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3 **Assessing the role of planetary and gravity waves on the vertical structure of ozone over**
4 **midlatitudinal Europe**

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12 **Abstract**

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14 *Planetary and gravity waves play an important role in the dynamics of the atmosphere. They*
15 *are present in the atmospheric distribution of temperature, wind and ozone content. These*
16 *waves are detectable also in the vertical profile of ozone and they cause its undulation. One of*
17 *the structures occurring in the vertical ozone profile is laminae, which are narrow layers of*
18 *enhanced or depleted ozone concentration in the vertical ozone profile. They are connected*
19 *with the total amount of ozone in the atmosphere and with the activity of the planetary and the*
20 *gravity waves. The aim of this paper is quantifying these processes in the midlatitudinal*
21 *Europe. We compare the occurrence of laminae induced by planetary waves (PL) with the*
22 *occurrence of these induced by gravity waves (GL). We show that the PL are 10-20 times*
23 *more frequent than that of GL. There is a strong annual variation of PL, while GL exhibit*
24 *only a very weak variation. With the increasing lamina size the share of GL decreases and the*
25 *share of PL increases. The vertical profile of lamina occurrence is different for PL and GL*
26 *smaller than 2 mPa. For laminae greater than 2 mPa this difference is smaller.*

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29 **Key words:** ozone lamina; vertical ozone profile, planetary wave activity, gravity waves

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32 **1. Introduction**

33
34 There are various structures in the vertical profile of ozone affected by the activity of the
35 planetary and gravity waves. Ones of them are narrow layers of the enhanced or depleted ozone
36 concentration in the ozone vertical profile, which are called ozone laminae. The first
37 investigation of these structures was made by Dobson (1973), who found that they occur
38 predominantly in a cold half of the year. The existence of laminae was confirmed by lidar and
39 satellite measurements (Bird et al., 1997, Orsolini et al., 1997, Kar et al., 2002). They were
40 found also in water vapour in the stratosphere (Teitelbaum et al., 2000). The dynamics of the
41 stratosphere plays a crucial role in a lamina formation. This finding was confirmed by the ability
42 of dynamical models to capture these narrow layers (Manney et al., 2000, Orsolini et al., 2001).
43 The number of large laminae is strongly correlated with the total ozone content and it is the
44 reason why we have been interested in laminae (Križan and Lastovicka, 2005).

45 The laminae are not only the indicator of the atmospheric ozone content but also they are
46 connected with the gravity and planetary wave activity. Teitelbaum et al. (1995) developed a
47 identification procedure which enable us to detect the planetary and gravity wave activity in the
48 ozone vertical profile. In this paper we apply this method to ozone laminae and each lamina we
49 sort to the one of the following groups: laminae induced by gravity wave activity (GL), by
50 planetary wave activity (PL) and laminae which are neither induced by the gravity waves nor

51 by the planetary waves. Similar method was used by Grant et al., (1998) and Pierce and Grant
 52 (1998) but only for the Wallops Island station. The aim of this paper is finding the
 53 characteristics of GL and PL in midlatitudinal Europe in the period 1970-2016. At first we test
 54 if the Teitelbaum method is suitable for such research. Next the annual variation of GL and PL
 55 is examined. Then we explore the dependence of lamina composition on their size. We also
 56 compare the vertical distribution of GL and PL. We deal with their trends. The content of this
 57 paper is as follows: section 2 describes methods and data, section 3 gives results, in section 4
 58 the results are discussed and the last section is conclusions.

61 2. Methods and data

63 Now we shortly describe the lamina searching procedure. Each positive lamina consists of the
 64 three main points: the lower minimum, the main maximum and the upper minimum. The depth
 65 of lamina must be between 500 and 3500 m due to the vertical resolution of the ozonosondes
 66 (lower limit) and due to the fact that the ozone lamina is a narrow layer of the enhanced ozone
 67 concentration (upper limit). The size of laminae is given as a difference between the ozone
 68 concentration in the main maximum and the average concentration from both minima. More
 69 about the lamina searching procedure can be found in (Krizan and Lastovicka, 2004) and
 70 (Lastovicka and Krizan, 2005).

71 The method used in this paper for the searching the activity of gravity and planetary waves in
 72 the ozone profile is a modification of the methods given by Teitelbaum et al. (1995). Figure 1
 73 (upper panel) shows the real ozone profile at Hohenpeissenberg on February 2, 1970. We use
 74 the linear interpolation with the step 50 m for approximating the ozone profile with the high
 75 vertical resolution. Then the 50 point moving average (2500 m in vertical) is applied to this real
 76 profile to obtain the smooth profile. This smooth profile is also displayed in fig.1 (upper panel).
 77 The same procedure is applied to the potential temperature and the results are given in fig. 1
 78 (lower panel). In the next step we compute the differences between the high resolution profile
 79 and the smooth profile for the ozone partial pressure (fig 2 upper panel) and the potential
 80 temperature (fig 2 lower panel). The differences are much higher for the ozone profile than for
 81 the potential temperature profile. The differences in the vertical gradients of the ozone partial
 82 pressure and the potential temperature must be taken into account. So we must apply the
 83 following correction factor to the potential temperature perturbations:

$$86 \quad R(z) = [(1/O_{3avg}) * (dO_3/dz)] * [(1/\Theta_{avg}) * (d\Theta/dz)] \quad (1, 1)$$

88 where O_{3avg} (Θ_{avg}) is the average ozone partial pressure (potential temperature) in the layer with
 89 the width dz . The vertical distribution of this correction is given in fig.3 (upper panel). The
 90 correction is the highest in the lower stratosphere where the vertical gradient of ozone is strong.
 91 Above 20 km we observe the negative values of this factor, which is predominantly given by
 92 the negative gradient of the ozone partial pressure and the strong positive gradient of the
 93 potential temperature. When we multiply the potential temperature perturbations with this
 94 correction, we obtain the perturbations, which are shown in fig. 3 (lower panel). These new
 95 perturbations are not similar to that given in fig.2 –lower panel.

96 In each point of the high resolution ozone profile we compute the correlation coefficient
 97 between the ozone perturbations and the scaled potential temperature perturbation up to 5 km
 98 above this point. The vertical dependence of this correlation coefficient from the ground to the
 99 point which is situated 5km below the highest ozone profile point is seen in fig.4. If the
 100 correlation coefficient is greater than 0.7, the vertical ozone profile in this point is influenced

101 by the gravity waves. In fig 4 the correlations are higher than 0.7 at some altitudes above 5 km
102 and below 15 km. If the lamina maximum is situated in this high correlation area, we conclude
103 this lamina is induced by the gravity waves. On the other hand, if these correlations are low
104 (between -0.3 and 0.3), we consider the ozone profile to be influenced by the planetary waves
105 in this point (from 17 to 22 km on fig. 4) and again if there is a lamina maximum there we
106 consider this lamina as the one induced by the planetary waves. When the correlation
107 coefficient is above 0.3 and below 0.7 or below -0.3 we are not able to evaluate what type of
108 laminae is present and call them indistinguishable laminae. The boundary values of correlation
109 coefficients were taken from Teitelbaum et al. (1995)

110 We are going to apply this procedure to the following European midlatitudes stations:
111 Hohenpeissenberg (Germany, 1970-2016, 5166 files), Payerne (Switzerland, 1970-2016, 5998
112 files), Uccle (Belgium, 1970-2015, 6221 files), Lindenberg (Germany, 1975-2013, 2380 files)
113 and Legionowo (Poland, 1979-2016, 1728 files). These data were taken from WOUDC Toronto
114 (<http://woudc.org/archive/Archive-NewFormat/>). During the research some problems with a
115 vertical resolution of ozone profile were occurred and so at the end we exclude the data from
116 the station Lindenberg. The Hohenpeissenberg data was used only for large laminae.

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120 **3. Results**

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122 **3.1. Performance of method**

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124 At first we must answer the question if the procedure used in the paper is successful in
125 partitioning of laminae to the groups. If the procedure is suitable, the number of the
126 indistinguishable laminae cannot be very high. The performance of this procedure is given in
127 tab.1 for Hohenpeissenberg for each month and for all laminae regardless the size. The results
128 at the other stations are very similar. From this table we see that approximately 47 % of all
129 laminae are PL, while GL laminae formed about 10 % and the share of indistinguishable
130 laminae is about 43 %. It means more than 50 % of all laminae can be divided into the laminae
131 induced by the gravity or the planetary wave activity. So we can conclude this procedure is
132 successful in lamina partitioning, because nobody can expect only GL and PL will be present
133 and no indistinguishable laminae. Practically there is no yearly course in the lamina
134 composition.

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136 **3.2. Vertical resolution and number of laminae**

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138 At first we must look at the homogeneity of the sonde vertical resolution used in this
139 paper. The results are given in fig. 1. We see the resolution is not homogenous and the resolution
140 increases (vertical distance decreases) in the period 1970-2016. And thus we must ask the
141 question if this resolution change has effect on a number of laminae detected in the profile. We
142 have computed correlation coefficient between the yearly values of lamina number and vertical
143 resolution. If these correlations are significant the resolution influences the lamina number. **We**
144 **did** the correlations for the following groups of laminae: small (<1 mPa), medium (1-4 mPa)
145 and large (>4 mPa). The results are shown in tab.2. The number of small laminae is strongly
146 correlated with vertical resolution. It means the numbers of small laminae are affected by the
147 resolution. With increasing size of laminae these correlations decrease. For large laminae the
148 results are station dependant. These results are a bit surprising because one expects negative
149 correlations of lamina number with resolution and these negative correlations were observed
150 only for small laminae. For the explanation of these results we must look at the average lamina

151 depth in small, medium, and large laminae (table 2), which was obtained for the best vertical
152 resolution (below 100 m). We can see the increase of lamina depth with increasing size. When
153 the depth of laminae is small (small laminae), the vertical resolution strongly influences the
154 lamina number, because with decreasing resolution the number of detected laminae decreases.
155 On the other hand, the average depth of large laminae is above the worst vertical resolution
156 (800 m- fig.5) and so the increasing resolution does not influence significantly the number of
157 detected laminae.

158 The vertical resolution of sonde measurements must be comparable or smaller than the
159 average depth of laminae and thus one can see (table 3) the maximal vertical resolution in the
160 case of small laminae must be 100 m and for medium laminae 500 m. The depth of large
161 laminae is above the worst vertical resolution so the large lamina results are not resolution
162 dependant. Originally we considered also the station Lindenberg but it had to be excluded due
163 to large and variable vertical resolution. The station Hohenpeissenberg is suitable only for
164 several years after 2010. Only the stations Payerne and Uccle have suitable vertical resolution
165 in the period 1990-2016 and the station Legionowo in the period 1995-2016. Because we must
166 do compromise between the quality and amount of data we take into account only these three
167 stations in the period 1995- 2016 for the small and medium laminae and the Hoheinpeissenberg
168 data for the large ones.

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171 **3.3. Annual variation of laminae induced by the gravity and the planetary wave activity**

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173 Figure 6 shows the annual variation of the number of laminae larger than 2 mPa for GL
174 and PL at all stations used in this paper. The annual variation with maximum in winter/spring
175 and summer/autumn minimum is clearly seen for PL but this pattern is very weak in case of
176 GL. Monthly values of the ratio of the number of PL and GL at the European midlatitudinal
177 stations are given in table 4 for laminae greater than 2 mPa. We see this ratio is month dependant
178 On average its value is from 10 to 20, but in January at Legionowo its value is nearly 100. We
179 think it is an outlier. The number of PL is much higher than that of GL. This different behaviour
180 of the annual variation is the evidence that the both type of laminae are formed by different
181 processes.

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184 **3.4. Dependence of lamina type on the size of laminae**

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186 In this section we deal with the lamina type occurrence frequency in the selected classes of
187 lamina size. The laminae were sorted to the following groups: small (<1 mPa), medium size (1-
188 4 mPa) and large (>4 mPa) and in each group we found the occurrence frequency of different
189 types of laminae. The results are presented in fig.7. The results are almost identical for all
190 stations. The share of GL is decreasing with the increasing size and the opposite is true for PL.
191 The performance of used procedure increases with the increasing lamina size (the share of
192 indistinguishable laminae decreases). The gravity waves are able to produce predominantly
193 small laminae, while the planetary waves produce also the large ones. Similar results were also
194 obtained by Teitelbaum et al. (1995).

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196 **3.5. Vertical dependence of the occurrence of advection and gravity wave laminae**

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198 Now we examine the altitudinal dependence of occurrence of GL and PL at the stations
199 used in this paper for all seasons. March, April and May form spring, June, July, August are
200 summer months, September, October and November are the autumn ones and December,

201 January and February is winter. We divided the ozone vertical profile into 2 km wide intervals
202 and in each interval we search for the lamina occurrence. The results are displayed as the
203 percentage of all laminae which occur in the individual altitude interval. We grouped laminae
204 into two groups: small (<2 mPa) and large (>2 mPa) and in each group we are searching for the
205 lamina occurrence. The results are displayed only for the station Payerne, because at the other
206 stations the results are similar. The winter results are given in fig. 8 for the large (upper panel)
207 and the small (lower panel) laminae. The large laminae have similar behaviour both for GL and
208 PL. Their maximal occurrence is observed in the lower stratosphere and there are no large
209 laminae in the troposphere. On the other hand, the occurrence of the small laminae is different.
210 GL have maximal occurrence in the troposphere. Similar behaviour is seen in spring (fig.9),
211 where we observe strong small GL occurrence maximum in the troposphere. In spring small PL
212 have the maximal occurrence in the lower stratosphere. In summer (fig.10) the large GL have
213 broad stratospheric maximum and the smaller maximum is observed in the troposphere. Large
214 GL have sharper stratospheric maximum and they are very little present in the troposphere. We
215 observe broad stratospheric maximum in small PL occurrence in summer, while the small GL
216 have bimodal vertical profile with one maximum in the troposphere and the other maximum is
217 present in the stratosphere. In autumn (fig.11) the maximum in occurrence of small PL and GL
218 laminae is observed in the stratosphere.
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221 222 **4. Discussion** 223

224 We found the occurrence frequency of PL to be about 10-20 times larger than that of
225 GL. The most frequent way of formation of the laminae induced by planetary waves is vertically
226 different advection of air with the various ozone content (Manney et al., 2000). Tomikawa et
227 al. (2002) proposed as one of lamina formation mechanism vertical shear of the subtropical jet.
228 In these processes we observe transformation of the horizontal gradient of the ozone
229 concentration into the vertical one. The air with the high ozone concentration comes to the
230 midlatitudinal Europe in winter from the edge of the polar vortex (Orsolini et al., 2001). On
231 the other hand, the low ozone air has its origin inside the polar vortex and it is transported to
232 the mid latitudes (Reid and Vaughan, 1991) or it is the air from the low latitudes where ozone
233 concentration is low (Orsolini et al., 1995).

234 The strong source of gravity waves is orography (Smith et al., 2008), especially passing
235 the air through a mountain range when the gravity waves occur in the downwind side of the
236 ridge. For stations used in this paper the most important mountains are the Alps. These stations
237 are situated in a such way during prevailing west winds they are not on the leeward side of the
238 Alps and the share of gravity wave laminae are practically the same for all stations. The same
239 is true for the laminae induced by planetary waves. In this case all stations are practically under
240 the same conditions. So we cannot expect large interstation differences in lamina partitioning.
241 It will be reasonable to do this investigation at the stations which lie on the leeward side of
242 mountains or at stations which are in hot spots of the gravity wave activity (Sacha et al., 2016).
243 The other sources of the gravity waves are jet stream and convection (Guest et al. 2000; Yoshiki
244 et al. 2004). Their conditions are the same for all stations used in this study. In the troposphere
245 the stratosphere-troposphere exchange may cause the positive laminae and in the stratosphere
246 this exchange may lead to formation of negative laminae (Kritz, 1991).

247 Laminae greater than 2 mPa occur very predominantly in the stratosphere where the
248 ozone concentration is high. When the ozone concentration is high, the probability of large
249 lamina formation increases. The confirmation of this rule is also the yearly course of PL where
250 the maximal occurrence is observed when the ozone concentration is the highest (winter and

251 spring). On the other hand, in the troposphere we observe neither the PL large laminae nor the
252 large GL due to small ozone concentration. Similarly, we observe less large PL in the
253 stratosphere in summer and fall. This dependence of the lamina occurrence on the background
254 ozone concentration is valid only for PL, not for the gravity wave ones.

255 For the laminae smaller than 2 mPa the situation is different. We observe the differences
256 in the vertical distribution of PL and GL. In winter the maximal occurrence is observed in the
257 lower stratosphere in the case of PL, while gravity wave laminae have its occurrence maximum
258 in the tropopause. In spring the small GL maximum lies lower than in winter. In summer the
259 occurrence distribution has bimodal structure with one maximum in the troposphere and the
260 other one in the stratosphere. In fall the stratospheric mode is dominant.

261 In summer and fall there is no polar vortex. Vortex remnants (Durry et al., 2005) may
262 form the positive laminae in the stratosphere while the advection of air from low latitudes (Koch
263 et al., 2002) creates layers with the low ozone concentration.

264 In the troposphere the situation is different. Positive laminae are created by various
265 processes: the stratosphere-troposphere exchange (Manney et al., 2000), the advection of
266 polluted air from the boundary layer (Oltmans et al, 2004; Collete et al., 2005) or in situ ozone
267 production (Li et al., 2002). Tropospheric gravity waves occur predominantly in the transition
268 region from the troposphere to the stratosphere where there is a strong change in the
269 atmospheric stability

270 Our paper is based on the lamina searching procedure introduced by Teitelbaum et al.
271 (1995). In their paper no climatological results are presented. They illustrated the method for
272 partitioning of laminae for several case studies. The goal of our paper is to use this method for
273 obtaining the climatological results from the mid-Europe ozonsonde stations. Similar
274 searching method was used by Grant et al. (1998) and Pierce and Grant (1998) but for tropical
275 and low latitudes stations. The authors found rare occurrence of PL and majority of laminae
276 was induced by gravity waves. We found more PL compared to the gravity induced ones,
277 because our investigation was done in middle latitudes, not in the low and tropical ones. The
278 activity of planetary waves is stronger in mid latitudes compared to the low and equatorial ones.
279 In this paper we were interested in PL and GL laminae which can be detected from the ozone
280 profile. We evaluated the vertical profile of the PL and GL occurrence at Payerne. This station
281 is situated in the valley between the Alps and Jura mountains. Behaviour of PL is given by the
282 activity of planetary waves and thus there is no reason for which we can expect special
283 behaviour of PL at this station. In the case of GL, the most important thing which governs GL
284 behaviour is orography. The Alps are situated to the east (southeast) from the station so during
285 prevailing west winds the most important feature of orography is Jura mountains which is not
286 high enough for generating strong gravitational waves in the stratosphere. We can speculate
287 some of GL in the troposphere may have its origin in Jura mountains.

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291 **5. Conclusions**

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The main results of this paper are:

- 295 • The most often the laminae are induced by the planetary wave activity (45-50 %),
296 following by the indistinguishable ones (about 40 %). The share of the gravity wave
297 laminae is about 10 %.
- 298 • There is a pronounced annual variation in the occurrence frequency of PL, while there
299 is no such variation for GL

- 300 • With increasing lamina size the share of gravity wave and indistinguishable laminae
301 decreases while the share of the planetary wave laminae increases.
302 • The vertical distribution of lamina number for large laminae has maximum in the
303 stratosphere while the distribution of small laminae is type and season dependant.
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305 **Competing interests**

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307 The author declare that he has no conflict of interest
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316
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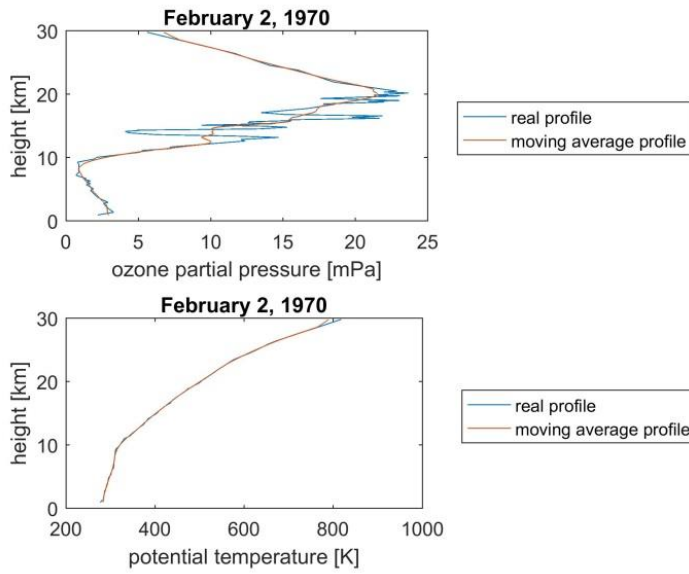
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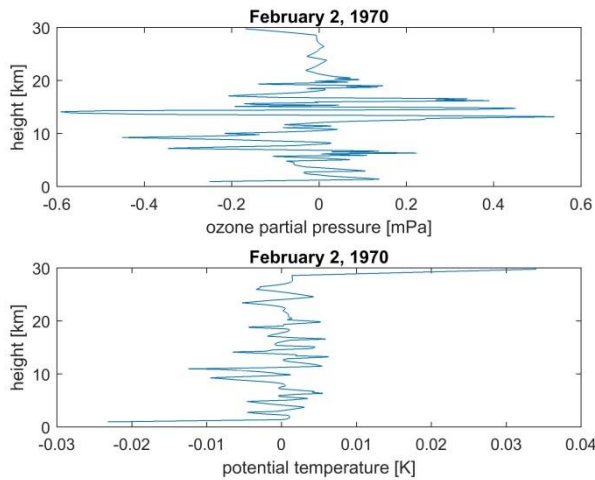
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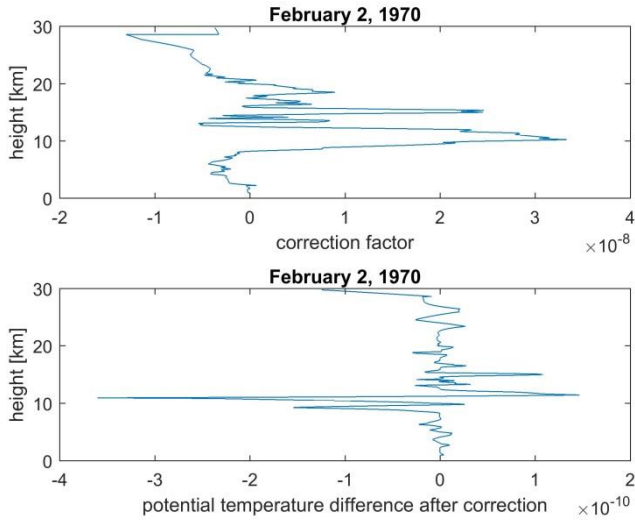
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Figure 1: Real and smooth ozone (upper panel) and potential temperature (lower panel) vertical profile at the Hohenpeissenberg from February 2, 1970.



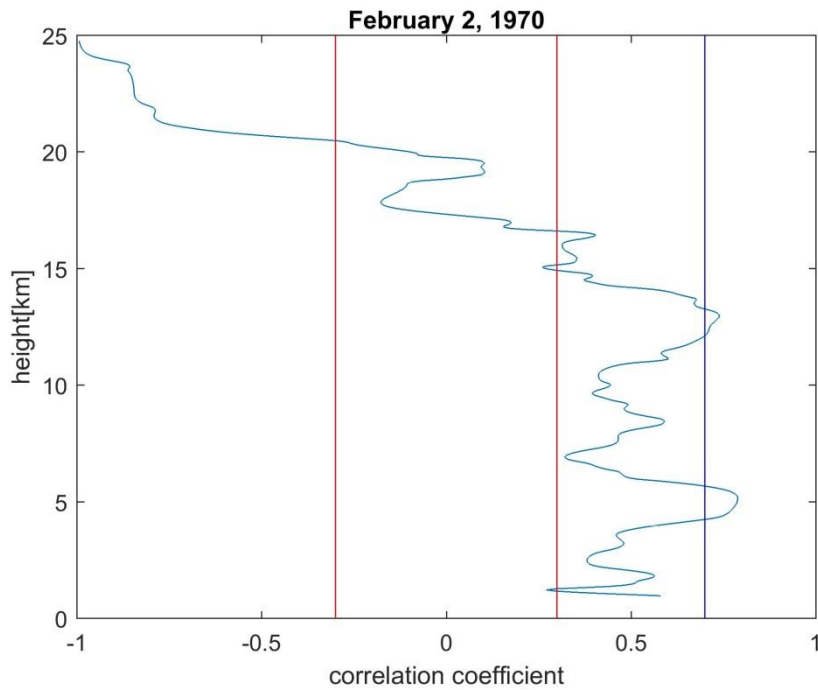
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Figure 2: Differences between real and smooth vertical profile from February 2, 1970 for ozone (upper panel) and potential temperature (lower panel)



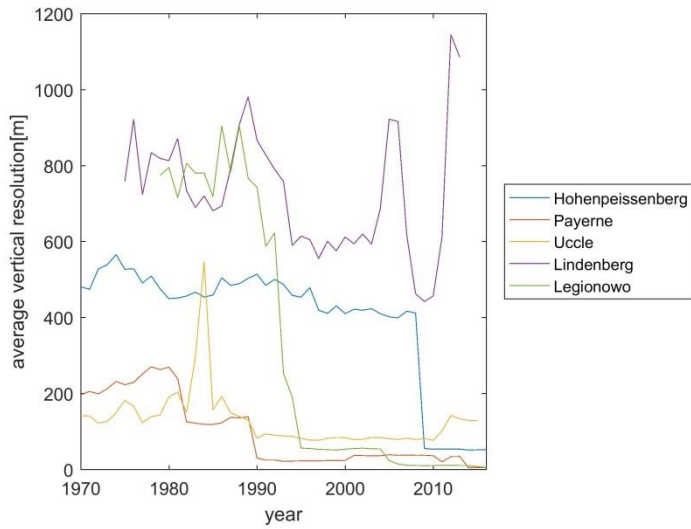
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Figure 3: Vertical profile of potential temperature correction factor (upper panel) and vertical profile of differences between real and smooth potential temperature profile (lower panel) after correction.



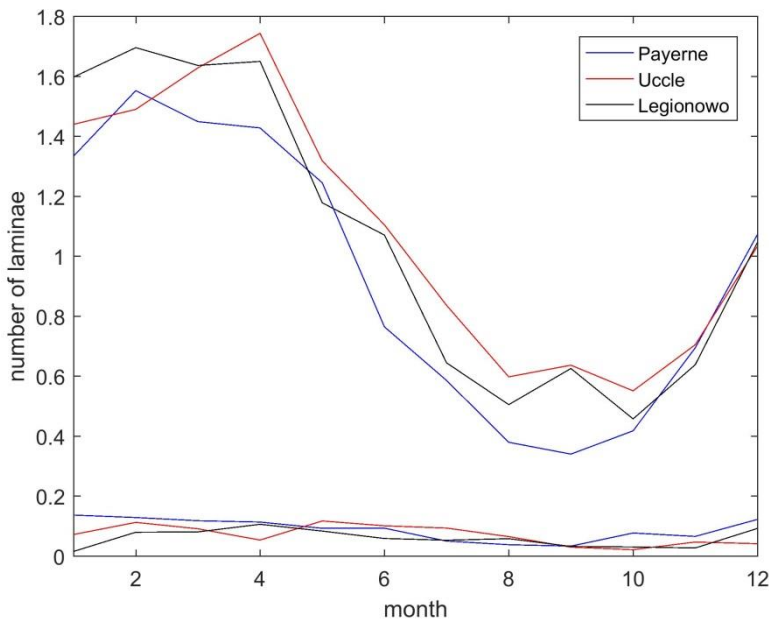
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Figure 4: The vertical profile of correlations between the corrected potential temperature differences and the ozone differences from February 2, 1970 at Hohenpeissenberg. The red vertical lines are the borders for the laminae induced by the planetary waves and the blue vertical line is the border for gravity wave ones.



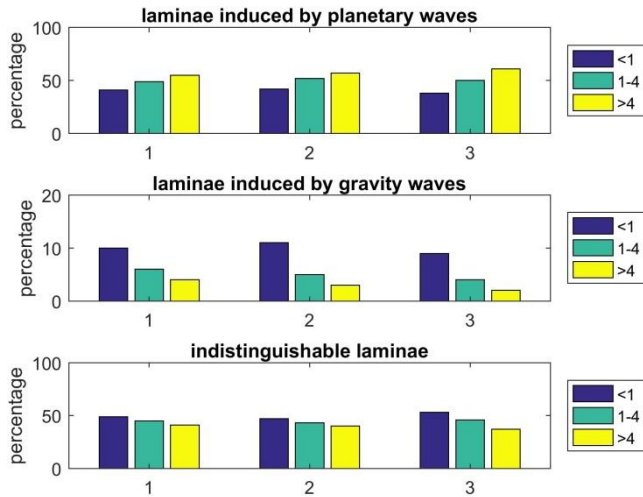
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Figure 5: Long term evolution of average vertical resolution of profiles at the European ozonsonde stations.



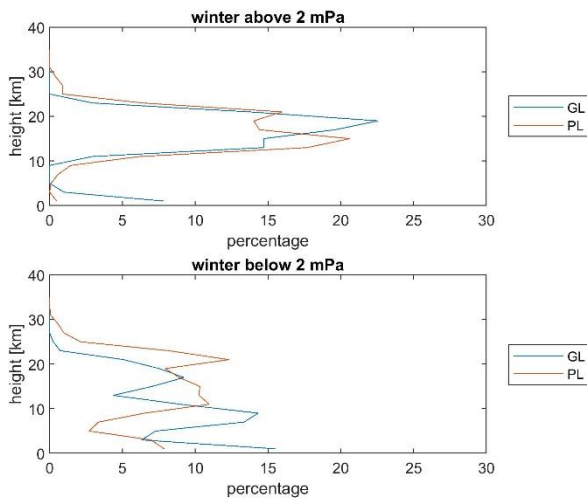
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Figure 6: The annual variation of the lamina number per ozone profile for PL (group of lines with the strong variation) and for GL (group of lines with the weak variation) at the European ozonsonde stations.



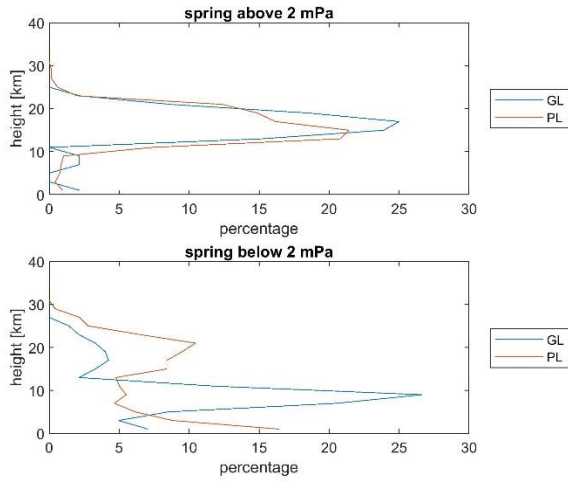
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Figure 7: The dependence of the lamina composition on a lamina size for PL (upper panel), GL (middle panel) and indistinguishable laminae (lower panel) at the European stations (1-Payerne, 2 – Uccle, 3 –Legionowo)

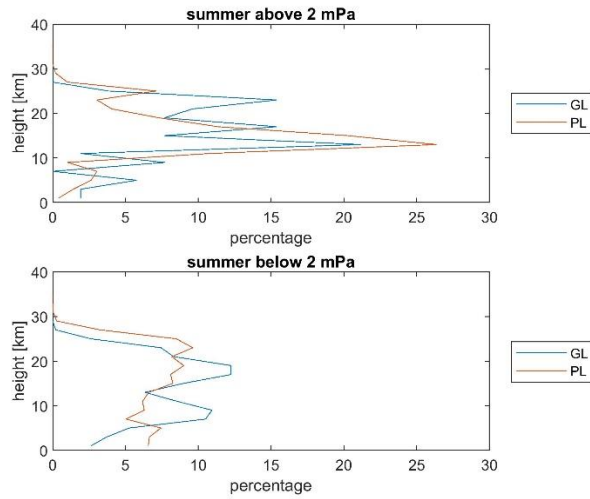


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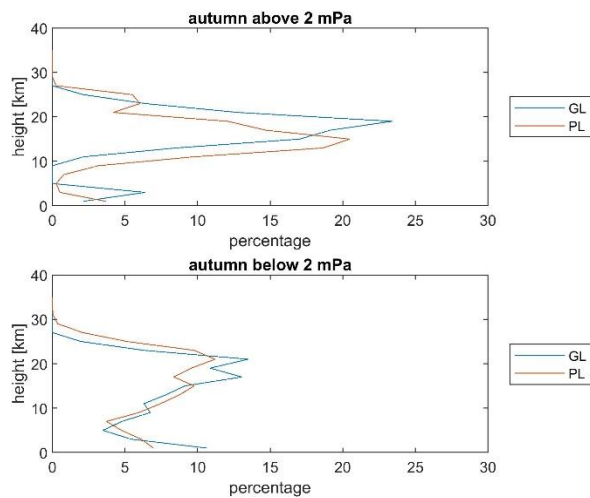
Figure 8: The vertical dependence of the occurrence of the laminae induced by the gravity waves and the ones induced by planetary waves at Payerne in the period 1995-2016 in winter in terms of percentage of all GL and all PL.



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458 **Figure 9:** The same as fig.7 but for spring
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461 **Figure 10:** Vertical dependence of lamina occurrence in summer.
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466 **Figure 11:** The same as fig. 9, but in autumn.
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	January	February	March	April	May	June	July	August	Sept	Oct	Nov	Dec
PL	48	49	48	48	45	41	44	46	47	46	47	48
GL	10	10	11	10	11	11	10	11	10	11	9	10
undist	42	41	41	42	44	48	46	43	43	43	44	42

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Table 1: Monthly composition of laminae (%) at Hohenpeissenberg in the period 1970-2016 (undist- undistinguishable laminae)

	<1mPa	1-4 mPa	>4 mPa
Hohenpeissenberg	-0.95 /- 0.68	-0.57 / 0.55	-0.09/ 0.25
Payerne	-0.49 / -0.37	-0.50 / 0.29	0.32 / 0.58
Uccle	-0.66 / -0.61	0.57 / -0.07	0.00/0.16
Lindenberg	-0.79 / -0.51	-0.88 / -0.54	-0.76 /0.14
Legionowo	-0.81 / -0.80	-0.77 / -0.07	0.31 /0.19

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Table 2: Correlation coefficient of lamina number and average vertical resolution at the European mid latitudes stations from the period 1970-2016 (before slash - PL, after slash – GL). Significant correlation coefficient values are in bold.

	<1 mPa	1-4 mPa	>4 mPa
Hohenpeissenberg	198/203	733/1021	1895/2057
Payerne	112/144	486/597	1874/1803
Uccle	121/206	486/761	1832/1775
Legionowo	104/142	535/702	1909/1983

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Table 3: Average lamina depth (m) in the selected lamina size intervals at the European middle latitude stations for the vertical resolution below 100m (before slash - advective laminae, after slash – gravity wave laminae).

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	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII
Payerne	10	12	12	13	13	8	12	10	10	5	11	9
Uccle	20	13	18	32	11	11	9	9	21	25	15	25
Legionowo	98	21	20	15	14	18	12	9	19	15	23	11

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Table 4: Monthly values of ratio of the number of PL and GL at the European midlatitudinal stations for laminae greater than 2 mPa.