



1 **Geomagnetic Conjugate Observations of Ionospheric Disturbances in**
2 **response to North Korea Underground Nuclear Explosion on 3**
3 **September 2017**

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11 **Key points:**

12 1. Geomagnetic conjugate ionospheric disturbances related to UNE were observed by

13 IGS stations and Swarm satellite.

14 2. Radial propagation velocity from the UNE epicenter was calculated from temporal

15 and spatial distribution of conjugate ionospheric disturbances.

16 3. The ionospheric disturbances present the evidence of the LAIC electric field

17 penetration process.

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23 **Abstract**

24 We report observations of ionospheric disturbances in response to North Korea
25 underground nuclear explosion (UNE) on 3 September 2017. By using data from IGS
26 (International GNSS Service) stations and Swarm satellite, geomagnetic conjugate
27 ionospheric disturbances were observed. The observational evidences showed that
28 UNE-generated ionospheric disturbances propagated radially from the UNE epicenter
29 with the velocity of ~ 280 m/s. We propose that the ionospheric disturbances are results
30 of electrodynamic process caused by LAIC (Lithosphere-Atmosphere-Ionosphere
31 Coupling) electric field penetration. LAIC electric field can also be mapped to the
32 conjugate hemispheres along the magnetic field line and consequently cause
33 ionospheric disturbances in conjugate regions. The UNE-generated LAIC electric field
34 penetration plays an important role in the ionospheric disturbances in the region of the
35 nuclear test site nearby and the corresponding geomagnetic conjugate points.

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38 **Key words:** geomagnetic conjugate ionospheric disturbances; electrodynamic process;
39 LAIC electric field penetration

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44 **1 Introduction**

45 Ionospheric disturbances can be generated by various naturally processes such as
46 geomagnetic storms, internal electrodynamic instabilities, coupled upper atmospheric
47 variations and so forth. Furthermore, human activity can also cause evident ionospheric
48 disturbances. Although underground nuclear explosion (UNE) is detonated deep in the
49 lithosphere, ionospheric disturbances related to UNE can also be observed. By using
50 GNSS-TEC observations, *Park et al.* (2011) reported that traveling ionospheric
51 disturbances (TIDs) with phase velocity of ~ 273 m/s were generated by UNE in the 25
52 May 2009 North Korea UNE test. They proposed that acoustic gravity waves (AGWs)
53 generated by the UNE can propagate to ionosphere and cause wavelike disturbances.
54 While the observations of UNE related ionospheric disturbances have been discussed
55 in (*Park et al.*, 2011; 2013), further investigation is still required to understand the
56 mechanism(s) of ionospheric disturbance generation. Lithosphere-atmosphere-
57 ionosphere coupling (LAIC) mechanisms originally proposed to interpret the linkage
58 between ionospheric disturbances and earthquake activities are the most likely
59 explanation for the ionospheric disturbances in response to UNE. The AGWs theory is
60 one part of LAIC mechanisms (*Liu et al.*, 2016; *Maruyama et al.*, 2016). AGWs excited
61 by the unusual events in lithosphere such as an earthquake or an UNE can propagate to
62 ionospheric height and generate TID and electromagnetic disturbances (*Gohberg et al.*,
63 1990; *Mikhailv et al.*, 2000; *Huang et al.*, 2011; *Ren et al.*, 2012). However, the AGWs
64 mechanism cannot fully explain all the observations related to earthquakes. The
65 electrostatic coupling is another candidate for LAIC mechanisms. During earthquakes,



66 LAIC electric field or current can be excited by complex physical and chemical
67 reactions induced by rock rupture and penetrate the ionosphere to promote plasma
68 disturbances by $E \times B$ motion (*Xu et al.*, 2011; *Zhao & Hao*, 2015). *Zhou et al.* (2017)
69 developed an electric field penetration model for LAIC and their simulation results
70 showed that the penetration height of LAIC electric field can reach to 400 km in mid-
71 latitude regions. Because of high electric conductivity of the geomagnetic field lines,
72 LAIC electric field can also be mapped along geomagnetic field lines and cause
73 ionospheric disturbances at the geomagnetic conjugate points (*Ruzhin et al.*, 1998;
74 *Zhang et al.*, 2009; *Li & Parrot*, 2017).
75 In this study, we have used magnetic conjugate GNSS observations and Swarm satellite
76 to investigate the LAIC electric penetration effects of North Korea UNE on 3
77 September 2017.

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79 **2 Instrument and Data**

80 The IGS stations used in this study are located in East Asia and Australia. In order to
81 eliminate the noise and multipath effects of GPS signals, only carrier phase
82 observations are utilized to derive the relative slant total electron content (STEC). The
83 time resolution is about 30 s. The ionospheric pierce points (IPPs) height in this study
84 is assumed at 350 km. The ionospheric disturbances related to UNE are calculated from
85 GNSS observations. In the first step, the numerical third-order horizontal 3-point
86 derivatives of STEC are used for extracting the ionospheric disturbances (*Park et al.*,
87 2011). Then the wavelet decomposition process is applied to the carrier phase derived



88 STEC for removing the background noise. The geographical positions of the UNE and
89 the IGS stations are showed in Figure 1.

90 Swarm mission operated by the European Space Agency (ESA) mainly focuses on the
91 survey of global geomagnetic field and its temporal evolution, which consists of three
92 satellites named Alpha (A), Bravo (B), and Charlie (C). By using the magnetic field
93 data detected by Vector Field Magnetometer (VFM) on Swarm, the ionospheric radial
94 current (IRC) density could be calculated by using spatial gradient of residual magnetic
95 field data through Ampère's law (*Ritter et al.*, 2013). The field-aligned current (FAC)
96 density could be also obtained by the ratio of the IRC density to the sine of the magnetic
97 inclination angle. The FAC density and IRC density used in the study were provided by
98 Swarm level 2 dataset with a time resolution of 1 s. The ionospheric current
99 disturbances associated with UNE can also be calculated by the above method.

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101 **3 Observations**

102 According to the measurements of China Earthquake Network Center (CENC), the
103 approximate location of UNE on 3 September, 2017 is at 41.35 °N and 129.11 °E. The
104 explosive time was at 03:30:01 UTC. The geomagnetic K_p index was less than 3 and
105 AE index was less than 500 nT before and after the UNE, which indicates that the
106 geomagnetic activity was not so active.

107 Figure 2 shows the time sequences of 3rd-order derivatives of carrier phase derived
108 STEC by GNSS observations from different IGS stations in East Asia and Australia on
109 3 September 2017. All the GNSS observations from northern and southern hemisphere



110 showed obvious short-period fluctuations within 2 hours after the UNE. It was also
111 found that time delay after the UNE was different according to different IPPs of GPS
112 signals.

113 Figure 3 illustrates the satellite Swarm B ionospheric current derivatives. Compared to
114 observed results of ionospheric current in quiet time, it was seen that the FAC
115 derivatives and IRC derivatives at conjugate hemispheres both showed obvious short-
116 period fluctuations after the UNE. The ionospheric current disturbances could reach 0.5
117 $\mu\text{A}\cdot\text{m}^{-2}\cdot\text{s}^{-3}$.

118 Figure 4 presents the IPPs tracks of STEC derivatives. In order to investigate the
119 propagation velocity of ionospheric disturbances, we assumed that the UNE-generated
120 ionospheric disturbances propagate radially with a certain velocity. Based on the UNE-
121 IPPs horizontal distances and the ionospheric disturbances arrival time, the horizontal
122 propagation velocity of ionospheric disturbances could be estimated by linear fitting
123 model. The horizontal distance from IPPs to epicenter and time delay of the UNE-
124 generated ionospheric disturbances are presented in Figure 5. The value of horizontal
125 velocity obtained by the least square estimation was ~ 280 m/s.

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127 **4 Discussion**

128 By utilizing geomagnetic conjugate GNSS TEC observations and ionospheric current
129 products from Swarm, we introduced the ionospheric disturbances which are
130 considered as a result of the UNE carried out by North Korea on 3 September 2017.

131 The method of the numerical third-order horizontal 3-point derivatives was applied to



132 the GNSS TEC and the ionospheric current of Swarm to extract the ionospheric
133 disturbances, which can also be found in *Park et al.*, (2011). Ionospheric disturbances
134 derived from GNSS TEC observations in our study are consistent with the results of
135 North Korea UNE on 25 May 2009 obtained by *Park et al.* (2011).
136 The effects of UNE on the ionosphere could be very similar to that of earthquakes on
137 the ionosphere. In previous studies, AGWs are considered as the most likely mechanism
138 for atmospheric and ionospheric disturbances excited by UNE or earthquakes
139 (*Mikhailov et al.*, 2000; *Che et al.*, 2009; *Garrison et al.*, 2010; *Park et al.*, 2011, 2013;
140 *Park*, 2012; *Yang et al.*, 2012; *Maruyama et al.*, 2016). *Klimenko et al.* (2011) proposed
141 that the ionospheric disturbances were generated by small-scale internal gravity waves
142 (IGWs) through propagation and dissipation processes during seismic activity.
143 However, AGWs mechanism cannot explain the geomagnetic conjugate observations
144 in Figure 2, because mechanical waves such as AGWs cannot propagate to the other
145 hemisphere.
146 Recent researches have shown that earthquake ionospheric disturbances could be
147 attributed to not only the AGW mechanism but also the electrostatic coupling, which
148 means the electric field or current penetration into ionosphere induced by earthquakes.
149 Based on the observations of INTERCOSMOS-BULGARIA-1300 satellite and
150 DEMETER satellite, *Gousheva et al.* (2008, 2009) and *Zhang et al.* (2014) reported
151 ionospheric quasi-static electric field perturbations during seismic activities. *Pulinets*
152 *et al.* (2000) proposed a quasi-electrostatic model for the LAIC mechanism. The
153 simulation results indicated the abnormal electric field induced by an earthquake can



154 penetrate into the ionosphere to cause the ionospheric electric field disturbances. The
155 enhancement of TEC at the epicenter and its geomagnetic conjugate points were
156 reported by *Liu et al.* (2011), which indicated that the earthquake-generated electric
157 field penetration can be mapped along geomagnetic field lines to promote ionospheric
158 disturbances at its conjugate points by electrodynamic process through $E \times B$ drift.
159 Therefore, the geomagnetic conjugation effects of ionospheric disturbances in Figure 2
160 can be explained by the UNE-generated electric field penetration. A schematic sketch
161 of geomagnetic conjugate effect related to UNE in the region of the nuclear test site
162 nearby and the corresponding geomagnetic conjugate region is shown in Figure 6. The
163 UNE-generated electric field or current penetrates into the ionosphere and further
164 generates an abnormal electric field at ionospheric altitude. The distribution of
165 ionospheric electric field showed in Figure 6 were calculated by LAIC electric field
166 penetration model proposed by *Zhou et al.* (2017). Because of the existence of high
167 conductivity of geomagnetic field, the abnormal ionospheric electric field could be
168 mapped along geomagnetic field lines. Geomagnetic conjugate ionospheric
169 disturbances could be generated by abnormal ionospheric electric field through $E \times B$
170 drift. Our study provides observational evidences of LAIC electric penetration other
171 than acoustic gravity wave mechanism.

172 Geomagnetic conjugate observations in ionosphere have been reported by a few
173 researchers. *Otsuka et al.* (2002; 2004) reported simultaneous observations of
174 equatorial airglow depletions and medium-scale TIDs at geomagnetic conjugate points
175 in both hemispheres by two all-sky imagers. Their results also suggested that



176 polarization electric field, which is important for airglow depletion and MSTIDs
177 generation, can be mapped along the field lines.

178 In our observations, we found that the ionospheric disturbances in both hemispheres
179 caused by the UNE-generated electric field penetration propagated radially at the
180 velocity of roughly 280 m/s in Figure 4 and Figure 5. LAIC electric field can be roughly
181 estimated to be 11 mV/m, which is consistent with the magnitude of the earthquake-
182 generated ionospheric electric field presented by *Zhang et al.* (2014). Figure 3 presents
183 the results of the ionospheric current disturbances detected by the satellite Swarm B
184 after the UNE. The reason may be that the ionospheric disturbances from the UNE
185 propagate here to generate the current disturbances by electrodynamic process.

186

187 **5 Summary**

188 In this study, we have shown that the geomagnetic conjugate observations of GNSS
189 TEC and ionospheric current from Swarm considered as a response to North Korea
190 UNE on 3 September 2017. The LAIC electric penetration effects of UNE have been
191 discussed in details. The main results are summarized as follows:

192 1. The ionospheric TEC and current disturbances were observed in both hemispheres
193 after the UNE. According to the spatial-temporal relation, UNE-generated ionospheric
194 disturbances propagated radially from the explosion epicenter with the velocity of ~
195 280 m/s.

196 2. The ionospheric disturbances may be caused by LAIC electric penetration rather than
197 AGWs. LAIC electric field induced by UNE penetrates into the ionosphere and causes



198 plasma density disturbances near the nuclear test site and its conjugate points by
199 electrodynamic process.

200

201 **Acknowledgments**

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205 [access](https://earth.esa.int/web/guest/swarm/data-access)). The work is supported by the National Natural Science Foundation of China
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292 **Figure Captions**

293 **Figure 1.** The positions of UNE and IGS stations. The position of 3 September 2017
294 North Korea UNE is represented by black hollow start mark. The locations of IGS
295 stations in both hemispheres are represented by red and blue squares, respectively.

296 **Figure 2.** The time sequences of 3-order derivatives of carrier phase derived STEC by
297 GNSS observations from different IGS stations in East Asia (left and middle column)
298 and Australia (right column) on 3 September 2017. The blue lines indicate the wavelet
299 de-noised 3-order derivative of STEC. The black lines indicate the GPS signal's
300 elevation between the GNSS satellite and IGS stations. The explosive time is
301 represented by the red line.

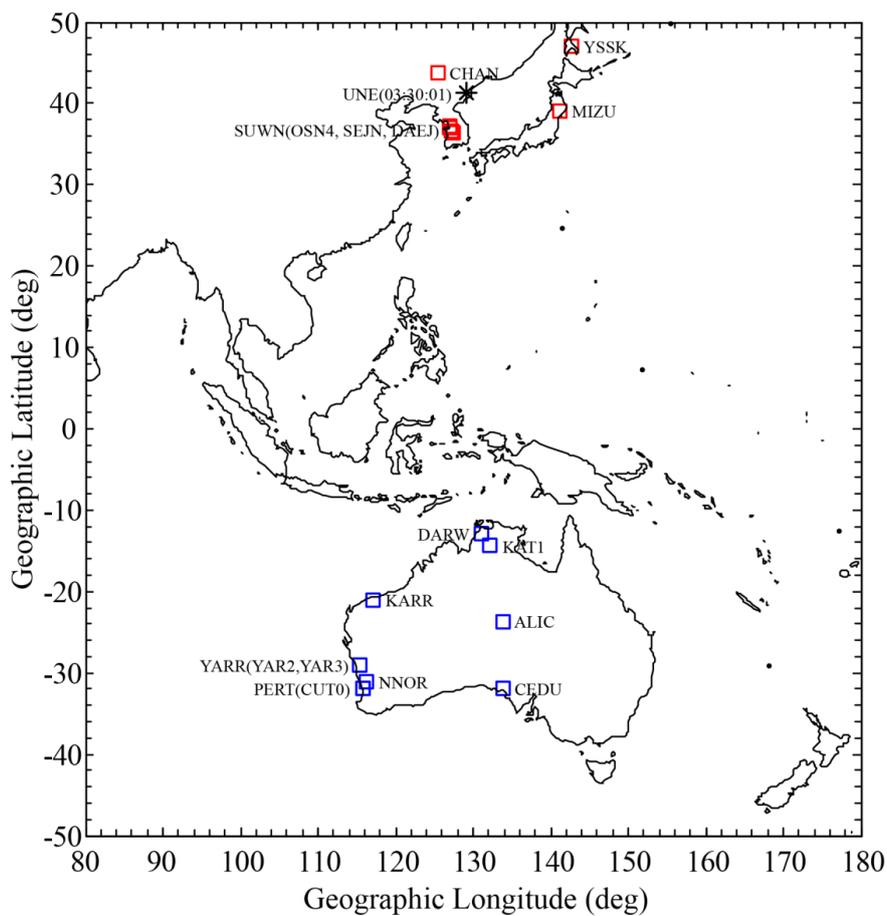
302 **Figure 3.** Results of Swarm B ionospheric current data analysis for the 2017 UNE: (a),
303 (b) the FAC, (c), (d) the IRC. Left and right sides indicate observations of Swarm B on
304 2 September 2017 (quite time) and 3 September 2017 (UNE time), respectively. The
305 ionospheric current disturbances in response to UNE are represented by the red
306 rectangles.

307 **Figure 4.** The IPPs tracks of STEC derivatives. The red lines indicate the IPPs tracks
308 obtained by IGS stations in the northern hemisphere. The blue lines indicate the
309 magnetic conjugate positions of the IPPs tracks obtained by IGS stations in the southern
310 hemisphere. The positions of the maximum amplitudes of STEC derivatives in the
311 northern hemisphere are represented by red triangles. The geomagnetic conjugate
312 positions of the maximum amplitudes of STEC derivatives in the southern hemisphere
313 are represented by blue triangles.

314 **Figure 5.** Horizontal distance-time data for the UNE-generated ionospheric
315 disturbances. The black line indicates the fitting curve obtained by the least square
316 method. The gray lines represent the boundaries of 95% confidence intervals. The red
317 and blue triangles indicate same meanings as in Figure 4. The black triangle represents
318 the position of ionospheric current disturbances in the northern hemisphere. The green
319 triangle represents the geomagnetic conjugate position of ionospheric current
320 disturbances in the southern hemisphere.

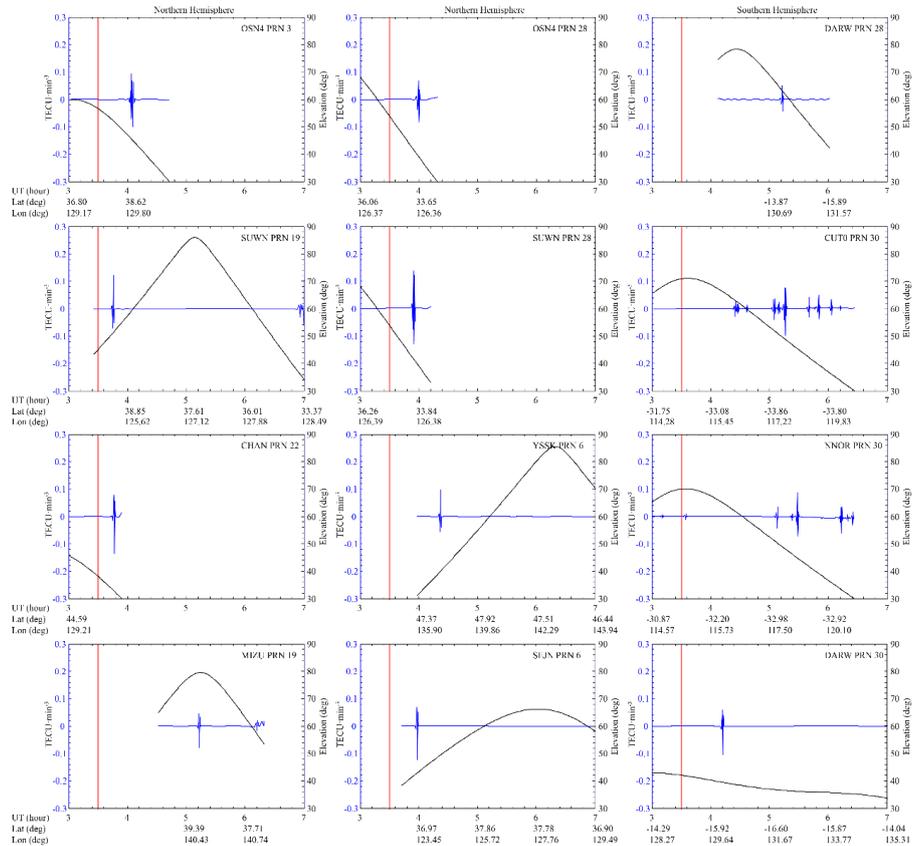


321 **Figure 6.** A sketch of geomagnetic conjugate effect related to UNE in the region of the
322 nuclear test site nearby and the corresponding geomagnetic conjugate region.

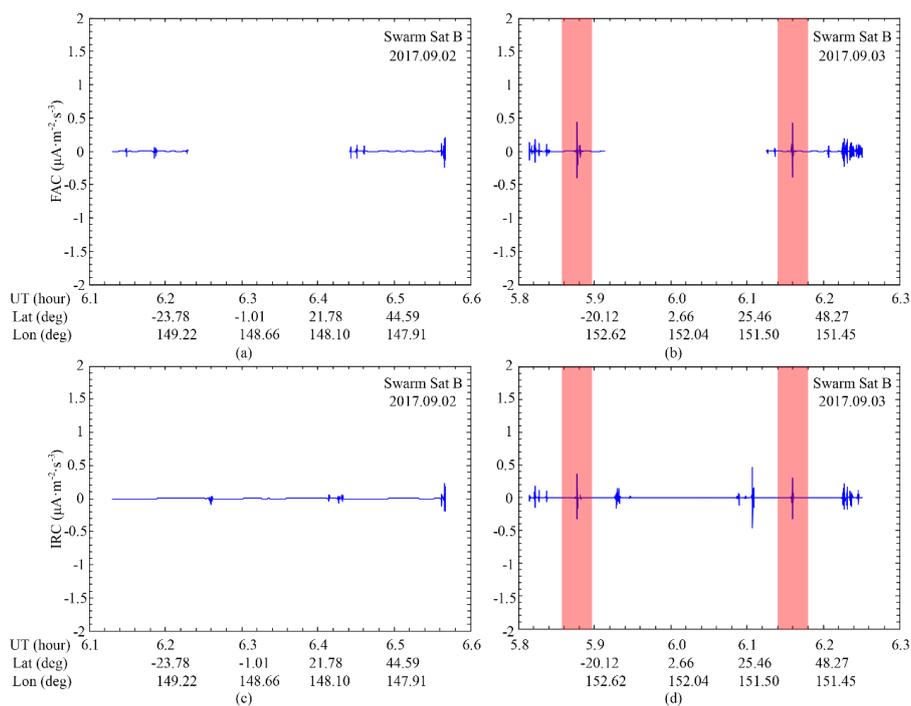


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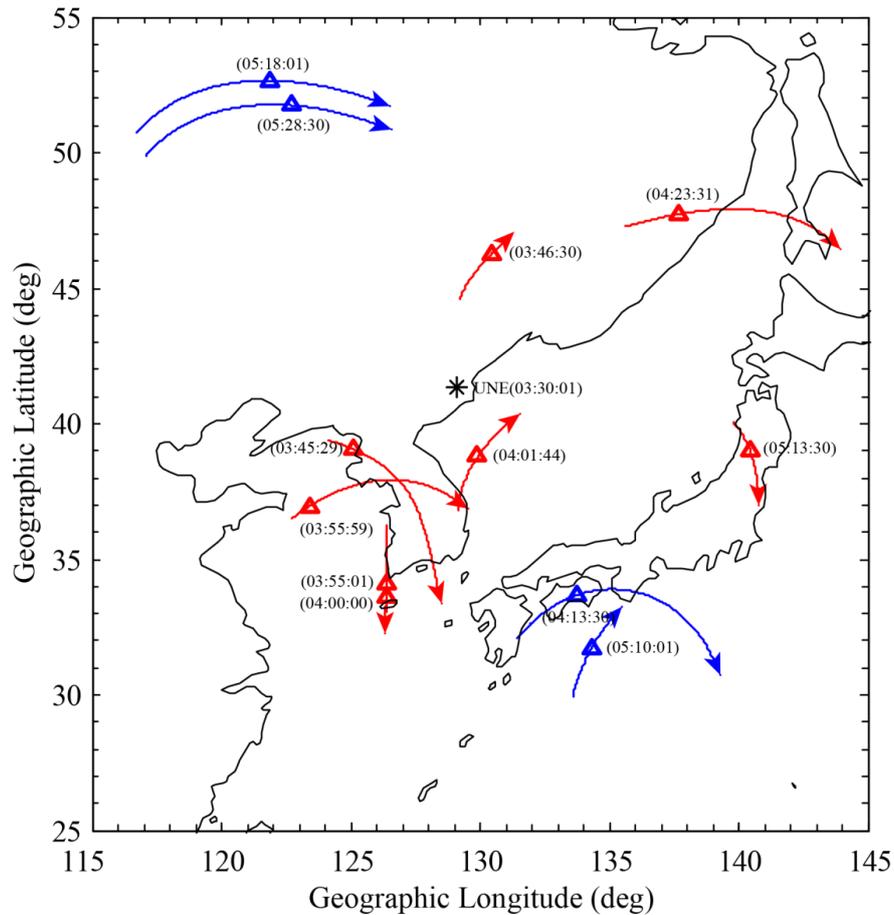


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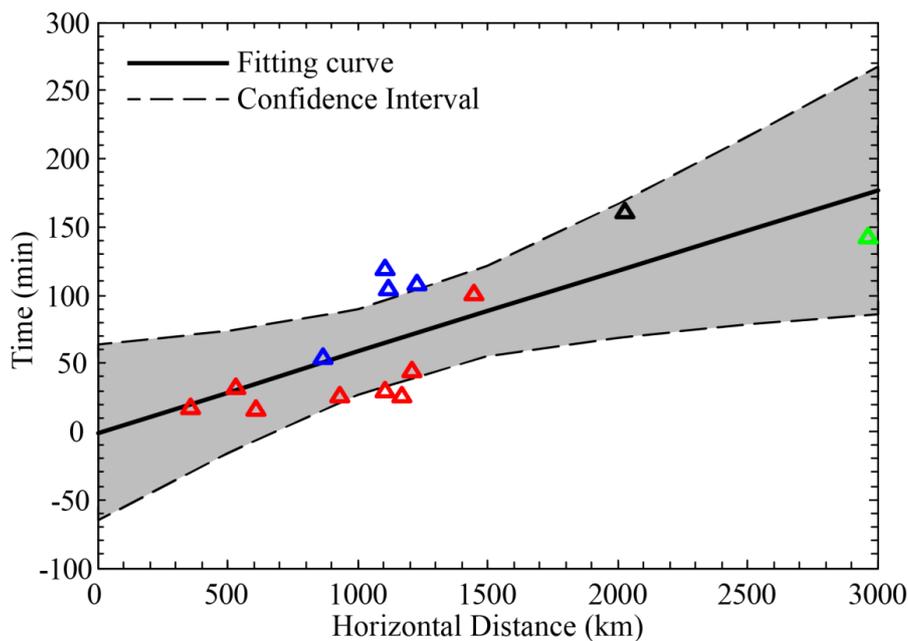
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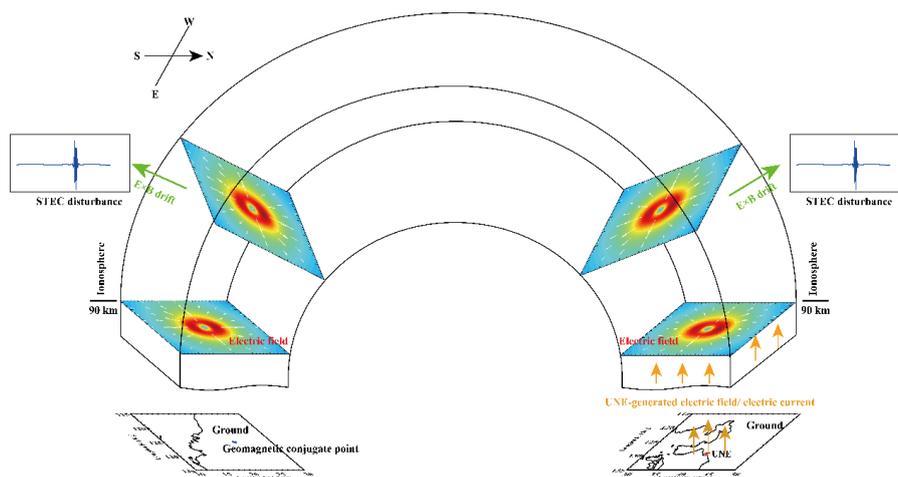
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Figure 6. A sketch of geomagnetic conjugate effect related to UNE in the region of the nuclear test site nearby and the corresponding geomagnetic conjugate region.