fig. 2, the new model's  $T_m$  values agree well with the true values. To 250 evaluate the new  $T_m$  model, its values are compared with those obtained from 251 radiosonde and COSMIC products. Fig. 3 shows the results for Hong Kong in August 252 2016. 253



254

Fig. 3. New  $T_m$  model values are compared with those derived from COSMIC and 255 radiosonde products in Hong Kong in August 2016. TmC is the  $T_m$  derived from COSMIC 256 257 products; TmN is the  $T_m$  derived from the new model; TmB is the  $T_m$  derived from the Bevis model; TmW is the  $T_m$  derived from the Wang model; and TmR is the  $T_m$  derived from radiosonde 258 259 products. 260 The statistical results comparing the model-derived and COSMIC-derived  $T_m$  are 261 given in Table 1. We provide a summary of the  $T_m$  deviation between 262 263 radiosonde-derived and model-derived data in Table 2. **Table 1.** Summary of the  $T_m$  deviation between COSMIC-derived and model-derived (K) data.

	Max.	Min.	Mean	RMS
TmC-TmN	2.2	-4.7	-0.5	1.7
TmC-TmB	6.3	-2.1	2.2	2.9
TmC-TmW	2.1	-5.7	-1.5	2.3

**Table 2.** Summary of the  $T_m$  deviation between radiosonde-derived and model-derived (K) data.

	Max.	Min.	Mean	RMS
TmR-TmN	4.7	-5.2	-0.1	2.4
TmR-TmB	6.1	-2.8	2.1	3.0
TmR-TmW	3.4	-6.4	-0.9	2.4

As shown in Tables 1 and 2, the new  $T_m$  model improves the accuracy of atmospheric weighted average temperature from the Bevis model and Wang model.

269 3.3 Tomography constraint condition

## 270 3.3.1 Estimating the smoothing factor

The smoothing factor  $\delta$  in Eq. (10) is an uncertain parameter in the horizontal 271 272 constraint. Usually, it is assigned a constant value of experience (Xia et al., 2013; 273 Jiang et al., 2014). Because  $\delta$  varies with regions and seasons and also changes with different levels of tomography model, ERA-Interim data for Hong Kong from August 274 2009 to August 2015 were used to precisely estimate  $\delta$ . ERA-Interim is a reanalysis of 275 the global atmosphere covering the data-period since 1989, and continuing in real 276 time (http://apps.ecmwf.int/datasets/data/). The specific humidity data with 60 levels 277 of vertical spatial resolution and a minimum grid of 0.125 °\* 0.125 ° are publicly 278 available. The main characteristics of the ERA-Interim system and many aspects of its 279 performance are described in ECMWF newsletters 110, 115, and 119 280 (http://www.ecmwf.int/publications/newsletters). In addition. comprehensive 281

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documentation of ERA-Interim, including observation usage, is currently being prepared and will be made available at <u>http://www.ecmwf.int/research/era</u>.

In each level, the humidity information of one grid point equals the weighted average of its neighbors (Rius et al., 1997), as follows:

286 
$$0 = B_1 \rho_1 + B_2 \rho_2 + \dots + B_{j-1} \rho_{j-1} - \rho_j + B_{j+1} \rho_{j+1} + \dots$$
(16)

According to the humidity information provided by ERA-Interim, Eq. (16) can be solved using the optimal parameter search method. The search step is set to 1 and the search range is [0, 20]. The value of  $\delta$  is exactly equal to the number of grid points in each level, and we defined the mean of  $\delta$  as the smoothing factor of the level. Table 3 lists the  $\delta$  values at different heights using ERA-Interim data for Hong Kong from August 2009 to August 2015.

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 Table 3. Smoothing factor derived by ERA-Interim products at different heights.

Height range (km)	0	0.4	0.8	1.4	2	2.6	3.2	3.8	4.4	5.0	5.6	6.2	6.8	7.4	8.0	8.6
$\delta$ (integer)	8	8	7	6	5	8	4	7	6	6	4	4	4	4	4	4

Table 3 shows that the smoothing factors present a nonlinear change for increasing heights below 6 km, but do not change between 6 and 9 km. The horizontal constraint can be accurately determined based on the smoothing factor and the distance between known and unknown grids.

298 3.3.2 Vertical constraint

The purpose of GNSS tomography technique is to derive the 3-D distribution of water vapor. Thus, the accuracy of the vertical constraint will directly affect the quality of the tomography results. Because water vapor randomly varies in time-space, it is difficult to precisely probe the spatial distribution of water vapor. Traditionally, Eq.

303	(14) was used as a vertical constraint and the parameter $H_{we}$ could be obtained using
304	COSMIC or radiosonde historical data products (Ye et al., 2013; 2016). Due to $H_{we}$
305	changes over time are obvious, so they need to be obtained once for each tomography
306	epoch. In this paper, PWV was derived using Eq. (4), and $H_{we}$ was then derived in real
307	time based on Eq. (13). To evaluate the accuracy of $H_{we}$ , the radiosonde-obtained
308	water vapor is used as references to assess the water vapor calculated using Eq. (11).
309	The statistical results are given in Table 4 using the "45004th" radiosonde station (lat:
310	22.32; lon: 114.16) and HKSC station (lat: 22.32; lon: 114.14) datasets from August
311	2016 under 10 km.

Table 4. Statistical results from Eq. (11)-derived and radiosonde-derived PWV (g/m<sup>3</sup>). RWV is the
 water vapor density obtained from the radiosonde product; TWV is the water vapor density

- derived from the  $H_{we}$  obtained by the traditional method using Eq. (11); NWV is the water vapor
- 315

density derived	from the $H_{we}$	obtained by the	he new method	l using Ea	q. (11)
2				<i>U</i>	1 \ /

	RMS	Mean
RWV-TWV	8.29	-3.29
RWV-NWV	5.15	-2.87

As shown in Table 4, the water vapor density derived from the  $H_{we}$  obtained using the new technique and Eq. (11) is closer to the radiosonde-derived water vapor density. Therefore, it is more reasonable to use the  $H_{we}$  obtained using the new technique and Eq. (14) as the vertical constraint.

# 320 4. Result validation and analysis

321 To evaluate our optimized method, we obtained ZTDs from the Hong Kong SatRef

322 network in August 2016, based on Bernese 5.2 (non-difference) software. The ZHDs

were estimated using the Saastamoinen mode. The SWV was then obtained using the 323 Niell mapping function (Niell, 1996) and the calibrated humidity conversion 324 325 coefficient. The WVLT was determined as 9.5 km from COSMIC historical data and Ye et al.'s (2016) method. Following the tomography model proposed by Flores et al. 326 (2000), we estimated the 3D water vapor distribution using the GPS tomography 327 technique with the horizontal constraint from Eq. (10) and the vertical constraint from 328 Eq. (14). The tomography equation was solved adopting Kalman filtering. The 329 tomography results were outputted once every 30 minutes. As we have limited space, 330 331 Fig. 4 only shows the 3D distribution of water vapor density on August 1, 2016 and August 2, 2016. 332



**Fig. 4.** 3D tomographic water vapor distribution in Hong Kong on August 1, 2016 and August 2,

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2016.

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Figure 4 presents the 3D tomographic water vapor distribution in Hong Kong for heights lower than 9.5 km. The results show that the water vapor changes significantly below 3.8 km, whereas it remains stable above 3.8 km. In addition, the water vapor is mainly concentrated blow 2.6 km.

Radiosonde products contain 3-D distribution of meteorological elements such as 342 343 atmosphere temperature, atmosphere pressure, mixing ratio, and relative humidity. The "wet" pressure can be obtained based on the pressure and mixing ratio and can be 344 utilized to compute the water vapor density (Song, 2004). To verify the advantage of 345 the optimized GPS tomography method, using radiosonde products as references, the 346 tomography results were compared with those derived from the traditional tomography 347 technique using the Saastamoinen dry model, traditional humidity conversion 348 349 coefficient (0.1538), smoothing factor (10) and  $H_{we}$  obtained using the traditional technique and COSMIC historical products. Fig. 5 compares the water vapor densities 350 derived from radiosonde products and the traditional and optimized tomography 351 352 techniques for August 1, 2016 and August 2, 2016.

4.1 Compare the results between tomography-obtained and radiosonde-obtained



353

Fig. 5. Water vapor densities obtained from tomography-derived and radiosonde-derived data. Rad
is the water vapor density derived using radiosonde products; Trad is the water vapor density
derived using the traditional tomography method; and Opti is the water vapor density derived



It can be observed in Fig. 5 that the changing trends of water vapor with height across the tomography-obtained and radiosonde-obtained have a good agreement. However, when the "inversion layer" occurs, GPS tomography cannot accurately reflect this situation. In Table 5, we present the deviation statistics for GNSS tomography-obtained and radiosonde-obtained water vapor density at heights above and below 3.8 km, using 31-day datasets from Hong Kong over the whole of August 2016.

Table 5. Statistics for tomography-derived and radiosonde-derived water vapor density above and
 below 3.8 km (g/m<sup>3</sup>). Rad is the radiosonde-derived water vapor density; Opti is the optimized
 tomography-derived water vapor density; and Trad is the traditional tomography-derived water

#### 368

#### vapor density.

H	leight	Lowe	r 3.8 km	Upper 3.8 km		
		Bias	RD	Bias	RD	
Maan	Rad-Opti	-1.45	-18.76%	0.56	29.87%	
Mean	Rad-Trad	-1.88	-24.32%	0.74	39.45%	
DMC	Rad-Opti	2.61	33.76%	0.91	48.38%	
KIVIS	Rad-Trad	3.03	38.94%	0.99	52.37%	

Table 5 provides the statistics values of the differences between GNSS 369 tomography-obtained and radiosonde-obtained results. As seen from the statistical 370 results, the RMS and mean values of troposphere tomography using the optimized 371 372 technique is less than that based on the traditional method for altitudes below 3.8 373 km. In addition, compared with the radiosonde data, the test results show that the water vapor density quality obtained by the optimized technique is 13.8% better 374 below 3.8 km and 8.1% better above 3.8 km than that obtained by the traditional 375 technique. 376

### 4.2 Quality of GPS tomography technology

We also studied the differences in the entire humidity profile between the tomography-derived and radiosonde-derived results. We used the root mean square (RMS) and Pearson product-moment correlation coefficient (PCC) as the evaluation index correlated between the two profiles. PCC is a commonly used measure of the degree of correlation of two sequences of parameters, and the mathematical model is as follows (Lee Rodgers and Nicewander, 1988):

384 
$$PCC = \frac{\sum_{i=1}^{N} \left( X_i - \overline{X_i} \right) \left( Y_i - \overline{Y_i} \right)}{\sqrt{\sum_{i=1}^{N} \left( X_i - \overline{X_i} \right)^2} \cdot \sqrt{\sum_{i=1}^{N} \left( Y_i - \overline{Y_i} \right)^2}}$$
(17)

Fig. 6 presents the PCC and RMS of tomography results (traditional and optimized) for August 2016. Here we set up a set of criteria to evaluate the tomography profile PCC > 0.90 and RMS  $< 2.0 \text{ g/m}^3$ . When GPS tomography results meet these criteria, they are considered a success. According to the criteria, the success rate of the inversion is shown in Table 6.



391 Fig. 6. Time series of PCC and RMS for August 2016. Opti is the optimized tomography-derived

392

water vapor density; and Trad is the traditional tomography-derived water vapor density.

393

 Table 6. Statistical results of PCC and RMS for August 2016 (%).

	Trad	Opti
PCC	66.29	55.57
RMS	60.14	51.43
PCC and RMS	48.07	38.36

As shown in Table 6, the success rate of the optimized technique is nearly 10% higher than that of the traditional technique, and the degree of improvement is evident. In fact, the principles of radiosonde and GPS tomography techniques are different. Radiosonde products reflect the state of the atmosphere at a certain time at the instrument's location, but GPS tomography techniques mirror the average water vapor state. Thus, it is difficult to determine an absolute standard to evaluate the success of GPS tomography results.

## 401 **5. Conclusions**

402 In this study, several key techniques in the GNSS tomography method were optimized to improve the accuracy of water vapor density. First, we re-established an 403 atmospheric weighted average temperature model using COSMIC wetPrfs. According 404 to the spatial distributions of water vapor provided by COSMIC products, we used the 405 exponential model to fit the vertical variation of water vapor. The exponential function 406 is usually utilized as the vertical constraint, and we proposed a new method to 407 compute the scale height of water vapor. We determined the smoothing factor of the 408 Gauss distance weighting function using ERA-Interim products. Finally, we used GPS 409

datasets from Hong Kong in August 2016 to compute the PWV and the verticaldistribution of water vapor density.

To evaluate the quality of the optimized technique, we compared the optimized and traditional technique results with radiosonde-obtained water vapor. The statistical results show that the water vapor density quality obtained by the optimized technique is 13.8% better below 3.8 km and 8.1% better above 3.8 km than that obtained by the traditional technique. We then calculated the success rate of tomographic inversion according to PCC and RMS. The statistics show that the success rate of the optimized technique.

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