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# **Energetic ions scattered into the loss cone with observations of the Cluster satellite**

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Abstract. In this paper, we report in situ observations by the Cluster spacecraft of energetic ions scattered into the loss cone during the inbound pass from the plasma sheet into the plasmasphere. During the inbound pass of the plasma sheet, Cluster observed the isotropy ratio of energetic ions to gradually decrease from unity and the isotropic boundary extended to lower L value for higher-energy ions, implying that the field line curvature scattering mechanism is responsible for the scattered ions into the loss cone from the plasma sheet. In the outer boundary of a plasmasphere plume, Cluster 3 observed the increase of the isotropy ratio of energetic ions accompanied by enhancements of Pc2 waves with frequencies between the He<sup>+</sup> ion gyrofrequency and O<sup>+</sup> ion gyrofrequency estimated in the equatorial plane. Those Pc2 waves were left-hand circularly polarized and identified as electromagnetic ion cyclotron (EMIC) waves. Using the observed parameters, the calculations of the pitch angle diffusion coefficients for ring current protons demonstrate that EMIC waves could be responsible for the ions scattering and loss-cone filling. Our observations provide in situ evidence of energetic ion loss in the plasma sheet and the plasmasphere plume. Our results suggest that energetic ions scattering into the loss cone in the central plasma sheet and the outer boundary of the plasmaspheric plume are attributed to the field line curvature scattering mechanism and EMIC wave scattering mechanism, respectively.

**Keywords.** Magnetospheric physics (plasma waves and instabilities)

# 1 Introduction

As discrete electromagnetic emissions, electromagnetic ion cyclotron (EMIC) waves can be excited through the anisotropic energetic protons with temperature anisotropy  $(T_{\parallel} > T_{\parallel})$  (Gary et al., 1995; Horne and Thorne, 1993; Fraser and Nguyen, 2001). EMIC waves are usually observed in regions of higher cold plasma density such as, but necessarily in the plasmapause or plasmaspheric plumes (Anderson et al., 1992; Fraser and Nguyen, 2001; Chen et al., 2010; Yuan et al., 2012a; Usanova et al., 2013; Halford et al., 2015), because cold dense ions can lead to lower instability threshold for the EMIC wave excitation (Gary et al., 1995). EMIC waves, identified on the ground as Pc1-2 waves, are often excited in the magnetospheric equatorial plane (Anderson et al., 1992; Fraser et al., 2005; Engebretson et al., 2007; Yuan et al., 2012a; Sakaguchi et al., 2008). In the dayside outer magnetosphere, EMIC waves in Pc2 band can also be generated high off the equator and in the regions of the minimum of magnetic field within Shabansky orbits (Liu et al., 2012). Through the wave-particle interactions, EMIC waves can scatter ring current (RC) ions and radiation belt relativistic electrons into the loss cone, leading to loss into the atmosphere (Yahnin et al., 2006; Yahnin and Yahnina; 2007; Jordanova, et al., 2007; Sakaguchi et al., 2008; Morley et al., 2009; Yuan et al., 2010, 2012a, b, 2013; Carson et al., 2013; Li et al., 2014; Usanova et al., 2010, 2014; Wang et al., 2014). Therefore, EMIC waves may play an important role in the dynamics of the ring current and radiation belt through wave-particle interaction.

The precipitation of energetic ions from the plasma sheet or ring current is an important particle coupling process between the magnetosphere and ionosphere. The precipitation of energetic protons can significantly enhance the ionospheric conductance (Galand and Richmond, 2001; Fang et al., 2007a; Yuan et al., 2014) and affect ion convection and thermospheric composition and neutral winds (Galand et al., 2001; Fang et al., 2007b). The energetic protons of the plasma sheet precipitate into the ionosphere and cause the main proton auroral oval. In addition, with the substorminduced electric field some plasma sheet protons can further be injected into the current ring region. The interaction between ring current (RC) ions and EMIC waves in the plasmapause or plasmaspheric plumes can lead to the scattering of RC ions into the loss cone and precipitating into the atmosphere at subauroral latitudes (Jordanova et al., 2007; Yahnin et al., 2009; Spasojević and Fuselier, 2009; Yuan et al., 2010). As a result, the low-altitude NOAA spacecraft crossing the auroral zone from high to low latitudes firstly detects an isotropic proton flux distribution and subsequently detects a drop of the proton flux within the loss cone and still a strong flux of trapped particles at lower latitudes (the anisotropic zones) (Yahnin et al., 2006; Yahnin and Yahnina; 2007; Yuan et al., 2011, 2012b, 2013). In the isotropic zones, the curved field line geometry in the magnetotail has been considered as a key mechanism causing the protons in the central plasma sheet (CPS) to be pitch angle scattered into the loss cone and subsequently precipitate in the ionosphere (e.g., Sergeev et al., 1983; Buchner and Zelenyi, 1986; Ashour-Abdalla et al., 1990; Liang et al., 2013). In the anisotropic zones, the NOAA spacecraft can observe enhancement of the precipitating ion flux at subauroral latitudes, which is a result of precipitating RC ions scattered into the loss cone by EMIC waves (Yahnin et al., 2002, 2006; Jordanova, et al., 2007; Yahnin and Yahnina; 2007; Yuan et al., 2012b). To better reveal the key mechanisms scattering protons into the loss cone, in situ satellite observations of simultaneous loss of energetic protons and EMIC waves are very necessary. Inside the CPS, two loss mechanisms are possibly the cause of the CPS proton loss, field line curvature scattering as well as EMIC waves. For example, Liang et al. (2014) showed simultaneous observations of EMIC waves excited in the CPS and loss of energetic protons. In the plasmapause or plasmaspheric plumes, in situ satellite observations have also shown that EMIC waves can scatter ring current protons into the loss cone (Erlandson and Ukhorskiy, 2001; Yuan et al., 2012b). However, to our knowledge, it is seldom reported that in situ satellite observation of energetic ions scattered into the loss cone during the satellite's passage from the plasma sheet into the plasmapause or plasmaspheric plumes. Thus it is likely that there are two separate regions of ion loss due to two separate loss mechanisms. It is important to identify and quantify their relative importance.

In this paper, we focus on in situ observations by the Cluster spacecraft of energetic ions scattered into the loss cone during the inbound pass from the plasma sheet into the plasmaspheric plume on 3 June 2004. In Sect. 2, after briefly describing the instrumentation (WHISPER, CIS, FGM, PEACE, EFW of the Cluster satellite), we present ob-



**Figure 1.** (a) Solar wind dynamic pressure, (b) interplanetary magnetic field (IMF)  $B_z$  component, (c) AE index, (d) SYM-H index, between 15:00 and 19:00 UT on 3 June 2004. The arrows in panel (b) denote two southward turnings. The arrows in panel (c) denote two sharp increases of the AE index. The interval of interest is denoted by two vertical solid lines.

servations of Cluster 3 on 3 June 2004. In Sect. 3, these results are discussed and a summary is given.

## 2 Observations

The event occurred on 3 June 2004. Figure 1 shows, from top to bottom, the solar wind dynamic pressure, IMF  $B_z$  component, the AE index, and SYM-H index. As shown in Fig. 1a, between 15:00 and 19:00 UT the solar wind dynamic pressure kept in a moderate but stable level ( $\sim 2 nPa$ ). Within one and a half hours before the interval of interest in the present study denoted by two vertical solid lines, as shown in Fig. 1b, the IMF  $B_z$  presents two southward turnings, implying solar-wind-magnetosphere coupling through magnetic field reconnection at subsolar magnetopause. As a result, the energetic ions/electrons in the magnetotail plasma sheet can be injected into inner magnetosphere and high-latitude ionosphere, which leads to two sharp enhancements of the AE index denoted by the arrows in Fig. 1c. As shown in Fig. 1d, the SYM-H stayed greater than -20 nT, implying weak activity of the ring current during the interval.

We use data from instruments onboard the Cluster spacecraft to conduct the study. Electron density data are derived by identifying the electron plasma frequency in the frequency range of 2–80 kHz measured by the Waves of High frequency and Sounder for Probing Electron density by Relaxation (WHISPER) instrument (Décréau et al., 2001). In addition, the spacecraft potential data from the Electric Field and Wave (EFW) instrument (Gustafsson et al., 2001) are used as a proxy of the total electron density (Pedersen et al., 2001). The Hot Ion Analyzer (HIA) sensor of the Cluster Ion Spectrometry (CIS) instrument measures the 3-D dis-



**Figure 2.** Frequency–time spectrogram measured by the WHIS-PER instrument onboard C3 between 17:00 and 18:50 UT on 3 June 2004. The plasma frequency ( $F_{pe}$ ) is indicated by the black arrows.

tribution functions of ions between  $5 \text{ eV } q^{-1}$  and  $32 \text{ keV } q^{-1}$  without mass discrimination in one spacecraft spin (4 s) time resolution (Rème et al., 2001). Thus, measurements of the HIA cover a substantial part of the ring current energy range (Williams, 1987). The high-resolution magnetic field data with a 22 Hz sampling frequency are provided by a flux-gate magnetometer (FGM) (Balogh et al., 2001). Thermal (<25 keV) electron distribution data are provided by the Plasma Electron and Current Experiment (PEACE) instrument (Johnstone et al., 1997).

Figure 2 displays frequency–time electric field spectrograms from the C3 WHISPER instruments between 17:00 and 18:50 UT on 3 June 2004. As denoted by the black arrows in Fig. 2, there is an obvious plasma frequency ( $F_{pe}$ ) trace, which is used to derive the electron density. In order to better demonstrate the plasmaspheric plume crossing by Cluster 3, we plot the electron number density along the trajectories of Cluster 3 projected along the magnetic field lines onto the equatorial plane in the GSM coordinates with the TS-05 model (Tsyganenko and Sitnov, 2005). As shown in Fig. 3, it is easy to see that Cluster 3 firstly encountered the plasmaspheric plume and then entered into the main plasmasphere.

An overview of Cluster 3 data during the time interval from 17:00 to 18:12 UT on 3 June 2004 is shown in Fig. 4, including the spacecraft potential, electron number density, electron spectrogram, ion spectrogram and energy flux, the perturbed magnetic field, and power spectral density of one of the perpendicular components of the perturbed magnetic field  $(\Delta B_{t2})$  in the field-aligned coordinate system. During the interval denoted by two red vertical solid lines in Fig. 4, i.e., between 17:22 and 18:12 UT on 3 June 2004, Fig. 4a presents a high spacecraft potential observed by EFW on Cluster 3, indicating that Cluster 3 observed a high total electron density and passed through a plasmaspheric plume (Pedersen et al., 2001). Prior to 17:29 UT, the trace of electron plasma frequency  $(F_{pe})$  is not clear on the frequencytime electric field spectrogram measured by the WHISPER instrument shown in Fig. 2. Thus it is difficult to use the



**Figure 3.** Electron density observed by the WHISPER instrument of C3 during between 17:12 and 18:48 UT on 3 June 2004. Left panel: electron density vs. UT. Right panel: electron density plotted along the trajectories of C3 projected along the magnetic field lines onto the equatorial plane in a co-rotating geocentric solar magnetospheric (GSM) frame of reference.

 $F_{\rm pe}$  to determine the cold plasma density or the location of the plasmapause. We instead use the spacecraft potential observed by the EFW instrument to indicate the outer boundary of the plasmasphere plume during this time interval. The outer boundary of the plasmasphere plume is identified by an increase of the spacecraft potential value shown in Fig. 4a at 17:22 UT. As the spacecraft potential-derived electron density has some uncertainties (Scudder et al., 2000), we only use the spacecraft potential to identify the outer boundary of the plasmasphere plume, as shown in Fig. 4b. In this paper we derive the electron density value using the WHIS-PER instrument. As marked by the right red vertical solid line of Fig. 4, the inner boundary of the plume is well identified by the decrease of the electron density to the background level at 17:39 UT. Thereafter, as denoted by the yellow vertical solid line, Fig. 4b presents that the electron density starts to increase at 17:46 UT, meaning that Cluster 3 entered into the outer boundary of the main plasmasphere. Panels (c)-(d) show PEACE electron energy-time spectrogram at pitch angle of 180 and 90° respectively. Between 17:00 and 17:20 UT, as denoted by green vertical solid lines in Fig. 4, the Cluster C3, observed the strong electron flux with energies of 0.1-6 keV, implying a passage of the plasma sheet or the auroral zone.

As shown in Fig. 4e, in the plasma sheet the HIA observed ions of several keV, i.e., plasma sheet ions. In addition, strong fluxes of high-energy (> 10 keV) trapped ions were observed at lower *L* values, indicating the presence of ring current ions (Vallat et al., 2004). After 18:00 UT the HIA data suffered a strong background due to penetrating particles from the radiation belts, appearing as a high counting rate in all energies (Dandouras et al., 2009). Panel (f) displays ion flux



Figure 4. Overview of data from Cluster 3 between 17:00 and 18:12 UT on 3 June 2004. Panel (a): negative of the spacecraft potential measured by the EFW experiment. Panel (b): electron density derived from the electron plasma frequency detected by the WHISPER instrument. Panels (c-d): PEACE electron energy-time spectrogram in particle energy flux units (keV  $\text{cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1} \text{ keV}^{-1}$ ) at pitch angles of 180 and 90°, respectively. Panel (e): HIA omnidirectional energy-time spectrogram in energy particle flux units (keV cm<sup>-2</sup> s<sup>-1</sup> sr<sup>-1</sup> keV<sup>-1</sup>). Panel (**f**): ion flux with energies of 14 keV-35 keV measured by HIA. Ion fluxes with local pitch angles of 80-90 and 170-180° are denoted by red and blue lines, respectively. Panel (g): disturbed magnetic field in the field-aligned coordinate system. The two perpendicular components  $(\Delta B_{t1} \text{ and } \Delta B_{t2})$  and the field-aligned component  $(\Delta B_{FA})$  of the perturbed magnetic field in the field-aligned coordinate system are denoted by red, blue, and green solid lines, respectively. Panel (h): power spectral density (PSD) of the  $\Delta B_{t2}$  component of the disturbed magnetic field. Black vertical solid lines denote the time interval of enhanced PSD for Pc2 waves.

with energies of 14-35 keV measured by the HIA with local pitch angle of 80–90 and 170–180° denoted by the red and blue lines, respectively. Since the loss cone angle at the location Cluster 3 is about 4° with the ambient magnetic field observed by the Cluster 3 (not shown here), observed ions with pitch angle of 80–90° are out of the local loss cone and

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considered as trapped ions while those with pitch angle of  $170-180^{\circ}$  include trapped and precipitating ions.

As denoted by the red line in Fig. 4f, the increase of trapped ion flux with energies of 14-35 keV implied enhancements of RC density. In the plasma sheet, the ion flux with energies of 14-35 keV for the pitch angles of  $80-90^{\circ}$  is almost same as that for the pitch angels of  $170-180^{\circ}$ , implying an isotropic distribution. To be noted, during the interval denoted by two black vertical solid lines in Fig. 4, the ion flux with local pitch angles of  $170-180^{\circ}$  denoted by the blue lines in Fig. 4h sharply increased so that the pitch angle distribution became more isotropic. The isotropic enhancement of ions with energies of 14-35 keV should be attributed to RC ions scattered into the loss cone by EMIC waves, which will be discussed as follows.

With a 25.6s running time window, the high-resolution magnetic fields observed by the FGM are separated into the averaged magnetic field and perturbed magnetic field (Yuan et al., 2012a). The perturbed magnetic field is used to extract the Pc2 waves. In order to better study characteristics of Pc2 waves observed by Cluster 3, the perturbed magnetic field in GSE coordinates is transformed into a field-aligned coordinate system. As shown in Fig. 4g, two perpendicular components ( $\Delta B_{t1}$  and  $\Delta B_{t2}$ ) and the field-aligned component  $(\Delta B_{\rm FA})$  of the perturbed magnetic field are denoted by red, blue, and green solid lines respectively. During the interval denoted by two black vertical solid lines in Fig. 4g, the amplitude of the perturbed magnetic field increases. Since the transverse component  $\Delta B_{t2}$  of the perturbed magnetic field is much stronger than the  $\Delta B_{\rm FA}$  component, the direction of the perturbed magnetic field should be nearly in the plane perpendicular to the background magnetic field. The strongest component of the perturbed magnetic field,  $\Delta B_{t2}$ , is used to obtain the power spectral density through fast Fourier transforms (FFTs), which is shown in Fig. 4h. During the interval denoted by the two black vertical solid lines in Fig. 4h, the frequency of the perturbed magnetic field is about 0.1 Hz, i.e., in the Pc2 band.

### 3 Discussion and conclusion

In order to better show the wave power and polarization characteristics of the Pc2 waves observed by Cluster 3, a wavelet analysis is used to obtain the wave power for leftand right-hand polarized components ( $B_1 = \Delta B_{t1} - i\Delta B_{t2}$ and  $B_r = \Delta B_{t1} + i\Delta B_{t2}$ ) (Volwerk et al., 2008). Figure 5 presents the wavelet spectrograms for Cluster 3 during the interval of 17:00–17:48 UT. Those local O<sup>+</sup> ion gyrofrequency ( $f_{O+Loc}$ ) is derived from the background magnetic field, which is the average magnetic field mentioned above. On the other hand, the ion gyrofrequencies in the equatorial plane ( $f_{O+eq}$ ,  $f_{He+eq}$ ), which is the projection of Cluster trajectories along the magnetic field, can be calculated with the TS-05 model (Tsyganenko and Sitnov, 2005). As shown in Fig. 5a and b, the Pc2 waves, circled in black, are between PS

HCP Wave (a)

RHCP Wave

Ellipticity

E= 14-32 keV

F= 6-14 keV

(e)

(c)

(ZH) 0.

0.0

0.0

0.0

10

∑ 10<sup>3</sup>
10<sup>2</sup>
<sup>10</sup>

ropy Ratio 8.0

0.6 S

(Hz)

(Hz)



0,4 17:00 UT 17:06 10.65 17:12 17:18 10.03 17:24 9.77 17:30 9.60 17:36 9.59 17:42 9.61 11.38 10.24 Figure 5. Wavelet power spectrum of the perturbed magnetic field, electron energy-time spectrogram in particle energy flux units  $(\text{keV cm}^{-2} \text{s}^{-1} \text{sr}^{-1} \text{keV}^{-1})$ , isotropy ratio of ions with energies of 6-14 keV and 14-32 keV between 17:00 and 17:48 UT on 3 June 2004. Panels (a-c), from top to bottom, the power spectrum of LHCP, RHCP components and the ellipticity of the transverse waves in the field-aligned coordinates are plotted, respectively. Periods of enhanced EMIC wave power are circled in black. Panel (d): PEACE electron energy-time spectrogram in particle energy flux units at pitch angles of 180°. Panel (e): isotropy ratio of ions with energies of 6-14 keV (blue lines) and 14-32 keV (red lines) measured by HIA. Two black vertical lines denoted the enhancements of ion isotropy ratio in the outer boundary of the plasmaspheric plume.

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the O<sup>+</sup> ion gyrofrequency  $(f_{O+eq})$  and the He<sup>+</sup> ion gyrofrequency  $(f_{\text{He}+eq})$  in the equatorial plane, i.e., in frequency range for He<sup>+</sup> ion cyclotron waves in the equatorial plane, in agreement with the previous observations and theory of EMIC waves (Erlandson and Ukhorskiy, 2001; Morley et al., 2009; Yuan et al., 2010, 2012a; Young et al., 1981). As shown in Fig. 5c, the ellipticity of the transverse waves (-1, 0, +1)are for left-hand circular polarization (LHCP), linear polarization, and right-hand circular polarization (RHCP), respectively. Here the ellipticity is only shown when the PSD of LHCP or RHCP waves is larger than  $5 \text{ nT}^2 \text{ Hz}^{-1}$ ) demonstrates that the wave packets are mainly left-hand polarized, which is an important characteristic for EMIC waves (Anderson et al., 1992). Therefore, those observed left-hand circularly polarized Pc2 waves, with frequencies between the He<sup>+</sup> ion gyrofrequency and O<sup>+</sup> ion gyrofrequency in the equatorial plane, can be identified as EMIC waves originating from the equatorial plane and propagating to the site of Cluster 3.

Within one and a half hours before the EMIC wave events, as shown in Fig. 1, there are three sharp enhancements of the AE index, indicating that energetic ions/electrons in the magnetotail plasma sheet could be injected into inner magnetosphere and high-latitude ionosphere. Those energetic ions and electrons could be injected at local midnight and drift toward the dayside. Due to drift shell splitting, ring current ion fluxes with an anisotropic pitch angle distribution are enhanced in the afternoon sector (Sibeck et al., 1987). With density enhancements of cold ions, the anisotropic RC proton distributions can become unstable so as to easily excite EMIC waves (Gary et al., 1995). Therefore, EMIC waves in the inner magnetosphere may occur in the region of overlap between the plasmasphere and ring currents (Fraser and Nguyen, 2001; Yuan et al., 2010, 2012a; Usanova et al., 2013), and in regions of high cold plasma density (Yahnin et al., 2002; Halford et al., 2015). In addition, the density structure with the negative gradient of radial electron densities at the plasmapause or plasmaspheric plumes can guide EMIC waves, leading to a small wave normal angle and an order of enhancement of the wave strength (Chen et al., 2009). Therefore, EMIC waves are frequently observed in the outer boundary of the plasmasphere or detached plasmaspheric plumes (Erlandson and Ukhorskiy, 2001; Fraser and Nguyen, 2001; Jordanova, et al., 2007; Yuan et al., 2012a, 2013). In fact, Figs. 4 and 5 show that EMIC waves are observed in the outer boundary of the plasmaspheric plume with occurrence of RC ions. Since Fig. 1d shows a weak geomagnetic activity during the interval, energetic ions in the magnetotail plasma sheet should only be injected into the region of higher L value. Under a weak geomagnetic activity, the plasmapause can extend to a higher L value. As a result, EMIC waves are observed in the outer boundary of the plasmaspheric plume at a high L value ( $L \sim 9.6$ ).

In order to better study energetic ions scattered into the loss cone, we estimate the isotropy ratio of the energetic ion distribution  $(J_{170-180^{\circ}}/J_{80-90^{\circ}})$ .  $J_{170-180^{\circ}}$  and  $J_{80-90^{\circ}}$  represent ion fluxes with local pitch angles of 80-90 and 170-180°, respectively. As shown in Fig. 5, during the outbound pass of the plasma sheet where the ions/electron flux with energies of several keV enhanced, Cluster 3 observed that the isotropy ratios of ions with energies of 6-14 and 14-32 keV gradually decreased from unity and the isotropic boundary extended to lower L value for higher-energy ions. Since under normal magnetospheric topology both the curvature radius of the magnetic field line and the equatorial magnetic field strength increase toward the Earth, the field line curvature scattering will lead to a normal-type dispersion feature: the isotropic boundary extends toward lower L value for higher-energy ions (Liang et al., 2014). Therefore, in the plasma sheet, field line curvature scattering mechanism should be responsible for our observed normal-type dispersion feature of precipitating ions (the isotropic boundary extends to lower *L* value for higher-energy ions) (Sergeev et al., 1983; Buchner and Zelenyi, 1986; Ashour-Abdalla et al., 1990, Liang et al., 2013). To be noted, during the interval denoted by two black vertical solid lines in Fig. 5e, isotropy ratios of energetic ions for both 6-14 and 14-32 keV enhanced during the activity of EMIC waves. Therefore, the isotropic enhancement of energetic ions during the interval denoted by two black vertical solid lines in Fig. 5e should be attributed to RC ions scattered into the loss cone by EMIC waves.

In order to further confirm that the EMIC waves can lead to the enhancement of the energetic ion isotropy denoted by two black vertical solid lines in Fig. 5e, we calculate the bounce-averaged pitch angle diffusion coefficients for energetic protons using the quasi-linear approach with observations of Cluster 3 (Summers et al., 2007). As shown in Fig. 6a, a Gaussian fitting (red solid line) was applied to the dominant frequency band of observed EMIC waves between 17:31:30 and 17:31:40 UT on 3 June 2004. As denoted by two black vertical lines in Fig. 6a, the band of Pc2 waves is between the O<sup>+</sup> ion gyrofrequency  $(f_{O+eq})$ and the He<sup>+</sup> ion gyrofrequency  $(f_{He+eq})$  in the equatorial plane, implying He<sup>+</sup> band EMIC waves. The TS-05 model is used to calculate the magnetic field of the equatorial projection of Cluster trajectories along the magnetic field. A retarding potential analyzer (RPA) equipped on the CODIF can measure ion species  $(H^+, He^{++}, He^+, and O^+)$  in the energy range of  $\sim 0.7-25 \,\text{eV}\,\text{q}^{-1}$  with respect to the spacecraft potential, covering the plasmaspheric energy domain (Rème et al., 2001). Therefore, the CODIF instrument in the RPA mode is better to detect plasmaspheric ions (Darrouzet et al., 2008). Unfortunately the RPA mode of the CODIF instrument was not active during the time interval studied here. Therefore, relevant cold ion compositions of  $[H^+]$ :  $[He^+]$ :  $[O^+] = 82: 15: 3$  is assumed (Grew et al., 2007). The plasma density is  $10 \,\mathrm{cm}^{-3}$  with observations of Cluster 3. We assume that both the background electron density and EMIC wave spectral intensity are constant along a field line. Given an EMIC wave propagating parallel or antiparallel to the background magnetic field, with equation 30 from Summers et al. (2007), the bounce-averaged pitch angle diffusion coefficients  $< D\alpha\alpha >$  can be calculated by a standard numerical quadrature technique. It is useful to compare the magnitudes of the pitch angle diffusion coefficients  $< D\alpha\alpha >$  for protons scattered by EMIC waves with the "strong" pitch-angle diffusion rate  $D_{SD}$  as defined by Kennel and Petschek (1966).  $\langle D\alpha\alpha\rangle >> D_{SD}$  indicates a strong diffusion, while  $< D\alpha\alpha > < D_{SD}$  indicates a weak diffusion. For a small equatorial loss cone, the result can be expressed written as (Eq. (27) of Summers and Thorne, 2003):

$$D_{\rm SD} \approx \frac{9.66}{L^4} \left[ \frac{4L}{4L - 3} \right]^{\frac{1}{2}} \frac{[E(E+2)]^{\frac{1}{2}}}{(E+1)} \left( s^{-1} \right). \tag{1}$$

In Eq. (1), L and E denote the L values and normalized kinetic energy to proton rest energy.



**Figure 6. (a)** A Gaussian fitting (red solid line) was applied to the dominant frequency band of the observed EMIC waves between 17:31:30 and 17:31:40 UT on 3 June 2004. Two black vertical lines denote the He<sup>+</sup> and O<sup>+</sup> ion gyrofrequencies at the equatorial plane, respectively. **(b)** Bounce-averaged pitch angle diffusion rate near the equatorial loss cone (Blue lines) for EMIC waves resonating with ring current ions for the wave shown in **(a)**. The red curve represents the rate of strong pitch diffusion given by Eq. (1).

Figure 6b shows the bounce-averaged pitch angle diffusion coefficients near the equatorial loss cone angle for protons. In addition, the red curve represents the rate of strong pitch diffusion  $D_{SD}$  given by Eq. (1) at L = 9.6. As shown in Fig. 6,  $\langle D\alpha\alpha \rangle \rangle > D_{SD}$  is met in the energy range of 4 keV–1 MeV, indicating that the EMIC waves can resonate with protons at energies from 4 keV–1 MeV and strongly scatter those protons into the loss cone. Therefore, observed EMIC waves can lead to the isotropization of energetic ions denoted by two black vertical solid lines in Fig. 5e.

In summary, with observations of the Cluster spacecraft, we report in situ evidence of energetic ions scattered into the loss cone during the inbound pass from the plasma sheet into the plasmasphere. During the outbound pass of the plasma sheet, Cluster observed the isotropy ratio of energetic ions to gradually decrease from unity and the isotropic boundary extended to lower L value for higher-energy ions, indicating that field line curvature scattering mechanism is responsible for the scattered ions into the loss cone in the plasma sheet. In the outer boundary of the plasmaspheric plume, Cluster 3 observed the increase of the isotropy ratio of energetic ions accompanied by enhancements of Pc2 waves. Through the analysis of the power spectral density and polarization, those Pc2 waves were left-hand circularly polarized and identified as He<sup>+</sup> band EMIC waves. With observed parameters, the calculations of the pitch angle diffusion coefficients for ring current protons demonstrate that EMIC waves could be responsible for the ions scattering and loss-cone filling. Our results suggest that energetic ions scattering into the loss cone

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in the central plasma sheet and the outer boundary of the plasmaspheric plume are attributed to the field line curvature scattering mechanism and EMIC wave scattering mechanism, respectively.

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