Attenuation of plasmaspheric hiss associated with the enhanced magnetospheric electric field

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Abstract. We report an attenuation of hiss wave intensity in the duskside of the outer plasmasphere in response to enhanced convection and a substorm based on Van Allen Probe observations. Using test particle codes, we simulate the dynamics of energetic electron fluxes based on a realistic magnetospheric electric field model driven by solar wind and subauroral polarization stream. We suggest that the enhanced magnetospheric electric field causes the outward and sunward motion of energetic electrons, corresponding to the decrease of energetic electron fluxes on the duskside, leading to the subsequent attenuation of hiss wave intensity. The results indicate that the enhanced electric field can significantly change the energetic electron distributions, which provide free energy for hiss wave amplification. This new finding is critical for understanding the generation of plasmaspheric hiss and its response to solar wind and substorm activity.

1 Introduction

Plasmaspheric hiss is a structureless, extremely low-frequency (ELF) whistler-mode wave that is found primarily in the plasmasphere (Russell et al., 1969; Thorne et al., 1973) and plasmaspheric plumes (Chan and Holzer, 1976; Shi et al., 2019; Yuan et al., 2012; Hayakawa et al., 1986). Hiss waves are broadband emissions with frequencies typically between 100 Hz and 2 kHz (Meredith, 2004; Khazanov et al., 2004; Thorne et al., 1973). However, recent studies indicate that hiss wave frequencies can extend below 100 Hz during strong substorm activities (W. Li et al., 2013, 2015b, H. Li et al., 2015; Ni et al., 2014). Hiss waves can scatter energetic electrons into the loss cone, thereby playing an important role in energetic electron dynamics in the radiation belt (Ma et al., 2016; Meredith et al., 2006, 2007, 2009; Su et al., 2011; Thorne et al., 2013). The mechanism of hiss wave generation is still under active research. Two main generation mechanisms have been proposed: (1) external origination, propagation effects of the whistler-mode chorus from the plasmatrough (Bortnik et al., 2008, 2009; W. Li et al., 2015a; Su et al., 2015) or lightning generated whistler (Draganov et al., 1992; Green et al., 2005); and (2) internal generation, excitation due to local electron cyclotron resonance instability inside the plasmasphere or plasmaspheric plume (Chen et al., 2014; Su et al., 2018; Summers et al., 2014; Thorne et al., 1979). Shi et al. (2019) suggest that the hiss waves in the outer plasmasphere tend to be locally amplified, whereas the hiss waves at the lower $L$ shells may propagate from higher $L$ shells. The Poynting flux of hiss directed away from the Equator provides evidence of internal local generation of hiss waves (He et al., 2019; Kletzing et al., 2014; Laakso et al., 2015; Su et al., 2018). In contrast, the bidirectional Poynting flux of hiss waves implies that local electron instability is relatively weak, and the observed hiss waves mainly originate from chorus waves (Liu et al., 2017a, b).
A large-scale dawn–dusk convection electric field is produced in the inner magnetosphere due to the motional solar wind electric field \( \mathbf{E}_{\text{SW}} = -\mathbf{V} \times \mathbf{B} \), where \( \mathbf{V} \) is the solar wind velocity, and \( \mathbf{B} \) is the interplanetary magnetic field (Lei et al., 1981). Since the \( \mathbf{E}_{\text{SW}} \) is mapped along the geomagnetic field lines and penetrates into the magnetosphere (Huang et al., 2007; Toffoletto and Hill, 1989), Goldstein et al. (2005a) suggest that the electric field at the plasmapause was approximately 13% of \( \mathbf{E}_{\text{SW}} \). Besides the global contribution of \( \mathbf{E}_{\text{SW}} \), the ionospheric subauroral polarization stream (SAPS) is potentially an important contributor to the magnetospheric electric field near the duskside (Goldstein et al., 2003, 2005b, a). The SAPS is the westward flow located at \( \sim 3–5^\circ \) of magnetic latitude below the auroral oval near the duskside. The ionospheric SAPS electric field can be mapped to the magnetic equatorial plane as radial electric fields. In general, the SAPS is related to the substorm and intensifies within \( \sim 10 \) min after the substorm onset (Mishin and Mishin, 2007). It has been known that the dawn–dusk convection electric field plays an important role in the motions of charged particles through the \( \mathbf{E} \times \mathbf{B} \) drift, especially during strong geomagnetic activity (Burch, 1977; Ejiri, 1978; Frank, 1975). Using an improved electric field model driven by \( \mathbf{E}_{\text{SW}} \) and SAPS, Goldstein et al. (2003) simulated the evolution of the plasmapause location, which is found to be very similar to the plasmapause produced by the IMAGE extreme ultraviolet imager.

In this paper, we report an interesting event where plasmaspheric hiss intensity decreased, associated with the enhanced convection and substorm activity on 27 August 2013. Using test particle simulations based on the realistic electric field model, we provide direct evidence that the enhanced magnetospheric electric field can contribute to the attenuation of hiss wave intensity on the duskside.

2 Satellite data

The twin Van Allen Probes with perigee and apogee of about 1.1 and 5.8 \( R_E \) measure both hiss waves and energetic electron fluxes (Mauk et al., 2012). In this study, data from the Electric and Magnetic Field Instrument Suite and Integrated Science (EMFISIS) instrument are utilized to measure hiss waves (Kletzing et al., 2013), and the data from the Electric Fields and Waves (EFW) instrument are utilized to measure electric fields (Walsh et al., 2013). Moreover, we use the data from the Magnetic Electron Ion Spectrometer (MagEIS) and the Helium Oxygen Proton Electron (HOPE) spectrometer to analyze in situ energetic electron distributions (Blake et al., 2013; Funsten et al., 2013; Spence et al., 2013).

The Defense Meteorological Satellite Program (DMSP) satellites orbit around the Earth at an altitude of about 850 km and measure the ion drift velocities in both horizontal and vertical directions perpendicular to the satellite orbit (Rich and Hairston, 1994). In this study, the data of DMSP F17 are used to identify the SAPS event. Furthermore, we use the 1 min resolution OMNI data to analyze the solar wind parameters, including the interplanetary magnetic field (IMF).

3 Event overview

Figure 1 shows the overview of solar wind parameters and geomagnetic indices for the event which occurred from 14:30 to 17:40 UT on 27 August 2013. Following the enhanced southward IMF (Fig. 1a), \( \mathbf{E}_{\text{SW}} \) (Fig. 1e) evidently increased at \( \sim 15:53 \) UT and reached \( >2 \) mV/m after 16:30 UT. As shown by AL and SYM-H indices (Fig. 1f and g), the strong southward IMF triggered a substorm, which occurred during the initial and main phases of a geomagnetic storm. Since the large-scale magnetospheric dawn–dusk convection electric field is produced mainly due to the penetration of \( \mathbf{E}_{\text{SW}} \) (Huang et al., 2007; Lei et al., 1981; Toffoletto and Hill, 1989), the magnetospheric electric field is also expected to be enhanced during this time interval.
Figure 2a–g show the observation of Van Allen Probe A from 14:00 to 16:30 UT. The measurement of total electron density (Fig. 2a) with a high value (> 60 cm⁻³) before 16:20 UT implies that the Van Allen Probe A was inside the duskside plasmasphere during this time interval. Strong plasmaspheric hiss waves (Fig. 2b–e) were observed over 14:00–16:30 UT, together with magnetosonic waves (MS) at low frequencies (below 90 Hz), whose ellipticity is close to zero and wave normal angle is close to 90°. Figure 2e illustrates the angle between the Poynting flux and the ambient magnetic field. Here, 0° (180°) indicates that the Poynting flux is parallel (antiparallel) to the magnetic field. Interestingly, the plasmaspheric hiss waves at different L shells reveal different characteristics. At lower L shells (L < 4.67, prior to 15:00 UT), the Poynting flux of hiss waves is mainly bidirectional at most times, which implies that the observed hiss waves may have mainly originated from the chorus waves outside the plasmasphere and experienced multiple reflections inside the plasmasphere (Bortnik et al., 2008, 2009; Liu et al., 2017a, b). However, at higher L shells (L > 4.67, after 15:00 UT), the Poynting flux is mostly directed away from the Equator, the ellipticity of hiss is extremely high (> 0.9), and wave normal angles are very small (< 15°). All these features imply that the hiss waves at higher L shells are likely locally amplified near the equatorial region (He et al., 2019; Kletzing et al., 2014; Laakso et al., 2015; Su et al., 2018).

The energetic electron fluxes in different energies measured by MagEIS (> 30 keV) and HOPE (11–30 keV) are merged and presented in Fig. 2f. The electron minimum cyclotron resonant energies (E_min) for the lower cutoff frequency of plasmaspheric hiss (marked by the solid black curves in Fig. 2b–e) are calculated and presented as the black curve in Fig. 2f. There is a clear characteristic separation between hiss waves at lower L shells (L < 4.67) and those at higher L shells (L > 4.67). The calculated E_min is higher than the measured electron energies before 15:00 UT (L > 4.67), which suggests that the hiss waves are hardly locally generated. By contrast, the E_min agrees well with the measured electron energies at higher L shells (L > 4.67). It supports the suggestion that the hiss waves at higher L shells may be locally amplified. Using measured electron pitch angle distribution and plasma parameters, we calculate the convective linear growth rates for parallel-propagating whistler-mode waves with various frequencies (Kennel and Petschek, 1966; Summers et al., 2009). The linear wave growth rate (Fig. 2g) shows positive values at higher L shells (> 4.67), and the frequency range of high positive growth rate agrees fairly well with the hiss waves observed at higher L shells. At lower L shells (< ~ 4.67), only the high-frequency portion shows the positive growth rates, indicating local amplification. This feature is roughly consistent with the Poynting flux direction (Fig. 2e), where only the high-frequency portion (> several hundred hertz, Hz) exhibits the Poynting flux directed away from the Equator.

Figure 2h–n show the observation of Van Allen Probe B from 16:00 to 18:20 UT. Van Allen Probe B passed through the same region at ~ 2 h later than the observation by Probe A (Fig. 2a–g). At the same L shell, the change in total electron density was very small. Interestingly, compared to the observation of Probe A (Fig. 2f), there was a very clear decrease in energetic electron fluxes at > ~ 10 keV at higher L shells (Fig. 2m). Furthermore, the electron flux at > ~ 25 keV decreased earlier and more significantly than that at < ~ 25 keV. At higher L shells, in association with the decrease in energetic electron fluxes, the corresponding linear growth rate became much lower, especially at frequencies < 0.1 f_eq. Except for the waves at higher frequencies (> 0.1 f_eq), which propagate away from the Equator (Fig. 2l), the Poynting flux of the plasmaspheric hiss was bidirectional. Interestingly, linear growth rates (Fig. 2n) show positive values for these high-frequency hiss waves (> 0.1 f_eq), suggesting local amplification, which is consistent with their Poynting flux direction (Fig. 2l). It is important to note that the intensity of plasmaspheric hiss became very weak over the L shells of ~ 4.5–5.5. This suggests that the local amplification of plasmaspheric hiss was reduced, owing to the decreased electron flux, which provides a source of free energy for hiss amplification.

4 Simulation of energetic electron flux

Previous studies have reported that the plasmaspheric hiss on the dayside could become weaker or disappear following the interplanetary shock arrival due to enhanced Landau damping, which prevented chorus waves from entering the plasmasphere (Su et al., 2015; Yue et al., 2017). In this study, the plasmaspheric hiss event on 27 August 2013 was observed on the duskside. Although there were some variations in solar wind dynamic pressure, the attenuation of duskside plasmaspheric hiss wave intensity at higher L shells is likely caused by the decrease of energetic electron fluxes, which provide free energy for cyclotron resonance. Since the timescale of energetic electron loss due to hiss-induced pitch angle scattering is 1 to 100 d (Ni et al., 2013), the rapid loss in electron flux cannot be caused by the hiss wave scattering. After 15:53 UT, the enhanced southward interplanetary magnetic field resulted in intense E_sw and triggered a substorm, which further enhanced the magnetospheric electric field. The intense magnetospheric electric field can drive charged particles to move sunward and outward (Khazanov et al., 2004) and lead to the significant decrease of energetic electron flux along the Van Allen Probes’ orbit within a short time.

Following Goldstein et al. (2003) and Goldstein et al. (2005a), we built a magnetospheric model for the electric potential. In the model, except for the co-rotating electric potential Φ_rot,

$$\Phi_{\text{rot}} = -C \frac{R_{E}}{R}.$$ (1)
the major parts are the convection electric potential and SAPS potential. The convection electric potential $\Phi_{VS}$ is determined by $E_{SW}$:

$$\Phi_{VS} = -AE_{SW}R^2 \sin \varphi (6.6R_E)^{-1},$$

where $A$ is equal to 0.13, $R$ is the geocentric distance, $\varphi$ is the azimuthal angle, and $R_E$ is the radius of the Earth. Following Goldstein et al. (2003), we consider a time delay between the detected $E_{SW}$ and its effect on magnetospheric electric field. In this study, $E_{SW}$ data from OMNI are delayed by $\sim 5$ min, which is shown in Fig. 3a.

The SAPS associated with substorm can also evidently enhance the electric field near the duskside. From 15:16 to 15:22 UT, the horizontal flow speed $V$ (and minimal convection) recorded by DMSP F17 at the magnetic local time (MLT) of $\sim 17.2$ (before the enhancement of southward IMF and onset of substorm) was small (Fig. 3b). The SAPS on the equatorward side of the auroral oval was not evident. Subsequently, the horizontal $V$ recorded by DMSP F17 from 16:58 to 17:03 UT at $\sim 17.5$ MLT (during the enhancement of southward IMF and substorm) increased significantly with the peak flow speed $>1$ km/m, indicating a strong SAPS event (marked by two vertical dashed lines in Fig. 3c).

In this study, the effect of SAPS on the magnetospheric equatorial electric potential $\Phi_S$ is calculated by

$$\Phi_S(R, \varphi) = -F(R, \varphi) G(\varphi) V_S(t),$$

where $F(R, \varphi)$ is a function to describe the radial dependence.

$$F(R, \varphi) = \frac{1}{2} + \frac{1}{\pi} \tan^{-1}[\alpha (R - R_S(\varphi))],$$

where $R_S$ indicates the radial distance where the peak radial electric field occurs.

$$R_S(\varphi) = R_S^0 \left( \frac{1 + \beta}{1 + \beta \cos (\varphi - \pi)} \right)^x,$$

where $\alpha$ indicates the width of the peak,

$$\alpha = 0.15 + 0.65 \left[ 1 + \cos \left( \frac{7\pi}{12} \right) \right].$$

$G(\varphi)$ is used to model the azimuthal dependence of the potential drop:

$$G(\varphi) = \cos^2 \left( \frac{1}{2} (\varphi - \varphi_S) \right).$$
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We consider the SAPS potential with parameters $[\beta, \kappa, R_S^0, \varphi_S] = [0.97, 0.14, 5.2 R_E, \pi/2]$. $V_S(t)$ describes the time dependence of magnetospheric equatorial SAPS potential, which is

$$V_S(t) = 11 \left[ \exp \left\{ -(t - 16.3)^2 \right\} \right] + 38 \left[ \exp \left\{ -4(t - 17.7)^2 \right\} \right], \quad (8)$$

where $t$ is the UT in hours.

In order to compare the modeled and the actual electric fields, the modeled electric potential along the F17's orbit during the intervals both from 15:16 to 15:22 UT and from 16:58 to 17:03 UT are calculated using a dipolar magnetic field, as indicated by the red curves in Fig. 3d and e, respectively. In addition, the actual F17 electric potentials relative to the electric potential at MLT $\sim 50^\circ$ (assumed as 0 at $\sim 50^\circ$) are indicated by the blue curves in Fig. 3d and e, respectively. The actual electric potentials are calculated through the integration of $\mathbf{V} \times \mathbf{B}$ along the F17's orbit, where $\mathbf{B}$ is the downward component of geomagnetic field. Although there is a slight difference between the modeled and actual potentials, the potential drop is quite close. It suggests that the potential drop is small before the enhancement of southward IMF (as shown in Fig. 3d). However, the potential drop is large during the enhancement of southward IMF (as shown in Fig. 3e), which implies that the electric field dramatically strengthened. Furthermore, the modeled and detected magnetospheric electric fields in the dawn–dusk direction along the trajectory of Probe A are indicated by the red and blue curves in Fig. 3f, respectively. It suggests that the modeled magnetospheric electric field is very similar to the observed electric field, and there is a clear trend that the magnetospheric electric field varied following the enhancement of $E_{SW}$.

Using the modeled time-varying electric field, we simulate the evolution of energetic electron distributions. Here the geomagnetic field is assumed as a dipolar field, and electron motion is assumed to be adiabatic. We calculate the drift velocity as a combination of the velocity due to $\mathbf{E} \times \mathbf{B}$ drift and the bounce-averaged velocity due to gradient and curvature drifts (Roederer, 1970; Ganushkina et al., 2005). In this study, the evolution of electron flux distributions at lower energies from 11 to 21 keV and higher energies from 51 to 61 keV (representing energy $< \sim 25$ keV and energy $> \sim 25$ keV) is simulated, respectively.

In order to obtain the initial electron flux distribution function, the observed flux distribution of energetic electrons (at each energy channel measured by Probe A from $\sim 14:00$ to 16:10 UT) as a function of $L$ shell is fitted with the summation of several Maxwellian functions. And then, the fitted flux distribution is interpolated at 1 keV steps. The distribution achieved by the above method is considered as the initial energetic electron distribution. There may be a temporal evolution of energetic electrons within the time interval from 14:00 to 16:10 UT. However, we consider that the variation during this time interval is relatively small because the $E_{SW}$ is very low in most of this time interval. Moreover, the electron flux distribution is assumed to be the same at different MLTs. Since the results of simulation for different initial pitch angles are similar, the evolution of electrons with initial pitch angle at $45^\circ$ is presented here. As shown in Fig. 4a, the electrons at energies from 11 to 21 keV are assumed to be evenly distributed across all MLTs and distributed along the $L$ shells using a function presented in Fig. 2f. The simulation of energetic electron flux is initialized at 15:58 UT, when the delayed $E_{SW}$ started to increase (Fig. 3a). The trajectory of the Van Allen Probes is denoted by the black curve. The evolved distribution at 17:15 UT is shown in Fig. 4b. Although the sunward motions of electrons could be seen both on the dayside and nightside, this trend is more notable.

Figure 3. (a) The $E_{SW}$ data from OMNI but delayed by 5 min. (b) The flow speed detected by DMSP F17 from 15:16 to 15:22 UT at MLT $\sim 17.2^h$. (c) The flow speed detected by DMSP F17 from 16:58 to 17:03 UT at MLT $\sim 17.5$. The SAPS region is indicated by the two vertical dashed lines. (d) The DMSP-measured electric potential (blue curve) and the modeled electric potential (red curve) from 15:16 to 15:22 UT. (e) The same as (d) but from 16:58 to 17:03 UT. (f) The measured electric field in the dawn–dusk direction by Van Allen Probe A (blue curve) and the modeled electric field along the trajectory of Van Allen Probe A (red curve).
Figure 4. The comparison between the observed and simulated electron flux. (a) The simulation of electron flux distribution with energies from 11 to 21 keV at 15:58 UT. The trajectory of the Van Allen Probes is indicated by the solid black curve. (b) The evolved electron distribution with initial energies from 11 to 21 keV at 17:15 UT. (c) The normalized variations of electron fluxes with the energies from 11 to 21 keV as a function of time after 15:58 UT at $L \sim 4.75$ ($L \sim 5.1$) are indicated by the brown (green) curves. The vertical bars indicate the detected normalized variation of electron fluxes at the corresponding times when Van Allen Probe B passed through $L \sim 4.75$ ($L \sim 5.1$). (d–f) The same as (a–d) but for the electrons with the initial energies from 51 to 61 keV on the duskside. Furthermore, there is also an evident outward motion on the duskside. To explicitly display the evolution of electron flux along the satellite orbit, the normalized percentage changes in modeled electron fluxes (at $L \sim 4.75$, MLT $\sim 17$ and $L \sim 5.1$, MLT $\sim 18$) varying with time (starting at 15:58 UT) are shown in Figure 4c. The electron flux decreases at both $L \sim 4.75$ and $L \sim 5.1$. In Fig. 4c, the detected normalized variations of electron fluxes at the corresponding times when Van Allen Probe B passed through are indicated by the vertical bars (17:04 UT for $L \sim 4.75$ and 17:26 UT for $L \sim 5.1$).

The evolution of electron flux at energies from 51 to 61 keV is shown in Fig. 4d–f, which exhibit a distinct slot region at $L \sim 4$. After the evolution of 77 min, as presented in Fig. 4e, the inner belt remains stable and changes little. However, the outer belt on the duskside clearly moves farther away from the Earth and becomes apparently sparser. The slot region on the duskside becomes much broader, where the Van Allen Probes traveled through. As shown in Fig. 4f, the electron flux at energy from 51 to 61 keV rapidly decreases. At $L \sim 4.75$ (5.1), the modeled flux decreases by 91% (83%), similar to the observed electron flux decrease. The decreases of both the modeled and observed flux at the energies from 51 to 61 keV are more significant than those at energies from 11 to 21 keV.

These simulation results indicate that the enhanced electric field during the enhanced convection and substorm redistributes the energetic electron flux along the orbit of Van Allen Probes. Although there are stronger sunward and outward motions for the electrons at lower energies (from 11 to 21 keV), the decrease of the local electron flux along the orbit of the Van Allen Probes is slower than the decrease of the electron flux at higher energies (from 51 to 61 keV).

5 Conclusions

In this paper, we report a hiss attenuation event during an enhanced convection and substorm event on 27 August 2013. In the outer plasmasphere, with the decrease of energetic electron fluxes after the enhanced convection and substorm, the hiss wave intensity became much weaker. The Poynting flux of hiss waves observed at higher $L$ shells ($> 4.67$) before the enhanced convection and substorm was directed away from the Equator, and the trend of the calculated linear wave growth rates is consistent with the observed hiss wave intensification, both of which suggest that these hiss waves in the outer plasmasphere are mainly locally amplified. The reduction of hiss wave intensity in the outer plasmasphere after the enhanced convection and substorm may be mainly caused by the reduced fluxes of energetic electrons (tens of kiloelectron volt, keV), which provide a source of free energy for hiss amplification.
The evolution of electron fluxes during the time interval of the enhanced magnetospheric electric field at different $L$ shells is modeled by test particle simulations based on the realistic electric field model, including both convection electric field and SAPS. The result of test particle simulation is consistent with the observed distribution of the electron flux from Van Allen Probes, showing the decreased electron flux along the orbit of the Van Allen Probes after the enhanced convection and substorm. The result of test particle simulation is consistent with the observed distribution of electron flux from Van Allen Probes, showing decreased electron flux along the orbit of the Van Allen Probes after the enhanced convection and substorm. Furthermore, the electron flux is highly energy-dependent; the decline of electron flux at the energies from 51 to 61 keV is more significant than that at energies from 11 to 21 keV. The electrons at energies from 11 to 21 keV have stronger sunward and outward motions because their velocities of gradient and curvature drift (rotation around the Earth) are lower. However, under the supplement of electrons from lower $L$ shells, which are also owing to the convection, the electron fluxes around the orbit of the Van Allen Probes at these energies decrease slower. There is a distinct slot region of electrons at energies from 51 to 61 keV around $L \sim 4$. The inner belt remains stable and changes little during the interval of evolution because the motions of energetic electrons within $L<3.5$ are mainly controlled by the relatively stable co-rotating electric field and magnetic field in the substorm. By contrast, under the action of the enhanced convection electric field, the outer belt on the duskside clearly moves farther away from the Earth. The extended slot region for the electrons covers the orbit of the Van Allen Probes, which results in a significant decrease of the measured flux for the electrons at energies from 51 to 61 keV. The simulation results indicate that the enhanced electric field causes the outward and sunward motions of energetic electrons, which lead to the observed hiss attenuation on the duskside. This study reveals the important role of the magnetospheric electric field in the variation of the energetic electron flux and the resultant hiss wave intensity.

Our simulation implies that the attenuation of hiss wave intensity is mainly due to the decrease of energetic electron fluxes, especially electrons at higher energies, in association with the enhanced magnetospheric electric field in response to solar wind and substorm activity. This suggests that the enhanced magnetospheric electric field may also contribute to the attenuation of chorus waves outside the plasmasphere, since tens of kiloelectron volt (keV) electrons provide a source of free energy for chorus wave excitation. The potential chorus attenuation, although unavailable from other satellite measurements during this event, is left as a further investigation.


Author contributions. The conceptional idea of this study was developed by HL and WL. HL wrote the paper, and WL revised it. QM, YN, and ZY substantially helped with the analysis. AJB and XS contributed to the Van Allen Probe data processing. All authors discussed the results.

Competing interests. The authors declare that they have no conflict of interest.

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