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3 **Assessing the role of planetary and gravity waves on the vertical structure of ozone over**
4 **midlatitudinal Europe**

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12 **Abstract**

13
14 *Planetary and gravity waves play an important role in the dynamics of the atmosphere. They*
15 *are present in the atmospheric distribution of temperature, wind and ozone content. These*
16 *waves are detectable also in the vertical profile of ozone and they cause its undulation. One of*
17 *the structures occurring in the vertical ozone profile is laminae, which are narrow layers of*
18 *enhanced or depleted ozone concentration in the vertical ozone profile. They are connected*
19 *with the total amount of ozone in the atmosphere and with the activity of the planetary and the*
20 *gravity waves. The aim of this paper is quantifying these processes in the **midlatitudinal***
21 *Europe. We compare the occurrence of laminae induced by planetary waves (PL) with the*
22 *occurrence of these induced by gravity waves (GL). **We show that the PL are 10-20 times***
23 *more frequent than that of GL. There is a strong annual variation of PL, while GL exhibit*
24 *only a very weak variation. With the increasing lamina size the share of GL decreases and the*
25 *share of PL increases. **The vertical profile of lamina occurrence is different for PL and GL***
26 *smaller than 2 mPa. For laminae greater than 2 mPa this difference is smaller.*

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29 **Key words:** ozone lamina; vertical ozone profile, planetary wave activity, gravity waves

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32 **1. Introduction**

33
34 There are various structures in the vertical profile of ozone affected by the activity of the
35 planetary and gravity waves. Ones of them are narrow layers of the enhanced or depleted
36 ozone concentration in the ozone vertical profile, which are called ozone laminae. The first
37 investigation of these structures was made by Dobson (1973), who found that they occur
38 predominantly in a cold half of the year. The existence of laminae was confirmed by lidar
39 and satellite measurements (Bird et al., 1997, Orsolini et al., 1997, Kar et al., 2002). They
40 were found also in water vapour in the stratosphere (Teitelbaum et al., 2000). The dynamics
41 of the stratosphere plays a crucial role in a lamina formation. This finding was confirmed by
42 the ability of dynamical models to capture these narrow layers (Manney et al., 2000, Orsolini
43 et al., 2001). The number of large laminae is strongly correlated with the total ozone content
44 and it is the reason why we have been interested in laminae (Križan and Lastovicka, 2005).

45 The laminae are not only the indicator of the atmospheric ozone content but also they are
46 connected with the gravity and planetary wave activity. Teitelbaum et al. (1995) developed a
47 identification procedure which enable us to detect the planetary and gravity wave activity in
48 the ozone vertical profile. In this paper we apply this method to ozone laminae and each
49 lamina we sort to the one of the following groups: laminae induced by gravity wave activity
50 (GL), by planetary wave activity (PL) and laminae which are neither induced by the gravity

51 waves nor by the planetary waves. Similar method was used by Grant et al., (1998) and Pierce
 52 and Grant (1998) but only for the Wallops Island station. The aim of this paper is finding the
 53 characteristics of GL and PL in **midlatitudinal** Europe in the period 1970-2016. At first we
 54 test if the Teitelbaum method is suitable for **such research**. Next the annual variation of GL
 55 and PL is examined. Then we explore the dependence of lamina composition on their size.
 56 We also compare the vertical distribution of GL and PL. We deal with their trends. The
 57 content of this paper is as follows: section 2 describes methods and data, section 3 gives
 58 results, in section 4 the results are discussed and the last section is conclusions.

61 **2. Methods and data**

63 Now we shortly describe the lamina searching procedure. Each positive lamina consists of
 64 the three main points: the lower minimum, the main maximum and the upper minimum. The
 65 depth of lamina must be between 500 and 3500 m due to the vertical resolution of the
 66 ozonsondes (lower limit) and due to the fact that the ozone lamina is a narrow layer of the
 67 enhanced ozone concentration (upper limit). The size of laminae is given as a difference
 68 between the ozone concentration in the main maximum and the average concentration from
 69 both minima. More about the lamina searching procedure can be found in (Krizan and
 70 Lastovicka, 2004) and (Lastovicka and Krizan, 2005).

71 The method used in this paper for the searching the activity of gravity and planetary waves
 72 in the ozone profile is a modification of the methods given by Teitelbaum et al. (1995). Figure
 73 1 (upper panel) shows the real ozone profile at Hohenpeissenberg on February 2, 1970. We
 74 use the linear interpolation with the step 50 m for approximating the ozone profile with the
 75 high vertical resolution. Then the 50 point moving average (2500 m in vertical) is applied to
 76 this real profile to obtain the smooth profile. This smooth profile is also displayed in fig.1
 77 (upper panel). The same procedure is applied to the potential temperature and the results are
 78 given in fig. 1 (lower panel). In the next step we compute the differences between the high
 79 resolution profile and the smooth profile for the ozone partial pressure (fig 2 upper panel) and
 80 the potential temperature (fig 2 lower panel). The differences are much higher for the ozone
 81 profile than for the potential temperature profile. The differences in the vertical gradients of
 82 the ozone partial pressure and the potential temperature must be taken into account. So we
 83 must apply the following correction factor to the potential temperature perturbations:

$$86 \quad R(z) = [(1/O_{3avg})*(dO_3/dz)]*[(1/\Theta_{avg})*(d\Theta/dz)] \quad (1, 1)$$

88 **where O_{3avg} (Θ_{avg}) is the average ozone partial pressure (potential temperature) in the layer**
 89 **with the width dz .** The vertical distribution of this correction is given in fig.3 (upper panel).
 90 The correction is the highest in the lower stratosphere where the vertical gradient of ozone is
 91 strong. Above 20 km we observe the negative values of this factor, which is predominantly
 92 given by the negative gradient of the ozone partial pressure and the strong positive gradient of
 93 the potential temperature. When we multiply the potential temperature perturbations with this
 94 correction, we obtain the perturbations, which are shown in fig. 3 (lower panel). These new
 95 perturbations are not similar to that given in fig.2 –lower panel.

96 **In each point** of the high resolution ozone profile we compute the correlation coefficient
 97 between the ozone perturbations and the scaled potential temperature perturbation up to 5 km
 98 above this point. The vertical dependence of this correlation coefficient from the ground to
 99 the point which is situated 5km below the highest ozone profile point is seen in fig.4. If the
 100 correlation coefficient is greater than 0.7, the vertical ozone profile in this point is influenced

101 by the gravity waves. In fig 4 the correlations are higher than 0.7 at some altitudes above 5
102 km and below 15 km. If the lamina maximum is situated in this high correlation area, we
103 conclude this lamina is induced by the gravity waves. On the other hand, if these correlations
104 are low (between -0.3 and 0.3), we consider the ozone profile to be influenced by the
105 planetary waves in this point (from 17 to 22 km on fig. 4) and again if there is a lamina
106 maximum there we consider this lamina as the one induced by the planetary waves. When the
107 correlation coefficient is above 0.3 and below 0.7 or below -0.3 we are not able to evaluate
108 what type of laminae is present and call them indistinguishable laminae. The boundary values
109 of correlation coefficients were taken from Teitelbaum et al. (1995)

110 We are going to apply this procedure to the following European midlatitudes stations:
111 Hohenpeissenberg (Germany, 1970-2016, 5166 files), Payerne (Switzerland, 1970-2016, 5998
112 files), Uccle (Belgium, 1970-2015, 6221 files), Lindenberg (Germany, 1975-2013, 2380 files)
113 and Legionowo (Poland, 1979-2016, 1728 files). These data were taken from WOUDC
114 Toronto (<http://woudc.org/archive/Archive-NewFormat/>). During the research some problems
115 with a vertical resolution of ozone profile were occurred and so at the end we exclude the
116 data from the station Lindenberg. The Hohenpeissenberg data was used only for large
117 laminae.

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121 **3. Results**

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123 **3.1. Performance of method**

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125 At first we must answer the question if the procedure used in the paper is successful in
126 partitioning of laminae to the groups. If the procedure is suitable, the number of the
127 indistinguishable laminae cannot be very high. The performance of this procedure is given in
128 tab.1 for Hohenpeissenberg for each month and for all laminae regardless the size. The results
129 at the other stations are very similar. From this table we see that approximately 47 % of all
130 laminae are PL, while GL laminae formed about 10 % and the share of indistinguishable
131 laminae is about 43 %. It means more than 50 % of all laminae can be divided into the
132 laminae induced by the gravity or the planetary wave activity. So we can conclude this
133 procedure is successful in lamina partitioning, because nobody can expect only GL and PL
134 will be present and no indistinguishable laminae. Practically there is no yearly course in the
135 lamina composition.

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137 **3.2. Vertical resolution and number of laminae**

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139 At first we must look at the homogeneity of the sonde vertical resolution used in this
140 paper. The results are given in fig. 1. We see the resolution is not homogenous and the
141 resolution increases (vertical distance decreases) in the period 1970-2016. And thus we must
142 ask the question if this resolution change has effect on a number of laminae detected in the
143 profile. We have computed correlation coefficient between the yearly values of lamina
144 number and vertical resolution. If these correlations are significant the resolution influences
145 the lamina number. **We did** the correlations for the following groups of laminae: small (<1
146 mPa), medium (1-4 mPa) and large (>4 mPa). The results are shown in tab.2. The number of
147 small laminae is strongly correlated with vertical resolution. It means the numbers of small
148 laminae are affected by the resolution. With increasing size of laminae these correlations
149 decrease. For large laminae the results are station dependant. These results are a bit surprising
150 because one expects negative correlations of lamina number with resolution and these

151 negative correlations were observed only for small laminae. For the explanation of these
152 results we must look at the average lamina depth in small, medium, and large laminae (table
153 2), which was obtained for the best vertical resolution (below 100 m). We can see the increase
154 of lamina depth with increasing size. When the depth of laminae is small (small laminae), the
155 vertical resolution strongly influences the lamina number, because with decreasing resolution
156 the number of detected laminae decreases. On the other hand, the average depth of large
157 laminae is above the worst vertical resolution (800 m- fig.5) and so the increasing resolution
158 does not influence significantly the number of detected laminae.

159 **The vertical resolution of sonde measurements must be comparable or smaller than the**
160 average depth of laminae and thus one can see (table 3) the maximal vertical resolution in the
161 case of small laminae must be 100 m and for medium laminae 500 m. The depth of large
162 laminae is above the worst vertical resolution so the large lamina results are not resolution
163 dependant. Originally we considered also the station Lindenberg but it had to be excluded due
164 to large and variable vertical resolution. The station Hohenpeissenberg is suitable only for
165 several years after 2010. Only the stations Payerne and Uccle have suitable vertical resolution
166 in the period 1990-2016 and the station Legionowo in the period 1995-2016. Because we
167 must do compromise between the quality and amount of data we take into account only these
168 three stations in the period 1995- 2016 for the small and medium laminae and the
169 Hoheinpeissenberg data for the large ones.

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172 **3.3. Annual variation of laminae induced by the gravity and the planetary wave activity**

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174 **Figure 6 shows the annual variation of the number of laminae larger than 2 mPa for**
175 **GL and PL at all stations used in this paper. The annual variation with maximum in**
176 **winter/spring and summer/autumn minimum is clearly seen for PL but this pattern is very**
177 **weak in case of GL. Monthly values of the ratio of the number of PL and GL at the European**
178 **midlatitudinal stations are given in table 4 for laminae greater than 2 mPa. We see this ratio is**
179 **month dependant On average its value is from 10 to 20, but in January at Legionowo its value**
180 **is nearly 100. We think it is an outlier. The number of PL is much higher than that of GL.**
181 **This different behaviour of the annual variation is the evidence that the both type of laminae**
182 **are formed by different processes.**

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185 **3.4. Dependence of lamina type on the size of laminae**

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187 In this section we deal with the lamina type occurrence frequency in the selected classes of
188 lamina size. The laminae were sorted to the following groups: small (<1 mPa), medium size
189 (1-4 mPa) and large (>4 mPa) and in each group we found the occurrence frequency of
190 different types of laminae. The results are presented in fig.7. The results are almost identical
191 for all stations. The share of GL is decreasing with the increasing size and the opposite is true
192 for PL. The performance of used procedure increases with the increasing lamina size (the
193 share of indistinguishable laminae decreases). The gravity waves are able to produce
194 predominantly small laminae, while the planetary waves produce also the large ones. Similar
195 results were also obtained by Teitelbaum et al. (1995).

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197 **3.5. Vertical dependence of the occurrence of advection and gravity wave laminae**

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199 Now we examine the altitudinal dependence of occurrence of GL and PL at the
200 stations used in this paper for all seasons. March, April and May form spring, June, July,

201 August are summer months, September, October and November are the autumn ones and
202 December, January and February is winter. We divided the ozone vertical profile into 2 km
203 wide intervals and in each interval we search for the lamina occurrence. The results are
204 displayed as the percentage of all laminae which occur in the individual altitude interval. We
205 grouped laminae into two groups: small (<2 mPa) and large (>2 mPa) and in each group we
206 are searching for the lamina occurrence. The results are displayed only for the station
207 Payerne, because at the other stations the results are similar. The winter results are given in
208 fig. 8 for the large (upper panel) and the small (lower panel) laminae. The large laminae have
209 similar behaviour both for GL and PL. Their maximal occurrence is observed in the lower
210 stratosphere and there are no large laminae in the troposphere. On the other hand, the
211 occurrence of the small laminae is different. GL have maximal occurrence in the troposphere.
212 Similar behaviour is seen in spring (fig.9), where we observe strong small GL occurrence
213 maximum in the troposphere. In spring small PL have the maximal occurrence in the lower
214 stratosphere. In summer (fig.10) the large GL have broad stratospheric maximum and the
215 smaller maximum is observed in the troposphere. Large GL have sharper stratospheric
216 maximum and they are very little present in the troposphere. We observe broad stratospheric
217 maximum in small PL occurrence in summer, while the small GL have bimodal vertical
218 profile with one maximum in the troposphere and the other maximum is present in the
219 stratosphere. In autumn (fig.11) the maximum in occurrence of small PL and GL laminae is
220 observed in the stratosphere.

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224 4. Discussion

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227 We found the occurrence frequency of PL to be about 10-20 times larger than that of
228 GL. The most frequent way of formation of the laminae induced by planetary waves is
229 vertically different advection of air with the various ozone content (Manney et al., 2000).
230 Tomikawa et al. (2002) proposed as one of lamina formation mechanism vertical shear of the
231 subtropical jet. In these processes we observe transformation of the horizontal gradient of the
232 ozone concentration into the vertical one. The air with the high ozone concentration comes to
233 the midlatitudinal Europe in winter from the edge of the polar vortex (Orsolini et al., 2001).
234 On the other hand, the low ozone air has its origin inside the polar vortex and it is transported
235 to the mid latitudes (Reid and Vaughan, 1991) or it is the air from the low latitudes where
236 ozone concentration is low (Orsolini et al., 1995).

237 The strong source of gravity waves is orography (Smith et al., 2008), especially
238 passing the air through a mountain range when the gravity waves occur in the downwind side
239 of the ridge. For stations used in this paper the most important mountains are the Alps. These
240 stations are situated in a such way during prevailing west winds they are not on the leeward
241 side of the Alps and the share of gravity wave laminae are practically the same for all stations.
242 The same is true for the laminae induced by planetary waves. In this case all stations are
243 practically under the same conditions. So we cannot expect large interstation differences in
244 lamina partitioning. It will be reasonable to do this investigation at the stations which lie on
245 the leeward side of mountains or at stations which are in hot spots of the gravity wave activity
246 (Sacha et al., 2016). The other sources of the gravity waves are jet stream and convection
247 (Guest et al. 2000; Yoshiki et al. 2004). Their conditions are the same for all stations used in
248 this study. In the troposphere the stratosphere-troposphere exchange may cause the positive
249 laminae and in the stratosphere this exchange may lead to formation of negative laminae
(Kritz, 1991).

250 Laminae greater than 2 mPa occur very predominantly in the stratosphere where the
251 ozone concentration is high. When the ozone concentration is high, the probability of large
252 lamina formation increases. The confirmation of this rule is also the yearly course of PL
253 where the maximal occurrence is observed when the ozone concentration is the highest
254 (winter and spring). On the other hand, in the troposphere we observe neither the PL large
255 laminae nor the large GL due to small ozone concentration. Similarly, we observe less large
256 PL in the stratosphere in summer and fall. This dependence of the lamina occurrence on the
257 background ozone concentration is valid only for PL, not for the gravity wave ones.

258 For the laminae smaller than 2 mPa the situation is different. We observe the
259 differences in the vertical distribution of PL and GL. In winter the maximal occurrence is
260 observed in the lower stratosphere in the case of PL, while gravity wave laminae have its
261 occurrence maximum in the tropopause. In spring the small GL maximum lies lower than in
262 winter. In summer the occurrence distribution has bimodal structure with one maximum in the
263 troposphere and the other one in the stratosphere. In fall the stratospheric mode is dominant.

264 In summer and fall there is no polar vortex. Vortex remnants (Durry et al., 2005) may
265 form the positive laminae in the stratosphere while the advection of air from low latitudes
266 (Koch et al., 2002) creates layers with the low ozone concentration.

267 In the troposphere the situation is different. Positive laminae are created by various
268 processes: the stratosphere-troposphere exchange (Manney et al., 2000), the advection of
269 polluted air from the boundary layer (Oltmans et al., 2004; Collete et al., 2005) or in situ
270 ozone production (Li et al., 2002). Tropospheric gravity waves occur predominantly in the
271 transition region from the troposphere to the stratosphere where there is a strong change in the
272 atmospheric stability

273 Our paper is based on the lamina searching procedure introduced by Teitelbaum et al.
274 (1995). In their paper no climatological results are presented. They illustrated the method for
275 partitioning of laminae for several case studies. The goal of our paper is to use this method for
276 obtaining the climatological results from the mid-Europe ozonsonde stations. Similar
277 searching method was used by Grant et al. (1998) and Pierce and Grant (1998) but for tropical
278 and low latitudes stations. The authors found rare occurrence of PL and majority of laminae
279 was induced by gravity waves. We found more PL compared to the gravity induced ones,
280 because our investigation was done in middle latitudes, not in the low and tropical ones. The
281 activity of planetary waves is stronger in mid latitudes compared to the low and equatorial
282 ones.

283 In this paper we were interested in PL and GL laminae which can be detected from the ozone
284 profile. We evaluated the vertical profile of the PL and GL occurrence at Payerne. This station
285 is situated in the valley between the Alps and Jura mountains. Behaviour of PL is given by the
286 activity of planetary waves and thus there is no reason for which we can expect special
287 behaviour of PL at this station. In the case of GL, the most important thing which governs GL
288 behaviour is orography. The Alps are situated to the east (southeast) from the station so
289 during prevailing west winds the most important feature of orography is Jura mountains
290 which is not high enough for generating strong gravitational waves in the stratosphere. We
291 can speculate some of GL in the troposphere may have its origin in Jura mountains.

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295 5. Conclusions

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The main results of this paper are:

- 299 • The most often the laminae are induced by the planetary wave activity (45-50 %),
300 following by the indistinguishable ones (about 40 %). The share of the gravity wave
301 laminae is about 10 %.
- 302 • There is a pronounced annual variation in the occurrence frequency of PL, while there
303 is no such variation for GL
- 304 • With increasing lamina size the share of gravity wave and indistinguishable laminae
305 decreases while the share of the planetary wave laminae increases.
- 306 • The vertical distribution of lamina number for large laminae has maximum in the
307 stratosphere while the distribution of small laminae is type and season dependant.
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309 **Competing interests**

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311 The author declare that he has no conflict of interest
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319 **Acknowledgement**

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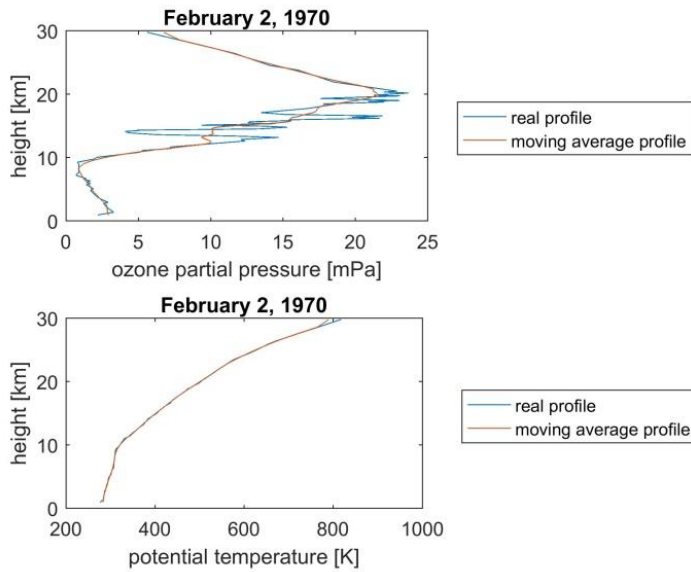
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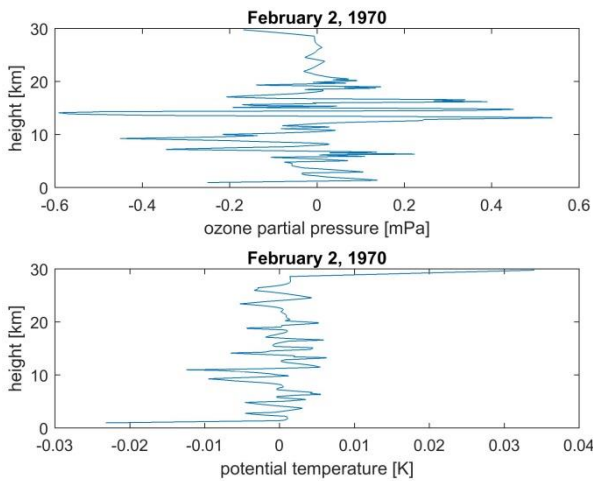
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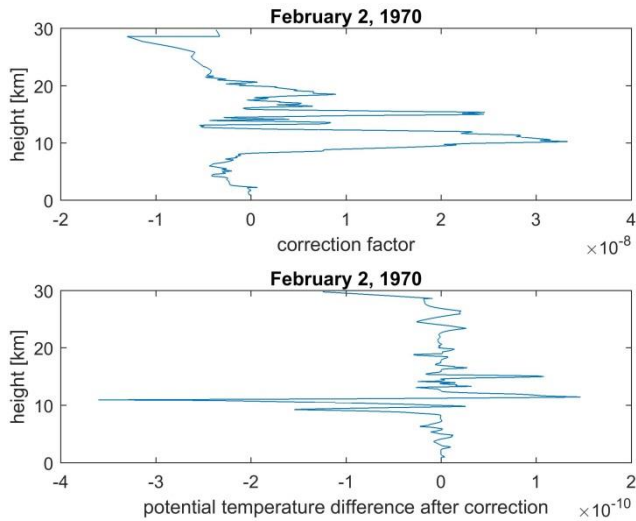
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 402 **Figure 1:** Real and smooth ozone (upper panel) and potential temperature (lower panel)
 403 vertical profile at the Hohenpeissenberg from February 2, 1970.
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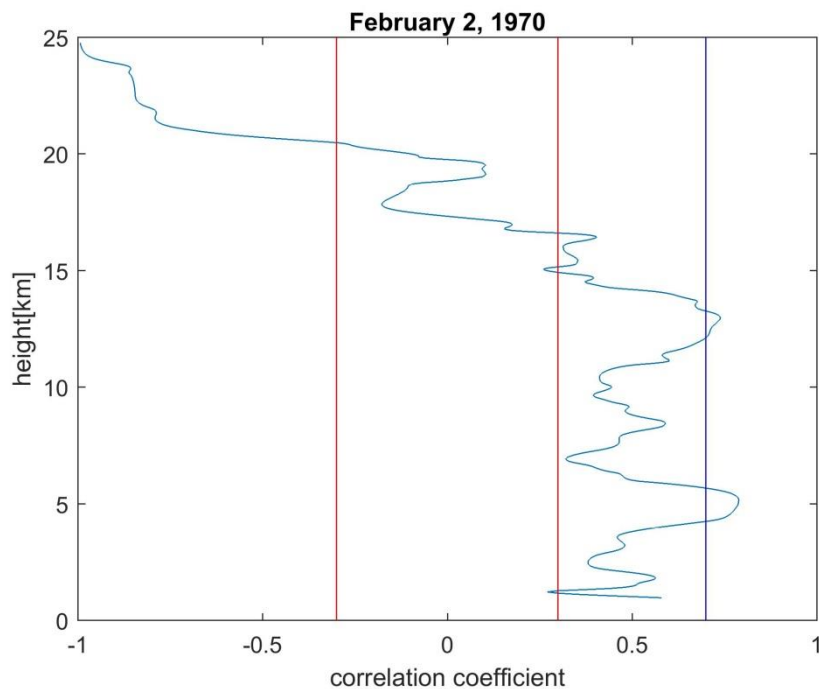
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 410 **Figure 2:** Differences between real and smooth vertical profile from February 2, 1970 for
 411 ozone (upper panel) and potential temperature (lower panel)
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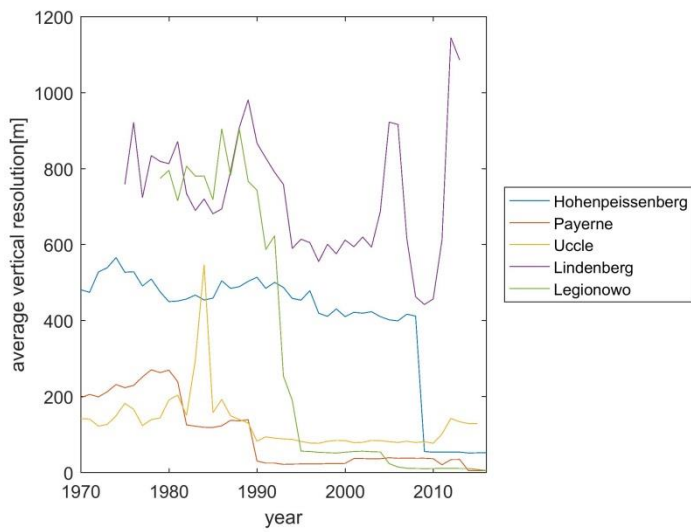
Figure 3: Vertical profile of potential temperature correction factor (upper panel) and vertical profile of differences between real and smooth potential temperature profile (lower panel) after correction.



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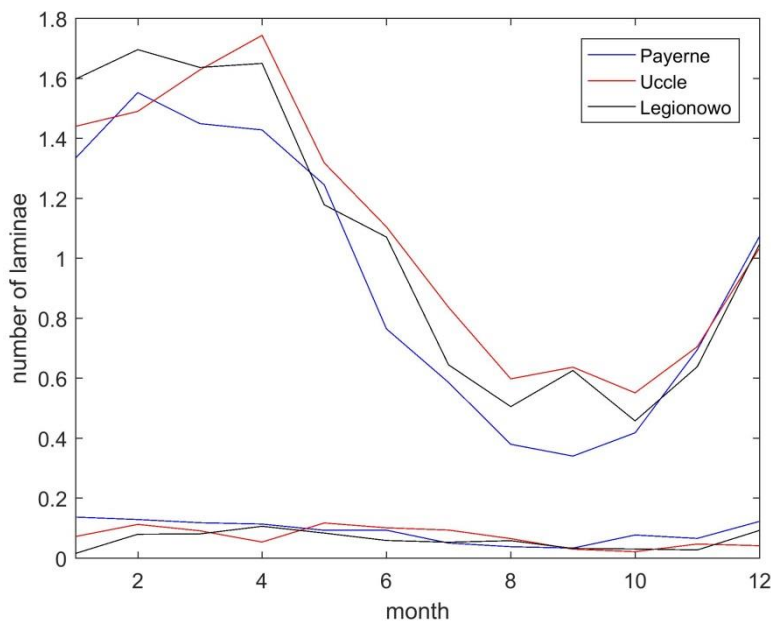
Figure 4: The vertical profile of correlations between the corrected potential temperature differences and the ozone differences from February 2, 1970 at Hohenpeissenberg. The red vertical lines are the borders for the laminae induced by the planetary waves and the blue vertical line is the border for gravity wave ones.

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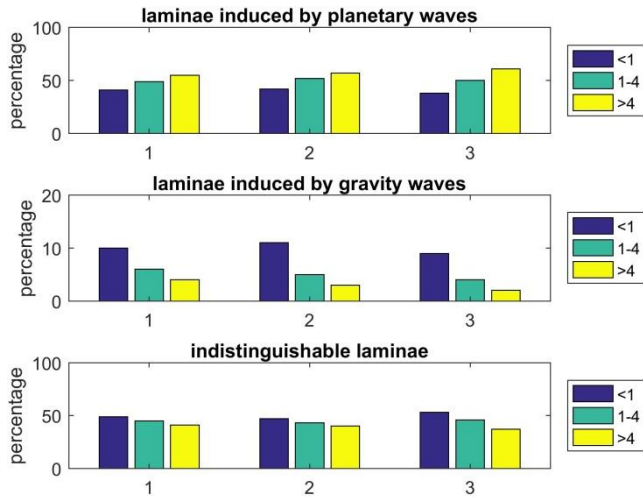
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Figure 5: Long term evolution of average vertical resolution of profiles at the European ozonsonde stations.



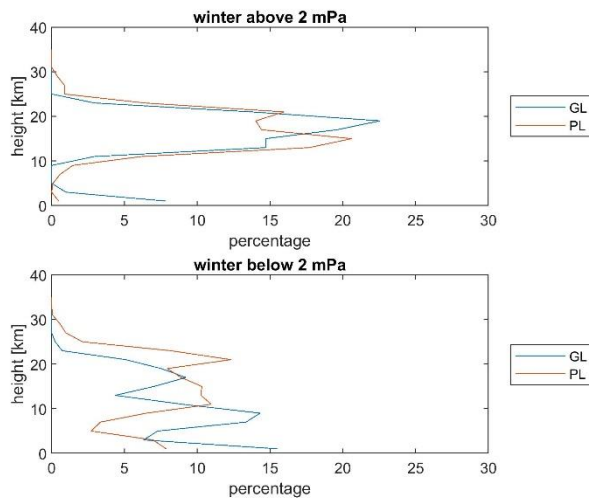
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Figure 6: The annual variation of the lamina number per ozone profile for PL (group of lines with the strong variation) and for GL (group of lines with the weak variation) at the European ozonsonde stations.



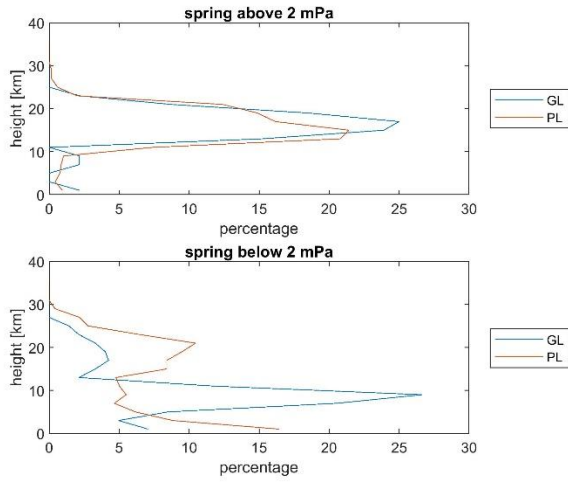
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Figure 7: The dependence of the lamina composition on a lamina size for PL (upper panel), GL (middle panel) and indistinguishable laminae (lower panel) at the European stations (1-Payerne, 2 – Uccle, 3 –Legionowo)

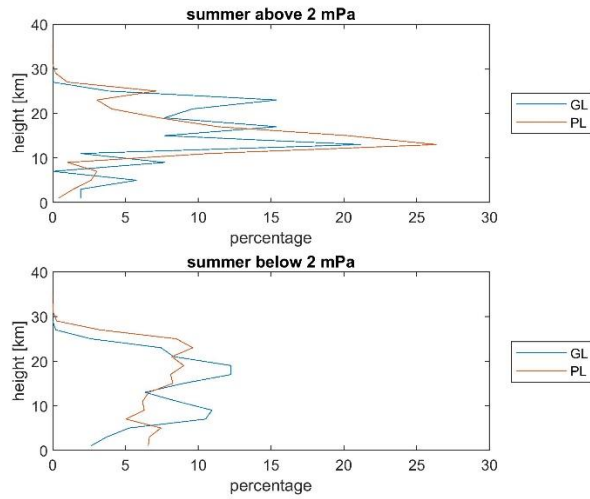


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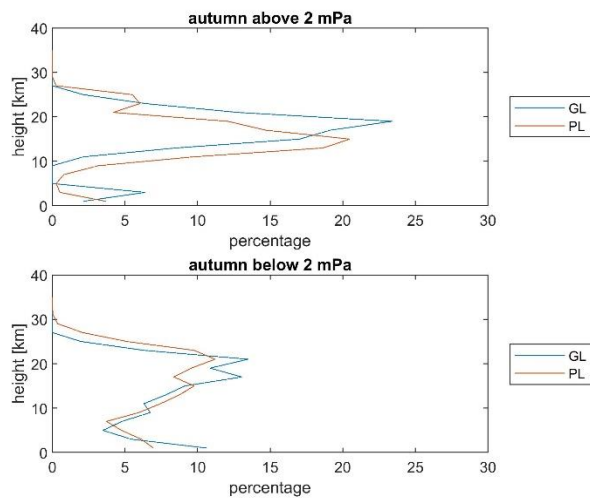
Figure 8: The vertical dependence of the occurrence of the laminae induced by the gravity waves and the ones induced by planetary waves at Payerne in the period 1995-2016 in winter in terms of percentage of all GL and all PL.



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 462 **Figure 9:** The same as fig.7 but for spring
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 466 **Figure 10:** Vertical dependence of lamina occurrence in summer.
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 470 **Figure 11:** The same as fig. 9, but in autumn.
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	January	February	March	April	May	June	July	August	Sept	Oct	Nov	Dec
PL	48	49	48	48	45	41	44	46	47	46	47	48
GL	10	10	11	10	11	11	10	11	10	11	9	10
undist	42	41	41	42	44	48	46	43	43	43	44	42

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Table 1: Monthly composition of laminae (%) at Hohenpeissenberg in the period 1970-2016 (undist- undistinguishable laminae)

	<1mPa	1-4 mPa	>4 mPa
Hohenpeissenberg	-0.95 /-0.68	-0.57/0.55	-0.09/ 0.25
Payerne	-0.49/-0.37	-0.50/0.29	0.32/0.58
Uccle	-0.66/-0.61	0.57/-0.07	0.00/0.16
Lindenberg	-0.79/-0.51	-0.88/-0.54	-0.76/0.14
Legionowo	-0.81/-0.80	-0.77/-0.07	0.31/0.19

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Table 2: Correlation coefficient of lamina number and average vertical resolution at the European mid latitudes stations from the period 1970-2016 (before slash - PL, after slash – GL). Significant correlation coefficient values are in bold.

	< 1 mPa	1-4 mPa	>4 mPa
Hohenpeissenberg	198/203	733/1021	1895/2057
Payerne	112/144	486/597	1874/1803
Uccle	121/206	486/761	1832/1775
Legionowo	104/142	535/702	1909/1983

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Table 3: Average lamina depth (m) in the selected lamina size intervals at the European middle latitude stations for the vertical resolution below 100m (before slash - advective laminae, after slash – gravity wave laminae).

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	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII
Payerne	10	12	12	13	13	8	12	10	10	5	11	9
Uccle	20	13	18	32	11	11	9	9	21	25	15	25
Legionowo	98	21	20	15	14	18	12	9	19	15	23	11

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Table 4: Monthly values of ratio of the number of PL and GL at the European midlatitudinal stations for laminae greater than 2 mPa.