



1 **Assessing the role of planetary and gravity waves on the vertical structure of ozone over**  
2 **central Europe**

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10 **Abstract**

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12 *Planetary and gravity waves play an important role in the dynamics of the atmosphere. They*  
13 *are present in the atmospheric distribution of temperature, wind and ozone content. These*  
14 *waves are detectable also in the vertical profile of ozone and they cause its undulation. One of*  
15 *the structures occurring in the vertical ozone profile is laminae, which are narrow layers of*  
16 *enhanced or depleted ozone concentration in the vertical ozone profile. They are connected*  
17 *with the total amount of ozone in the atmosphere and with the activity of the planetary and the*  
18 *gravity waves. The aim of this paper is quantifying these processes in the central Europe. We*  
19 *compare the occurrence of laminae induced by planetary waves (PL) with the occurrence of*  
20 *these induced by gravity waves (GL). We show that the PL are 3-5 times more frequent than*  
21 *the gravity wave ones. There is a strong annual variation of PL, while GL exhibit only a very*  
22 *weak variation. With the increasing lamina size the share of GL decreases and the share of*  
23 *PL increases. The vertical profile of lamina occurrence is different for small planetary wave*  
24 *and gravity wave laminae. The trend of large lamina occurrence frequency is given by the*  
25 *trend in PL, not by GL.*

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27 **Key words:** ozone lamina; vertical ozone profile, planetary wave activity, gravity waves  
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30 **1. Introduction**

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32 There are various structures in the vertical profile of ozone affected by the activity of the  
33 planetary and gravity waves. Ones of them are narrow layers of the enhanced or depleted  
34 ozone concentration in the ozone vertical profile, which are called ozone laminae. The first  
35 investigation of these structures was made by Dobson (1973), who found that they occur  
36 predominantly in a cold half of the year. Similar findings were obtained also by Reid and  
37 Vaughan (1991). They observed the maximum occurrence of laminae in the lower  
38 stratosphere at heights around 14 km. Above the ozone profile maximum their occurrence is  
39 rare.

40 The existence of laminae was confirmed by lidar and satellite measurements (Bird et al.,  
41 1997, Orsolini et al., 1997, Kar et al., 2002). They were found also in water vapour in the  
42 stratosphere (Teitelbaum et al., 2000). The dynamics of the stratosphere plays a crucial role in  
43 a lamina formation. This finding was confirmed by the ability of dynamical models to capture  
44 these narrow layers (Manney et al., 2000, Orsolini et al., 2001). The number of large laminae  
45 is strongly correlated with the total ozone content and it is the reason why we have been  
46 interested in laminae (Križan and Lastovicka, 2005).

47 The laminae are not only the indicator of the atmospheric ozone content but also they are  
48 connected with the gravity and planetary wave activity. Teitelbaum et al. (1995) developed a  
49 identification procedure which enable us to detect the planetary and gravity wave activity in  
50 the ozone vertical profile. In this paper we apply this method to ozone laminae and each



51 lamina we sort to the one of the following groups: laminae induced by gravity wave activity  
52 (GL), by planetary wave activity (PL) and laminae which are neither induced by the gravity  
53 waves nor by the planetary waves. Similar method was used by Grant et al., (1998) and Pierce  
54 and Grant (1998) but only for the Wallops Island station. The aim of this paper is finding the  
55 characteristics of GL and PL in central Europe in the period 1970-2016. At first we test if the  
56 Teitelbaum method is suitable for central Europe. Next the annual variation of GL and PL is  
57 examined. Then we explore the dependence of lamina composition on their size. We also  
58 compare the vertical distribution of GL and PL. We deal with their trends. The content of this  
59 paper is as follows: section 2 describes methods and data, section 3 gives results, in section 4  
60 the results are discussed and the last section is conclusions.

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## 63 2. Methods and data

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65 Now we shortly describe the lamina searching procedure. Each positive lamina consists of  
66 the three main points: the lower minimum, the main maximum and the upper minimum. The  
67 depth of lamina must be between 500 and 3500 m due to the vertical resolution of the  
68 ozonsondes (lower limit) and due to the fact that the ozone lamina is a narrow layer of the  
69 enhanced ozone concentration (upper limit). The size of laminae is given as a difference  
70 between the ozone concentration in the main maximum and the average concentration from  
71 both minima. More about the lamina searching procedure can be found in (Krizan and  
72 Lastovicka, 2004) and (Lastovicka and Krizan, 2005).

73 The method used in this paper for the searching the activity of gravity and planetary waves  
74 in the ozone profile is a modification of the methods given by Teitelbaum et al. (1995). Figure  
75 1 (upper panel) shows the real ozone profile at Hohenpeissenberg from February 2, 1970. We  
76 use the linear interpolation with the step 50 m for the approximating the ozone profile with  
77 the high vertical resolution. Then the 50 point moving average (2500 m in vertical) is applied  
78 to this real profile to obtain the smooth profile. This smooth profile is also displayed in fig.1  
79 (upper panel). The same procedure is applied to the potential temperature and the results are  
80 given in fig. 1 (lower panel). In the next step we compute the differences between the high  
81 resolution profile and the smooth profile for the ozone partial pressure (fig 2 upper panel) and  
82 the potential temperature (fig 2 lower panel). The differences are much higher for the ozone  
83 profile than for the potential temperature profile. The differences in the vertical gradients of  
84 the ozone partial pressure and the potential temperature must be taken into account. So we  
85 must apply the following correction factor to the potential temperature perturbations:

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$$88 R(z) = [(1/O_{3avg}) * (dO_3/dz)] * [(1/\Theta_{avg}) * (d\Theta/dz)] \quad (1, 1)$$

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90 where  $O_{3avg}(\Theta_{avg})$  is the average ozone partial pressure (potential temperature) profile in the  
91 layer with the width  $dz$ . The vertical distribution of this correction is given in fig.3 (upper  
92 panel). The correction is the highest in the lower stratosphere where the vertical gradient of  
93 ozone is strong. Above 20 km we observe the negative values of this factor, which is  
94 predominantly given by the negative gradient of the ozone partial pressure and the strong  
95 positive gradient of the potential temperature. When we multiply the potential temperature  
96 perturbations with this correction, we obtain the perturbations, which are shown in fig. 3  
97 (lower panel). These new perturbations are not similar to that given in fig.2 –lower panel. In  
98 each point of the high resolution ozone profile we compute the correlation coefficient between  
99 the ozone perturbations and the scaled potential temperature perturbation up to 5 km above  
100 this point. The vertical dependence of this correlation coefficient from the ground to the point



101 which is situated 5km below the highest ozone profile point is seen in fig.4. If the correlation  
102 coefficient is greater than 0.7, the vertical ozone profile in this point is influenced by the  
103 gravity waves. In fig 4 the correlations are higher than 0.7 at some altitudes above 5 km and  
104 below 15 km. If the lamina maximum is situated in this high correlation area we conclude  
105 this lamina is induced by the gravity waves. On the other hand, if these correlations are low  
106 (between -0.3 and 0.3), we consider the ozone profile to be influenced by the planetary waves  
107 in this point (from 17 to 22 km on fig. 4) and again if there is a lamina maximum there we  
108 consider this lamina as the one induced by the planetary waves. When the correlation  
109 coefficient is above 0.3 and below 0.7 or below -0.3 we are not able to evaluate what type of  
110 laminae is present and call them indistinguishable laminae. The boundary values of  
111 correlation coefficients were taken from Teitelbaum et al. (1995)

112 We apply this procedure to the following European midlatitudes stations:  
113 Hohenpeissenberg (Germany, 1970-2016, 5166 files), Payerne (Switzerland, 1970-2016, 5998  
114 files), Uccle (Belgium, 1970-2015, 6221 files), Lindenberg (Germany, 1975-2013, 2380 files)  
115 and Legionowo (Poland, 1979-2016, 1728 files). These data were taken from WOUDC  
116 Toronto (<http://woudc.org/archive/Archive-NewFormat/>).  
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### 120 **3. Results**

#### 121 **3.1. Performance of method**

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124 At first we must answer the question if the procedure used in the paper is successful in  
125 partitioning of laminae to the groups. If the procedure is suitable, the number of the  
126 indistinguishable laminae cannot be very high. The performance of this procedure is given in  
127 tab.1 for Hohenpeissenberg for each month and for all laminae regardless the size. The results  
128 at the other stations are very similar. From this table we see that approximately 47 % of all  
129 laminae are PL, while GL laminae formed about 10 % and the share of indistinguishable  
130 laminae is about 43 %. It means more than 50 % of all laminae can be divided into the  
131 laminae induced by the gravity or the planetary wave activity. So we can conclude this  
132 procedure is successful in lamina partitioning, because nobody can expect only GL and PL  
133 will be present and no indistinguishable laminae. Practically there is no yearly course in the  
134 lamina composition.  
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#### 137 **3.2. Annual variation of laminae induced by the gravity and the planetary wave activity**

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139 Figure 5 shows the annual variation of the number of laminae larger than 2 mPa for  
140 GL and PL at all stations used in this paper. The group of lines with the strong annual  
141 variation with maximum in winter and minimum in summer/autumn are PL while the lines  
142 with the only very weak variation belong to GL. This different behaviour of the annual  
143 variation is the evidence that the both type of laminae are formed by different processes.  
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#### 146 **3.3. Dependence of lamina type on the size of laminae**

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148 In this section we deal with the lamina type occurrence frequency in the selected  
149 classes of lamina size. The laminae were sorted to the following groups: small (<1 mPa),  
150 medium size (1-4 mPa) and large (>4 mPa) and in each group we found the occurrence



151 frequency of different types of laminae. The results are presented in fig.6. The results are  
152 almost identical for all stations. The share of GL is decreasing with the increasing size and the  
153 opposite is true for PL. The performance of used procedure increases with the increasing  
154 lamina size (the share of indistinguishable laminae decreases). The gravity waves are able to  
155 produce predominantly small laminae, while the planetary waves produce also the large ones.  
156 Similar results were also obtained by Teitelbaum et al. (1995).

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#### 158 **3.4. Vertical dependence of the occurrence of advection and gravity wave laminae**

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160 Now we examine the altitudinal dependence of occurrence of GL and PL at the  
161 stations used in this paper for all seasons. March, April and May form spring, June, July,  
162 August are summer months, September, October and November are the autumn ones and  
163 December, January and February is winter. We divided the ozone vertical profile into 2 km  
164 wide intervals and in each interval we search for the lamina occurrence. The results are  
165 displayed as the percentage of all laminae which occur in the individual altitude interval. We  
166 grouped laminae into two groups: small (<2 mPa) and large (>2 mPa) and in each group we  
167 are searching for the lamina occurrence. The results are displayed only for the station  
168 Hohenpeissenberg, because at the other stations the results are similar. The winter results are  
169 given in fig. 7 for the large (upper panel) and the small (lower panel) laminae. The large  
170 laminae have similar behaviour both for GL and PL. Their maximal occurrence is observed  
171 in the lower stratosphere and there are no large laminae in the troposphere. On the other hand  
172 the occurrence of the small laminae is different. GL have maximal occurrence in the  
173 troposphere where the occurrence of PL is small. Small PL have the maximal occurrence in  
174 the lower stratosphere, where the small gravity wave laminae are rare. In the troposphere  
175 there is local minimum in small PL and the main maximum in the small gravity wave  
176 occurrence. Spring (fig.8) behaviour of the lamina occurrence is similar to the winter one. In  
177 summer (fig.9) the large GL have broad stratospheric maximum and the smaller maximum is  
178 observed in the troposphere. Large PL have sharper stratospheric maximum and they are very  
179 little present in the troposphere. Small PL maximum is again observed in the lower  
180 stratosphere. Small GL have bimodal vertical distribution with one maximum in the lower  
181 stratosphere (similar to the advection one) and the other peak is observed in the troposphere.  
182 At the nearly same height we observe local minimum in small PL and maximum in the  
183 gravity wave ones. In autumn (fig.10) the behaviour of large laminae is a bit similar to the  
184 summer one and the main maximum in occurrence of small GL is higher than that of the  
185 laminae induced by the planetary wave.

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#### 188 **3.5 Trend of the large laminae**

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190 Now the long-term of the large laminae occurrence (larger than 4 mPa) is investigated.  
191 The results are shown in fig. 11. A change in the trend of the PL in the mid-1990s is seen.  
192 Before the mid-1990s the negative trend is observed, while after this point the positive one is  
193 present. This fact confirms the findings of Krizan and Lastovicka (2006). But this is not the  
194 main message of this paper. The main message of this paper concerning the trend is the  
195 following: we observe a huge difference in the long-term trend between GL and PL: trend  
196 of PL has the sharp change in the mid-1990s, while the GL has small significant negative  
197 trend in the period 1970-2016 with no trend change in the mid-1990s.

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#### 201 4. Discussion

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We found the occurrence frequency of PL to be about 4-6 times larger than that of GL. The most frequent way of formation of the laminae induced by planetary waves is vertically different advection of air with the various ozone content (Manney et al., 2000, Tomikawa et al., 2002). In this process we observe transformation of the horizontal gradient of the ozone concentration into the vertical one. The air with the high ozone concentration comes to the central Europe in winter from the edge of the polar vortex (Orsolini et al., 2001). On the other hand the low ozone air has its origin inside the polar vortex and it is transported to the mid latitudes (Reid and Vaughan, 1991) or it is the air from the low latitudes where ozone concentration is low (Orsolini et al., 1995).

The strong source of gravity waves is orography (Smith et al., 2008), especially passing the air through a mountain range when the gravity waves occur in the downwind side of the ridge. For stations used in this paper the most important mountains are the Alps. All stations are situated to the north from these mountains. Because the prevailing winds are from the west, these stations seldom are situated on the leeward side of the Alps and thus the share of gravity wave laminae are practically the same for all stations. The same is true for the laminae induced by planetary waves. In this case all stations are practically under the same conditions. So we cannot expect large interstation differences in lamina partitioning. It will be reasonable to do this investigation at the stations which lie on the leeward side of mountains or at stations which are in hot spots of the gravity wave activity (Sacha et al., 2016). The other sources of the gravity waves are jet stream and convection (Guest et al. 2000; Yoshiki et al. 2004). Their conditions are the same for all stations used in this study. In the troposphere the stratosphere-troposphere exchange may cause the positive laminae and in the stratosphere this exchange may lead to formation of negative laminae (Kritz, 1991).

Laminae greater than 2 mPa occur very predominantly in the stratosphere where the ozone concentration is high. When the ozone concentration is high, the probability of large lamina formation increases. The confirmation of this rule is also the yearly course of PL where the maximal occurrence is observed when the ozone concentration is the highest (winter and spring). On the other hand in the troposphere we observe neither the PL large laminae nor the large GL due to small ozone concentration. Similarly we observe less large PL in the stratosphere in summer and fall. This dependence of the lamina occurrence on the background ozone concentration is valid only for PL, not for the gravity wave ones.

For the laminae smaller than 2 mPa the situation is different. We observe the differences in the vertical distribution of PL and GL. In winter the maximal occurrence is observed in the lower stratosphere in the case of PL, while gravity wave laminae have its occurrence maximum in the tropopause. In spring the small GL maximum lies lower than in winter. In summer the occurrence distribution has bimodal structure with one maximum in the troposphere and the other one in the stratosphere. In fall the stratospheric mode is dominant.

In summer and fall there is no polar vortex. Vortex remnants (Durry et al., 2005) may form the positive laminae in the stratosphere while the advection of air from low latitudes (Koch et al., 2002) creates layers with the low ozone concentration.

In the troposphere the situation is different. Positive laminae are created by various processes: the stratosphere-troposphere exchange (Manney et al., 2000), the advection of polluted air from the boundary layer (Oltmans et al, 2004; Collete et al., 2005) or in situ ozone production (Li et al., 2002). Tropospheric gravity waves occur predominantly in the transition region from the troposphere to the stratosphere where there is a strong change in the atmospheric stability

The vertical resolution of the ozone vertical profiles used in this paper can modify the results. Its value was obtained as an average vertical distance between two adjacent points of



251 the ozone profile in a certain year. Its long-term evolution in the period 1970-2016 is given in  
252 fig.12. At the majority of stations the resolution increases (vertical distance decreases) in the  
253 period 1970-2016. And thus we must ask the question if this resolution change has effect on a  
254 number of laminae detected in the profile. We have computed correlation coefficient between  
255 the yearly values of lamina number and vertical resolution. If these correlations are significant  
256 the resolution influences the lamina number and vice versa. We did the correlations for the  
257 following groups of laminae: small (<1 mPa), medium (1-4 mPa) and large (>4 mPa). The  
258 results are shown in tab.2. The number of small laminae is strongly correlated with vertical  
259 resolution. It means the numbers of small laminae are affected by the resolution. With  
260 increasing size of laminae these correlations decrease. For large laminae the results are station  
261 dependant. These results are a bit surprising because one expects negative correlations of  
262 lamina number with resolution and these negative correlations were observed only for small  
263 laminae. For the explanation of these results we must look at the average lamina depth in  
264 small, medium, and large laminae (table 3), which was obtained for the best vertical  
265 resolution (below 100 m). We can see the increase of lamina depth with increasing size. When  
266 the depth of laminae is small (small laminae) the vertical resolution strongly influences the  
267 lamina number, because with decreasing resolution the number of detected laminae decreases.  
268 On the other hand the average depth of large laminae is above the worst vertical resolution  
269 (800 m- fig.12) and so the increasing resolution does not influence significantly the number of  
270 detected laminae.

271 Our paper is based on the lamina searching procedure introduced by Teitelbaum et al. (1995).  
272 In their paper no climatological results are presented. They illustrated the method for  
273 partitioning of laminae for several case studies. The goal of our paper is to use this method for  
274 obtaining the climatological results from the mid-Europe ozonsonde stations. Similar  
275 searching method was used by Grant et al. (1998) and Pierce and Grant (1998) but for tropical  
276 and low latitudes stations. The authors found rare occurrence of PL and majority of laminae  
277 was induced by gravity waves. We found more PL compared to the gravity induced ones,  
278 because our investigation was done in middle latitudes, not in the low and tropical ones. The  
279 activity of planetary waves is stronger in mid latitudes compared to the low and equatorial  
280 ones.

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## 283 5. Conclusions

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285 The main results of this paper are:

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- 287 • The most often the laminae are induced by the planetary wave activity (45-50 %),  
288 following by the indistinguishable ones (about 40 %). The share of the gravity wave  
289 laminae is about 10 %.
- 290 • There is a pronounced annual variation in the occurrence frequency of PL, while there  
291 is no such variation for GL
- 292 • With increasing lamina size the share of gravity wave and indistinguishable laminae  
293 decreases while the share of the planetary wave laminae increases.
- 294 • The vertical distribution of lamina number for large laminae has maximum in the  
295 stratosphere while the distribution of small laminae is type and season dependant.
- 296 • There are huge differences in trend patterns of PL and GL in the period 1970-2016.

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## 298 Competing interests

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300 The author declare that he has no conflict of interest





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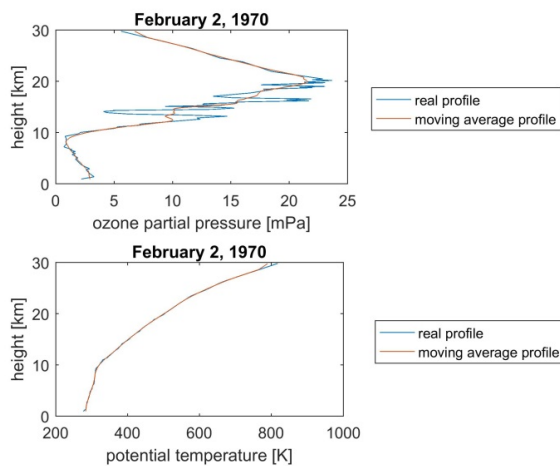
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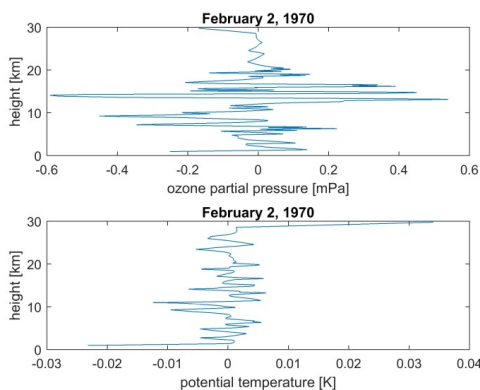
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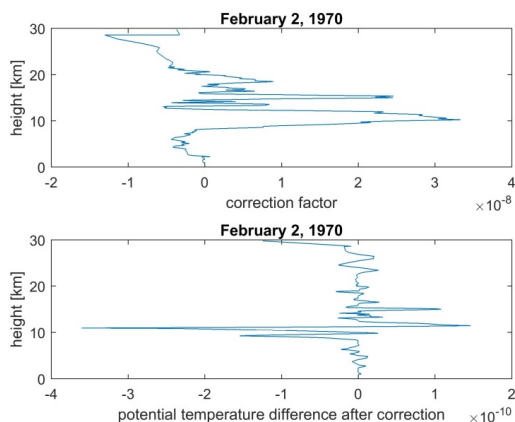
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**Figure 1:** Real and smooth ozone (upper panel) and potential temperature (lower panel) vertical profile at the Hohenpeissenberg from February 2, 1970.



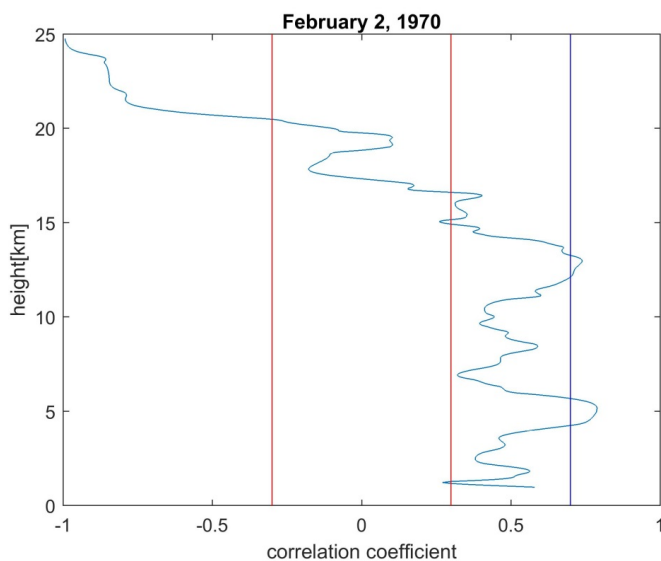
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**Figure 2:** Differences between real and smooth vertical profile from February 2, 1970 for ozone (upper panel) and potential temperature (lower panel)



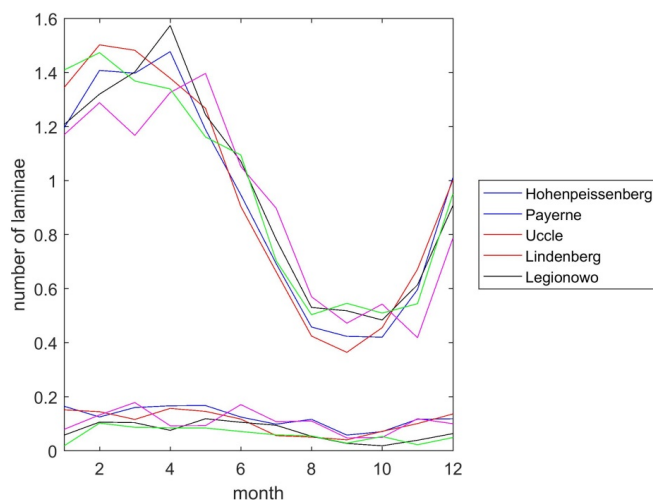
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**Figure 3:** Vertical profile of potential temperature correction factor (upper panel) and vertical profile of differences between real and smooth potential temperature profile (lower panel) after correction.



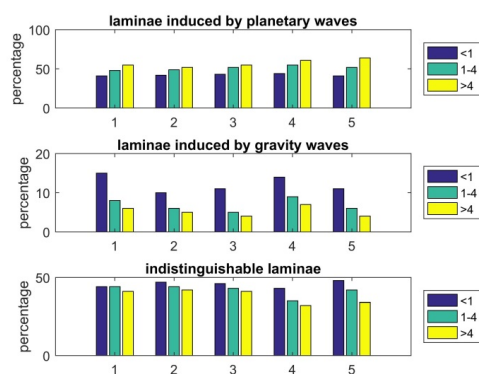
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**Figure 4:** The vertical profile of correlations between the corrected potential temperature differences and the ozone differences from February 2, 1970 at Hohenpeissenberg. The red vertical lines are the borders for the laminae induced by the planetary waves and the blue vertical line is the border for gravity wave ones.



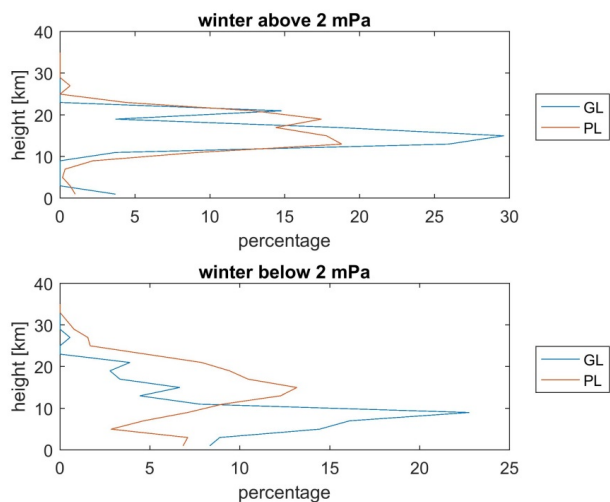
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**Figure 5:** The annual variation of the lamina number per ozone profile for PL (group of lines with the strong variation) and for GL (group of lines with the weak variation) at the European ozonsonde stations.



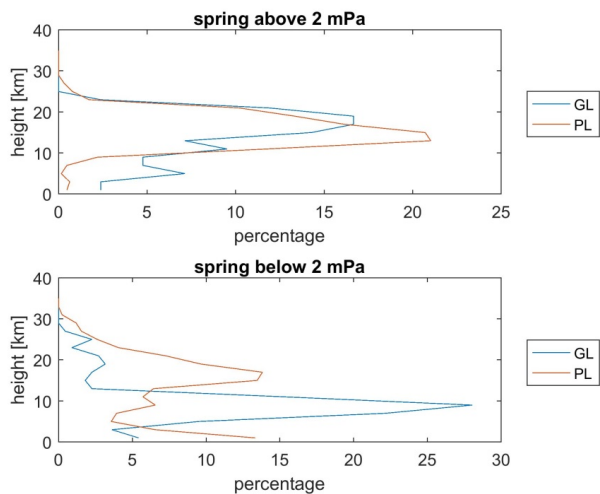
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**Figure 6:** The dependence of the lamina composition on a lamina size for PL (upper panel), GL (middle panel) and indistinguishable laminae (lower panel) at the European stations (1-Hohenpeissenberg, 2 – Payerne, 3 – Uccle, 4 – Lindenberg, 5 – Legionowo)



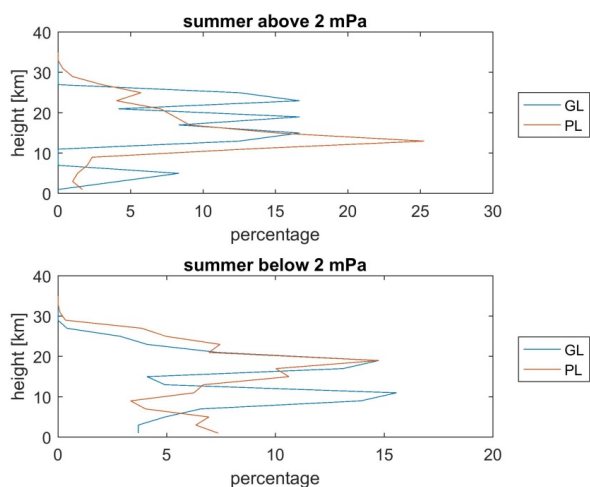
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**Figure 7:** The vertical dependence of the occurrence of the laminae induced by the gravity waves and the ones induced by planetary waves at Hohenpeissenberg in the period 1970-2016 in winter in terms of percentage of all GL and all PL.



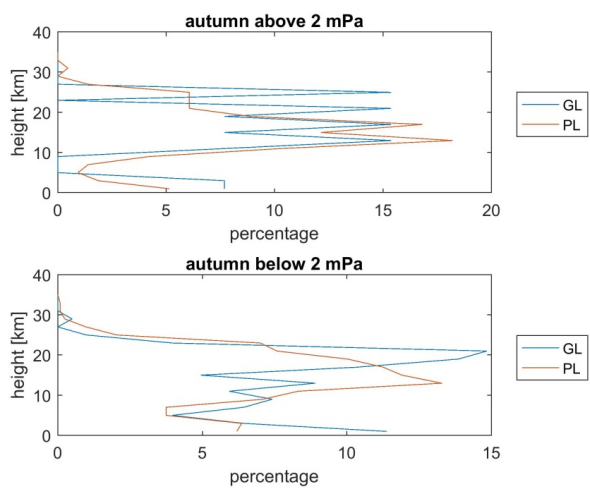
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**Figure 8:** The same as fig.7 but for spring



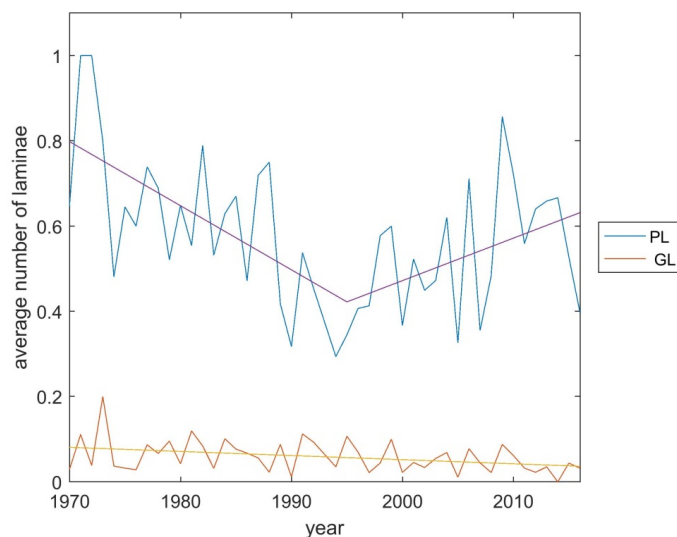
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**Figure 9:** Vertical dependence of lamina occurrence in summer.



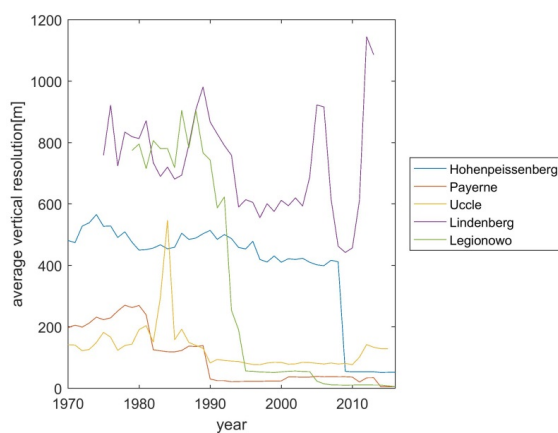
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**Figure 10:** The same as fig. 9, but in autumn.



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**Figure 11:** Trend of the number of laminae per ozone profile induced by the planetary waves (PL) and by the gravity waves (GL) for laminae greater than 4 mPa at Hoheinpeissenberg in the period 1970-2016.



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**Figure 12:** Long term evolution of average vertical resolution of profiles at the European ozonesonde stations.





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	January	February	March	April	May	June	July	August	Sept	Oct	Nov	Dec
advect	48	49	48	48	45	41	44	46	47	46	47	48
gravity	10	10	11	10	11	11	10	11	10	11	9	10
undist	42	41	41	42	44	48	46	43	43	43	44	42

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**Table 1:** Monthly composition of laminae (%) at Hohenpeissenberg in the period 1970-2016 (advect- advection laminae, gravity – gravity waves laminae, undist- undistinguishable laminae)

	<1mPa	1-4 mPa	>4 mPa
Hohenpeissenberg	<b>-0.95 /-0.68</b>	<b>-0.57/0.55</b>	<b>-0.09/0.25</b>
Payerne	<b>-0.49/-0.37</b>	<b>-0.50/0.29</b>	<b>0.32/0.58</b>
Uccle	<b>-0.66/-0.61</b>	<b>0.57/-0.07</b>	0.00/0.16
Lindenberg	<b>-0.79/-0.51</b>	<b>-0.88/-0.54</b>	<b>-0.76/0.14</b>
Legionowo	<b>-0.81/-0.80</b>	<b>-0.77/-0.07</b>	<b>0.31/0.19</b>

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**Table 2:** Correlation coefficient of lamina number and average vertical resolution at the European mid latitudes stations from the period 1970-2016 (before slash - advective laminae, after slash – gravity wave laminae). Significant correlation coefficient values are in bold.

	<1 mPa	1-4 mPa	>4 mPa
Hohenpeissenberg	198/203	733/1021	1895/2057
Payerne	112/144	486/597	1874/1803
Uccle	121/206	486/761	1832/1775
Legionowo	104/142	535/702	1909/1983

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**Table 3:** Average lamina depth (m) in the selected lamina size intervals at the European middle latitude stations for the vertical resolution below 100m (before slash - advective laminae, after slash – gravity wave laminae).