

1 Dear Dr. Foster,

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3 Thank you very much for your comments. Here is our response.

4

5 **lines 255-258: Two techniques for calculating the normal vector of the shock (n) are**
6 **described. How well do these two techniques agree with each other?**

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8 The Finnish data base gives the coordinates of the normal vector to shocks as calculated from the
9 magnetic field data and velocities using the mixed mode method of Abraham-Shrauner and Yun
10 [1976]. When there is data gap in the velocity components, the normal is calculated using
11 magnetic field coplanarity [Colburn and Sonett, 1966]. Abraham-Shrauner [1972] suggested the
12 “mixed mode method as an alternative to other methods when the accuracy of the magnetic field
13 used in the calculations is uncertain”. She noted that, for example, if the magnetic field is
14 exactly normal or tangential to the shock front, magnetic coplanarity fails to give an expression
15 for the shock normal. Our list of interplanetary shocks contains events for which the
16 determination of the values of the magnetic field ahead and behind the shock was not very
17 complicated (no strong oscillations), so we always use magnetic field coplanarity to calculate the
18 shock orientations. We found that the sense of our shock orientations (spiral or orthospiral)
19 agrees well with the shock parameters in the Finnish database.

20 **For the fast mode propagation velocities, it would be good to describe the theoretical**
21 **parametric dependence of the fast mode velocity (e.g. its dependence on radial dis-**
22 **tance). How well do the observed pulse velocities agree with theory for the Feb 2014**
23 **event (e.g. lines 310-312) and others?**

24

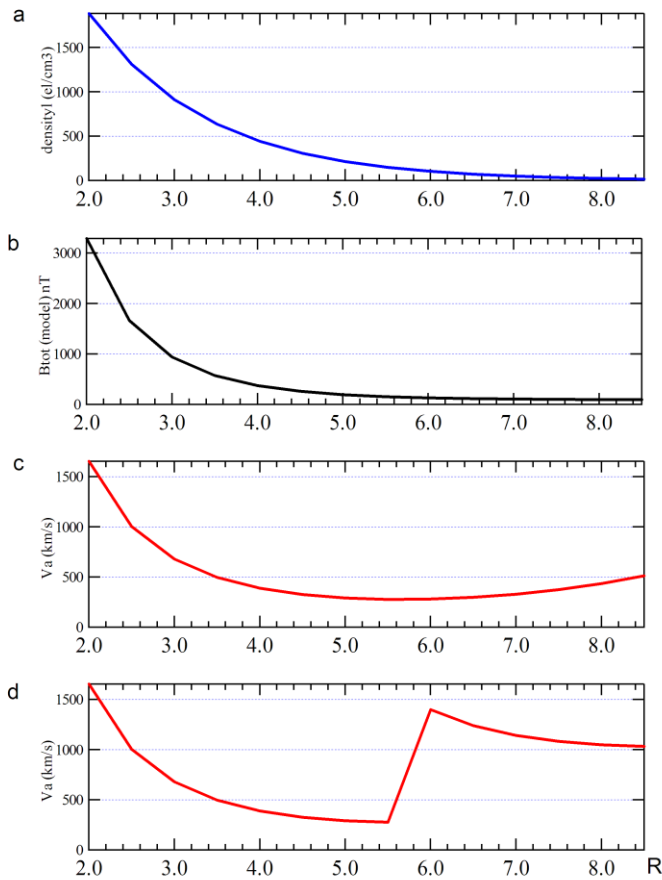
25 The exact value of the fast mode wave speed in the magnetosphere depends on the direction of
26 its propagation. For propagation perpendicular to B, the phase velocity V_F is $(V_A^2 + C_S^2)^{1/2}$, where
27 V_A is Alfvén velocity and C_S is the sound velocity.

28 The Alfvén velocity is given by $V_A = B / (\mu_0 \rho)^{1/2}$. To calculate V_A we used the Carpenter and
29 Anderson density profile obtained from a least squares linear fit to 25 ISEE dayside saturated
30 plasmasphere profiles [J. Geophys. Res., 97, A2, 1097-1109, 1992].

31 Figure 1a shows their reference density profile given by $n_e = 10^{(-0.3145L + 3.9043)}$ for L increments of
32 0.5. Figure 1b and Figure 1c show the values of the magnetic field obtained from a CCMC run
33 for the Tsyganenko geomagnetic field model for the solar wind conditions on February 27, 2014
34 and the corresponding Alfvén velocity, respectively. Then we set the plasmapause at $L = 6$ and

35 took the density n_e as 4 cm^{-3} beyond this distance to obtain the corresponding Alfvén velocity
 36 presented in Figure 1d. The values for the Alfvén velocity at the locations of Van Allen Probes A
 37 ($L=5.1$) and B ($L=5.5$) are about 284 km/s whereas at the GOES location it is 1240 km/s.
 38 Because the temperature is very low, the fast mode velocity is the same as the Alfvén velocity in
 39 the plasmasphere [Takahashi et al., J. Geophys. Res. 115, 2010] and is only $\sim 100 \text{ km/s}$ greater in
 40 the outer magnetosphere. These model values for the fast mode velocities are in good agreement
 41 with the values obtained in our paper.

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44 **Figure 1.** Radial profiles: (a) reference density profile given by $n_e = 10^{(-0.3145L+3.9043)}$ for L
 45 increments of 0.5, (b) values of the magnetic field obtained from a CCMC run for the
 46 Tsyganenko geomagnetic field model, corresponding Alfvén velocities (c) without and (d) with
 47 a plasmopause.

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50 **In the Introduction (lines 89-100), the resonant acceleration of trapped particles is**
51 **discussed briefly. This paper presents observations and calculations of the**
52 **propagation speed of the shock-induced pulse, the strength and variation of E_y , and**
53 **the associated plasma drift velocities V_x and V_y . A useful addition to the paper would**
54 **be to present some detail on how those parameters have important effects on plasma**
55 **acceleration in interactions involving the initial fast-mode pulse or with subsequent**
56 **ULF oscillations. For example, the studies of Wygant et al [1994] using CRRES data,**
57 **Foster et al. [2015] using Van Allen Probes data, and others have shown that within**
58 **the magnetosphere, the tailward propagation of the strong shock-induced electric**
59 **field impulse can result in the extremely fast acceleration of high energy, ultra-**
60 **relativistic electrons deep within Earth's Van Allen radiation belts. The strong electric**
61 **field associated with the shock- induced fast mode pulse is of about 1-min duration**
62 **and accelerates radiation belt electrons for the length of time they are exposed to it.**

63
64 We added new Figures 7, 10, 11, 12, made some calculations, rewrote the section of statistical
65 study and the conclusions to improve our paper.

66 Thank you again for your help.

67 Regards,

68 Galina Korotova.

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85 Dear Referee2,

86 Thank you very much for your corrections and suggestions. We took your comments seriously
87 and it took some time to prepare our reply. Here is our reply.

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89 **The major one concerns the fact that it is quite difficult to find what is really new in this**
90 **study. Of course the results are very interesting but they not provide perspectives or**
91 **insights of what they could offer to inner magnetosphere scientists. First, the authors**
92 **should highlight the main results in the abstract section. Then, at the end of the**
93 **introduction section, it is not clear also what is the main purpose of this study and what it is**
94 **new. Finally in the conclusion section, it is still not evident to find what is new compared to**
95 **previous studies. The authors should try to improve this.**

96

97 We have now highlighted the main results in the abstract and in the introduction discussing the
98 purpose of the paper . We rewrote the conclusion of the paper.

99

100 **In this idea, I would like also to recommend the authors to analyze and discuss maybe a**
101 **little bit more on the implications of their work regarding three directions:**

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103 **Using the multi-events analysis and their conclusions, is there a way to deduce from solar**
104 **wind precursors, what will be the response of the magnetosphere : could we be able to**
105 **estimate / anticipate the induced electric fields characteristics (directions, amplitudes,**
106 **periods, ...) that could be of interest regarding space weather (intensity, plasma heating,**
107 **time lag...) ?**

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109 We added a new Figure 10 and showed that the periods of the pulsations initiated by IP shocks
110 increase with radius. We believe that most pulsations in the dayside magnetosphere at $L < 6$ are
111 produced by field-line resonances.

112 Regarding space weather we added three additional Figures 11, 12 and 13 and a new paragraph
113 in the statistical study section to describe the response of the magnetosphere to IP shocks. In
114 particular we have a much more extensive discussion of electric field direction, amplitudes and
115 period.

116 Electron perpendicular temperatures observed by HOPE were available for 30 events. 13
117 events showed an increase of temperature, 6 events showed a decrease of temperature and 11
118 events did not show any change. Proton perpendicular temperatures were available for 40
119 events. 24 events showed a decrease of T, 12 events showed an increase of T and 12 events did

120 not show any change. We did not find any consistent pattern for behavior of electron and proton
121 temperatures after impact of IP shocks.

122

123 **Based on this analysis (both the February 27th 2014 and the multicase study), some**
124 **interesting perspectives / analysis could be made between the analyzed characteristics of**
125 **the electric fields induced and the response of the radiation belts during these disturbed**
126 **time especially regarding: dropouts at low energy induced by convection electric field ($E <$**
127 **100 keV) and radial transport through typical radial diffusion for all energies?**

128

129 Here we are studying the immediate response to IP shocks. Studies of diffusion would require
130 determining ULF wave amplitudes, the extent of wave fields, and simulations which are beyond
131 the scope of this paper.

132

133 We added a paragraph to the paper:

134 Understanding and predicting such responses is important for reducing the risks associated with
135 space exploration. We found that 55 events showed an electron enhancement at energies of 32-
136 54 keV measured by MagEIS at all local time and three of them were accompanied by
137 intensity decreases at higher energies. Five events showed a decrease of the 32-54 keV
138 energy electrons observed in the nightside magnetosphere.

139

140 **What is the impact of the plasmasphere in the dayside sector and in the nightside sector on**
141 **induced electric fields at such times as the plasmasphere is no more circular (and**
142 **conversely)?**

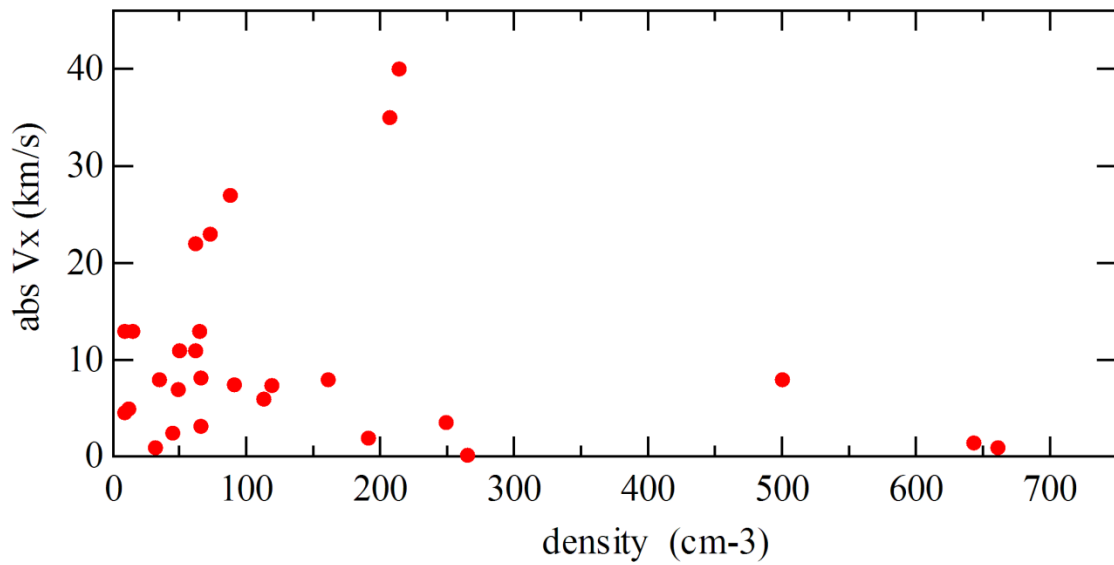
143

144 The figure below presents the magnitude of V_x flow velocities as a function of plasmaspheric
145 density obtained from electric potential on the Van Allen Probes. Consistent with expectations,
146 the velocities induced by IP shocks can attain greater values in regions of low magnetosphere
147 densities and are invariably small for regions where densities exceed 260 cm^{-3} .

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152 Amplitudes of shock induced Vx flow velocities as a function of plasmaspheric density
153 obtained from electric potential on the Van Allen Probes.

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155 We corrected minor errors.

156 Thank you again for your help.

157 G. Korotova

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169 Dear Referee 3,

170 Thank you very much for your comments and suggestions.

171 Here is our reply.

172 **Instead of GSE coordinates, a field-aligned coordinate system might be able to show in a**
173 **more clear way the radial and azimuthal directions of propagation as well as the direction**
174 **of the electric field with respect to the magnetosphere. For example, in Figure 6 the**
175 **azimuthal components are discussed but E_y GSE is plotted.**

176 We used GSE coordinates because the magnetic field data were already available in these
177 coordinates. Our results suffice to show clearly a pattern for the radial and azimuthal directions
178 of propagation as well as the direction of the electric field in GSE coordinates. We replaced
179 “azimuthal” with E_y .

180

181 **It is mentioned that “In the solar wind Cluster 2 observed the shock earlier than and**
182 **Cluster 1, respectively, that is the shock moved downward”. Was this supposed to mean**
183 **“earlier than Cluster 1”? If yes, in Figure 2, C2 appears to be located downward of C1, so**
184 **the shock should be moving duskward. Please clarify.**

185

186 Yes. We believe that the shock moved downward across the magnetosphere. The reason for this
187 is that we have used both Wind and Cluster observations to make numerous coplanarity
188 calculations of the normal to the IP shock for a wide variety of observed upstream and
189 downstream input parameters. After a useful online discussion with Prof. Owen we chose a very
190 typical normal that pointed in the (x, y, z) direction [-0.8, -0.4, -0.3]. From this, we conclude
191 that the shock propagated downward and antisunward and struck the duskside magnetopause
192 first, precisely consistent with the picture that we drew in the paper. We avoided discussing the
193 nz component as we get very mixed results for sense of this component depending on the input
194 parameters chosen.

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196 The referee is correct. From timing considerations and the Cluster observations, it appears
197 that the shock should propagate duskward from C2 to C1. However, we are examining 3s time
198 resolution observations from Cluster, the two spacecraft are very close together, and the times
199 corresponding to the observations differ for the two spacecraft. The apparent lag from C2 to C1
200 is an artifact of the times when 3s observations are available. When we use C1 and C3, located
201 further apart, the lag time is most definitely consistent with downward and antisunward shock
202 propagation.

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204 **It is written that “In the outer magnetosphere the propagation velocity for the disturbance**
205 **was about 1348 km/s between Goes 13 and 15 but only about 390 km/s between Van Allen**
206 **Probes B and A”. These are greatly inconsistent, and this discrepancy is not discussed in**
207 **the paper. To my understanding, this can only be reconciled if a different propagation**
208 **direction is assumed for the red arrows of Figure 2, which might also re-quire a**
209 **reconsideration of the shock front propagation. A possible orientation could be an arrow**
210 **that originates from the pre-noon region (e.g. 0900 LT) and points towards the Earth,**
211 **which is different from the results of the paper. Please discuss.**

212 Please, read our reply to Dr, Foster (lines 25-47).

213 These model values for the fast mode velocities are in good agreement with the values obtained
214 in our paper.

215

216 **Please discuss in greater detail the methodology used in order to determine spiral**
217 **and orthospiral orientations of the shock normal, and the expected errors in these**
218 **estimates.**

219 The Finnish IP shock data base gives the coordinates of the normal vector to shocks as calculated
220 from the magnetic field data and velocities using the mixed mode method of Abraham-Shrauner
221 and Yun [1976]. When there is data gap in the velocity components, the normal is calculated
222 using magnetic field coplanarity [Colburn and Sonett, 1966]. Abraham-Shrauner [1972]
223 suggested the “mixed mode method as an alternative to other methods when the accuracy of the
224 magnetic field used in the calculations is uncertain”. She noted that, for example, if the magnetic
225 field is exactly normal or tangential to the shock front, magnetic coplanarity fails to give an
226 expression for the shock normal. Our list of interplanetary shocks contains events for which the
227 determination of the values of the magnetic field ahead and behind the shock was not very
228 complicated (no strong oscillations). We also removed some events with IMF radial orientation,
229 so we can always use magnetic field coplanarity to calculate the shock orientations. Though our
230 calculations sometimes give different values for the normal vectors and depends on the choice of
231 intervals chosen for the calculation, we repeatedly obtain the same sense of normal orientation
232 for all the calculations and pairs of upstream and downstream values: dawnward or duskward for
233 each of our calculations. We also found that the sense of our shock orientations (spiral or
234 orthospiral) agrees well with the shock parameters in the Finnish database.

235

236 **The association of the four groups with ongoing processes could be further discussed. E.g.,**
237 **Pi pulsations and substorms are not mentioned at all in the paper**

238 We added a paragraph and a new Figure 11 in the paper.

239 The ULF electric field pulsations of Pc and Pi types produced by IP shocks are observed at all
240 local times and in the range of periods from several tens of seconds to several minutes. We
241 believe that the magnetic field as well the electric field pulsations initiated by IP shocks are
242 generated by a wide variety of mechanisms including plasma instabilities, transient
243 reconnection, pressure pulses, and often correspond to field line resonances. Their
244 characteristic features are determined to large extent by local time. In the dayside
245 magnetosphere typical pulsations are of the Pc5 type. Sometimes they last for more than twenty
246 wave cycles without noticeable damping which could be explained by a continuous input of the
247 solar wind energy into the magnetosphere. In the nightside magnetosphere during substorms, the
248 generation of Pi2 pulsations is more common. They exhibit an irregular form, last 3-5 wave
249 cycles, and often exhibit damping. Figure 10 presents periods of the pulsations (measured for the
250 first wave cycle of oscillations) as a function of radius and shows that periods increase with
251 increasing radius. A simple explanation for this behavior of pulsation frequencies with radial
252 distance can be given in terms of standing Alfvén waves along resonant field lines (Sugiura and
253 Wilson, 1964). The length of the field lines, the magnetic field strength, and the plasma density
254 distribution determine the Alfvén velocity, and the periods of the pulsations. This plot indicates
255 that most electric field pulsations of the Pc5 type in the dayside magnetosphere at $L < 6$ are
256 produced by field line resonances.

257 We added some information on shocks and substorms.

258 Discontinuities in the solar wind plasma such as shocks have often been considered as possible
259 triggers for the release of energy stored within the magnetotail in the form of magnetospheric
260 substorms. Most previous studies of shocks leading to substorms have relied on ground
261 magnetometer observations. Recently it has been shown that the use of global auroral images to
262 identify substorm onsets has some advantages over many other alternative substorm onset
263 signatures, such as low-latitude Pi2 pulsations, auroral kilometric radiation (AKR), and
264 dispersionless particle injections and magnetic field dipolarization at geosynchronous
265 orbits [e.g., Liou et al., 2003]. To identify substorms triggered by shocks in our study we
266 considered negative magnetic bays by examining the westward auroral electrojet AL index at
267 the times when SSC were determined from low-latitude ground magnetograms. As a
268 quantitative definition for the substorm bay does not exist we used the criteria of Liou et al. [
269 2003] that there should be a sharp decrease in AL of at least 100 nT occurring within a 20 min
270 window starting at the SSC. We found that shocks triggered a substorm in the magnetosphere
271 in 17 of the 30 examined events. Further study whether these negative magnetic bays are
272 unique identifiers of substorms is beyond the scope of the paper.

273 Thank you again for your help with improving the paper.

274 Regards,

275 Galina Korotova.