Monitoring potential ionospheric changes caused by Van earthquake (Mw

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9 **ABSTRACT**

10 Many scientists from different disciplines have studied earthquakes for many years. As a result 11 of these studies, it has been proposed that some changes take place in the ionosphere layer 12 before, during or after earthquakes, and the ionosphere should be monitored in earthquake 13 prediction studies. This study investigates the changes in the ionosphere created by the 14 earthquake with magnitude of Mw=7.2 in the northwest of the Lake Erçek which is located to the north of the province of Van in Turkey on 23 October 2011 and at 1.41 pm local time (-3 15 16 UT) with the epicenter of 38.75° N, 43.36° E using the TEC values obtained by the Global 17 Ionosphere Models (GIM) created by IONOLAB-TEC and CODE. In order to see whether the 18 ionospheric changes obtained by the study in question were caused by the earthquake or not, 19 the ionospheric conditions were studied by utilizing indices providing information on solar and 20 geomagnetic activities (F10.7 cm, Kp, Dst). 21 One of the results of the statistical test on the TEC values obtained from both models, positive 22 and negative anomalies were obtained for the times before, on the day of and after the 23 earthquake, and the reasons for these anomalies are discussed in detail in the last section of the 24 study. As the ionospheric conditions in the analyzed days were highly variable, it was thought

25 that the anomalies were caused by geomagnetic effects, solar activity and the earthquake.

26 Keywords: TEC, Van Earthquake, Ionosphere

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36 **1. INTRODUCTION**

- The ionosphere is the part of the atmosphere at the altitudes of 60 km to 1,100 km where there are ions and free electrons in considerable amounts that can reflect electromagnetic waves. It completely covers the thermosphere, one of the main layers of the atmosphere, but also includes some of the mesosphere and the exosphere.
- 41 Total Electron Content (TEC), which is defined as free electrons along a cylinder with a cross 42 section of 1 m^2 , is a suitable parameter to monitor the changes in the ionosphere. All signals 43 that contain data that pass through or get reflected from the ionosphere, which is highly irregular 44 and difficult to model, are affected by the structure of this layer.
- 45 Calculating Total Electron Content (TEC) is used directly to investigate the structure of the

46 ionosphere. TEC is represented by the unit of TECU, and one TECU equals to $10^{16} el/m^2$

47 (Schaer, 1999). TEC is expressed in two ways: STEC (Slant Total Electron Content); the free
48 electron content calculated along the slanted line between the receiver and the satellite, and

To election content calculated along the standed line between the receiver and the statistic, and

- 49 VTEC (Vertical Total Electron Content); the free electron content calculated along the zenith
- 50 of the receiver (Langley, 2002).
- 51 The ionosphere reacts to geomagnetic effect, solar activity, diurnal and seasonal effects,
- earthquake, and these factors cause irregularities in the ionosphere (Namgaladze et al, 2012, Liand Parrot, 2017).
- 54 Ionospheric changes have been studied in more than twenty countries today as precursors of
- 55 earthquakes. Definition of ionospheric anomalies and feasibility studies of seismo-ionospheric
- 56 precursors are still ongoing (Liu et al., 2010; He et al., 2012; Kamogawa and Kakinami, 2013;
- 57 Heki and Enomoto, 2015; Pulinets and Davidenko, 2014; Masci et al., 2015; Yildirim et al.,
- 58 2016; He and Heki, 2017; Kelley et al., 2017; Rozhnoi et al., 2015; Thomas et al., 2017;
- 59 Ulukavak and Yalcinkaya 2017).
- 60 Our study aim is to investigate ionospheric changes possibly caused by Van earthquake while 61 taking into account solar activity and magnetic storm affect. Van earthquake has very complex 62 structure in terms of ionospheric conditions. When level of solar activity (F10.7cm) and 63 magnetic storm (Kp and DsT) are considered, ionospheric conditions appear to be highly active 64 before and after the earthquake. Therefore results obtained by statistical test should be 65 interpreted carefully.
- 66 **2. METHODOLOGY**

67 **2.1 IONOLAB-TEC Method:**

The IONOLAB-TEC method developed by the department of Electrical and Electronics
Engineering of Hacettepe University is a JAVA application that uses the Regularized TEC (D-

70 TEI) algorithm (Arikan et al. 2004).

In this application, they developed a method that estimates VTEC values by using all GPS signals measured at a period of time in a day. While the measurements taken from the satellites with elevations of 60° or higher are used, the measurements from the satellites with elevations of 10° to 60° are weighted by a Gauss function. The data from satellites with elevations lower than 10° are not included in calculations to reduce multipath effects. In this method raw GPS data was used to determine VTEC value.

77 2.2 Global Ionosphere Model (GIM):

79 Global Ionospheric Maps are published in the IONEX (IONosphere map EXchange) format in 80 a way that covers the entire world. The institutions that produce these maps in the world include 81 CODE (Center for Orbit Determination in Europe, Switzerland), DLR (Fernerkundungstation 82 Neustrelitz, Germany), ESOC (European Space Operations Centre, Germany), JPL (Jet 83 Propulsion Laboratory, California), NOAA (National Oceanic and Atmospheric 84 Administration, United States), NRCan (National Resources, Canada), ROB (Royal Observatory of Belgium, Belgium), UNB (University of New Brunswick, Canada), UPC 85 (Polytechnic University of Catalonia, Spain), WUT (Warsaw University of Technology, 86 87 Poland). In this study we used the GIM-TEC values produced by CODE in the IONEX format. 88 In the dates they were analyzed, the temporal resolution of the TEC values was 2 hours, while their positional resolution was 2.5° by latitude and 5° by longitude. In order to calculate TEC 89 90 values for a point whose latitude and longitude is known on the GIM-TEC maps created by 91 CODE using more than 300 GNSS receivers around the world, the 4 TEC values that cover the 92 point and the two-variable interpolation formula are given below.

93 $E_{int}(\lambda_0 + p\Delta\lambda, \beta_0 + q\Delta\beta) = (1-p)(1-q)E_{0.0} + p(1-q)E_{1.0} + q(1-p)E_{0.1} + pqE_{1.1}$ (1)

94 p and q: $0 \le p, q < 1$. (Schaer 1999)

95 $\Delta \lambda$ and $\Delta \beta$: Longitude and Latitude differences grid widths,

- 96 λ_0 and β_0 : Initial longitude and latitude values,
- 97 $E_{0.0}, E_{1.0}, E_{0.1}$ ve $E_{1.1}$: TEC values known in neighboring points,
- 98 E_{int} : TEC value to be found.
- 99 **3. ANALYSIS TO DETERMINE EARTHQUAKE-RELATED TEC CHANGES**
- 100 101

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In order to investigate earthquake-related TEC changes, the TEC values for OZAL station

102 (TUSAGA-Active CORS-TR) close to the epicenters GPS station was analyzed to determine

103 TEC value using the IONOLAB-TEC and GIM-TEC models. The correlation coefficient was

104 obtained for the TEC values from both models between the dates 13.10.2011 and 02.11.2011

- 105 for the stations above. In addition to that, spatial analysis was applied to determine distribution
- 106 characteristics of the ionospheric changes.



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108 Figure 1. OZAL, IZMI, AFYN, KAYS AND BING Stations analyzed in the present work 109 Figure 1 shows the stations analyzed (represented by red triangles) and the epicenter of the 110 earthquake represented by blue star. TEC values with the temporal resolution of two hours 111 obtained from both the IONOLAB-TEC and GIM-TEC models for OZAL(37.06 N,36.15E) (112 station which is nearest station to epicenter of earthquake and the correlation coefficient was 113 computed to explain linear relationship between two models. On the other hand, TEC values 114 were also obtained using GIM model to explain spatial changes of ionosphere for IZMI(38.23N, 115 27.04E), AFYN(38.44N, 30.33E), KAYS(38.42N, 35.31E) and BING(38.53N, 40.30E) 116 stations.

117 In order to determine the outlier values among the TEC values with a two-hour temporal 118 resolution from both models, the TEC values obtained from both models between the dates 119 01.10.2011 and 10.10.2011, which were considered quiet in terms of geomagnetic and solar 120 activity, were used to determine the upper boundary (UB) and the lower boundary (LB). By 121 utilizing the TEC values from both models, the UB and LB values were calculated using the 122 formulae x+3 σ and x-3 σ . Here, x is the mean TEC value for the relevant epoch and σ is the 123 standard deviation. If the TEC value in any epoch is higher than the upper boundary, it is a 124 positive anomaly. Similarly if it is lower than the lower boundary, it is a negative anomaly. In 125 order to investigate whether the anomalies before, on the day of and after the earthquake were 126 caused by the earthquake or not, we also examined the (Kp*10), Dst and F10.7 cm indices, which provided information on the geomagnetic and solar activity for the days in whichanomalies were detected.

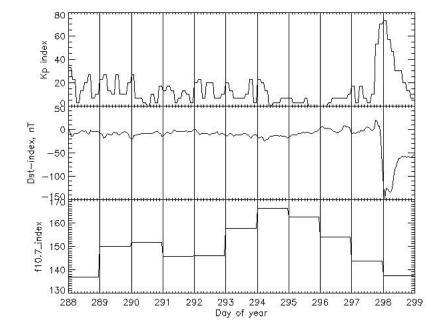




Figure 2. (Kp*10) DsT, F10.7 cm index variation from 288 to 299 in 2011 (URL-1)
Figures 2 shows that the (Kp*10), Dst and F10.7 cm indices that provide information on

133 geomagnetic and solar activity 15.10.2011 to 25.10.2011.

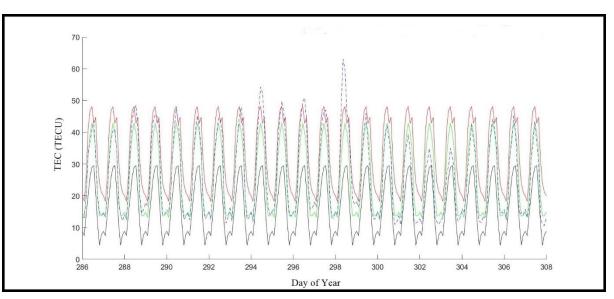




Figure 3. GIM-TEC Values for the OZAL Station. Black line shows lower bound TEC values, red line demonstrates upper bound TEC values, green line shows mean TEC values and dotted line indicates observed TEC values for every epoch.

	GIM-TEC Anomaly Table for OZAL Station								
Number	DOY	Hour	TEC Difference (TECU)	Type of Anomaly	Number	DOY	Hour	TEC Difference (TECU)	Type of Anomaly
1	288	2	2.0	Positive	11	295	10	3.3	Positive
2	288	10	5.7	Positive	12	296	4	1.9	Positive
3	289	10	2.5	Positive	13	296	10	7.5	Positive
4	290	10	0.5	Positive	14	297	10	4.1	Positive
5	292	10	0.8	Positive	15	298	0	0.8	Positive
6	293	10	5.2	Positive	16	298	2	2.6	Positive
7	294	8	0.7	Positive	17	298	8	12.2	Positive
8	294	10	4.0	Positive	18	298	10	11.7	Positive
9	294	12	10.5	Positive	19	298	12	16.5	Positive
10	295	8	2.9	Positive	20	298	18	0.8	Positive

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Table 1. OZAL Station Global Ionosphere Model Anomaly Table

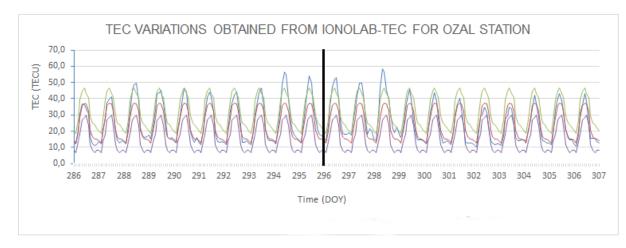


Figure 4 IONOLAB-TEC Values for the OZAL Station. Purple line shows lower bound TEC

148 values, bottle green line demonstrates upper bound TEC values, red line shows mean TEC

- values and blue line indicates observed TEC values for every epoch.

	IONOLAB-TEC Anomaly Table for OZAL Station									
Number	DOY	Hour	our Difference Type of Anomaly Number DOY Hour Difference Type of Anomaly (TECU)							
1	288	10	5.1	Positive	9	297	10	6.0	Positive	
2	289	10	1.6	Positive	10	298	0	2.2	Positive	

3	290	10	0.9	Positive	11	298	2	2.4	Positive
4	292	12	0.6	Positive	12	298	4	4.1	Positive
5	293	10	3.5	Positive	13	298	6	3.0	Positive
6	294	12	11.8	Positive	14	298	8	7.3	Positive
7	295	10	7.4	Positive	15	298	10	13.6	Positive
8	296	10	9.6	Positive	16	298	12	12.8	Positive

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Table 2. OZAL Station IONOLAB-TEC Anomaly Table

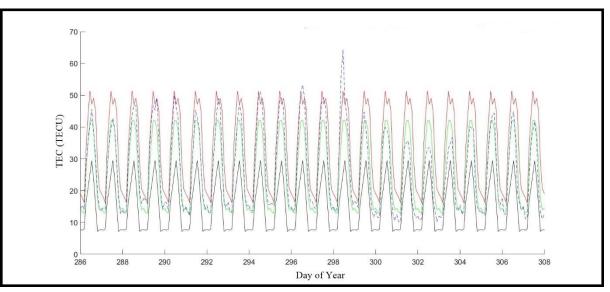
The correlation coefficient *r* between the TEC values calculated by both methods for the OZAL station was 0.98 demonstrating a strong positive relationship. The anomaly tables for this station are provided below (Tables 1 and 2).

157 In order to determine whether anomalies caused by earthquake or not, we also monitored spatial

158 changes of TEC. In this regard, we investigated IZMI, AFYN, KAYS, BING stations TEC

159 changes using GIM models. These receivers are located in same latitude as the OZAL station,

160 thus we can obtain spatial TEC changes in Turkey for analyzed days.



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162 **Figure 5** GIM-TEC Values for the IZMI Station. Black line shows lower bound TEC values,

red line demonstrates upper bound TEC values, green line shows mean TEC values and dotted

line indicates observed TEC values for every epoch.

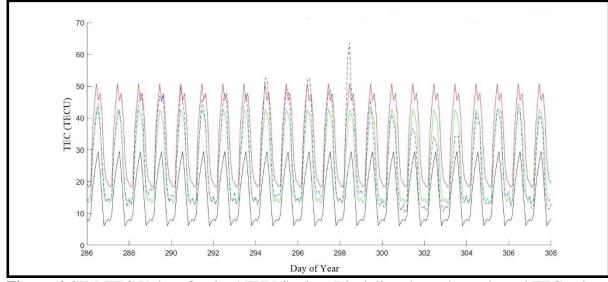
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	GIM-TEC Anomaly Table for IZMI Station									
Number	DOY	Hour	TEC Difference (TECU)	Type of Anomaly		Number	DOY	Hour	TEC Difference (TECU)	Type of Anomaly
1	289	10	0.2	Positive		7	296	10	6.1	Positive
2	292	10	1.8	Positive		8	297	10	2.1	Positive
3	293	10	0.1	Positive	Iſ	9	298	6	1.2	Positive
4	294	10	3.9	Positive		10	298	8	1.5	Positive

	5	295	10	2.0	Positive	11	298	10	13.0	Positive
ſ	6	296	6	0.1	Positive	12	298	12	12.8	Positive
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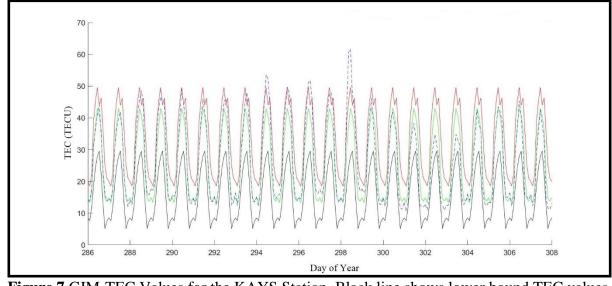
Table 3. IZMI Station GIM-TEC Anomaly Table



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 169 Figure 6 GIM-TEC Values for the AFYN Station. Black line shows lower bound TEC values,
 170 red line demonstrates upper bound TEC values, green line shows mean TEC values and dotted
 171 line indicates observed TEC values for every epoch.

	GIM-TEC Anomaly Table for AFYN Station								
Number	DOY	Hour	TEC Difference (TECU)	Type of Anomaly	Numbo	er DOY	Hour	TEC Difference (TECU)	Type of Anomaly
1	288	10	4.5	Positive	8	296	10	7.1	Positive
2	292	10	2.3	Positive	9	296	12	0.1	Positive
3	293	10	2.2	Positive	10	297	10	3.2	Positive
4	294	8	1.8	Positive	11	298	2	2.3	Positive
5	294	10	6.2	Positive	12	298	8	2.1	Positive
6	295	10	3.3	Positive	13	298	10	12.8	Positive
7	296	4	0.8	Positive	14	298	12	14.2	Positive

 Table 4. AFYN Station GIM-TEC Anomaly Table



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Figure 7 GIM-TEC Values for the KAYS Station. Black line shows lower bound TEC values, red line demonstrates upper bound TEC values, green line shows mean TEC values and dotted line indicates observed TEC values for every epoch.

	GIM-TEC Anomaly Table for KAYS Station									
Number	DOY	Hour	TEC Difference (TECU)	Type of Anomaly	N	umber	DOY	Hour	TEC Difference (TECU)	Type of Anomaly
1	288	10	4.6	Positive		9	295	10	4.0	Positive
2	289	10	1.2	Positive		10	296	8	1.4	Positive
3	290	10	0.1	Positive		11	296	10	7.8	Positive
4	292	10	2.1	Positive		12	297	10	3.9	Positive
5	293	10	4.0	Positive		13	298	2	4.3	Positive
6	294	8	4.0	Positive		14	298	8	2.9	Positive
7	294	10	8.2	Positive		15	298	10	12.1	Positive
8	295	8	0.1	Positive		16	298	12	15.2	Positive



 Table 5. KAYS Station GIM-TEC Anomaly Table

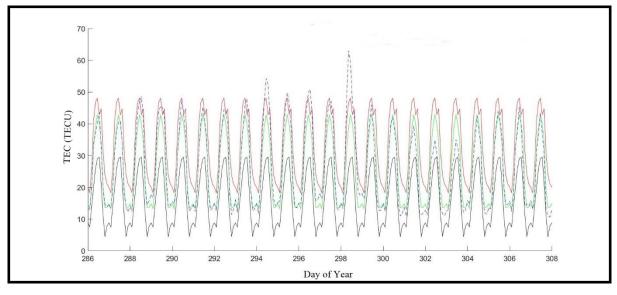


Figure 8 GIM-TEC Values for the BING Station. Black line shows lower bound TEC values,
 red line demonstrates upper bound TEC values, green line shows mean TEC values and dotted
 line indicates observed TEC values for every epoch.

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	GIM-TEC Anomaly Table for BING Station								
Number	DOY	Hour	TEC Difference (TECU)	Type of Anomaly	Number	DOY	Hour	TEC Difference (TECU)	Type of Anomaly
1	288	10	5.6	Positive	9	295	10	4.0	Positive
2	289	10	2.1	Positive	10	296	8	1.7	Positive
3	290	10	0.4	Positive	11	296	10	7.9	Positive
4	292	10	1.4	Positive	12	297	10	4.1	Positive
5	293	10	5.0	Positive	13	298	2	7.8	Positive
6	294	8	6.2	Positive	14	298	8	3.7	Positive
7	294	10	9.6	Positive	15	298	10	11.5	Positive
8	295	8	1.6	Positive	16	298	12	16.1	Positive

191 192 **Table 6.** BING Station GIM-TEC Anomaly Table

Tables (1-6) also depict the day and hour in which anomalies were observed, and the amount and type of the anomaly. The numbers of anomalies obtained in both models were very close to each other. The F10.7 cm index values between the days 288 and 292 were 136.9 sfu, 150 sfu, 151.6 sfu, 145.7 sfu, 146.1 sfu. Nwanko and Chakrabarti (2013) states that while F10.7 cm>151sfu is strong solar activity, 100 sfu<F10.7cm<150 sfu indicates moderate solar activity. The index values show that there was usually moderate solar activity. Therefore, the anomalies in question may be related to the earthquake or solar activity. The index values for the days 200 293, 294, 295 and 296 (the day of the earthquake) were 157.8 sfu, 166.3 sfu, 162.5 sfu and 201 153.9 sfu respectively. These values indicate strong solar activity. On the other hand, the 202 ionosphere layer was quiet in these days in terms of geomagnetic conditions. The numbers of 203 anomalies were higher than during the days 288-292 due to solar activity was stronger during 204 these last days. Since solar activity was moderate in the day 297, the number of anomalies 205 dropped. The solar activity on the day 298 was moderate, but there was strong geomagnetic 206 activity (Dst -147 nt, Kp*10=73). The reason for the high numbers of anomalies on day 298 in 207 both models is believed to be due to geomagnetic activity. This magnetic storm has caused 208 different amount of TEC variation for all stations.

209 As another indicator, we extract Σ ATEC (Totally TEC difference) to determine total amount of

anomaly day by day for each analyzed days. Σ ATEC shows total amount of anomaly for an

analyzed day. For example 4.5 TECU is the sum of total TEC difference for the 24 hours of

- 212 288 in 2011 for AFYN station.
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Stations/A	288	289	290	292	293	294	295	296	297	298
nomaly	(Σ ΑΤ	(ΣΑΤ	(Z AT							
Day	EC)	EC)	EC)							
IZMI-	-	0.2	-	1.8	0.1	3.9	2	6.2	2.1	28.5
GIM										
AFYN-	4.5	-	-	2.3	2.2	8	3.3	8	3.2	31.4
GIM										
KAYS-	4.6	1.2	0.1	2.1	4	12.2	4.1	9.2	3.9	34.5
GIM										
BING-	5.6	2.1	0.4	1.4	5	15.8	5.6	9.6	4.1	39.1
GIM										
OZAL-	7.7	2.5	0.5	0.8	5.2	15.2	6.2	9.4	4.1	44.5
GIM										

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Table 7. Total amount of anomaly in TECU for analyzed days

Table 7 shows total anomaly summary results obtained from analysis results. Positive anomalies were observed before and after the earthquake and amount of anomaly is nearly equal to each other in this earthquake. In addition to that, Σ ATEC differences between stations are also similar to each other for in each analyzed day. Therefore this similarity causes from spatial variation of ionosphere.

221 Considering the analyzed days in general for all stations, it may be seen that it is difficult to

identify earthquake-related anomalies as the solar activity and geomagnetic conditions before

and after the earthquake were not quiet. Therefore, it is believed that the anomalies detected in

the stations on days 293-296 may be related to the earthquake and/or solar activity, and the

anomalies on days 297 and 298 may be related to the earthquake, solar activity and/orgeomagnetic activity.

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228 DISCUSSION AND CONCLUSION

229 Seismic ionospheric evalutions of Van earthquake have also been studied by many researchers 230 (Arikan et al., (2012); Zolotov et al., 2012; Rolland 2013; Sentürk et al., 2018). Arikan et al., 231 (2012) and Zolotov et al. (2012) determined some anomalies before and after the earthquake, 232 but solar and magnetic conditions were not taken into account. On the other hand Sentürk et 233 al. (2018) also obtained abnormal days before and after the earthquake and they evaluated solar 234 activity and magnetic storm conditions for these abnormal days to explain possible causes of 235 anomalies in detail. Some previous studies have also studied on both space weather and 236 earthquake effect in the ionosphere (Yao et al., 2012; Le et al., 2013). They especially state that 237 TEC enhancement may be related to geomagnetic storm and earthquake.

238 Sentürk et al. (2018) study also shows that there is no obvious anomaly caused only by 239 earthquake. Therefore they suggest that a multidisciplinary study would be useful to identify 240 ionospheric changes as an earthquake precursor under the disturbed space-weather conditions. 241 This approach shows that their results agree with our study. Apart from our method, He et al. 242 (2012) study states that detection of earthquake anomaly can be removed from measurement 243 using (Multiresolution wavelet transfrom (MWT) method remove other effect like solar 244 radiation. However this technique's main problem is that F10.7 cm is one value, TEC is 2 hours 245 temporal resolution for one day. Thus we think that different temporal resolutions of F10.7 cm 246 and TEC cause big obstacle to distinguish F10.7 effect on TEC value directly.

247 In the scope of this study, the TEC values for the stations IZMI, AFYN, KAYS, BING were 248 obtained using the GIM-TEC and TEC values were also obtained using GIM-TEC and 249 IONOLAB-TEC methods for OZAL station. In the comparison of the obtained values, it was 250 seen that there was high correlation between the TEC values obtained by the two models for 251 OZAL station. In order to detect earthquake-related TEC changes better, the TEC values created 252 from both models for the period of 13.10.2011-02.11.2011 were used as reference to determine 253 the upper bound and lower bound values. As a result of the statistical test, anomalies were found 254 in all analyzed stations for before, on the day of and after the earthquake. In order to understand 255 whether the anomalies obtained in both models were earthquake-related, the ionospheric 256 conditions, geomagnetic activity and solar activity on the analyzed days were examined using 257 the Kp, Dst and F10.7 cm indices.

258	Consequently, it was determined that the positive anomalies observed on days 286-292 may be
259	related to moderate solar activity and/or the earthquake, and the positive anomalies observed
260	on days 293, 294, 295, 296 (day of the earthquake) may be related to strong solar activity and/or
261	the earthquake. Moderate solar activity and strong geomagnetic activity were observed for day
262	298, so the numbers of anomalies in both models increased dramatically. This increase is
263	considered to be related to geomagnetic activity. The anomaly on day 298 may be related to the
264	earthquake, geomagnetic effects and/or solar activity. The finding that the ionospheric
265	conditions were variable in the analyzed days makes it highly difficult to identify earthquake-
266	related ionospheric changes. Therefore, interdisciplinary study is needed to determine the
267	earthquake-related part of the change in question.
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