



23 **Abstract.** Activities about turbulence and gravity waves are crucial for the understanding of
24 the dynamical processes in the lower atmosphere. Thus, this study presents the long-term
25 variations of turbulence and their associations with the Richardson number Ri and gravity
26 waves by using a high-resolution radiosonde dataset from Miramar Nas (32.8° N, 117.1° W).
27 Seasonal cycles and lognormal distribution are the two main characteristics of turbulence.
28 The amount of turbulence can be increased where Ri exceeds any critical value, which
29 suggests that the threshold Ri may not be an optimal predictor of the existence of turbulence,
30 whereas a low Ri can lead to large and abundant turbulent energy dissipation rates. In general,
31 dissipation rates from the radiosonde quantitatively agree with results from the neighboring
32 MST radar given by Nastrom and Easton (2005), whereas an encouraging argument is
33 reached in terms of the diffusion rate. The propagating gravity waves in the lower atmosphere,
34 especially in the middle troposphere and the tropopause regions, can reduce Ri . Therefore,
35 enhanced turbulent mixing is expected. Other roles of gravity waves in turbulent flow are that
36 breaking waves and the temporal variations of waves may be occasionally transferred to
37 turbulence and can roughly estimate dissipation rates at different heights.

38

39 **Keywords:** The Thorpe sort method; High-resolution radiosonde; Turbulent energy
40 dissipation rate; Gravity wave

41

42 **Key points:**

43 1. The Thorpe-resolved turbulent energy dissipation rate is only quantitatively consistent
44 with radar results, whereas encouraging argument is found for the diffusion rate.



45 2. The Richardson number may not be a reliable predictor of the existence of turbulence
46 and can be reduced by propagating inertial gravity waves.

47 3. Wave-induced turbulence occasionally occurs in tropopause regions, and the temporal
48 variations of waves can roughly estimate turbulence at different heights.

49

50 1. Introduction

51 Tropospheric and lower stratospheric turbulence is attracting considerable interest due to
52 its important role in determining dynamic atmospheric and stratosphere–troposphere
53 exchanges (Dutta et al., 2009); heat, momentum, mass, and constituent redistribution (Fritts
54 et al., 2012); and chemical diffusion; such turbulence is also economically important for
55 commercial aircraft (Sharman et al., 2012). Therefore, much attention has been paid over the
56 past decades to the variation and generation of turbulence.

57 Experimental observations, such as radar and sounding, are fundamental to the
58 comprehensive understanding of the characteristics of turbulence. Radar observations with
59 large power-aperture and high spatial resolution are necessary for the accurate detection of
60 turbulent air according to the assumption that the Bragg scale lies within the inertial subrange
61 of turbulence (Wilson et. al., 2005). VHF radars are the most widely adopted among the
62 different radar types (Hocking and Mu, 1997; Nastrom and Eaton, 1997; Satheesan and
63 Murthy, 2002; Fujiwara et al., 2003; Wilson et. al., 2005; Das et al., 2010; Mega et al., 2010;
64 Kantha and Hocking, 2011; Li et al., 2016). High-resolution soundings, which include
65 radiosonde, dropsonde, and some specially designed soundings with spatial resolutions
66 ranging from dozens of meters to even a few millimeters, are utilized for investigating the



67 fine structure or statistical distribution of turbulence (such as Luce et al., 2001; Gavrilov et al.,
68 2005; Lovejoy et al., 2007; Theuerkauf et al., 2011, Schneider et al., 2015). Soundings with
69 extremely high spatial resolution are especially important for the precise revelation of the
70 inner structure of turbulence, but these campaigns are sparse, and their observation duration
71 is quite limited. Other instruments, including airborne equipment (Pavelin et al., 2002; Cho et
72 al., 2003; Whiteway et al., 2004), lidar (Liu, 2009) and OH airglow (Yamada et al., 2001),
73 also provide some insights into turbulence at different heights. Previous studies have
74 indicated that the strongest and weakest turbulence intensities are in the mesosphere and the
75 stratosphere, respectively, and that turbulence exhibits evident seasonality from the lower
76 atmosphere up to the mesosphere and the lower thermosphere (Lübken, 1997; Nastrom and
77 Eaton, 1997; 2005; Rao et al., 2001). In addition, observations at different latitudes (Fujiwara
78 et al., 2003; Dutta et al., 2009; Mega et al., 2010; Ueda et al., 2012) have revealed significant
79 latitudinal variations of atmospheric turbulence. Instabilities in the lower atmosphere, which
80 are generally connected with turbulence, not only limit the amplitude of shear and waves but
81 also contribute to generating turbulence; furthermore, breaking gravity waves are a dominant
82 source of turbulence in the mesosphere and the lower thermosphere (Fritts et al., 2003).
83 Instabilities can be divided into two categories according to Richardson number Ri . The first
84 category is convective instability ($Ri < 0$), which favors strong turbulence (Fritts et al., 2012)
85 and should be rare in the free atmosphere, and the other is dynamical instability ($0 \leq Ri < 1/4$),
86 which tends to excite weak turbulence (Thorpe, 1973). Additionally, various numerical
87 simulations have presented processes of converting breaking gravity waves to turbulence
88 (such as Fritts et al., 1996; Liu et al., 1999). Breaking gravity waves and instabilities differ in



89 timescales and mixing characteristics (Fritts and Alexander, 2003). Although local
90 instabilities are crucial for the generation of turbulence in the troposphere and the lower
91 stratosphere, recent studies have suggested that propagating gravity waves in the lower
92 atmosphere, especially in tropopause regions, can reduce Ri and promote instabilities
93 (Sharman et al., 2012; Kunkel et al., 2014). Moreover, in the lower atmosphere, gravity
94 waves can break down into turbulence (Whiteway et al., 2004) and may be strongly related to
95 shear instability (Zhang et al., 2009).

96 One of the main issues about VHF radars is lack of temperature measurement. Thus, the
97 multiple correlations between turbulence, local instabilities, and inertial gravity waves need
98 to be comprehensively investigated by observation. Additionally, although the MST radars at
99 Gadanki (13.5°N, 79.2°E), Shigaraki (34.5°N, 136.0°E), White Sands Missile Range (34.4° N,
100 120.3° W), and Andøya (69.03° N, 16.04° E) provide some valuable long-term analyses of
101 turbulence at different latitudes in the free troposphere and the lower stratosphere (such as
102 Nastrom and Eaton, 1997; Furumoto and Tsuda, 2001; Das et al., 2010; Li et al., 2016), the
103 inter- and intra-annual variations of turbulence are not globally understood. Radiosonde and
104 its association with the Thorpe sorting process can reveal the correlations between turbulence,
105 local instabilities, and gravity waves and summarize long-term turbulence trends.

106 By employing the Thorpe sort method for examining the distribution of turbulence and
107 the broad spectral method proposed by Zhang et al. (2012; 2013) for the extraction of inertial
108 gravity waves, we aim to outline the statistical characteristics of turbulence parameters and
109 the roles of inertial gravity waves in generating turbulence with the help of high-resolution (5
110 m) radiosonde data at Miramar Nas (32.8° N, 117.1° W). This paper is divided into five



111 sections. Section 1 provides a brief data description, and Section 2 explains the noise
112 reduction procedures and the Thorpe sort method. Section 3 presents the statistical variations
113 of turbulence parameters, and Section 4 discusses the associations with gravity waves. Finally,
114 Section 5 states the conclusion.

115

116 **2. Database**

117 Radiosonde covers multiple parameters, including pressure, temperature, and relative
118 humidity, using especially designed sensors and horizontal wind from the GPS tracking
119 system and can obtain resolutions of 0.01 hPa, 0.01 °C, 0.1%, and 0.1 m/s for these
120 parameters. The US National Oceanic and Atmosphere Administration (NOAA) has been
121 providing high-resolution radiosonde data with sample rates reaching 1 Hz (corresponding to
122 roughly 5 m sampling in altitude) since 2005.

123 We utilize the site located at Miramar Nas (32.8° N, 117.1° W), that is, the site closest to
124 the MST radar at White Sands Missile Range, California (34.46° N, 120.33° W), which was
125 adopted by Nastrom and Eaton (1997; 2005). Thus, the radiosonde and radar results can be
126 roughly compared. The time interval of the sondes ranges from May 2010 to April 2018 and
127 is blank during September 2017 to December 2017. A total of 5398 soundings are launched at
128 systematic observation times, such as 0000 UT and 0012 UT. Meanwhile, 434 profiles are
129 ruled out, of which 394 profiles have burst heights lower than 20 km and 40 profiles are
130 found to have glaring measurement errors through manual checking. Measurement errors are
131 often caused by incorrect measurements of rising height. Consequently, 4964 operational
132 profiles are kept. We choose 30 km as our upper height limit under the condition that 85.6%



133 of the burst heights exceed 30 km. The raw data are inhomogeneously sampled from 3 m to 8
134 m. Thus, we perform a cubic spline interpolation on the raw data to obtain evenly spaced (5
135 m) data. Meanwhile, a reduction of resolution is beneficial for reducing noise (Wilson et al.,
136 2010) because the typical overturns in the lower stratosphere are generally less than a few
137 tens of meters (Clayson and Kantha, 2008), but we keep the high resolution to effectively
138 resolve the Thorpe scale. Another problem for radiosondes is the horizontal drift of balloons.
139 Thus, the trajectories of balloons must be tracked. Figure 1 summarizes the cumulative map
140 of horizontal trajectories for all the valid profiles up to the maximum threshold flight height,
141 in which the purple square highlights the location of the neighboring MST radar. From this
142 figure, we can note that most of the trajectories are restricted within 1.5 degrees in longitude
143 and within 1 degree in latitude. Thus, we assume that the observations of soundings are
144 localized.

145

146 **3. Methodology**

147 The Thorpe sort is an efficient method used in the study of oceanographical turbulent
148 flow. In recent years, the application of this method to the atmosphere has been gaining
149 interest. The essential issue for the Thorpe method is identifying true overturns, whereas,
150 especially in the weakly stratified troposphere, random instrumental noises or artificial
151 inversions can contaminate a Thorpe sort. Thus, to distinguish true overturns from false ones,
152 procedures for noise reduction must be carefully considered.

153 **3.1 Composite potential temperatures and noise reduction procedures**

154 The Thorpe sort method is based on the inversion of the potential temperature θ , which



155 can be considerably influenced by humidity and noises. The following procedures are applied
156 to handle the two issues. The first step (1) is to assess composite potential temperature θ_* ,
157 which is a combination of dry and moist saturated conditions. The thresholds of relative
158 humidity for moist saturated air follow the empirical curves for clouds proposed by Zhang et
159 al. (2010) and spatially decrease from approximately 90% at 3 km to nearly 80% at 10 km.
160 The squared Brunt–Väisälä frequencies N_d^2 and N_m^2 are estimated under the assumption of
161 dry and a moist models, respectively. The exact calculation of N_m^2 follows Eq. (5) in Durran
162 and Klemp (1982), and the equation parameters, such as the latent heat of vaporization, are
163 based on NOAA (1976). Then, the final squared Brunt–Väisälä frequency N^2 is a
164 composite of N_d^2 and N_m^2 and is then iterated for θ_* . The second procedure involves (2)
165 the trend-to-noise ratio (TNR), which is used to estimate the noise degree of measurement
166 (Wilson et al., 2010; 2011). The instrument noises are caused by the measurement of
167 temperature rather than of pressure. As in Wilson et al. (2011), the noise variance of
168 temperature can be obtained through the following procedure. Temperature profiles are split
169 into segments of 200 m, and a linear trend is found and removed within a segment. The noise
170 variance of the temperature is half the variance of the first differences of the residual. Finally,
171 smoothing with a bin of 100 m is applied to the noise variance of the temperature. The local
172 TNR at the i th height can be estimated as

$$173 \quad \zeta_i = \frac{\theta_{*(i+1)}^s - \theta_{*(i-1)}^s}{2\sigma_N} \quad (1)$$

174 where θ_*^s is the sorted profile of θ_* and σ_N is the standard deviation of the noise of θ_* .

175 σ_N is inferred from $\left(\frac{1000}{P}\right)^{2/7} \sigma_T$, where P is the pressure and σ_T is the standard



176 deviation of the temperature noise. ζ should be less than a threshold if the noise is severe,
177 and the critical value is typically set to 1.5; false overturns are rejected when $\zeta < 1.5$. Bulk
178 TNR is introduced to determine the overall quality of θ_* and defined as

$$179 \quad \bar{\zeta} = \frac{\theta_{*(n)} - \theta_{*(1)}}{(n-1)\sigma_N} \quad (2)$$

180 where n is the number of data points. We follow Kantha and Hocking (2011) and estimate
181 $\bar{\zeta}$ in the troposphere and the stratosphere separately. $\bar{\zeta}$ presents the background
182 stratification and should be too small (close to a unit or higher) if the stratification is too
183 weak (moderate or strong), thereby invalidating the profiles of the Thorpe scale when
184 $\bar{\zeta} < 0.8$. The third procedure involves (3) the intermediate profile of θ_* , which is used for
185 final sorting. It is computed under the assumption that the difference of two adjacent points of
186 θ_* should exceed the noise of θ_* . Detailed explanation can be found in Kantha and Hocking
187 (2011).

188 **3.2 Thorpe sort method**

189 In the investigation of atmospheric turbulence, the energy dissipation rate ε can be
190 expressed in terms of the Ozmidov length L_O (Ozmidov, 1965) as

$$191 \quad \varepsilon = L_O^2 N^3 \quad (3)$$

192 where N is the Brunt–Väisälä frequency deduced from the sorted monotonic potential
193 temperature. The detailed derivation process is presented by Riley and Lindborg (2008). L_O
194 defines the length scale at which the equivalent magnitude of inertial and buoyancy forces is
195 applied to a particle (Gavrilov et al., 2005) or represents the largest eddy unaffected by
196 buoyancy (Crawford, 1986). The Thorpe length L_T can gauge the maximum scale that has
197 sufficient kinetic energy for inversion (Riley and Lindborg, 2008). Some ocean explorations



198 (such as Crawford, 1986; Thorpe, 2005) have proven the existence of a proportional
199 relationship between L_O and L_T , that is, $L_O = cL_T$, where c is a proportional coefficient
200 near unity. However, recent studies have revealed a large discrepancy between the Ozmidov
201 and Thorpe lengths. For example, Mater et al. (2013) demonstrated that the argument
202 between L_O and L_T holds only under comparable timescales of turbulence and buoyancy;
203 Yagi and Yasuda (2013) emphasized that this ratio should be achieved by a comparison with
204 the directly measured energy dissipation rate; Wijesekera et al. (1993), Mater et al. (2015),
205 and Schneider et al. (2015) presented a clear lognormal distribution of c^2 and suggested that
206 the ensemble mean seems to be possible by Thorpe analysis; Fritts et al. (2016) suggested
207 that L_O/L_T is highly variable with event type and time and tends to increase with time,
208 whereas it can be defined with suitable averaging on the basis of event type and character. In
209 conclusion, turbulence in stably stratified flows is quite complex, and a lognormal
210 distribution of c^2 is likely. The proportionality relationship will settle down to a nearly
211 constant value once Kelvin–Helmholtz billows break down into turbulence (Gavrilov et al.,
212 2005). In addition, Scotti (2015) stated that oceanic c^2 is substantially skewed in the
213 convection-driven turbulence than in the shear-driven model. However, convectively unstable
214 flows can be occasional in the planetary boundary layer and are rare in the free atmosphere.
215 Accordingly, in the present study, we investigate the free atmosphere only and exclude
216 heights below 2 km. Several studies have found good agreement between radar and
217 radiosonde results with $c^2 = 1$, such as recent works by Kantha and Hocking (2011) and Li et
218 al. (2016). Considering that the present study aims to analyze the statistical results of
219 turbulence and that the ensemble mean may reduce the negative influence from a variable c^2 ,



220 we choose $c^2 = 1$.

221 Supposing the intermediate profile of θ_* at the original position z_i needs to be moved
222 to z_j to eliminate overturn, we obtain the corresponding height difference $d_i = z_i - z_j$ as
223 the Thorpe displacement L_D , whose root mean square over an overturn layer is the Thorpe
224 length L_T . An overturn layer is a region where $\sum_{i=1,n} L_D(i) = 0$ and $\sum_{i=1,k} L_D(i) < 0$ for
225 any $k < n$. False overturns are removed under the criterion described by Wilson et al. (2010)
226 from a statistical point of view. The variations of θ_* in the range of an overturn should
227 exceed 99% of the noise range in the equivalent size of the overturn. Wilson et al. (2010)
228 tabulated the relationship between overturn size and threshold TNR. Finally, the energy
229 dissipation rate can be formulated by $\varepsilon = c^2 L_T^2 N^3$.

230 Then, eddy diffusion coefficient K , $K = \gamma \varepsilon N^{-2}$, can be obtained from the turbulence
231 energy equilibrium equation under a simplified hypothesis, as illustrated by Thorpe (2005),
232 where $\gamma = \frac{Ri_f}{1 - Ri_f}$ is the mixing coefficient and Ri_f is the flux Ri . The commonly used
233 value is $Ri_f = 0.25$ (Ueda et al., 2012), which corresponds to $\gamma = 0.33$.

234 3.3 Typical vertical analysis

235 Figure 2 shows composite potential temperature θ_* ; relative humidity and empirical
236 predictions for clouds; squared Brunt–Väisälä frequency N^2 ; zonal and meridional winds
237 u and v ; local and bulk TNRs ζ and $\bar{\zeta}$, respectively; Thorpe displacement D_T ; Thorpe
238 length scale L_T ; and the logarithms of energy dissipation rate and eddy diffusion coefficient
239 $\log_{10} \varepsilon$ and $\log_{10} K$, respectively, at 0012 UT on March 12, 2018.

240 Figure 2(a) shows that except for some observable overturns that indicate unstable layers,
241 θ_* gradually increases with altitude. Figure 2(c) illustrates that N^2 varies from about



242 -5×10^{-4} (rad/s)² in the troposphere to about 1×10^{-3} (rad/s)² in the lower stratosphere. At
243 several heights below 10 km, especially at the cloud layers (as shown in Fig. 2(b)), N^2
244 becomes negative, implying strong local convective instability. Additionally, strong winter
245 jet streams prevail at approximately 12 km with maxima exceeding 50 m/s, and the
246 meridional wind is much smaller compared with the zonal wind. Figure (2e) shows that $\bar{\zeta}$
247 equals 0.86 and 1.72 in the troposphere and the stratosphere, respectively, and low ζ values
248 eliminate most of the tropospheric dissipation rates, especially the regions in 4–8 and 10–13
249 km. Figure 2(f) presents that large overturns basically yield below 12 km and have values of
250 around 150 m for D_T and approximately 75 m for L_T . ε substantially varies from 10^{-6}
251 m^2s^{-3} to $0.01 \text{m}^2\text{s}^{-3}$ and has increased values at 10 km. Moreover, it is considerably excluded
252 by ζ , especially in the lower and middle free troposphere. K varies from $10^{-1} \text{m}^2\text{s}^{-1}$ to 10
253 m^2s^{-1} and has nearly a similar height variation as does ε .

254

255 **4. Background and turbulence parameters**

256 Consequently, as illustrated in Fig. 2(g), numerous ε values are eliminated by the noise
257 reduction procedures. To obtain additional samples in each height bin and month, the
258 monthly results in the subsequent analysis are regarded as a spatial composite of a segment of
259 100 m.

260 **4.1 Background**

261 Background atmosphere in dynamical and thermal domains is crucial for a complete
262 understanding of the activities of turbulence or gravity waves. The monthly means of zonal
263 wind, vertical shear of horizontal wind speed, and the squared Brunt–Väisälä frequency are



264 highlighted in Fig. 3. The dotted dark lines denote the cold point tropopause (CPT) heights.

265 The wind shear S is estimated by zonal and meridional wind components, that is,

$$266 \quad S = \sqrt{\left(\frac{\overline{u_{i+1}} + \overline{u_{i-1}}}{2\Delta z}\right)^2 + \left(\frac{\overline{v_{i+1}} + \overline{v_{i-1}}}{2\Delta z}\right)^2} \quad (4)$$

267 where $\Delta z = 5$ m is the spatial resolution of the data. The bars represent that a moving average
268 of 100 m is applied to u and v to diminish the effects of instrumental noises. Ri , which is
269 derived from $Ri = N^2/S^2$, is introduced to indicate the instability of the atmosphere. The
270 instantaneous profile of N^2 is strongly influenced by small-scale movements. Thus, we
271 perform a moving average with a bin of 100 m on N^2 to exclude the influence of
272 perturbations from the calculations of Ri . Previous studies (such as Haack et al., 2014) have
273 indicated that turbulence can extend beyond the critical value of Ri (for instance, $Ri=1/4$).

274 Zonal wind shows strong seasonal cycles, and winter jet streams prevail from December
275 to April at 7 km to 15 km with maxima of approximately 40 m/s. Jet streams are an important
276 source of jet-generated gravity waves (Fritts et al. 2016) and an important contributor to shear
277 instability in the upper and lower edges of jet streams. Fig. 3(a) highlights that wind shears
278 are more severe at 12 km to 21 km than at other heights and exhibit an apparent inter-annual
279 variation. Notably, strong shears prevail in the CPT region, which is typically at around 17
280 km. Considerable wind shear is crucial for Kelvin–Helmholtz billows and a source of gravity
281 waves (Pramitha et al., 2015). Monthly N^2 displays a clear inter-annual variation above 11
282 km and presents weaker stability at 7–11 km. The variations of turbulence may be deeply
283 influenced by the variation patterns of N^2 due to the fact that the fundamental of the
284 Thorpe sort method is N^2 .

285 As shown in Fig. 4, we calculate the monthly occurrence rate for $Ri < 1/4$ (Ri_c



286 hereafter) and $1/4 \leq Ri < 1$ (Ri_i hereafter). The values of Ri_c in the troposphere and in the
287 lower stratosphere considerably differ and decrease from approximately 20% below 10 km to
288 around 7.5% in the tropopause region and to nearly 2% in the lower stratosphere. Figure 4(b)
289 presents that Ri_i is significantly larger than Ri_c at almost all the heights and has larger
290 values reaching 50% in the tropopause region; these large values may be caused by the
291 intense wind shear.

292 4.2 Turbulence parameters

293 Monthly and seasonal (December–February: winter; March–May: spring; June–August:
294 summer; September–November: fall) means of L_T , ε , and K are summarized in Fig. 5.
295 L_T varies from around 80 m in the lower free troposphere to approximately 40 m in the
296 middle troposphere and decreases to around 15 m in the lower stratosphere, as illustrated in
297 Fig. 5(a) and 5(d). Additionally, local enhancement of L_T can be seen at nearly 9 km. ε is
298 always regarded as an index of turbulence strength, reflecting the quantity and efficiency of
299 kinetic energy converted to heat by viscous forces. The logarithm of ε ranges from -2.9 at
300 2 km to -3.5 at 5 km and to -3.0 at 9 km and increases with latitude in the lower stratosphere.
301 A seasonal cycle can be found above 5 km, and a significant enhancement of ε from 2013
302 to 2017 in winter can be observed in the lower stratosphere. The temporal–spatial variations
303 of $\log K$ have nearly the same pattern as does $\log \varepsilon$ and have decreased value from around
304 zero in the troposphere to approximately -0.8 in the lower stratosphere, as demonstrated in
305 Fig. 5(c) and 5(f).

306 By analyzing the results from the MST radar at Vandenberg Air Force Base (34.46° N,
307 120.33° W), Nastrom and Eaton (1997) showed that the means of $\log \varepsilon$ fall between -3.5



308 and -2.5 at all heights from 5 km to 20 km in all seasons and have an enhancement at 12 km.
309 Then, Nastrom and Eaton (2005) showed that $\log \varepsilon$ varies from -3.7 near 8 km to
310 approximately -3.1 at 2 km and 21 km, as demonstrated in Fig. 5(e). These radar results are
311 generally consistent with radiosonde findings, but significant differences can be noted from 9
312 km to 12 km. This discrepancy can be interpreted as follows. Dissipation rates from radars
313 are resolved from the wind spectrum but understood by unstable overturn from a Thorpe sort.
314 Furthermore, an encouraging argument can be found between the Thorpe-resolved diffusion
315 rates and those from radar.

316 Figure 6 shows the histogram densities of the dissipation rates that match $Ri < 1/4$,
317 $1/4 \leq Ri < 1$, and $Ri \geq 1$. The number of dissipation rates that agree with $Ri < 1/4$ accounts
318 for only 5.8% of the total values. This condition suggests that most of the turbulence cannot
319 be explained by the local instabilities. However, Ri is greatly influenced by the spatial
320 resolution of data and tends to be reduced by enhanced spatial resolutions. The dissipation
321 rates that match $1/4 \leq Ri < 1$ account for 32.9%, and the rest are beyond $Ri = 1$. This
322 situation suggests that at least over half of the turbulence cannot be effectively interpreted by
323 Ri . The logarithm of ε that corresponds to different Ri is all nearly lognormally
324 distributed and has optimal values decreasing from -3.5 to -3.62 , which match $Ri < 1/4$ and
325 $Ri \geq 1$, respectively, and it appears to be more abundant and vigorous when Ri is lower.
326 Moreover, Sharman et al. (2014) and Li et al. (2016) also suggested a lognormal distribution
327 of dissipation rate.

328

329 **5. Gravity waves**



330 Previous studies have shown that wave and turbulence are closely related (such as Barat,
331 1982; Fritts and Alexander, 2003; Sharman et al., 2012); that is, wave energy is dissipated
332 and converted to turbulence when the wave amplitude exceeds the instability threshold. Here,
333 we study the possible role of gravity waves in generating turbulence. We can derive the
334 continuous height variation of gravity wave perturbations by using the broad spectral method,
335 which was proposed by Zhang et al. (2012; 2013). In this technique, a monthly averaged
336 profile is removed as the background from each measurement raw profile. Then, the residual
337 profile is filtered by a high-pass filter to extract gravity wave perturbations. Given that the
338 vertical wavelengths of low atmospheric gravity waves are typically shorter than 10 km, the
339 cut-off vertical wavelength of the high-pass filter is chosen to be 10 km. Then, a low-pass
340 filter with a wavelength of 1 km is applied to the residual components to exclude the
341 influence of eddies. Finally, the filtered profile can be seen as gravity wave perturbations.
342 The total gravity energy density E , that is, kinetic energy density plus potential energy
343 density, can be calculated from the zonal wind perturbation (u'), meridional wind
344 perturbation (v'), and temperature perturbation (T'):

$$345 \quad E = \frac{1}{2} \left(\overline{u'^2} + \overline{v'^2} + \frac{1}{2} \frac{g^2 \overline{T'^2}}{N^2 T^2} \right) \quad (5)$$

346 where \bar{T} is monthly averaged background temperature, g is gravity acceleration; and
347 overbars over the square of gravity wave perturbations denote an average over a wavelength
348 span, which is realized by a low-pass filter with a cut-off vertical wavelength of 10 km. E
349 differs among days. Then, the absolute time difference per second of E is estimated by

$$350 \quad E'_r(i) = \left| \frac{\partial E}{\partial T} \right| = |E(t) - E(t-1)| / (24 * 3600) \quad (6)$$



351 where $E(t-1)$ is the energy profile from one day prior. The wave energy per unit volume
 352 can be described as $E_V = \bar{\rho}E$, where $\bar{\rho}$ is monthly averaged background mass density. In
 353 the absence of energy dissipation and energy transport, E_V should keep a constant altitude
 354 value under the assumption that the main energy of waves transports from bottom to top.
 355 Therefore, E_V 's increase with height implies the injection of energy into waves, and its
 356 decrease with height denotes gradual energy dissipation. Hence, the height difference of E_V
 357 can represent the variation of gravity wave energy. We define the variation of gravity waves
 358 at the i th height as

$$359 \quad E'_{Vz} = -\frac{\partial E_T}{\partial z} = (E_V^{i-1} - E_V^{i+1})/2z \quad (7)$$

360 where E_V^{i-1} and E_V^{i+1} are total energy densities at the $(i-1)$ th and $(i+1)$ th heights,
 361 respectively. Accordingly, $E'_{Vz} > 0$ and $E'_{Vz} < 0$ demonstrate energy dissipation and
 362 injection, respectively.

363 Figure 7 demonstrates the variations of E , E_V , E'_T , and E'_{Vz} . E and E_V are much
 364 more intense in winter and spring below 17 km in most cases and are much smaller in the
 365 lower stratosphere, as presented in Fig. 7(a) and 7(c). The most significant regions of E and
 366 E_V are in the height range of 7–17 km and below 5 km; these regions have maxima reaching
 367 approximately 40 J/kg and 30 J/m³. E'_T is roughly estimated and exhibits similar variations
 368 with E , with comparable magnitude with ε . Figure 7(d) displays that the rapid energy loss
 369 and injection regions for waves alternately appear below 15 km with maximal (minimal) up
 370 (down) to 0.01 (-0.01) J/m³/m. In addition, waves lose energy in the 15–25 km height range.

371 The correlation coefficients between E_V and Ri_C ($R_{E_V-Ri_C}$ hereafter) and between
 372 E_V and Ri_1 ($R_{E_V-Ri_1}$ hereafter) and the ratio of E'_T to ε (E'_T/ε) and $|E'_{Vz}|$ to ε



373 $(|E'_{vz}|/\varepsilon)$ are displayed in Fig. 8. Figure 8(a) and 8(b) highlight that $R_{E_v-Ri_c}$ and $R_{E_v-Ri_i}$
374 show weak or strong positive correlations at all the investigated heights and have maxima
375 reaching 0.8. The high positive $R_{E_v-Ri_c}$ values imply that shear instability is an important
376 source to waves or propagating waves can improve the occurrence rate of instabilities,
377 whereas the high positive $R_{E_v-Ri_i}$ values may suggest that the propagating waves can
378 contribute to the reduction of Ri , especially in the middle troposphere and the tropopause
379 regions. Figure 8(c) displays that the ratios of E'_T/ε range from 0.2 to 0.9 with a maximum
380 at approximately 13 km. Note that this ratio is less than one at different heights. This
381 condition indicates that the temporal variation of gravity waves may be able to predict
382 turbulent dissipation rates at different heights. Another important role of waves in turbulence
383 is that breaking gravity waves may directly generate turbulence. Figure 8(d) demonstrates
384 that $|E'_{vz}|/\varepsilon$ decreases from approximately 9 kgsm^{-4} at 5 km to nearly 0 kgsm^{-4} in the lower
385 stratosphere. The spatial variations of wave energy in the troposphere may have sufficient
386 energy for turbulence. $|E'_{vz}|/\varepsilon$ decreases quickly with altitude above 15 km, accompanied
387 with strong wind shear. This situation may suggest that breaking wave energy is quickly
388 transferred to turbulence in the CPT region.

389

390 6. Conclusions

391 Statistically, long-term variations of turbulence in the lower atmosphere and their
392 associations with inertial gravity waves are revealed in this study. The advantage of using the
393 radiosonde site at Miramar Nas (32.8° N , 117.1° W) is that its results can be compared with
394 those from the MST radar at California (34.46° N , 120.33° W). Furthermore, this kind of



395 comparison is rare in recent studies.

396 The spatial variations of turbulence show enormous variations and significant seasonal
397 cycles. The magnitude of the energy dissipation rate is consistent with that found by Nastrom
398 and Easton (2005), but large differences appear in the height range of 5 km to 12 km. The
399 Thorpe-resolved dissipation rate is based on the thermal characteristics of the atmosphere,
400 whereas radar-resolved turbulence is characterized by wind fields. This difference may
401 deeply affect the detection of turbulence. However, encouraging argument is found between
402 diffusion rates. The energy dissipation rate is lognormally distributed and is generally larger
403 for a smaller Ri . Over half of the turbulence can exist beyond the region where Ri equals
404 1, making Ri not a good predictor of the existence of turbulence. Propagating gravity waves
405 in the lower free atmosphere can reduce the value of Ri . Therefore, it can promote the
406 activity of turbulence indirectly, especially in the middle troposphere and the tropopause
407 regions. Another important role of gravity waves is that the breaking energy may produce
408 wave-induced turbulence accompanied by strong wind shear, especially in the CPT region.
409 The temporal variation of energy is an interesting parameter and keeps a ratio less than 1 with
410 dissipation rate at different heights. This condition implies that the temporal variation of
411 wave may have the potential to roughly estimate turbulent dissipation rates at different
412 heights.

413

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417 (<ftp://ftp.ncdc.noaa.gov/pub/data/ua/rrs-data/bufr/knxx/>)

418

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Figures

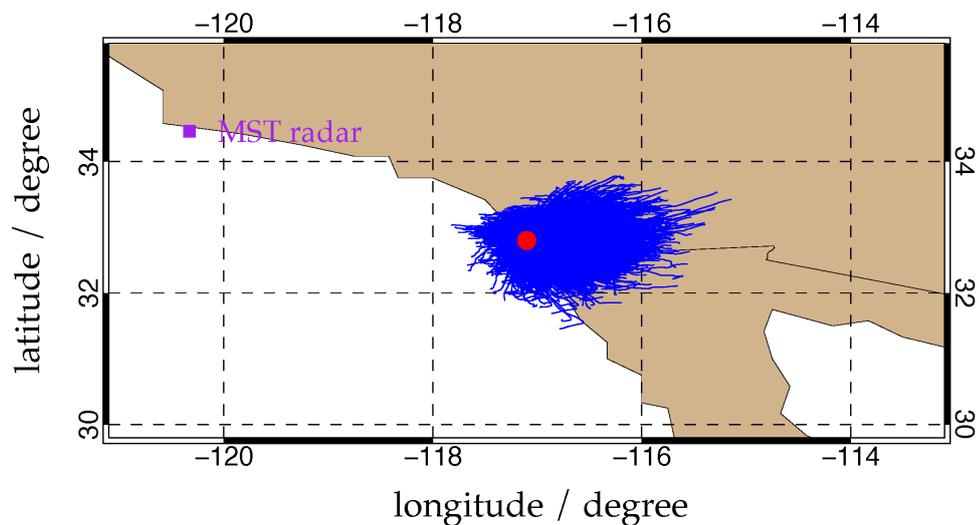


Figure 1. Distribution map of the cumulative horizontal trajectories of all radiosondes released at Miramar Nas. The red dot highlights the location of the radiosonde site, and the purple square denotes the position of the MST radar at Vandenberg Air Force Base, California.

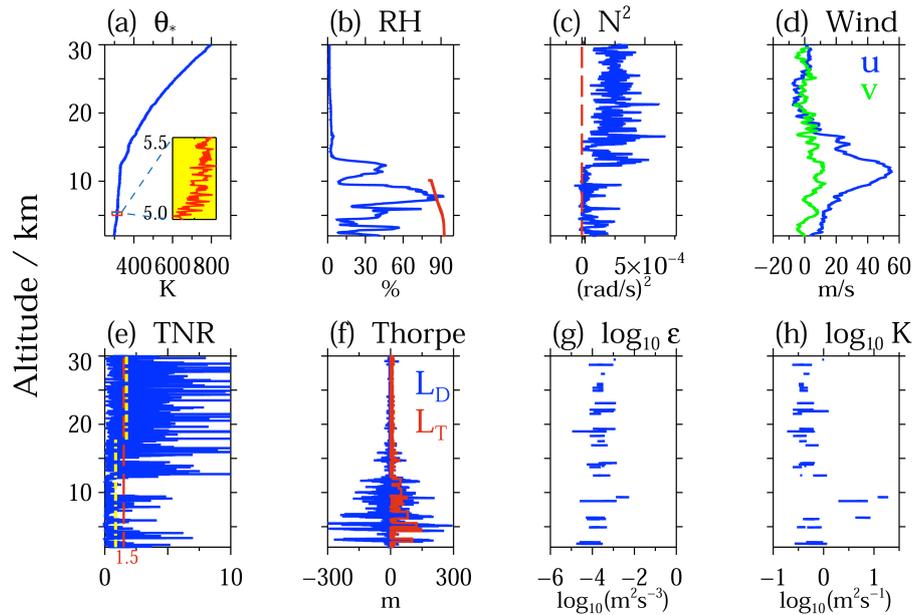


Figure 2. Typical vertical profiles of atmospheric and turbulent parameters: (a) composite potential temperature, (b) relative humidity (The red line presents the empirical thresholds for clouds), (c) squared Brunt–Väisälä frequency, (d) zonal (u) and meridional (v) wind velocities, (e) local-TNR (The long red dashed line shows the threshold for $\zeta = 1.5$, and the two yellow dotted lines present bulk TNR $\bar{\zeta}$ in the troposphere and the stratosphere, respectively.), (f) Thorpe displacement L_D and Thorpe length L_T , (g) the logarithm of the turbulent energy dissipation rate, and (h) the logarithm of the eddy diffusion coefficient at 0012 UT on March 12, 2018.

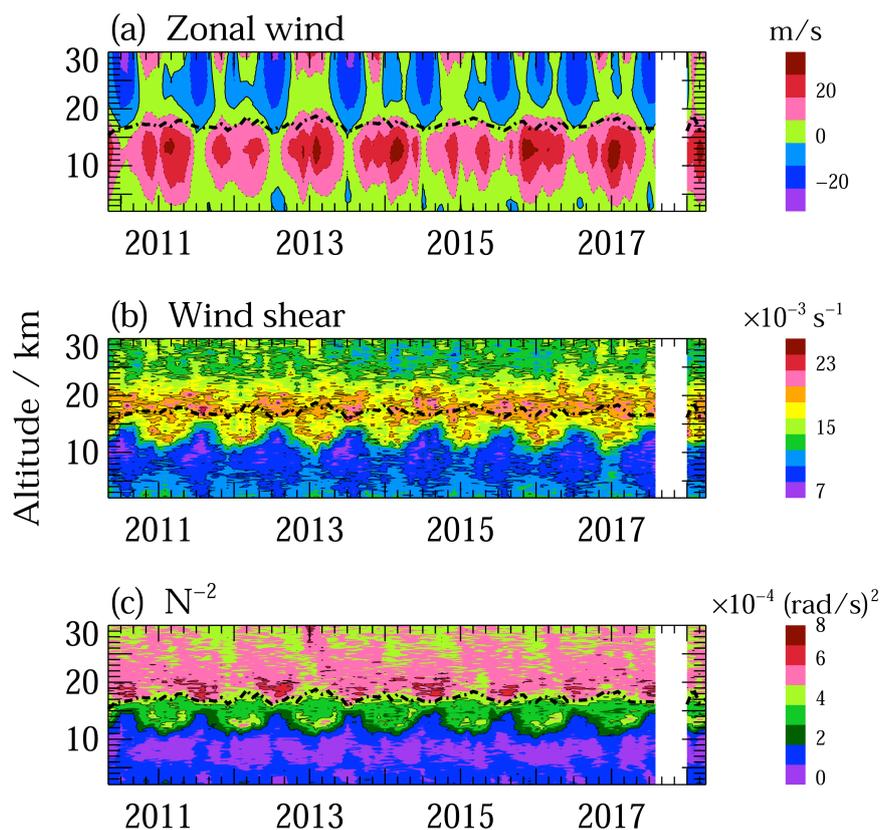


Figure 3. Monthly average of (a) zonal wind, (b) vertical shear of horizontal wind speed, and (c) the square of Brunt-Väisälä frequency. The blank denotes no measurement, and the dotted lines illustrate the height of CPT.

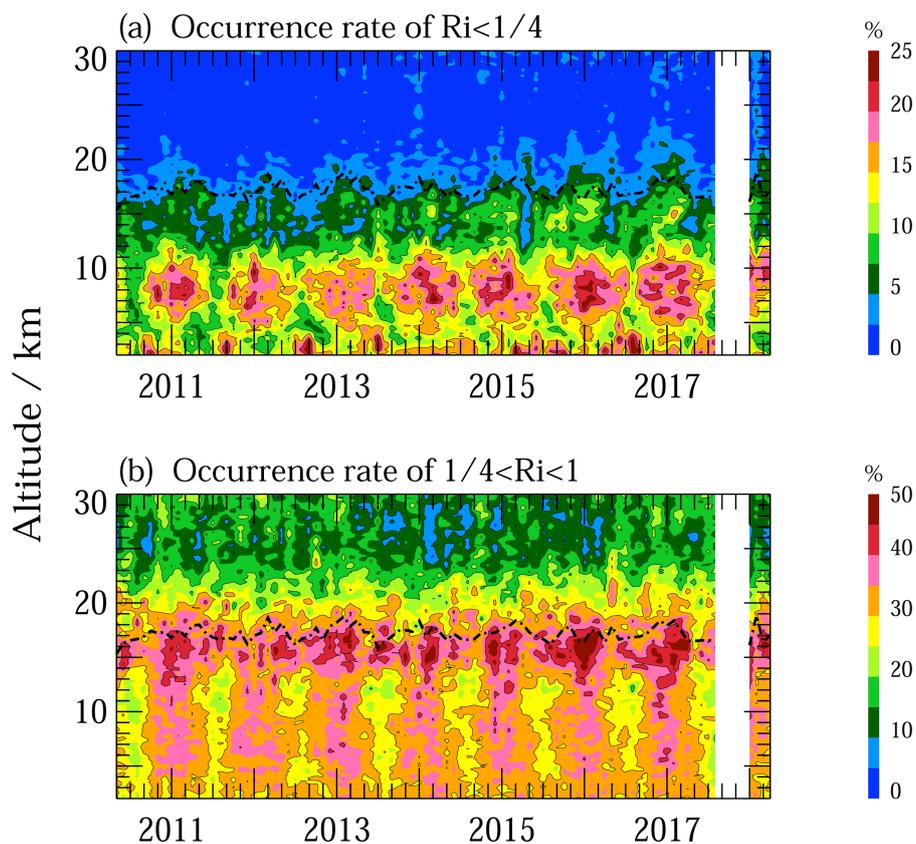


Figure 4. Monthly occurrence rates for $Ri < 1/4$ and $1/4 \leq Ri < 1$. The blank denotes no measurement, and the dotted lines illustrate the height of CPT.

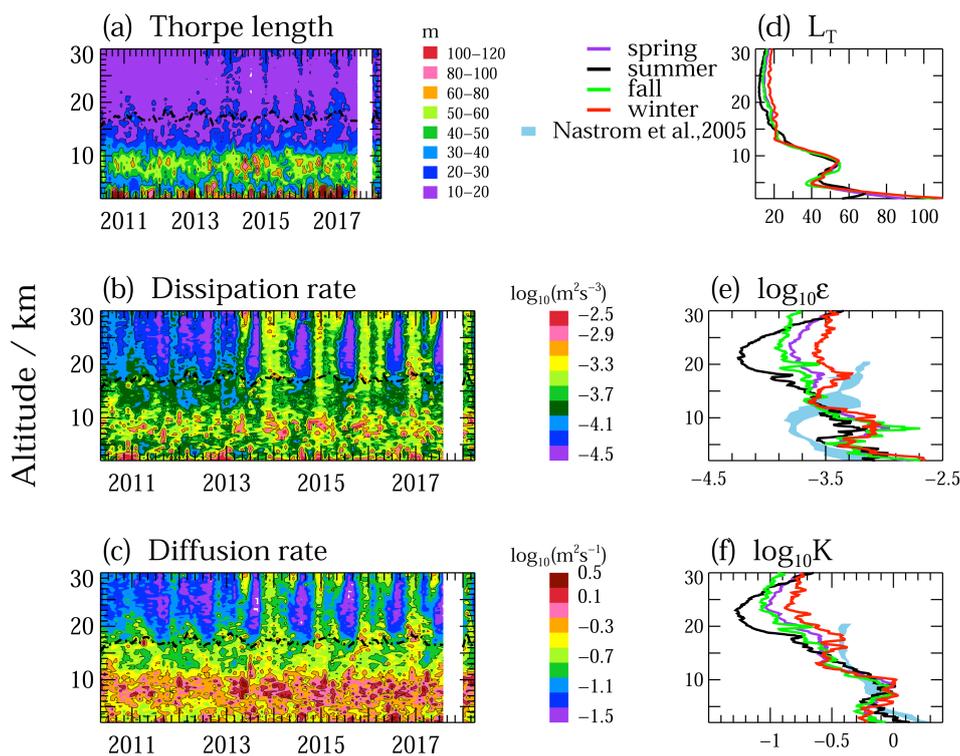


Figure 5. Monthly averaged (a) Thorpe length, (b) energy dissipation rate, and (c) diffusion rate. (d)–(f) present the seasonal results. The blank denotes no measurement, the dotted lines illustrate the height of CPT, and the light blue areas in (e) and (f) show the results from Nastro and Easton (2005).

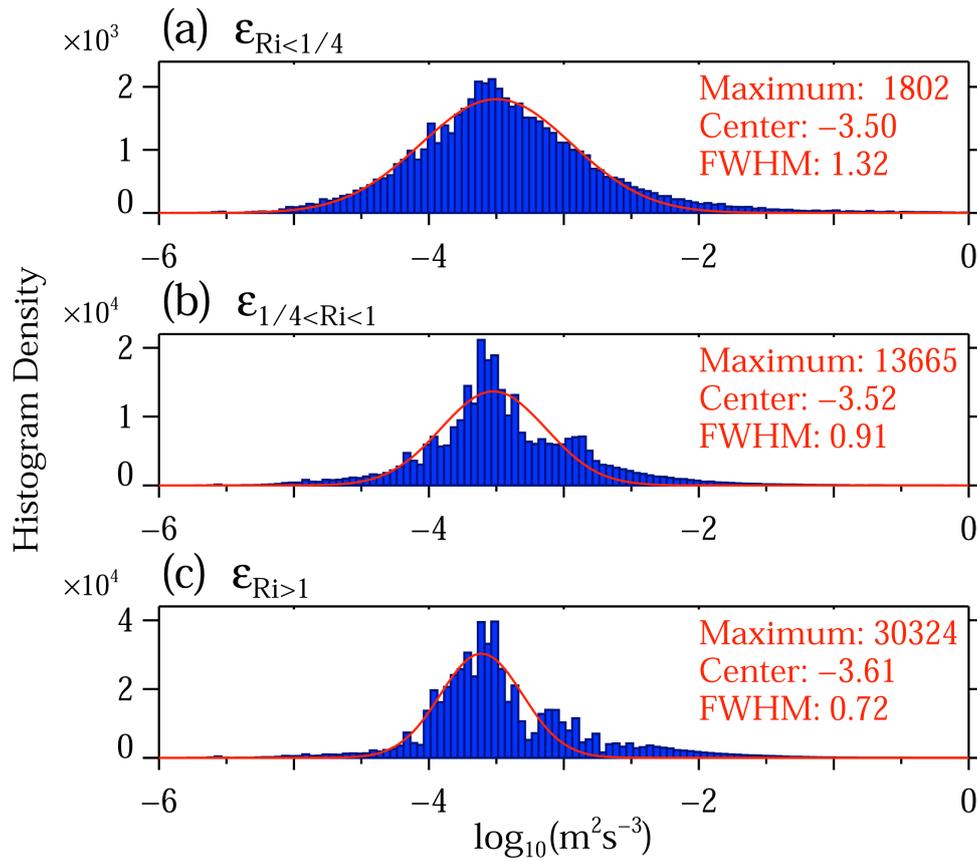


Figure 6. The histogram densities of energy dissipation rates that match $Ri < 1/4$, $1/4 \leq Ri < 1$, and $Ri \geq 1$. The red solid curves are the Gaussian fit to the densities, and the maximum, center and full width at half maximum (FWHM) values are noted.

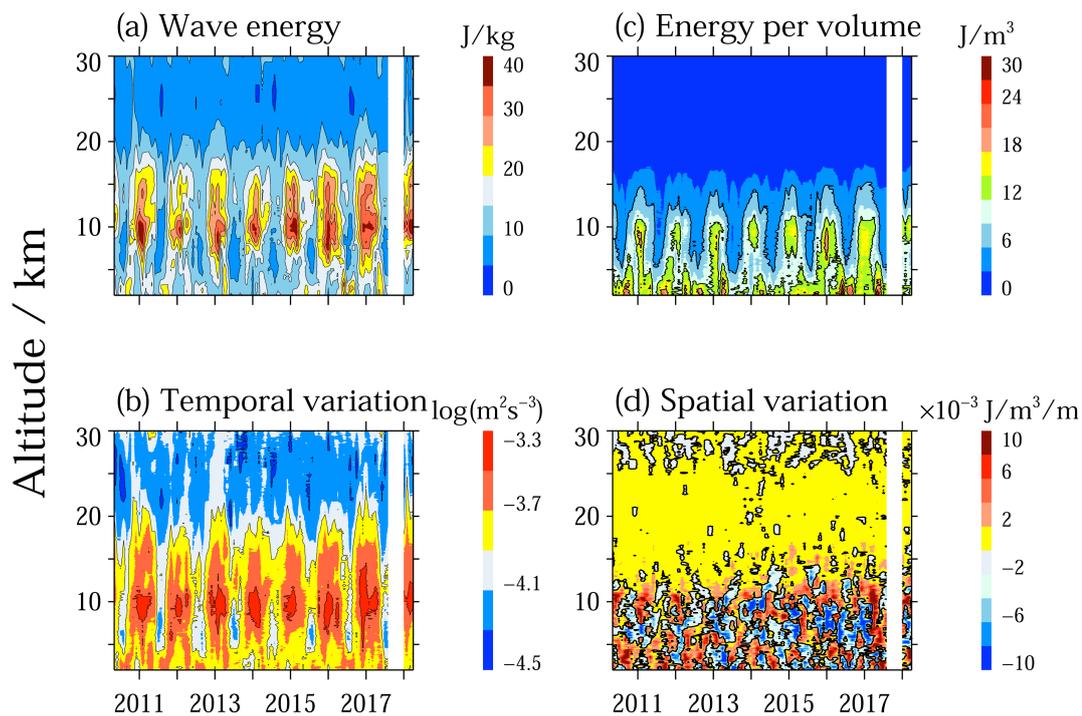


Figure 7. (a) Monthly averaged gravity wave energy density E , (b) temporal variations of wave energy, (c) monthly averaged gravity wave energy per volume E_v , and (d) spatial variations of wave energy. The vertical blank denotes no measurement.

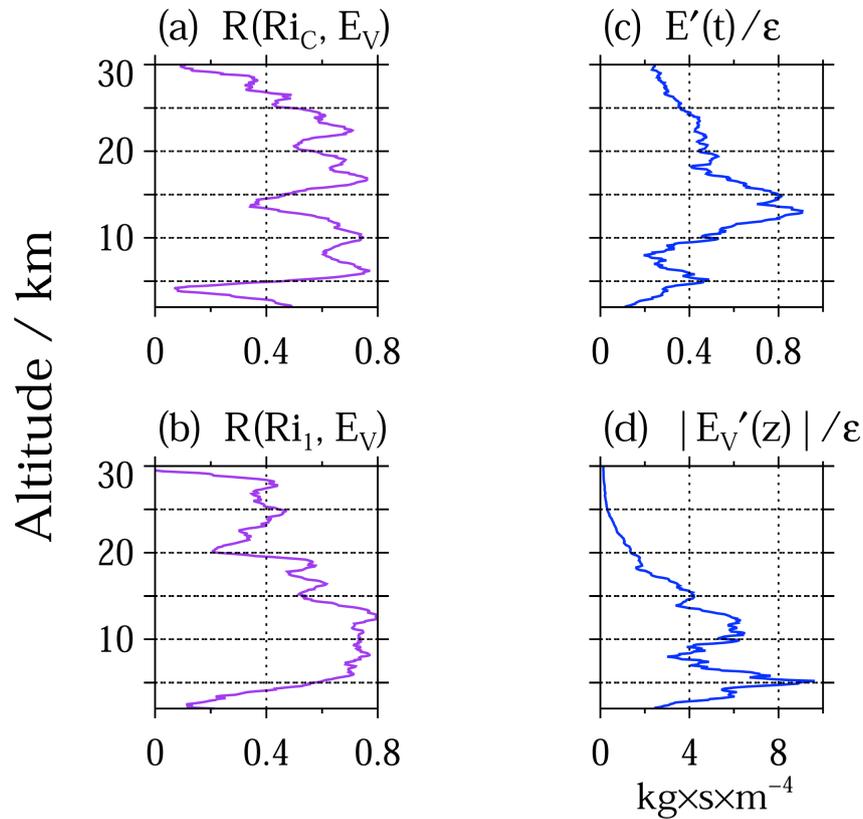


Figure 8. Correlation coefficients (a) between wave energy per volume and occurrence rate of $Ri < 1/4$ and (b) between wave energy per volume and $1/4 \leq Ri < 1$. Averaged ratios in all seasons of (c) E'_t to energy dissipation rate and (d) $|E'_{Vz}|$ to energy dissipation rate.